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# Electrodynamic single-particle trap integrated into double-cavity ring-down spectroscopy for light extinction

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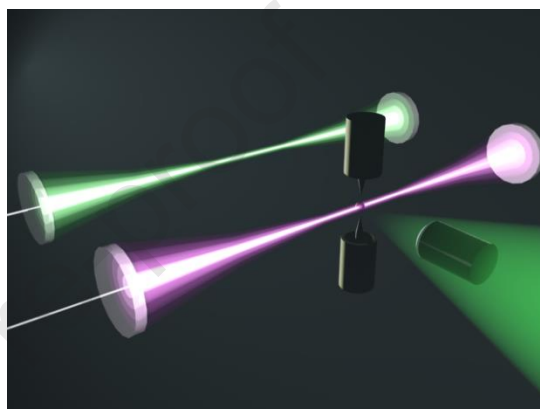
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## ABSTRACT:

The study of the interaction of light with matter upon changing environmental conditions requires new platforms that provide accurate and reliable measurements. One suitable technique for studying such interaction uses electrodynamic traps to levitate micro or nanoparticles in combination with an optical interrogation technique, but improvements and new developments that complement spectroscopic information are necessary.

Here, we use a Paul Electrodynamic Trap (PET) coupled to a Double-Cavity Ring Down Spectroscopy (D-CRDS) to measure the extinction cross section of single levitated particles at two different wavelengths (405 and 532 nm). The level of control achieved over the motion and stability is such that the particle can be consecutively placed at the central maximum of two independent TEM<sub>00</sub> Gaussian modes of the ring-down cavities. Therefore, we can directly measure the dynamic change of the extinction cross section of a single particle at two different wavelengths. The combination of simulations using Mie theory and experiments demonstrates the potential of this robust and versatile setup applied to 1,2,6-hexanetriol particles. Unlike standard methods, our system provides crucial information of drastic and reversible change in the extinction cross-section of a sodium chloride particle in efflorescence and deliquescence points, indicating changes in solute mass, charge, refractive index, sphericity and size during the dehydration and hydration processes.

**KEYWORDS:** single levitated particle, electrodynamic trap, cavity ring down spectroscopy, extinction cross section, elastic scattering, climate change.



## 1. INTRODUCTION

Manipulating individual particles offers the only opportunity to accurately probe the intrinsic physicochemical properties at a fundamental level without the complexity associated with the ensemble of particles. Single particle characterization needs two basic requirements, namely, to capture, confine and precisely control a single specimen of interest, and a highly sensitive, non-invasive optical interrogation technique.

Trapping based on optical forces like conventional optical tweezers is one of the most common techniques that facilitate the confinement and tracking of a single particle, relying mainly on two forces: the scattering and the gradient forces (Bain and Preston, 2019). Its use for the evaluation of microparticles and nanoparticles has been pioneered in numerous studies related to fundamental research in aerosol science and hygroscopic behavior (Davies, 2019; Gregson et al., 2018; Hart et al., 2015; Krieger and Braun, 2001; Mason et al., 2015; Walker et al., 2013; Zardini et al., 2006). Nevertheless, these types of traps are limited to weakly absorbing materials (Bain et al., 2018). If a strongly absorbing particle is illuminated from one side, then gas molecules on the higher temperature side of the particle will have higher velocities due to collisions with the hot side of the particle, imposing a net force (photophoretic force) pushing the particle towards its cold side. For a strongly absorbing particle, this photophoretic force can be 4 to 5 orders of magnitude stronger than the gradient force, pushing the particle out of the capture zone. Recently, several methods have been proposed to enable optical trapping of absorbing airborne particles using the photophoretic force (Gong et al., 2017). The main drawback stems from the fact that the high power required for trapping causes heating which will lead to photodeterioration and a change in the physicochemical composition when the particles contain volatile species. From the geometric point of view, the poor stability of irregular particles in optical traps is due to the imbalance of forces coming from the different faces that have different scattering forces associated with them (Gong et al., 2018). An attractive alternative to optical trapping is the use of electric fields to capture charged particles. The electrodynamic trapping device, also called Paul trap, offers advantages because it can levitate any charged object regardless of its optical properties. The electrodynamic Paul trap (PET) uses a combination of AC and DC electric fields for the confinement of charged particles (Archer et al., 2020; Krieger and Braun, 2001; Lin et al., 2015; Mason et al., 2015; Walker et al., 2013; Zardini et al., 2006).

The measurement of the phase function of elastically scattered light is a consolidated approach to obtain information about size distributions, morphologies, and the real part of the complex refractive index ( $m_\lambda$ ),  $n_\lambda$ , of aerosol particles, (David et al., 2016; L. Price et al., 2020)  $n_\lambda$  describing the phase velocity of light inside particles. The typical interference pattern obtained between light passing through a particle and that passing close to the edge can be measured and compared with Lorenz-Mie theory to estimate particle size (Cotterell et al., 2017; Valenzuela et al., 2020). However, gaining exploitable information when the particle absorbs light, or it is not a perfect sphere is a major challenge because the phase function loses contrast. Under these conditions, independent measurements of how the particle extinguishes radiation could shed light on previously unreported details of the particle's response to changes in the conditions to which it is exposed.

1 The flux of light scattered and absorbed by a single homogeneous spherical particle is  
 2 determined by the extinction cross-section,  $\sigma_{ext,\lambda}$ . A window of possibilities is opened  
 3 by probing the variation of  $\sigma_{ext,\lambda}$  of single particles that absorb light, have irregular  
 4 geometry, or are exposed to changing environmental conditions. The spectroscopic  
 5 analysis is an exciting route towards these ends, and among them, cavity ringdown  
 6 spectroscopy (CRDS) is particularly fine-tuned, as well as an excellent identifier of the  
 7 physicochemical particle footprint. This approach has been initially applied in the  
 8 analysis of aerosols to measure the light extinction caused by an ensemble of particles  
 9 leading to an unpreventable loss of single-particle information (Dinar et al., 2008;  
 10 Hasenkopf et al., 2010; Mason et al., 2012; Miles et al., 2011; Pettersson et al., 2004).  
 11 Further, an improvement in the accuracy of obtaining reliable  $\sigma_{ext,\lambda}$  values has been  
 12 achieved through CRDS measurements of a single trapped initially non-absorbing particle  
 13 in combination with independent estimation of particle size, allowing an alternative  
 14 assessment of the  $n_\lambda$  (Mason et al., 2012; Walker et al., 2013). Recent advances in the  
 15 trapping field have demonstrated the possibility of characterizing light-absorbing and  
 16 irregularly shaped specimens by integrating a focused hollow beam trap into a cavity ring  
 17 down spectroscopy system (Gong et al., 2017). Nevertheless, only qualitative quantities  
 18 were presented. Less is known about the effect on light extinction due to the irregular  
 19 geometrical shape of the particle. In this regard, Valenzuela et al. (2021) integrated a  
 20 linear electrodynamic quadrupole into a CRDS by evaluating continuous  $\sigma_{ext,405}$   
 21 measurements after a single inorganic particle experimented efflorescence. Fitting the  
 22  $\sigma_{ext,405}$  values to a superellipsoid model allowed estimation of the aspect ratio of the  
 23 crystallized particle. Using the latter configuration, Knight et al. have already successfully  
 24 measured the absorption through the evolution of the imaginary refractive index,  $k_\lambda$ , for  
 25 two-component particles composed of nigrosine and 1,2,6-hexanetriol (Knight et al.,  
 26 2022). Therefore, a combination of electrodynamic traps and CRDS is a convenient tool  
 27 to work with, since it can provide valuable information not accessible to optical trapping  
 28 approaches, as many particles in the atmosphere absorb light or have an irregular shapes.

29 An open question hardly addressed is the spectral dependence of the dynamic change of  
 30  $\sigma_{ext,\lambda}$  for one single trapped particle. Gathering more independent spectroscopic data in  
 31 the same experiment may reduce the space over which parametrize optical properties. As  
 32 an example, spectral aerosol optical depth ( $\delta_\lambda$ ) is generally the key parameter to study  
 33 atmospheric aerosols and their optical indices (Cachorro et al., 2001). The wavelength  
 34 dependence of the  $\delta_\lambda$  varies because of the aerosols type and their physical and chemical  
 35 characteristics. This wavelength dependence is expressed by the Angstrom exponent ( $\alpha$ ).  
 36 The derivation of  $\alpha$  can be a useful tool to distinguish and characterize the different  
 37 aerosol types in relation to its size (Schuster et al., 2006):

$$38 \quad \delta_\lambda = \beta \lambda^{-\alpha} \quad (1)$$

39 where,  $\lambda$  is the wavelength,  $\beta$  is the aerosol optical depth at 1  $\mu\text{m}$  wavelength.  $\alpha$  can be  
 40 computed from spectral values of  $\delta_\lambda$  by rationing Eq. (1) at two different wavelengths,  $\lambda_1$   
 41 and  $\lambda_2$ , through the expression:

$$42 \quad \alpha = - \frac{d \ln \delta}{d \ln \lambda} = - \frac{\ln(\frac{\delta_{\lambda_2}}{\delta_{\lambda_1}})}{\ln(\frac{\lambda_2}{\lambda_1})} \quad (2)$$

1 From this expression  $\alpha$  is the negative of the slope, or the negative of the first derivative  
 2 of  $\delta_\lambda$  versus wavelength in logarithmic scale. As particles increase in size, the value of  $\alpha$   
 3 decreases. Typical values of  $\alpha$  range from  $> 2.0$  for fresh smoke particles to nearly zero  
 4 for Sahelian/Saharan desert dust cases (Valenzuela et al., 2015):

5 The  $\delta_\lambda$  for the atmospheric column depend on the  $\sigma_{ext,\lambda}$  values. For our laboratory  
 6 measurements with individual particles, the equivalent Angstrom law can be expressed  
 7 as:

$$8 \quad \sigma_{ext,\lambda} = \beta \lambda^{-\alpha} \quad (3)$$

9 Having  $\sigma_{ext,\lambda}$  at another wavelength for the same aerosol allows to accurately determine  
 10  $\alpha$ . Determining  $\alpha$  with this experimental setup for many particle sizes and considering the  
 11 influence of relative humidity on particle size growth will provide with a more precise  
 12 relationship between mean particle size and  $\alpha$  value.

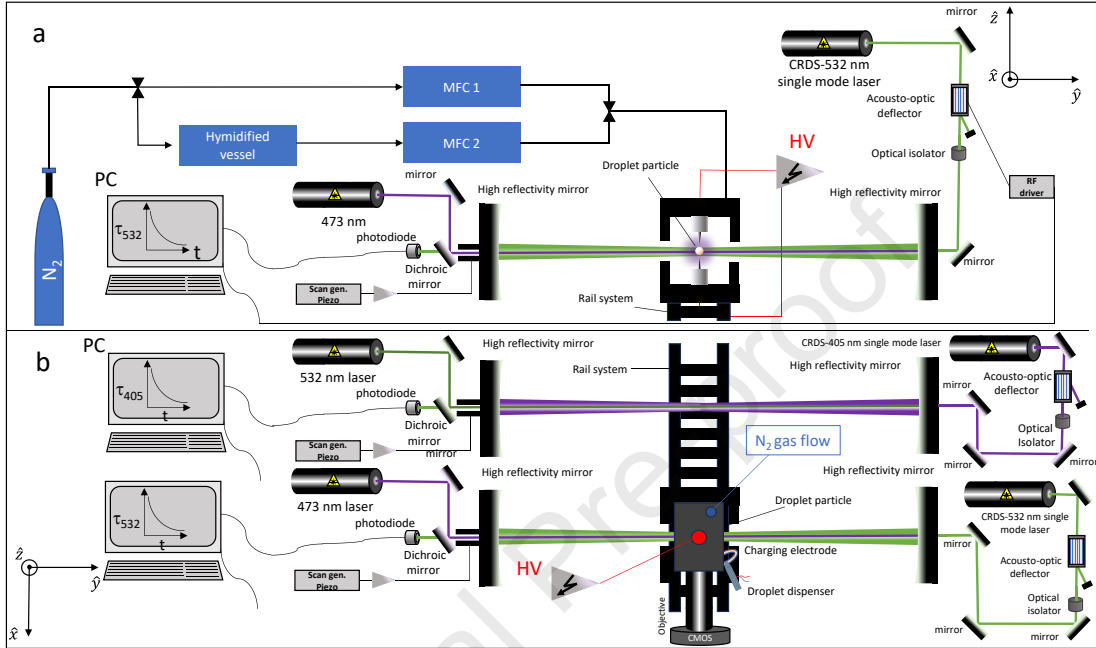
13 Looking for new configurations to improve the optical and microphysical information  
 14 obtained from aerosols, we present in this work a new setup combining a Paul  
 15 Electrodynamic Trap (PET) and a Double-Cavity Ring Down Spectroscopy (D-CRDS)  
 16 system (see Figure 1). The novelty of this single particle cavity ring down setup with  
 17 respect to previous CRDS configurations is the possibility to move the PET on a rail  
 18 transverse to the two parallel cavities with the initial particle held stationary levitating in  
 19 the trap (see Figure 1b). The new configuration allows to retrieve, for the first time, the  
 20 elastic scattering at 473 and 532 nm wavelengths and  $\sigma_{ext,\lambda}$  for the 532-CRDS and 405-  
 21 CRDS systems from the very same trapped particle. This new capability will remove  
 22 uncertainties due to the natural variability between different particles, leading to  
 23 improved measurements. The spectral selective setup is a versatile working platform,  
 24 adding enormous flexibility by optically interrogating the same trapped particle at two  
 25 different wavelengths with excellent stability and reproducibility. The robust PET  
 26 facilitates manipulations and control of the trapped particle with high sensitivity, holding  
 27 the particle right at the central maximum of two independent TEM<sub>00</sub> Gaussian modes of  
 28 the ring-down cavities, obtaining highly resolutive dynamic change of ring down time  
 29 data. We validate the system with 1,2,6-hexanetriol (HT) and sodium chloride particles  
 30 and compare Lorenz-Mie theory with experimental data in terms of  $\sigma_{ext,\lambda}$  values. Further,  
 31 the new system provides exploitable ring down time data for non-spherical solid particles.

## 32 33 **2. EXPERIMENTAL SETUP AND METHODOLOGY**

34 A schematic diagram of the combined PET and D-CRDS platform and an artistic  
 35 representation of the trapping scheme are shown in Figs. 1. The PET is located inside a  
 36 custom-made chamber and operated at atmospheric pressure and room temperature, with  
 37 all measurements performed around 295 K. This platform is formed by two conical  
 38 electrodes separated by 1.5 mm that are enclosed by grounded cylindrical shields which  
 39 have a diameter of 2 cm and 3 cm of length. An AC voltage signal is applied to the cones;  
 40 the typical operation amplitude range of the AC field is between 1 kV<sub>pp</sub> and 2 kV<sub>pp</sub> at a  
 41 frequency ranging from 1 kHz to 2 kHz. By establishing the above values, the PET is  
 42 capable of trapping particles ranging between 700 nm up to a few micrometers in radius.

1 The RH inside the trapping cell is monitored using a capacitance probe (Honeywell). The  
 2 RH is controlled by passing dry nitrogen through two MKS mass flow controllers, one  
 3 for dry N<sub>2</sub> and the other for wet N<sub>2</sub> and varying the ratio between the two. The mixture of  
 4 both flows is introduced from the top of the chamber at flow rates up to 20 cm<sup>3</sup>/min. The  
 5 aerodynamic force on the particle is small, so it does not significantly affect its position.

6



7 **Figure 1.** a) Scheme of the double cavity ring down spectroscopy setup, relative humidity control  
 8 system and the Paul Electrodynamic Trap (PET) seen from the front and b) seen from the top.

9

10 Here, we use two independent and parallel CRDS (axial axis 10 cm apart), at 405 and 532  
 11 nm wavelengths, to measure  $\sigma_{ext,\lambda}$  of the same single confined and levitated particle  
 12 placed right at the central maximum of TEM<sub>00</sub> Gaussian mode of the cavity beam by  
 13 carefully positioning the PET with micro. The PET is robustly fitted to a rail on which it  
 14 can move transversely to the axial axes of the cavities even with a trapped particle. The  
 15 laser beams are gently focused through an acousto-optic deflector (AOD) for each  
 16 wavelength that deflects the incoming beam into the cavity, and only when it is switched  
 17 off does the light no longer reach the cavity, triggering a ringdown event. The 1 m long  
 18 optical cavity for each CRDS is formed by two highly reflective mirrors (> 99.987% and  
 19 99.985% reflectivities at 532 and 405 nm, respectively) with a radius of curvature of 1 m.  
 20 In each CRDS one of the mirrors is mounted on a piezo ring actuator (Piezomechanik),  
 21 which translates the mirror along the axis of the cavity, periodically sweeping the cavity  
 22 into resonance with the laser and allowing build-up on a single longitudinal mode. The  
 23 piezo ring actuator is driven with a triangular waveform of amplitude 10 V at a fixed  
 24 frequency in the range 2-10 Hz. The estimated beam waist ( $w_{0,\lambda}$ ) at the focal point and  
 25 the ring down time for the empty cavity ( $\tau_{0,\lambda}$ ) are  $\sim 291 \mu\text{m}$  and  $21.00 \pm 0.19 \mu\text{s}$ ,  
 26 respectively, for 532-CRDS system, being  $\sim 273 \mu\text{m}$  and  $14.50 \pm 0.23 \mu\text{s}$ , respectively,  
 27 for 405 CRDS system. To reduce the contributions of airborne dust particles to light  
 28 extinction and to prevent the mirrors getting dirty, nitrogen gas flows are directed across

1 the faces of the cavity mirrors through flow tubes that extend to a trapping cell at the  
 2 center of the cavity. Measurements are made of the time constants for exponential decay  
 3 of light from the TEM<sub>00</sub> mode when the cavity is empty ( $\tau_{0,\lambda}$ ) and when it contains  
 4 particle ( $\tau_\lambda$ ). The difference in the reciprocals of these ring-down times is proportional to  
 5 the  $\sigma_{ext,\lambda}$  given for the following equation:

$$6 \quad \sigma_{ext,\lambda} = \frac{\pi w_{0,\lambda}^2 L}{2c} \left( \frac{1}{\tau_\lambda} - \frac{1}{\tau_{0,\lambda}} \right) \quad (4)$$

7 where  $w_{0,\lambda}$  is the theoretical beam waist in the geometric center of cavity,  $L$  is the length  
 8 of the cavity and  $c$  is the speed of light.

9 The light trapped within an optical cavity forms a standing wave along the cavity axis  $\hat{y}$ ,  
 10 which arises from the interference of two counter-propagating plane waves. Miller and  
 11 Orr-Ewing (2007) defined an equation that modifies the Mie extinction cross-section to  
 12 correctly account for the effect of the standing wave structure on measurements in a ring-  
 13 down cavity. Mie  $\sigma_{ext,\lambda}$  values depending on the position of particle in the standing wave  
 14 with limits established in anti-node ( $ky_0 = 0$ ) and node ( $ky_0 = \frac{\pi}{2}$ ) positions. Particle  
 15 centered half-way between a node and an anti-node ( $ky_0 = \frac{\pi}{4}$ ) agrees exactly with the  
 16 Mie theory prediction of  $\sigma_{ext,\lambda}$ . The equivalence of the Cavity Standing Wave  
 17 Generalized Lorenz-Mie theory (CSW-GLMT) predicted CRDS measurements of  $\sigma_{ext,\lambda}$   
 18 for the case  $ky_0 = \frac{\pi}{4}$  and the Mie theory predicted  $\sigma_{ext,\lambda}$  for a traveling wave have been  
 19 exploited by Cotterell et al. (2022). Therefore, although the sampling rate in our  
 20 experiment is greater than 5 Hz, since the timescales for changes in optical properties  
 21 such as size and  $n_\lambda$  are larger than 1 second, we average our measurements at 1 Hz  
 22 intervals. That way our  $\sigma_{ext,\lambda,1Hz}$  values converge to the Mie theory limit.

23 The variation in  $r$  and  $n_\lambda$  is determined by fitting the complete measured  $\sigma_{ext,\lambda,1Hz}$  data  
 24 set to theoretical  $\sigma_{ext,\lambda,Mie}$  calculated using the Mie theory in a self-consistent step.  
 25 Therefore, we parameterise  $n_\lambda$  in terms of particle radius using the expression:

$$26 \quad n_\lambda = n_{0,\lambda} + \frac{n_1}{r^3} + \frac{n_2}{r^6} \quad (5)$$

27 in which  $r$  is the particle radius,  $n_1$  and  $n_2$  are fitting parameters, and  $n_{0,\lambda}$  is the real  
 28 refractive index either of the particle in the limit of infinite size (pure HT) or is the real  
 29 refractive index of pure water (1.338) (Cotterell et al., 2022).

30 The best fit is calculated using the reduced cumulative fractional difference (CFD<sub>R</sub>):

$$31 \quad CFD_R = \frac{1}{N} \sum_{i=1}^N \frac{|\sigma_{ext,\lambda,Mie} - \sigma_{ext,\lambda,1Hz}|}{\sigma_{ext,\lambda,1Hz}} \quad (6)$$

32 where  $\sigma_{ext,\lambda,Mie}$  is the theoretical extinction cross section and  $N$  is the number of different  
 33 particle radius used. The CFD<sub>R</sub> is calculated for a wide range of  $n_\lambda$  and  $r$  values, and the  
 34 value of  $n_\lambda$  and  $r$  that gives the lowest CFD<sub>R</sub> are taken to be these either for HT or for  
 35 aqueous sodium chloride particle according with the experiment.

36 A CMOS camera (Thorlabs, DCC1546M) coupled to a 20× long working distance lens  
 37 (Mitutoyo) with a numerical aperture (NA) of 0.42, oriented at 90° to the D-CRDS laser

1 beam, is used to collect scattering light from a single trapped particle. This two-  
 2 dimensional (2D) image is often referred to as a phase function and is transformed into  
 3 one-dimensional (1D) spectra by averaging each column of the image. The resulting 1D  
 4 spectrum is then compared to a library of spectra calculated with Mie theory for a  
 5 physically plausible range of radii and refractive indices. Correlation analysis is used to  
 6 compare the calculated and experimental spectra to determine the best fit. While operating  
 7 the 532-CRDS system, a horizontally propagating 473 nm Gaussian laser beam  
 8 illuminates the particle for elastic scattering measurements. Keeping the same particle  
 9 trapped, we move the PET on the rail to the 405-CRDS system. In this configuration, an  
 10 additional horizontally propagating Gaussian laser beam at 532 nm illuminates the same  
 11 particle. The dispersion on  $n_\lambda$  from elastic scattering can be estimated by having this  
 12 optical property at different wavelengths according with the procedure detailed by  
 13 Valenzuela et al.<sup>24</sup> Camera images indicated that the maximum horizontal particle  
 14 displacement during an entire set of measurements is  $\sim 15 \mu\text{m}$ .

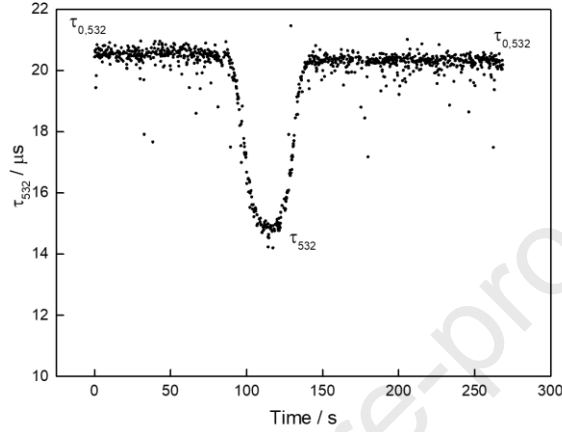
### 16 3. RESULTS AND DISCUSSION

#### 17 3.1. Sensitivity of the instrument

18 To test the potential of our system, we evaluate the sensitivity, stability, and replicability  
 19 of the phase functions and the  $\sigma_{ext,\lambda}$  measurements with particles whose physico-  
 20 chemical properties are well characterized (1,2,6-hexanetriol, HT, and sodium chloride,  
 21 NaCl).  $\tau_{0,532}$  of the empty cavity is  $21.00 \pm 0.19 \mu\text{s}$  for the 532-CRDS system where we  
 22 consider the error as 1-standard deviation after 3-minutes measurements. The error comes  
 23 from the inherent noise by losses in the high reflection mirrors, scattering with dust in  
 24 suspension and from molecular scattering. Taking this error into account, the minimum  
 25 particle radius that the system can detect is 171 nm. However, it will also depend on the  
 26 minimum particle size that the trapping system is able to confine. It has been recently  
 27 shown that Paul traps can be efficiently coupled with optical cavities or other robust  
 28 optical detection schemes to levitate particles well into the accumulation mode (diameter  
 29 0.1-2  $\mu\text{m}$ ) which is of relevant interest in the field of atmospheric climate (Bykov et al.,  
 30 2019; Conangla et al., 2020, 2018; Millen et al., 2020). In the case of the 405-CRDS  
 31 system the value of the  $\tau_{0,405}$  without particle is  $14.50 \pm 0.23 \mu\text{s}$ . The inclusion of the  
 32 ultraviolet wavelengths is not only crucial for the sizing of accumulation mode particles,  
 33 but it also allows the retrieval of refractive indices in a range where such data are scarce  
 34 but desperately needed. The D-CRDS system is sufficiently sensitive to differentiate the  
 35 extinguished energy according to the part of the standing wave with which the particle  
 36 interacts. However, due to the Brownian motion that the particle can experience in the  
 37 three directions of space ( $x,y,z$ ), the noise of the system, and the excursions that the  
 38 particle undergoes when its size is resonant with the wavelength, the curve of  $\tau_\lambda$  values  
 39 show considerable width. In addition, the typical diameter of the particles we work with  
 40 can be several orders of magnitude larger than the wavelength, so the value will have an  
 41 associated uncertainty. Once the particle is trapped, by micrometric control of the  
 42 translation stage along the  $y$  and  $z$  axes we can place the PET in a region of space where  
 43 the particle is right at the central maximum of the TEM<sub>00</sub> Gaussian mode beam. Keeping  
 44 the particle at that point the  $\tau_\lambda$  will reach its lowest value and from there the measurement  
 45 process will begin while the particle size evolves in the case of our experiment.



1 Figure 2 shows for the 532-CRDS system the deep depression of the  $\tau_{532}$  values when the  
 2 particle is carefully aligned to the center of the TEM<sub>00</sub> mode profile of the Gaussian beam.  
 3 The lowest  $\tau_{532}$  value in the cavity is due to several reasons: to the characteristic value of  
 4  $\tau_{0,532}$  for the cavity, to the  $\tau_{532}$  dependence on the laser wavelength since the attenuation  
 5 of the signal will depend on key parameters of the particle such as size and  $n_{532}$ , the latter  
 6 depending on the wavelength. The larger  $\tau_{532}$  values like  $\tau_{0,532}$  values denote that the  
 7 particle is completely outside the central maximum of the TEM<sub>00</sub> Gaussian mode beam.



8  
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 16  
 17 **Figure 2.** Example of  $\tau_{532}$  measured for one 1,2,6-hexanetriol particle when it is outside the TEM<sub>00</sub>.  
 18 Gaussian beam and when it interacts with the center of the Gaussian mode peak.

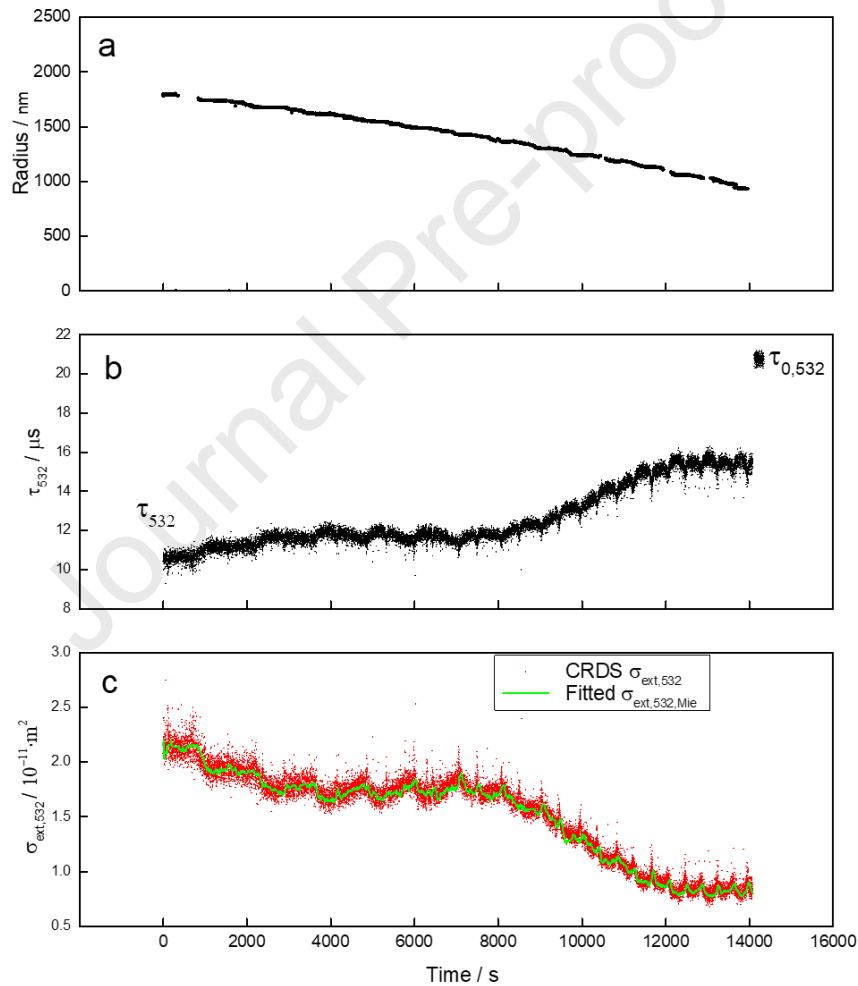
### 19 20 **3.2. Dynamic change of the $\sigma_{ext}$ .**

21 In a first step, we measured the  $\tau_\lambda$  of a single levitated HT particle at 532-CRDS system  
 22 and simultaneously collected the evolved elastic scattering at 473 nm over a time scale of  
 23  $\sim 14000$  s.  $N_2$  was continuously flowing throughout the measurement period to ensure  
 24 that there was no water content in the particle and the evaporated HT did not accumulate  
 25 in the chamber. The  $\sigma_{ext,532}$  of the particle is calculated using Eq. (1). Figure 3a shows  
 26 the evolving HT radius over time during the evaporation process. The radius  $r$  of the  
 27 trapped particle is obtained from the best fit to the experimental PF from a simulated  
 28 library of PFs obtained from Mie theory across a realistic range of  $r$  and  $n_{473}$ . Maximizing  
 29 the Pearson correlation coefficient by searching a grid of  $n_{473}$  and  $r$  values will provide  
 30 with the best-fit  $n_{473}$  and  $r$  values for each PF. More details about the fitting procedure  
 31 can be found in (Valenzuela et al., 2020).

32 Figure 3b shows the scatter graph of the  $\tau_{532}$  over time. The first change we observed  
 33 was a moderate increase in  $\tau_{532}$  which is explained by the fact that during the evaporation  
 34 process the particle reduced its size. In addition to this decrease in  $\tau_{532}$ , it was  
 35 accompanied by fluctuations with different resonance peaks related to that particle  
 36 evaporated to specific sizes for which it behaved as an optical cavity resonant with the  
 37 CRDS laser. The evolution of the  $\tau_{532}$  measurements have a certain width due to the  
 38 Brownian motion of the particle passing through different phases of the standing wave,  
 39 which correspond to the boundaries of the particle envelope centered at a node or at an

1 antinode and points in between. After finishing the measurement, the particle was  
 2 carefully separated from the center of the TEM<sub>00</sub> Gaussian mode and the  $\tau_{0,532}$  was  
 3 measured subsequently for 3 minutes.

4 Figure 3c shows measured  $\sigma_{ext,532}$  sets (red dots) and the best-fit  $\sigma_{ext,532,Mie}$  (green line)  
 5 envelopes according to the procedure described in Sect. 2. Overall, the trend of  $\sigma_{ext,532}$   
 6 was explained by the shrinking in size of the particle. During evaporation process,  $\sigma_{ext,532}$   
 7 was expected to decrease because the geometric cross section of particle became smaller.  
 8 The extinction capacity decreased on average from  $2.1 \cdot 10^{-11} \text{ m}^2$  to  $0.8 \cdot 10^{-11} \text{ m}^2$  when  
 9 particle size decreased its radius from  $\sim 1797 \text{ nm}$  to  $\sim 936 \text{ nm}$ . We take advantage of the  
 10 occurrence of resonant peaks for comparing with Mie theory, thus finding a value  $n_{532} =$   
 11 1.489.



31 **Figure 3.** a) The fitted radius, b)  $\tau_{532}$  and c) the comparison between the  $\sigma_{ext,532,1Hz}$  and fitted  $\sigma_{ext,532,Mie}$   
 32 versus time for one 1,2,6-hexanetriol particle.

### 3.3. Wavelength dependence of $\sigma_{ext}$ .

A HT particle was trapped and optically aligned with the 532 nm-CRDS system. The experiment lasted about 4000 s during which the HT particle evaporated under RHs < 10% and elastic scattering (phase function) at 473 nm and the  $\sigma_{ext,532}$  were measured simultaneously.

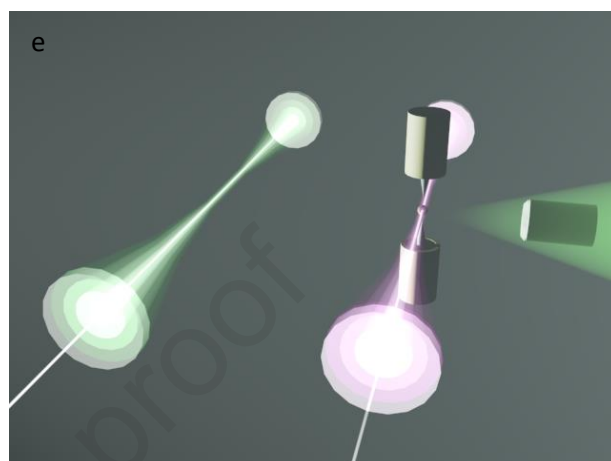
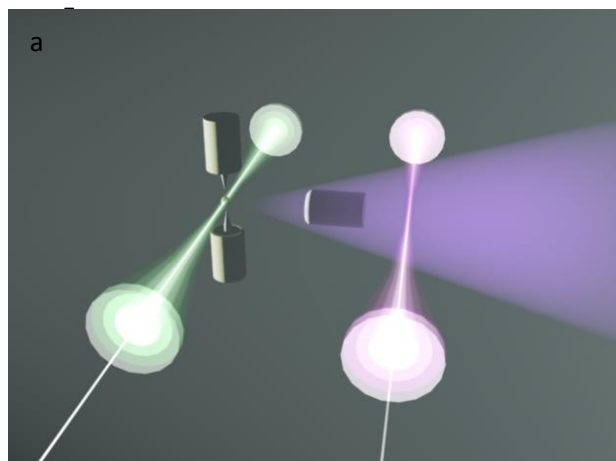
Figures 4 a, b, c and d show the artistic scheme of procedure, the fitted  $r$ , the  $\tau_{532}$  measurements and  $\sigma_{ext,532}$  data (green dots) being the red line the fitted  $\sigma_{ext,532,Mie}$ , respectively, for the HT particle over time. According to the 473 nm phase function fit, particle was trapped with an initial radius of 1850 nm with a value retrieved for  $n_{473}$  of 1.478. This size caused a depression in  $\tau_{532}$  parameter up to values of  $\sim 12 \mu\text{s}$ . That was translated to values of  $\sigma_{ext,532}$  slightly above of  $1.5 \cdot 10^{-11} \text{ m}^2$ . The comparison of  $\sigma_{ext,532,1Hz}$  with  $\sigma_{ext,532,Mie}$  provided a value of  $n_{532}$  of 1.476. HT evaporated over time which is indicated by the decreasing trend in  $r$  and the slightly increasing one in  $\tau_{532}$ . Immediately afterwards, keeping the same particle trapped, the PET was moved to the 405 nm-CRDS system. Again, the PET and particle were optically aligned with the ultraviolet CRDS system. This process took approximately 20 minutes before proceeding to the next set of measurements. Elastic scattering at 532 nm and  $\sigma_{ext,405}$  were collected during approximately 2800 s. Figures 4 e, f, g and h show the artistic scheme of the procedure, the fitted  $r$ , the  $\tau_{405}$  measurements and  $\sigma_{ext,405}$  data (purple dots) being the black line the fitted  $\sigma_{ext,405,Mie}$ , respectively, for the HT particle over time. The fitting of phase function with Mie theory provided a value  $n_{532} = 1.481$  whereas the comparison of  $\sigma_{ext,405,1Hz}$  with  $\sigma_{ext,405,Mie}$  provided a value  $n_{405} = 1.489$ . Although some time elapsed between the two experiments due to the time needed to correctly align the optical system and even though the particle continued to evaporate, we can perfectly appreciate the continuity in the trend of the fitted radius and  $\sigma_{ext,\lambda}$  in the two data sets, which provides confidence in our results. This experiment demonstrated the stability, reproducibility, and robustness of the combined PET and D-CRDS setup, which allowed to retrieve rigorous optical information and dynamic changes in  $\sigma_{ext,\lambda}$  of the same particle over time and its spectral dependence.

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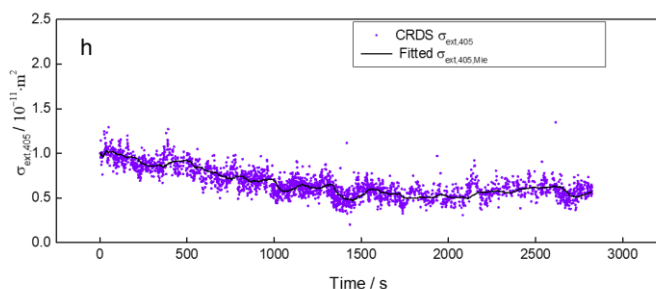
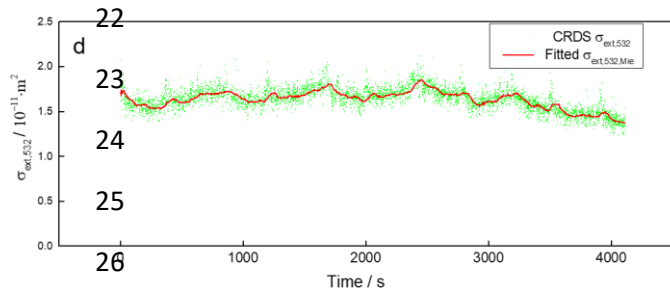
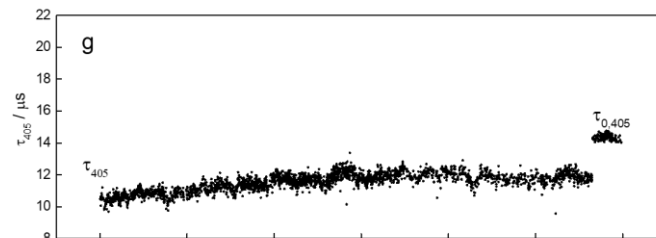
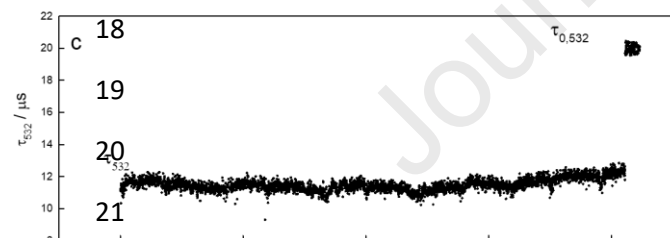
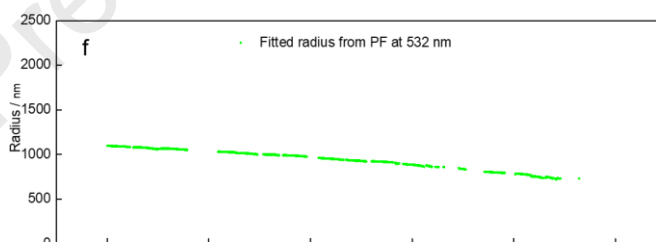
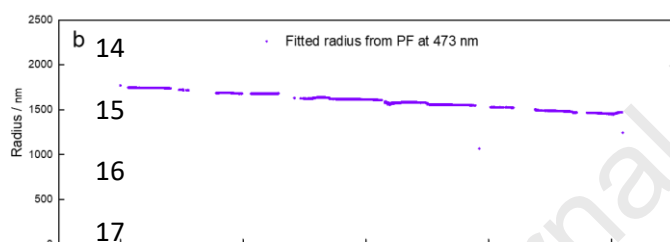
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28 **Figure 4.** a-d) Artistic representation of measurements in the 532-CRDS system, fitted radius,  $\tau_{532}$ , and  
 29 the comparison between the  $\sigma_{ext,532,1Hz}$  and fitted  $\sigma_{ext,532,Mie}$  versus time. e-h) Artistic representation of  
 30 measurements in the 405-CRDS system, fitted radius,  $\tau_{405}$  and comparison between  $\sigma_{ext,405,1Hz}$  and the  
 31 fitted  $\sigma_{ext,405,Mie}$  versus time, for one 1,2,6-hexanetriol particle.

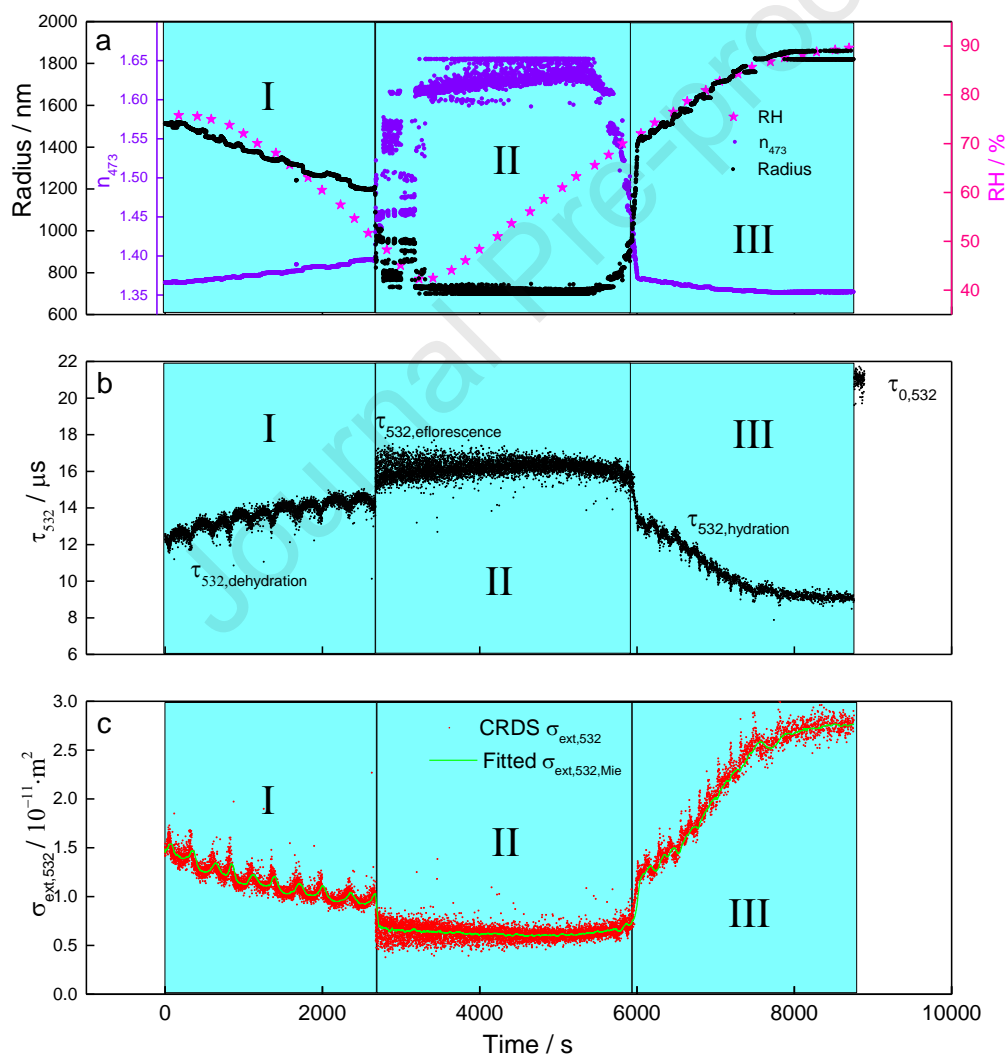
32

### 3.4. Hygroscopic behavior of a sodium chloride particle

Figure 5 investigates the transformation of a levitated sodium chloride particle as it is exposed to dehydration and hydration processes into air. To this aim, we measured the changes in  $\tau_{532}$  upon varying RH in a controlled manner by controlling the ratio between dry and wet  $N_2$  fluxes. To gain additional information about changes in size and refractive index, we continuously monitored the elastic scattering at 473 nm during the whole experiment (Figure 5).

The particle is initially captured at an RH of 75.8% and the change in  $\tau_{532}$  was registered by the 532-CRDS system over a time scale of  $\sim 8700$  s as the RH was allowed to perform one full hygroscopic cycle (dehydration and hydration). The particle radius and  $n_{473}$  was determined from analysis of the PFs. The initial particle radius was determined to be  $\sim 1510$  nm with a value for  $n_{473} = 1.3661$ , close to that of pure water (region I Figure 5a). At high RHs the weight of solute is low relative to the total aqueous particle. Regarding CRDS measurements, the first change that we observed was a moderate increase of  $\tau_{532}$  with fluctuations when the RH was reduced. As we can see in figure 5b (Region I), the  $\tau_{532}$  showed the typical structure of a standing wave with the particle crossing several nodes and antinodes and the region between them. From Eq. 1 we calculated the  $\sigma_{ext,532}$  for the complete experiment. In this case the trend of the  $\sigma_{ext,532}$  depends not only on the evolution of the size of the aqueous sodium chloride particle but also on the change of the  $n_{532}$ . As the particle evaporates, the solute weight concentration becomes more important and therefore there was a dependence of  $n_{532}$  on the RH. During the dehydration process, the sodium chloride particle lost water and reduced its size. This resulted in an increase in  $\tau_{532,dehydration}$  values until a state is reached where the particle stops losing water (see at the end of region I around  $t = 2683$  s in Figure 5). If the RH was further reduced below 51.6%, the  $\tau_{532,dehydration}$  underwent a rapid and reversible change. The particle mass and charge of the particle dropped rapidly, as observed in other setups upon changing conditions (Ricci et al., 2019). In parallel, we independently observed a sudden scatter in particle radius estimation and  $n_{473}$  (region II Figure 5a). In this RH the particle is mostly dry and is no longer a sphere and Mie theory was not valid to fit experimental phase function data. This point is known as efflorescence (region II in figure 5) and thereafter the  $\tau_{532,efflorescence}$  values remained stable and independent of reductions of the surrounding RH. Nevertheless, from  $\tau_{532,efflorescence}$  was possible to calculate  $\sigma_{ext,efflorescence}$ . Although we have measurements from a single particle of irregular geometry, a limitation arises because the scattering cross section depends on the orientation of the particle with respect to the incident laser beam. Due to Brownian motion, the orientation of an irregular particle stored in the Paul trap changes randomly over time, and the measured scattering will be an average over all possible orientations of the particle (Birdsall et al., 2018). Linking to the natural atmosphere, aerosol particles are generally regarded as randomly oriented in the space, so the scattering parameters of the atmospheric particles in the radiative transfer models should be averaged over different orientations to obtain the representative values. In this line, a non-spherical code as T-Matrix has incomparable advantage because it is a method that not only is independent of particle's orientation and incident light, but also contains all the scattering information of non-spherical particles (Hu et al., 2020; Mishchenko and Travis, 1998).

1 Therefore, experimental extinction and scattering cross section measurements retrieved  
 2 from particle levitated in Paul trap can be directly fitted to theoretical data modeled with  
 3 T-Matrix code. We incorporated the comparison of  $\sigma_{ext,532,1Hz}$  with  
 4  $\sigma_{ext,532,Mie}$  (green line) for the complete experiment even in efflorescence region.  
 5 However, a non-spherical T-Matrix code would be recommendable to retrieve realistic  
 6 optical information of irregular particle. This is not the objective of this analysis and will  
 7 be the subject of a future work. Again, RH was increased and just when it raised to ~  
 8 70.6% non-spherical sodium chloride particle began to take up water and increase in size.  
 9 Mie theory worked once again and  $r$  and  $n_{473}$  were fitted from experimental phase  
 10 functions (region III in figure 5a). RH raised a final value of 89.6 % and  $\tau_{532}$  reached  
 11 lower values than the initial experiment. Finally, the particle was ejected and  $\tau_{0,532}$   
 12 reaches typical empty cavity values.



13

14 **Figure 5.** a) Fitted radius, b)  $\tau_{532}$  and c) the comparison between the  $\sigma_{ext,532,1Hz}$  and fitted  $\sigma_{ext,532,Mie}$   
 15 versus time for one sodium chloride particle.

16

## 4. CONCLUSIONS

We present a new setup combining a Paul electrodynamic trap (PET) and a double-cavity D-CRDS for the spectroscopic observation of single particles suspended in air and optically interrogated for an indefinite time. With this new setup we addressed three open questions on the optical properties of aerosol particles. First, we obtained refined measurements of the size and  $\sigma_{ext,532}$  of individual particles levitated by HT from simultaneous elastic scattering and  $\tau_{532}$ . Second, we were able to obtain measurements of elastic scattering and extinction cross sections in each of the cavities while keeping the same trapped particle. The PET can move on a rail transverse to the two parallel cavities with the initially trapped particle held stationary and levitating in the trap. The robustness of the PET made it easy to manipulate and control the particle with high sensitivity, keeping the particle only interacting with the central maximum of two TEM<sub>00</sub> Gaussian modes independent of the cavities. Although some time elapsed between the two experiments due to the need for proper optical alignment of the setup and even though the particle continued to evaporate, we can perfectly appreciate the continuity in the trend of the fitted radius and  $\sigma_{ext,\lambda}$  in the two data sets, which provides confidence in our system. This experiment demonstrated the stability, reproducibility, and robustness of the combined PET and D-CRDS setup which allowed to retrieve rigorous optical information and dynamic change of  $\sigma_{ext,\lambda}$  of the same particle over time and its spectral dependence. Third, we gained knowledge about scale time of the changes affecting mass, density, refractive index about a sodium chloride particle during dehydration and hydration cycles from elastic scattering and  $\tau_{532,dehydration}$ . Further, we obtained crucial information of  $\sigma_{ext,532}$  when particle became irregular in morphology which is demonstrated on this study.

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The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. <sup>+,‡</sup>These authors contributed equally.

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### 13 **Notes**

14 The authors declare no competing financial interest.

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Double Cavity ring down time data were measured for the same single aerosol particle for the first time.

The dependency on relative humidity was calculated for extinction cross sections.

Refractive index was retrieved from the fit with Mie theory

Journal Pre-proof

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