ESTUDIOS / RESEARCH STUDIES

Google Scholar as a source for scholarly evaluation: a bibliographic review of database errors

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Abstract: Google Scholar (GS) is an academic search engine and discovery tool launched by Google (now Alphabet) in November 2004. The fact that GS provides the number of citations received by each article from all other indexed articles (regardless of their source) has led to its use in bibliometric analysis and academic assessment tasks, especially in social sciences and humanities. However, the existence of errors, sometimes of great magnitude, has provoked criticism from the academic community. The aim of this article is to carry out an exhaustive bibliographical review of all studies that provide either specific or incidental empirical evidence of the errors found in Google Scholar. The results indicate that the bibliographic corpus dedicated to errors in Google Scholar is still very limited (n= 49), excessively fragmented, and diffuse; the findings have not been based on any systematic methodology or on units that are comparable to each other, so they cannot be quantified, or their impact analysed, with any precision. Certain limitations of the search engine itself (time required for data cleaning, limit on citations per search result and hits per query) may be the cause of this absence of empirical studies.

Keywords: Google Scholar; Academic search engines; Bibliographic databases; Errors; Quality.

Google Scholar como una fuente de evaluación científica: una revisión bibliográfica sobre errores de la base de datos

Resumen: Google Scholar es un motor de búsqueda académico y herramienta de descubrimiento lanzada por Google (ahora Alphabet) en noviembre de 2004. El hecho de que para cada registro bibliográfico se proporcione información acerca del número de citas recibidas por dicho registro desde el resto de registros indizados en el sistema (independientemente de su fuente) ha propiciado su utilización en análisis bibliométricos y en procesos de evaluación de la actividad académica, especialmente en Ciencias Sociales y Humanidades. No obstante, la existencia de errores, en ocasiones de gran magnitud, ha provocado su rechazo y crítica por una parte de la comunidad científica. Este trabajo pretende precisamente realizar una revisión bibliográfica exhaustiva de todos los estudios que de forma monográfica o colateral proporcionan alguna evidencia empírica sobre cuáles son los errores cometidos por Google Scholar (y productos derivados, como Google Scholar Metrics y Google Scholar Citations). Los resultados indican que el corpus bibliográfico dedicado a los errores en Google Scholar es todavía escaso (n= 49), excesivamente fragmentado, disperso, con resultados obtenidos sin metodologías sistemáticas y en unidades no comparables entre sí, por lo que su cuantificación y su efecto real no pueden ser caracterizados con precisión. Ciertas limitaciones del propio buscador (tiempo requerido de limpieza de datos, límite de citas por registro y resultados por consulta) podrían ser la causa de esta ausencia de trabajos empíricos.

Palabras clave: Google Scholar; Motores de búsqueda académicos; Bases de datos bibliográficas; Errores; Calidad.

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1. INTRODUCTION

The launch of a new tool

Google Scholar (GS) is an academic search engine created by Google Inc. (now Alphabet) on 18 November 2004, and its main purpose is to provide "a simple way to broadly search for scholarly literature" and to help users to "find relevant work across the world of scholarly research".¹

The way it functions is similar to the general Google search engine in that it is a system based on providing the best possible results to user queries entered into a stripped-down search box (Ortega, 2014). In the case of GS, it returns results for millions of academic documents (abstracts, articles, theses, books, book chapters, conference papers, technical reports or their drafts, pre-prints, post-prints, patents and court opinions) that the Google Scholar crawlers automatically locate in the academic web space: from academic publishers, universities, scientific and professional societies, to any website containing academic material (Orduna-Malea et al., 2016).

As with Google, the results retrieved for a particular query are ranked by an algorithm that takes into account a large number of variables (where it was published, who it was written by, how often and how recently it has been cited in other scholarly literature, etc.), although the exact components of this algorithm and the weight of each variable is unknown, for industrial property reasons. However, several empirical studies have demonstrated that the number of citations received by a document is one of the key ranking factors (Beel and Gipp, 2009; Martín-Martín et al., 2017). Another essential feature of Google Scholar is that the entire process is automated, without any human intervention, from the location of documents (crawling) to the bibliographic description (metadata parsing) and the extraction of the bibliographic references (reference parsing) that are used to compute the number of citations received by each retrieved document from all other documents.

Google Scholar was not the first tool of this type; other pioneering systems had already appeared on the scene (Citeseer, the first version of which dates from 1997, is considered the first academic search engine). However, the fact that it was developed under the umbrella of a company like Google, and used part of its technology, led to immediate acceptance by a significant proportion of the academic publishing world and by some professionals and researchers, a fact that was widely criticised by Jacsó (2006a), who openly mocked this new state of affairs ("As Google wandered into the territory by launching Google Scholar (GS) at the end of 2004, the topic is expected to appear in the ultra-light morning television chat shows run by ultra-light TV personalities who are meant to light up our mornings").

Given the characteristics of Google Scholar, it can and should be studied from two complementary but different angles (not only its characteristics but also its effects and consequences). Firstly, GS may be evaluated as a discovery tool (Breeding, 2015), that is, a search engine the purpose of which is to provide the best results to each query and a pleasant user experience based on usability, ease of use and, above all, speed (Bosman et al., 2006). Secondly, Google Scholar may be analysed as a tool that can be used to evaluate scholarly activity. This use, which came about due to it providing citation figures for each document indexed by the system, has led to the increasing use of Google Scholar by users (teachers, researchers, students) and professionals (companies, assessment bodies) as a bibliometric tool for various evaluation processes (authors, journals, universities), although it was not designed with this purpose in mind and lacked the required basic functions (Torres-Salinas et al., 2009). It is precisely this aspect (Google Scholar as a valid tool for carrying out bibliometric studies) that the objectives of this bibliographic review will be based on.

The launch of a new debate

The debate about the advantages and disadvantages of using Google Scholar began immediately after it first appeared (November 2004), giving rise to good and bad criticism in equal measure, as Giles (2005) pointed out in his column in Nature. The first analyses of Google Scholar came from technology blogs and websites, such as Sullivan's (2004) more neutral and informative piece for Search Engine Watch (https:// searchenginewatch.com), or Kennedy and Price's (2004) more sensationalist piece for the nowdefunct Resource Shelf, affirming that "as you've read here many times, Google is brilliant (that is, ingenious at marketing and trying new things), and this is yet another example of their savvy". These messages propagated fast on the internet.

In spite of the general enthusiasm, critical voices soon made themselves heard, one of whom was Péter Jacsó (2004), who tested the search engine between 18 and 27 November 2004, publishing his findings informally on a blog.² In his study, Professor Jacsó, a specialist on database evaluation with extensive experience, conducted an analysis of the general coverage of various publishers on Google Scholar using the "site" command, and identified a number of important limitations, leading him to conclude that "Google Scholar needs much refinement in collecting, filtering, processing and presenting this valuable data" (Jacsó, 2004). The issues identified by Jacsó included unfriendly search syntax, little or no information about the features of the search engine, and inconsistent results. He found specific errors, such as the way in which it displayed results in which there were changes in the word order of the title, or generated completely erroneous bibliographic descriptions (the book, *Computers and Intractability*, by Garey and Johnson, detected errors and inconsistencies in the title, subtitle, author names, publisher's names, locations and years). He also noted a wide range of additional errors like inflated hit counts, inflated citedness, full-text links pointing to erroneous documents and unmerged document versions.

At that precise moment, and in the wake of Jacso's criticism, a wave of criticism was directed against the general drawbacks of Google Scholar (Price, 2004; Goodman, 2004; Abram, 2005; Gardner and Eng, 2005; Notess, 2005; Ojala, 2005; Vine, 2005; Wleklinski, 2005; Adlington and Benda, 2006; White, 2006), alongside more neutral articles, such as the study published by Noruzi (2005), that, while acknowledging its many drawbacks, also pointed to its potential benefits and possible improvements. At the same time, other articles adopted a markedly neutral attitude towards GS. These included the columns by Butler (2004) in Nature and Leslie (2004) in Science, brief news features that did not discuss or even mention critical aspects, perhaps due in part to the fact that both the Nature Publishing Group and the American Association for the Advancement of Science (AAAS), the publishers of Nature and Science, respectively, had reached agreements to provide access to the full text of their publications to Google Scholar crawlers.

On the other hand, the paper published by Belew (2005) was a significant departure in the debate about the value of Google Scholar. This author analysed a corpus of 203 publications concluding that, surprisingly, there was a high correlation between the citations received by these documents according to Google Scholar and to ISI (the author did not indicate what exact database he used or the discipline to which the documents belonged, simply that six authors from the same interdisciplinary department had been chosen at random; in any case, the use of WoS may be surmised in the area of computer science). Similarly, Pauly and Stergiou (2005) conducted a citation analysis on a corpus of 114 articles from a

wide range of disciplines (mathematics, chemistry, physics, computing sciences, molecular biology, ecology, fisheries, oceanography, geosciences, economics, and psychology), and also observed a high correlation ($R^2 = 0.994$ for articles published from 2000 to 2004), which led them to affirm that "GS can substitute for ISI", and that "GS may gradually outperform ISI given its potentially broader base of citing articles". Finally, that same year, the seminal article by Bauer and Bakkalbasi (2005) appeared, an analysis published in D-Lib in which they compared "the citation counts provided by WoS, Scopus, and Google Scholar for articles from the Journal of the American Society for Information Science and Technology (JASIST) published in 1985 and in 2000". This study concluded that for articles published in 2000, Google Scholar provided statistically significant higher citation counts than either Web of Science or Scopus, and was significant because the authors brought to light the importance that citation analysis had acquired, not only for crawling academic publications or measuring their impact, but also for justifying tenure and funding decisions, underlining the future role that GS could play in this complex matter. Indeed, in the light of Bauer and Bakkalbasi's article, The Scientist devoted an article to the future of citation analysis and the role that the web in general and GS in particular could play in bibliometric analysis (Perkel, 2005).

Jacsó's response to these articles was not long in coming; he lambasted them in a column published in Online Information Review (Jacsó, 2006a). First, he declared his utter disagreement with Butler, claiming that he did not seem to have understood his illustrative examples of Google Scholar's errors, "even if my examples were as much tailor-made for Nature as bespoke suits by Savile Row tailors for the ultra rich". Second, he warned readers not to limit their reading to Belew's work. Third, with respect to Pauly and Stergiou, he openly criticised their claim that GS can replace ISI, particularly since their claim was arrived at by "handpicking" only a few articles, without filtering or even cleaning them up, and they contained numerous errors in the form of inflated and phantom citation counts. Two years later, Harzing and Van der Wal (2008) criticised Jacsó for his criticisms of Pauly and Stergiou in a seminal article published in the same journal in which these authors published their earlier article (Ethics in Science and Environmental Politics). They accused Jacsó of also "handpicking" examples of errors, with few and unrepresentative samples, and while they did acknowledge the errors pointed out by Jacsó, these errors were basically inconsistencies in the results for specific queries.

Lastly, Jacsó (2006a) acknowledged the validity of Bauer and Bakkalbasi's findings, although he recommended that readers take a critical look at the volume of citations not only in the 2000 sample (where GS was superior to WoS), but also in the 1985 sample (where WoS outperformed GS), data that seemed to have been overlooked by the academic community, which was more interested in highlighting only the positive aspects of GS and hiding or minimising its limitations, according to Jacsó.

From that moment, and in the same column in *Online Information Review* (called *Savvy Searching*), Péter Jacsó published a series of articles from 2006 to 2012 aimed at identifying, describing, categorising and denouncing the many errors and limitations of Google Scholar (listed in Appendix I, along with various data related to the errors identified and samples used in each study). Much of his research was also published on his personal website (www.jacso.info), as a way of archiving the evidence.

In spite of the strong and harsh criticism that he then fired off from his platform on Online Information Review (some of his most vehement remarks are listed in Table I), and which will be described in detail in the following sections, Jacsó was always rigorous, admitting that Google Scholar is an excellent tool for locating documents that might not be accessible through traditional databases, as well as for accessing full texts (i.e. as a discovery tool). However, "using it for bibliometric or scientometric purposes, such as for determining the h-index of a person or a journal, is another question" (Jacsó, 2008c). This fact led him to criticise colleagues that used Google Scholar for said purposes even if they did admit the limitations of the database. For example, Bar-Ilan (2008), in her study of highlycited Israeli authors, admitted that "the sources and the validity of the citations in GS were not examined in this study". In the light of this observation, Jacsó (2008b) raised his dissenting voice, although he did qualify his position with an understanding that it is sometimes not only tedious but impossible to verify the origin and validity of the citations due to the system's significant limitations, laconically concluding, "I cannot blame her and others who accept the citation counts as reported by GS".

The debate came to a head in 2012 when a controversial article published by Jerome K. Vanclay (2012) in the journal *Scientometrics* strongly criticised the Impact Factor and advocated the use of alternative sources for the evaluation of journals, including Google Scholar. The controversy was heightened all the more by the tone employed by Vanclay. Therefore, Tibor Braun (the founder and editor-in-chief of *Scientometrics*

at the time) invited Jacsó to reply (Jacsó, 2012b). Jacso's criticisms were extremely strong ("utterly demagogue rhetoric, featuring false accusations, misleading statements, claims and comparisons, delusional ideas, arrogance and ignorance in the Vanclay-set"), so much so that he even questioned the review and publication process ("part of a mock-up scenario to test how poorly researched, prejudiced, biased, duplicate papers using 'flawed methodology', ignorant arguments, erroneous calculations, loaded rhetoric, and misleading examples can get through the current quality filters of editorial preview and peer reviews").

The ideas of Vanclay (2012) were equally criticised by Butler (2011) and by Bensman (2012), who highlighted Vanclay's lack of knowledge about the workings and purposes of the WoS and JCR databases, and his excessive idealism in the "promise of a far better assessment of research/publication performance through the h-index based on GS".

Jacsó (2012b) once again reiterated that his criticism of Google Scholar was not directed towards its undoubted advantages for thematic searches, but towards its serious limitations, which make it inappropriate for bibliometric analysis ("extremely lenient citation matching algorithm"), an aspect with which Aquillo (2012) also concurred. In particular, Jacsó argued that the adulation shown by the bibliometric community towards Google Scholar is due in part to the fact that it retrieves a greater number of publications and citations and, consequently, a higher h-index than many researchers would deserve. This may have a perverse effect on the evaluation of the quantity and quality of publications in "decisions related to tenure, promotion and grant applications of individual researchers and research groups, as well as in journal subscriptions and cancellations" (Jacsó, 2012c).

The evolution of an – already old – debate

Between 2004 and 2008, criticism of Google Scholar was largely sustained by Jacsó's articles. However, other authors also expressed their reservations about this search engine, particularly because of the lack of improvements and updates, as Gregg Notess (2008) noted in the forum *Search Engine Showdown.*³ At around the same time, a report by the consulting firm comScore, published by the prestigious technology blog *Techcrunch,*⁴ reported a fall in the number of unique visitors to Google Scholar during the November 2006 to November 2007 period. This news was picked up on by Jacsó (2008b), although there was no mention of the fact that the Google Scholar team seemed to have declared unofficially that these numbers were

| Article | Quote | | | | |
|------------------|--|--|--|--|--|
| Jacsó | The parsing and citation matching components require brain surgery | | | | |
| (2012d) | It is more like a contemporary version of the Aesop fable about the fox who invited the stork for a dinner, and served soup in a very shallow dish | | | | |
| Jacsó (2012c) | Its secretiveness [GS developers] about every aspect of Google Scholar is on par with that of the North Korean government | | | | |
| | One can almost see the scene (and hear the song) from "The Wall" as the grossly under-educated crawlers and parsers march to their destination sites, proudly singing "we don't need no education", and thinking "we don't need no metadata" | | | | |
| Jacsó (2012b) | Its many serious shortcomings make it as inappropriate for scientometric purposes, as making King George III, a.k.a. the "mad king" of Great Britain, the first ruler of the United Kingdom | | | | |
| | GS has acted since its launch as the ultimate mismatchmaker | | | | |
| | GS, the emperor of Secretiveness | | | | |
| | GS—in spite of its name—is omnivorous | | | | |
| | Using its reported publication and citation counts to calculate metrics, is like inviting a street card shark to be the dealer in a 5-star casino | | | | |
| Jacsó (2012a) | I am graced, especially as I am also listed as Albert Einstein's co-author | | | | |
| Jacsó (2010) | The GS parsers are very unconventional but versatile in interpreting any numeric data as a publication year | | | | |
| | Cleaning it up would require much more than spraying out some deodorant and replacing the carpet messed up by the parser puppies of GS again and again. It needs a complete fumigation in the kennel and the GS mansion | | | | |
| Jacsó | I have not seen any professional information service that would behave in such a senseless way | | | | |
| (2008c) | Its pathetic software has a long way to go to make use, at a scholarly level | | | | |
| Jacsó (2008b) | In some European countries omitting the author name from the publication is infringement of the moral component of copyright, an unknown concept in US copyright law | | | | |
| Jacsó | In GS searching by journal name is a Sisyphean task | | | | |
| (2006a) | These errors of artificial unintelligence in matching cited and citing references one hopes will be noted by the natural intelligence of real scholars and practitioners | | | | |
| | That domain [beyond 1,000 hits] remains the world of 1,001+ nights, with equally loose number of promised virgins and harem dancers | | | | |
| Jacsó (2005c) | Unsystematic, unpredictable and disturbingly fragmentary coverage | | | | |
| Jacsó (2005a) | G-S is a free service, and for many who consider it to be a gift for the world it may be anathema to say any but good words of it | | | | |
| | G-S gives a bad name to autonomous citation indexing. It shows lack of competence, and understanding of basic issues of citation indexing | | | | |

Table I. Mythical quotes by Péter Jacsó in the column "Savvy Searching" in Online Information Review

not correct (a fact that was mentioned by Notess, 2008). In any case, it seems that there was some decline in the initial euphoria amongst various experts about the potential of Google Scholar. A notable example was Dean Giustini, who had started a blog dedicated to Google Scholar⁵, and who admitted that "Scholar is not as useful as promised" (cited by Jacsó, 2008b), in reference to the inability of Google Scholar to resolve the limitations that had existed since its launch in 2004. Giustini went on to state that "unless it changes its course, GS will go the way of the dodo bird eventually". Google Scholar did indeed change.

The evolution of Google Scholar was slow, especially during its first years of existence. This may have been due to the fact that the team at the beginning was made up of only two people (Orduna-Malea et al., 2016). In fact, some of the limitations or criticisms that it received in its early days, such as coverage and speed of indexation (Jacsó, 2005a), were later transformed into strengths (Moed et al., 2016; Thelwall and Kousha, 2017, in press).

In 2008, some of the Google Scholar errors that had led to erroneous results and citations, or largescale duplication thereof, were corrected, "which is the appropriate reaction to the criticism" (Jacsó, 2008c). A number of other errors could also no longer be reproduced, although many others of a similar magnitude still remained after an apparent cleaning-up of the data. This seemed to indicate that when bad practice was exposed in the press, Google Scholar fixed it so that users could no longer find the exact examples that were reported; they therefore tended to think that the issues had been resolved, although this was not entirely true (Jacsó, 2010). Not only did they persist, but all Google Scholar-based evaluations that had been conducted previously would have irreparably harmed both the individuals and journals that were evaluated.

Jacsó (2010) also complained of the lack of gratitude shown by the Google Scholar team for his and other authors' contributions to correcting the errors, something that had in fact occurred in the case of the Google Books team, which publicly thanked Nunberg (2009) for contributing to the improvement of that tool with his criticism. Another significant complaint was related to Google Scholar's tendency to blame its errors on publisher metadata rather than its own parser, similar to the Google Books team's excuses for errors as reported by Nunberg, as described by Oder (2009), who reproduced the letter from Google in response to the query about the errors detected: "Without good metadata, effective search is impossible".

However, Google Scholar continued to evolve and to grow until, on its fifth anniversary (2009) it eliminated the "beta" tag that it had retained since its launch (Jacsó, 2010), and many of its systematic errors were fixed (corrected or deleted). Subsequently, Jacsó (2011) reported that the Google Scholar parser had improved, such that tests carried out in mid-November 2010 did not detect some of the previous errors, and many others were reduced significantly, although he did continue to warn that it was not yet reliable enough to be used to calculate bibliometric indicators in the evaluation of research activity. Finally, Jacsó (2012a) recognised that the volume of errors was insignificant when compared to the errors identified at the beginning, although the affected authors would not be of the same opinion. A few years later, in Jacsó's prologue to La revolución Google Scholar: la caja de Pandora académica (Orduna-Malea et al., 2016), he contended that the reduction in the number of errors, even when positive, was manifestly insufficient since errors persist due to functional issues with the system that have not been resolved.

Indeed, despite the fact that 2011/2012 was a milestone in the history of Google Scholar with the emergence of the related services Google Scholar Citations (aimed at authors) and Google Scholar Metrics (aimed at journals), and definitive growth

in its coverage and speed of indexation, many of the errors reported during the 2004 to 2012 period still persist today.

Rationale and objectives

Given the growing use of Google Scholar not only as a gateway to searching for academic literature, but as a bibliometric tool, the identification, classification and quantification of its errors and limitations when calculating bibliometric indicators is of paramount importance.

However, scholarly literature dedicated to this matter has not been systematic. With the exception of Jacsó, few authors have directly sought to detect, describe or gauge the influence of errors in Google Scholar. Occasionally, these limitations have been given passing mention in certain publications, but they have received scant attention in the way of description or explanation or have been quite simply overlooked.

Moreover, in many cases these limitations have been mistaken for errors, when they are in fact related but different aspects. The limitations of Google Scholar are related to certain services or features that prevent it being used as a bibliometric analysis tool. These limitations include not being able to sort the results by the number of citations or the year of publication, the absence of an API (Application Programming Interface), the maximum of 1,000 results per search or limited capabilities for exporting search results, to give only a few illustrative examples. The objective and purpose of Google Scholar is not bibliometric analysis but searching for scholarly literature. Therefore, if such analysis is tedious, we should mark it down as a mere limitation but not as an error.

Conversely, an error arises in relation to features that Google Scholar should provide or execute correctly if is to fulfil the goals and tasks that it officially declares itself to offer. For example, the system claims to report the number of citations received by a publication from the other publications indexed on Google Scholar. Therefore, if this number is incorrect, we have located an error. Since these functional errors directly affect the calculation of bibliometric indicators, knowing what types of errors exist, and to what extent, are important challenges in present-day bibliometrics.

This study is therefore a first step along this line of research. Its main objective is to carry out an exhaustive bibliographic review of what has been said and done about errors in Google Scholar, to then categorise the findings of the studies that we have included in our review.

2. METHOD

The bibliographic review of errors in Google Scholar was conducted over three consecutive phases. First, empirical studies on Google Scholar were compiled. Second, the studies that addressed errors in Google Scholar, either directly (as part of the objectives) or indirectly (errors were listed or described even if they were not part of the main objectives), were selected. Finally, the selected studies were qualitatively analysed in order to group them according to error type.

The first phase (compilation of empirical studies) was carried out as part of the objectives of a nationally funded research project (HAR2011-30383-C02-02). For this purpose, an online information and bibliographic review service was created, called Google Scholar's Digest (http://googlescholardigest.blogspot.com.es), which has been compiling all empirical studies that provide data on Google Scholar since 2014, offering critical reviews (digests) of the most relevant studies.

This service was put together from systematic searches of the main bibliographic databases (WoS, Scopus and Google Scholar itself) and is constantly fed by a technological monitoring and alerts system, using RSS technology, a Twitter account (@GSDigest), and the Google Scholar alerts system. To date, 271 publications have been compiled, including journal articles, books, book chapters, conference papers, reports and working papers, among other document types.

This system was designed in part because of the complexity of finding academic literature with empirical data on Google Scholar, since searches limited to the term <Google Scholar> in the title, keywords or abstract are insufficient.

The second phase (selecting the studies on errors in Google Scholar) consisted of a qualitative analysis of the 271 publications in the Google Scholar's Digest bibliography. The studies were separated into two distinct corpuses. On the one hand, the work of Péter Jacsó (Corpus A, comprising 16 works, see Appendix I) and, on the other hand, other studies with data or comments on errors in the functioning of Google Scholar (Corpus B, comprising 34 works, see Appendix II).

The third phase (categorisation of errors) consisted in the reading, analysis and manual classification of each of the studies in the two bibliographic corpuses, in order to identify both the different currents in the literature on errors and the main types of errors studied to date.

To this end, we decided to apply a general taxonomy of errors (Table II), in order to classify the studies according to the type of error addressed (note: a study may, of course, contain information on several types of errors).

| Table II. Broad taxonomy | of errors in Google |
|--------------------------|---------------------|
| Scholar database | |

| Error type | Scope |
|----------------------|---|
| Coverage | Related to the erroneous in- clusion/exclusion of material in the database based on ac- cepted and rejected typologies |
| Parsing | Related to the misidentification of the descriptive elements of a bibliographic record |
| Matching | Related to the linkage be- tween bibliographic records |
| Searching & Browsing | Related to the search fea- tures of the search engine |

Phase I was carried out from 2014 to May 2017, while phases II and III were carried out in parallel between January and May 2017.

3. RESULTS

This section is divided into four main blocks. First, a descriptive analysis of the bibliographic corpus is carried out. Second, studies focusing on the identification and description of errors in Google Scholar are examined. Third, publications that have focused their interest on errors in filtered or structured environments – either official services (Google Scholar Citations, Google Scholar Metrics) or existing tools in the market (Publish or Perish) – are looked at. Finally, the publications that have proposed Google Scholar error type categories are singled out.

3.1. Descriptive analysis of the bibliographic corpus

As mentioned previously, the literature that has dealt with errors in Google Scholar was divided into two bibliographic corpuses. The first (corpus A) comprising the work of Jacsó (16 publications, Appendix I), and the second (corpus B) comprising other publications that have addressed, directly or indirectly, the issue of errors in this database (33 publications, Appendix II), forming in total a corpus of 49 publications.

Of the total number of publications, 40% (20) are concentrated in the period 2005 to 2008, corresponding to the launching of the search engine and the bulk of the articles published by Jacsó, who after then authored an annual review for his column in *Online Information Review* (2009-2011). 2012 is an exception (four works by Jacsó), coinciding both with the update of the search engine and the birth of Google Scholar Citations and Google Scholar Metrics. From then on, Péter Jacsó ceased his fertile output dedicated to Google Scholar. Corpus B, for its part,

developed strongly during the first years, although no remarkable pattern is observed. One possible reason for this is that a significant proportion of these publications did not focus on the errors of Google Scholar, which nevertheless appeared during their development; the errors were then only reviewed in passing (in varying levels of detail). In any case, the number of publications grew in 2016 (five in total).

With regard to thematic coverage, 53% of all the publications (corpus A and B) focus on specific disciplines while the remaining 47% are multidisciplinary studies. These data are influenced by Jacsó's work, as 12 of his 16 studies (75%) cannot be ascribed to any disciplinary area, since they are based on the testing of different search options through general queries. As far as geographic coverage is concerned, 76% (37) of the publications are international in scope, while only 24% (12) focus on specific countries. Again,

Jacsó's work influences this distribution since all his articles have an international approach (or rather, they have no geographical restrictions). Finally, most of the publications have analysed authors (41% of the total), followed by journals (25%) and documents (17%). Figure 1 gives a summary of the descriptive data of the analysed bibliographic corpus.

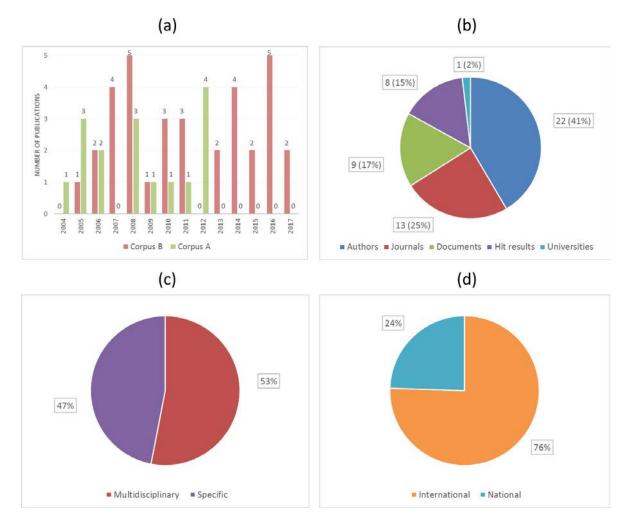
3.2. Errors in Google Scholar

Following the scheme proposed in Table II, contributions were classified into those that identify errors related to coverage, parsing, matching and searching.

3.2.1. Coverage

Given the scarce – at times, inexistent – information on the sources that feed Google Scholar

Figure 1. Descriptive analysis of the bibliographic corpus on Google Scholar errors (a) annual output; (b) unit of analysis; (c) thematic coverage; (d) geographical coverage



(Jacsó, 2012c; Orduna-Malea et al., 2016), critical literature on its coverage was particularly fertile during the early years of its existence. Jacsó (2005a), from the outset, reproached it for the fact that the results for any query were made up of a mixture of document genres (journal paper, conference paper, or book) and paper types (research paper, review paper, brief communication) from a multitude of sources, including not only educational websites but also non-scholarly sources, like promotional pages, table of contents pages, course reading lists (Jacsó, 2006a).

The academic literature has sometimes treated this as an error when in fact we are faced with a limitation for conducting certain bibliometric analyses. Moreover, it is not even globally accepted as such because many specialists consider that the varied nature of the citing documents is not necessarily a limitation in itself.

However, having performed several tests to verify the validity of the system with such an amalgam of citing documents, several errors related to coverage were discovered incidentally:

- Massive content omissions when searching for journals (Jacsó, 2005c): this even occurred with the journals of publishing houses that had agreements in place with Google Scholar to display the full text of the contents (*Nature, Science, PNAS*).
- Indexing limits (Price, 2004; Jacsó, 2005c): limits were detected in the indexing of files, set at the first 100 to 120 KB of the text, such that, if the terms of a query appeared in the text beyond that limit, a result might not be returned and, therefore, the corresponding hit would not be counted.
- Mistaken inclusion of excluded document types (Jacsó, 2008a): sometimes a book review was mistaken for the book itself. Apart from the errors made due to wrongly classified document types, the coverage policy of Google Scholar was also brought under scrutiny: "Content such as news or magazine articles, book reviews, and editorials is not appropriate for Google Scholar"⁶.
- Inclusion of excluded document types due to mass indexing (Jacsó, 2012c): when Google Scholar considered a web domain for inclusion (for example, .edu), it indiscriminately indexed all the files hosted in that web domain that were apparently academic, which led to the indexing of many document types that in principle, according to its rules and criteria, were not appropriate for the database.

3.2.2. Parsing

Parsing errors are one of the most important areas of this study, as their occurrence causes a chain reaction that is capable of generating and transmitting new errors to other documents on an extremely large scale. Parsing is a process that enables strings of symbols to be analysed according to predetermined formal grammatical rules. Hence an application can identify the different parts of a bibliographic record (author, title, source, volume, number, pagination) of both a citing document (metadata) and a cited document (bibliographic reference contained in the bibliography section of an academic work).

Belew (2005) had already indicated that certain character encodings, such as ASCII, can generate problems and errors (inconsistencies in author names and erroneous attribution of citations) in WoS and Google Scholar, especially for authors whose names are written in non-Latin characters. However, Bar-Ilan (2006) expressed surprise when, in performing a bibliometric analysis of the scholarly output of mathematician Michael Rabin, she discovered that there were recurring errors (erroneous attribution of citations and authors) in articles published by the IEEE (Institute of Electrical and Electronic Engineers), even though Google Scholar – supposedly – based much of its data on the information provided by publishers. In reality, the main problem with Google Scholar was related to the fact that it programmed its own parsers instead of relying on the information provided by the metadata prepared by publishers, an approach that may make sense with unstructured masses of web pages, but not with scholarly documents (Jacsó, 2005b; Jacsó 2012c), leading it to generate enormous amounts of errors during the process of scanning and parsing the various elements of a bibliographic record. This fact led to the discovery that the author "I Introduction" was the most prolific according to Google Scholar, with more than 40,000 publications (Jacsó, 2006a) or that "F Password" was the most cited (Jacsó, 2008b). The faulty functioning of the parsers led to segments of the International Standard Serial Number (ISSN) being mistaken for the year of publication (Jacsó, 2008a), and menu options, section headings and journal name logos for author names (Jacsó, 2009a), due to the complete lack of quality controls (Jacsó, 2010), distorting the bibliometric indicators at individual, corporate and journal levels (Jacsó, 2012c).

As an illustrative example, and in response to Vanclay (2012), Jacsó (2012b) showed a result obtained by Google Scholar for the article "Vision 2020-the palm oil phenomenon", in which the

system deleted the second author (MA Simeh), showed "Growth" as the publication source (when in fact it was the *Oil Palm Industry Economic Journal*), and "2015" as year of publication (when it was actually 2005). Figure 2 shows the current result for this article with its corrected bibliographical data.

Within the parsing errors, the literature has dealt with each of the elements of a bibliographic record, although errors related to author names have undoubtedly been the most widely studied. For that reason, we shall now look at author studies separately from the other bibliographic elements.

a) Absurd authors

Péter Jacsó (2004) denounced the irregular and deficient behaviour of the Google Scholar parsers from the outset, especially when identifying author names, which were confused with other content (Jacsó, 2008a). Marydee Ojala (2005) expressed similar sentiments in a brief text included in the article by Wleklinski (2005), published in the journal *Online*. Harzing and Van der Wal (2008) also contended that Google Scholar would not find publications if the author's name included a sequence of characters that was not in a traditional typeset or if the author had used LaTeX (a document preparation system).

On occasion, a "misspelled author" error was generated, whereby names such as "Julie M Still" became "Julie M" or Péter Jacsó himself became "Peter J", such that the first letter of the surname became the first initial of the forename (Jacsó, 2008b).

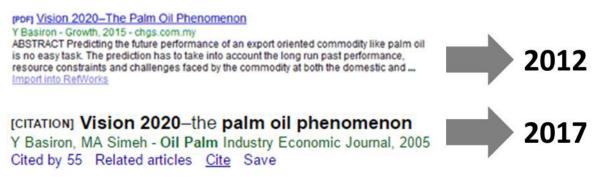
On other occasions, nonexistent names were generated. Jacsó managed to identify a large number of these, such as: Payment Options, Please Login, Strategic Plan, I Background and II Objectives, Forgot Password, I Introduction and R Subscribe, among many others (Jacsó, 2008b; 2008c; 2010; 2011). These errors were sometimes concentrated in the publications of certain publishers, such as Emerald (Jacsó 2008b), or journals such as *The Lancet* (Jacsó, 2010), where parsers sometimes created author names from the MeSH terms (Medical Subject Headings) assigned to the documents. Even though Jacsó (2010; 2011) acknowledged that in some cases these names may be legitimate (notably the case of Raymond and Linda Measures), most of the time they were large-scale errors: V. Cart corresponded on most occasions to View Cart, and not to Veronica Cart (Jacsó, 2008c).

Table III provides a comparison of the results obtained (number of hits returned) for a query by absurd author (example: <author:"F Password">) in Google Scholar in the different publications that have addressed the subject, including the results obtained in 2017 for the purposes of this study.

As has already been mentioned, sometimes these terms were real (Jenice L View) and other times they were parsing errors, which substitute (VIEW, TPO, from VIEW, TIONAL POINT OF) or modify (KALINGA, AVF, from KALINGA, A View From) or add (Image, PVVS, from Physically-Valid View Synthesis by Image). These absurd authors still exist as of 2017.

Finally, on other occasions co-authors (real or absurd) were added. Jacsó (2008b) denounced the fact that in the bibliographic record corresponding to the seminal article on h-index published by Jorge Hirsch (2005), Google Scholar had added three co-authors (Louie, Jackiw and Wilczek), who were the researchers that Hirsch used as examples in his article within an enumerative list (this result has been updated and is now correct). Jacsó himself also fell afoul of this guirk in the search results, appearing in the company of "MA Sicilia" as co-author of his article "Deflated, inflated and phantom citation counts" (Jacsó, 2006a). Curiously, this erroneous information only appeared in what was considered to be the main version, but was correctly recorded in the other versions (Figure 3).

Figure 2. Correction of the bibliographic description of results in Google Scholar



| Access i <th>Query</th> <th>Jacsó (2006a)</th> <th>Bar-Ilan (2008)</th> <th>Jacsó (2008b)</th> <th>Jacsó (2008c)</th> <th>Harzing & Wal (2008)</th> <th>Jacsó (2009a)</th> <th>Torres et al. (2009)</th> <th>Jacsó (2010)</th> <th>Jacsó (2011)</th> <th>Jacsó (2012c)</th> <th>Replicated hits (2017)</th> | Query | Jacsó (2006a) | Bar-Ilan (2008) | Jacsó (2008b) | Jacsó (2008c) | Harzing & Wal (2008) | Jacsó (2009a) | Torres et al. (2009) | Jacsó (2010) | Jacsó (2011) | Jacsó (2012c) | Replicated hits (2017) |
|---|--------------------------|------------------|--------------------|------------------|------------------|----------------------------|------------------|----------------------------|-----------------|-----------------|------------------|---------------------------|
| 1 | Access | | | | | | | | | | 78,100 | 6,840 |
| 1 1 1 1 1 1 25,200 25,200 1 <td< td=""><td>Assessment</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>45,900</td><td></td><td>16,100</td></td<> | Assessment | | | | | | | | | 45,900 | | 16,100 |
| 1 | Contents | | | | | | | | | | 25,200 | 11 |
| 1 1 43,900 1 43,900 43,900 43,900 43,900 43,900 43,900 43,900 43,900 43,900 43,900 43,900 43,900 43,900 43,900 40,100 689,000 *5,900 < | Design | | | | | | | | | 74,000 | | 59,800 |
| 1 102,000 102,000 956 1 | Evaluation | | | | | | | | | 43,900 | | 2,720 |
| 1 $40,100$ $65,000$ $5,900$ <th< td=""><td>F Password</td><td></td><td></td><td>102,000</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>0</td></th<> | F Password | | | 102,000 | | | | | | | | 0 |
| | I Introduction | 40,100 | 689,000 | *5,990 | | 956 | | | | | | 5 |
| | Introduction | | | | | | 42,400 | | | | 40,100 | 148 |
| | M Profile | | | 12,400 | | | | | | | | 137 |
| $ \left(\begin{array}{c ccccccccccccccccccccccccccccccccccc$ | Methods | | | | | | | | | 42,300 | 42,700 | 1,030 |
| $ \left(\begin{array}{c ccccccccccccccccccccccccccccccccccc$ | Password | | | | | | 910,000 | | | 910,000 | 910,000 | 1 |
| $ \left(\begin{array}{c ccccccccccccccccccccccccccccccccccc$ | Policy | | | | | | | | 120,000 | 105,000 | | 56,800 |
| $ \left(\begin{array}{cccccccccccccccccccccccccccccccccccc$ | Subscribe | | | | | | | | | 73,400 | 77,600 | 155 |
| $ \left(\begin{array}{c ccccccccccccccccccccccccccccccccccc$ | Therapy | | | | | | | | | 49,500 | | 5,420 |
| | Treatment | | | | | | | | 39,500 | | | 7,770 |
| $ \left(\begin{array}{c ccccccccccccccccccccccccccccccccccc$ | V Cart | | | | 85,000 | | 85,300 | | | | | 16 |
| $ \left(\begin{array}{c ccccccccccccccccccccccccccccccccccc$ | View | | | | | | | | | | 1,100,000 | 3,750 |
| $ \begin{array}{ c c c c c c c c c c c c c c c c c c c$ | Introduccion | | | | | | | 7,940 | | | | 23 |
| ido 6,180 6,180 1 ido 1,320 1,320 1 idit 12,200 12,200 1 | Estado de la cuestion | | | | | | | 1,330 | | | | 12 |
| nary 4,320 0 12,200 | contenido | | | | | | | 6,180 | | | | 416 |
| 12,200 | indice | | | | | | | 4,320 | | | | 1,813 |
| | i-netlibrary | | | | | | | 12,200 | | | | 0 |

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Table III. Test search on absurd authors

Google Scholar as a source for scholarly evaluation: a bibliographic review of database errors

 Figure 3. The addition of a phantom co-author to a bibliographic record in Google Scholar

May 2017

Deflated, inflated and phantom citation counts MA Sicilia, <u>P_Jacso</u> - Online information review, 2006 - emeraldinsight.com Purpose-The purpose of this paper is to clarify some issues regarding citation indexing, analysis and searching. Design/methodology/approach-The paper begins with a discussion on an article in the D-Lib Magazine and then focuses on deflated citation counts and inflated Cited by 156 Related articles Cite Save More

[PDF] Deflated, inflated and phantom citation counts

P Jacso - Online Information Review, 2006 - Citeseer

Abstract Purpose – The purpose of this paper is to clarify some issues regarding citation indexing, analysis and searching. Design/methodology/approach – The paper begins with a discussion on an article in the D-Lib Magazine and then focuses on deflated citation counts and Cite More

Deflated, inflated and phantom citation counts

P Jacso - Online Information Review, 2006 - search.proquest.com Purpose-The purpose of this paper is to clarify some issues regarding citation indexing, analysis and searching. Design/methodology/approach-The paper begins with a discussion on an article in the D-Lib Magazine and then focuses on deflated citation counts and inflated Cite More

[PDF] Deflated, inflated and phantom citation counts P Jacso - Online Information Review, 2006 - researchgate.net

Abstract Purpose — The purpose of this paper is to clarify some issues regarding citation indexing, analysis and searching. Design/methodology/approach — The paper begins with a discussion on an article in the D-Lib Magazine and then focuses on deflated citation counts and Cite More

b) Other bibliographic fields

Within this area, we may highlight the publications that reflect errors in titles and bibliographic information (mainly, name of journal, volume, number and pagination):

With regard to the document title, Jacsó (2005b) contended that it was sometimes mistaken for sections or subtitles ("Short Communication", "Original Article" or "Special Invitation"). These elements could be added to the original title or replace it completely. The reason for this error lay in the fact that Google Scholar ignored the metadata and focused on detecting sequences of characters with some special emphasis (boldface, larger font size, etc.). Walters (2007) is one of the few authors that has given a figure to this type of error, after evaluating 155 articles and detecting that 15.5% (24 documents) of them had incomplete titles. However, it would seem that he used the snippet provided under each result to perform this analysis. Walters then stated that GS did not include more than 4 authors and no more than 99 characters (in reality, GS uses a line to include these data, and the author can now access the complete reference by clicking on the "cite" option), so we may assume that the error rate that he obtained was an overestimation. For this reason the title is sometimes shortened, as shown by Bar-Ilan (2008) in her analysis of the publications of the American Physical Society, in which she

July 2017

Deflated, inflated and phantom citation counts <u>P Jacso</u> - Online information review, 2006 - emeraldinsight.com

Purpose The purpose of this paper is to clarify some issues regarding citation indexing, analysis and searching. Design/methodology/approach-The paper begins with a discussion on an article in the D-Lib Magazine and then focuses on deflated citation counts and inflated Cited by 158. Related articles Web of Science: 78 Cite Save

Deflated, inflated and phantom citation counts

P JACSO - Online information review, 2006 - cat inist fr Purpose-The purpose of this paper is to clarify some issues regarding citation indexing, analysis and searching. Design/methodology/approach-The paper begins with a discussion on an article in the D-Lib Magazine and then focuses on deflated citation counts and inflated Cite

[PDF] Deflated, inflated and phantom citation counts

P Jacso - Online Information Review, 2006 - researchgate.net Abstract Purpose – The purpose of this paper is to clarify some issues regarding citation indexing, analysis and searching. Design/methodology/approach – The paper begins with a discussion on an article in the D-Lib Magazine and then focuses on deflated citation counts and Cite

Deflated, inflated and phantom citation counts

P Jacso - Online Information Review, 2006 - Ingentaconnect.com Purpose? The purpose of this paper is to clarify some issues regarding citation indexing, analysis and searching. Design/methodology/approach? The paper begins with a discussion on an article in the D-Lib Magazine and then focuses on deflated citation counts Cite

identified inconsistencies in the publication dates.

With regard to the publication date, Jacsó (2008b) discovered errors because the parsers identified any chain of 4 digits as a potential publication date, including page numbers or area codes or street addresses in author affiliation. For example, the volume number was sometimes used to designate the publication date, as Jacsó (2010) pointed out in the case of the "Proceedings of SPIE", or the year of the latest edition of a book was mistaken for its publication date (Dilger and Müller, 2013; Martín-Martín et al., 2017). On other occasions, there was simply no date of publication (Jacsó, 2010), a fact that was also reported by Maia et al. (2016), who, after analysing 2,400 documents in the area of "Strategy as Practice", noted that 15% of the documents had no publication date on Google Scholar. These errors led the system to absurd situations in which some documents had future publication dates (Jacsó, 2008c), which in turn caused "future" documents to have already been cited by other documents. Nevertheless, these publications are small samples that were compiled in the Google Scholar's developing years. In a later study (Martín-Martín et al., 2017) of a sample of 32,680 highly-cited documents, the authors confirmed that there is agreement between the publication dates reported by Google Scholar and Web of Science for 96.7% of documents. Although WoS is not error-free,

the fact that it is a supervised database gives us certain guarantees regarding the quality of Google Scholar data today.

- The document source is another field that has been explored by the literature. Jacsó (2005b) had already indicated that there were results that did not provide information about the source, even when they originated from Medline. Subsequently, Maia et al. (2016), who worked with a sample of 633 records, indicated that 27% of them contained no mention of their source.
- These parsing errors do not only affect source or citing documents, but also documents cited by them. Meho and Yang (2007) analysed citations received by 25 professors from Indiana University-Bloomington, demonstrating that 475 citations from Google Scholar did not have complete bibliographic information, although it should be noted that these citations came from unusual document types (presentations, grant and research proposals, doctoral qualifying examinations, submitted manuscripts, syllabi, term papers, working papers, Web documents, preprints, and student portfolios). Similarly, Noll (2008) studied the coverage of Google Scholar in the area of art history literature, highlighting the existence of errors in the cited references, which lacked information on the volume, number and pagination.

The reasons for which the Google Scholar parsers commit these flagrant errors have been very little studied, beyond the work of Jacsó. One such study that merits our attention is that published by Haddaway et al. (2015), who, after investigating the usefulness of Google Scholar as a database in systematic reviews and grey literature, calculated a total rate of duplicate records due to parsing errors of around 5%, because of the following factors:

- Typographical errors introduced by manual transcription (15% of title records).
- Differences in formatting and punctuation (18% of duplicates).
- Capitalisation (36% of duplicates).
- Incomplete titles (15% of duplicates).
- Automated text detection (3% of duplicates).
- Scanning of citations within references of selected included literature, and the presence of both citations and the articles themselves (13% of duplication).

3.2.3. Matching

In most cases, matching errors are derived from parsing errors, since small variations in a reference can lead to duplicate records (Harzing and Alakangas, 2016), although they are sometimes errors in themselves. In any case, the consequences of these errors for bibliometric analysis are enormous, especially because of the fact that they generate a mass of inflated document citations. As an illustrative example, Jacsó (2008b) analysed his own article "Google Scholar: the pros and the cons", which at that time had received 57 citations according to the Google Scholar result. However, after exhaustive filtering of the data, Jacsó found that this figure was highly inflated. First, the number of estimated hits was 55, of which the interface actually displayed 53 (this is occasionally due to desynchronisation caused by a database update). Of these, there was no way to access four of them (their veracity could not therefore be verified), six were duplicates and four others were erroneous (citing document did not mention the cited document).

This example alone would suggest to the reader that there is a wide variety of interconnected errors, both in matching and browsing (see next section). Although the errors should be studied in terms of their cause-effect relationships, the literature has generally treated them separately, distinguishing between matching errors between different versions, on the one hand, and matching errors between citing and cited documents, on the other.

a) Matching versions

Duplicate versions of records are an issue that have been brought to light by the literature practically since the launch of Google Scholar. Jacsó (2005b) illustrated the existence of different versions of the same document that were not correctly linked and how this caused dispersion in the citations received by a document, which ultimately affected the position in which that document appeared in the results.⁷ Yang and Meho (2006) also commented on how a citation from two versions of the same document (preprint and the version of the article published in a journal) would be counted twice. However, studies that have provided exact figures that quantify the magnitude of these errors in a particular sample or in Google Scholar in general are very scarce, and with completely different results due to the enormous differences in the samples used. Noll (2008) detected 23% of duplicates and multiple versions that contributed to the number of citations received by a set of 12 preselected art historians. Rosentreich and Wooliscroft (2009),

after calculating the g-index for a set of 34 accounting journals, detected a duplicate rate of around 3%. Thor and Bornmann (2011) described how, in the case of a specific search (<allintitle: merge purge large>), they obtained eight results in Google Scholar, and all referred to the exact same document, which ironically dealt with the automatic identification of duplicates.

However, it should be noted that the system for automatically identifying versions has improved substantially over time, an aspect to which Google has dedicated technological resources, as can be seen through the publication of a patent that describes the automatic identification of different versions of the same document (Verstak and Acharya, 2013).

The article by Pitol and De Groote (2014) was the first dedicated exclusively to the issue of versions in Google Scholar. The authors analysed 982 articles, concluding that only 6.1% of them (60) had duplicate versions, which was taken to mean that they were documents that the system had not merged. Moed et al. (2016) also indicated that duplicates, in the strict sense (with identical metadata), were rare (0.2%) in their study of a limited set of articles (1200) published in 12 journals. Even so, this percentage depends on the document type analysed, increasing significantly in the case of monographs. Martín-Martín et al. (2017) analysed the article "Mathematical Theory of Communication", for which they detected up to 165 versions that were not correctly linked.

b) Matching citing/cited documents

Another source of error is the matching of citing (source) and cited (target) documents. Although citations are prone to many forms of error (e.g. typographical errors in the source document because authors or journal editors have incorrectly transcribed a bibliographic reference), other problems are caused by the Google Scholar parsing process, especially when non-standard reference formats are used (Harzing and Van der Wal, 2008) or when the document has a complex structure (Meho and Yang, 2007), or simply when the parsing process fails. In the words of Vaughan and Shaw (2008), "citing and cited papers are confused".

The Google Scholar automatic citation system functions correctly when a bibliographic reference exactly matches a master record (Jacsó, 2009a). In that case, it is rewarded with a new received citation. However, it may be that there is no such match because the parsing has generated variants or duplicates, both of the reference and the master record (or both). If the version-linking technology (mentioned above) worked correctly, many of the errors would be resolved, although this regrettably is not the case.

Jacsó (2005b) was the first to write about the notorious inability of Google Scholar to correctly link citing/cited documents, resulting in an inflation/deflation effect in the cited documents (Jacsó, 2008a), due to either receiving citations that do not exist or not receiving existing citations. For example, Jacsó noted that the most-cited article in *The Scientist* was a document with 7,390 citations received which, in reality, corresponded in large measure to an article published in the Journal of Crystallography. Subsequently, Harzing and Van der Wal (2008) were not able to reproduce this search, and they noted that the most-cited article was another (which received only 137 citations), from which it follows that Google Scholar was able to correct this error.

In spite of this, the reporting of errors in empirical studies is notable. Meho and Yang (2007) observed that Google Scholar missed 40.4% of the citations listed in both WoS and Scopus for 25 professors, and Bar-Ilan (2008) noted that the article "Probabilistic Encryption", cited 915 times, had been attributed incorrectly to Avi Wigderson. Jacsó (2008b) pointed out that most of the citations received by an article published in the *Journal of Forestry Ecology & Management* actually cited a technical report, yearly updated, that had part of the same title as the journal article. Which meant that "GS lumps together a series of technical reports and a journal article, awarding the citations to the journal" (Jacsó, 2008b).

At other times, the matching error stems from an earlier parsing error. For example, Jacsó (2008b) reported that the authorship of an article published in Online Information Review was attributed to "M Profile" when in fact it was coauthored by Hong Iris Xie and Collen Cool. Since this article had received 10 citations, the two authors had been deprived of these citations. If "I Introduction" had been the author of around 6,000 articles in Google Scholar (see Table III), the number of citations that the actual authors did not receive could be in the millions; it is as impossible to calculate as the number of wrongly attributed authors. The direct consequence is that the citation/matching algorithm is as unreliable as the parsing algorithm. These errors, even if they have been minimised, still exist. For example, Moed et al. (2016) indicated that one of the mostcited articles in the Journal of Virology, according to Google Scholar (270 citations), received most of these citations (180) erroneously.

3.2.4. Searching & Browsing

The last aspect that remains to be described is general errors associated with the search and browsing processes in the Google Scholar environment. This type of error has sometimes been confused with or placed alongside search limitations. In this case, we shall only highlight those contributions that look specifically at errors.

From the qualitative analysis of the bibliographic corpuses of errors in Google Scholar, we separated out the contributions that report errors in the advanced search due to a lack of authority control, in the number of hits for a query, and errors in the full-text link.

a) Advanced search

As might be expected, the pioneer in this field was Jacsó (2005a). When he conducted a bibliometric analysis of Garfield's work to coincide with his 80th birthday, he discovered a series of deficiencies due mainly to the absolute lack of authority control (Bar-Ilan, 2008), which generated errors in searches by author (the system combined the publications of E Garfield and RE Garfield, for example) and by journal (the system combined all articles published in Current Science with those of other publications in which the same character string appeared, such as "Current Directions in Psychological Science" or "Current Trends in Theoretical Computer Science") (Jacsó, 2005a). This is an error in the sense that the database was unable to return the articles published by a particular author or journal, which is the service that had been promised to the user. At present, at least for Current Science, this error seems to have

been resolved, although authority control is still lacking (a search for "revista española" ("Spanish journal") will retrieve articles published by *Revista Española de Lingüística Aplicada, Revista Española de Pedagogía, Revista Española de Documentación Científica*, etc.) and is complicated by the existence of abbreviations and variants (Jacsó, 2006b), a problem that still occurs.

In its beginnings, Google Scholar provided an advanced search function to look for documents according to their discipline. Jacsó (2008a) revealed this to be an absurd function, since a search not restricted by subject generated 85% more results than adding up the results for each of the categories.

b) Hit estimate errors

Within the errors in hit estimates, the literature has mainly dealt with errors based on queries using Boolean logic, the duplication of hits, and advanced search publication date.

Boolean problems

This type of problem was a classic example in Jacso's work. They are problems related to absurd or inconsistent numbers of results according to the query. For example, the search for "protein" returned 8,390,000 results, the search "proteins" 4,270,000, and finally the search for "protein OR proteins" 1,630,000 (Jacsó, 2005a). Based on this study, we have compiled all the examples provided throughout the work of Péter Jacsó and recalculated these data for the present day (Table IV). In this way, we may see how the errors not only persist but, in some cases, have even increased.

| Work | Query | Original hits | Replicated hits (2017) |
|---------------|---------------------------------|------------------|------------------------|
| 1 | Lancaster | 442,000 | 1,130,000 |
| Jacsó (2008c) | Lancaster OR Lancester | 348,000 | 170,000 |
| | chicken breed | 9,750 | 95,800 |
| | chicken breeds | 5,300 | 59,600 |
| 1 | chicken breed OR chicken breeds | 5,300 | 141,000 |
| Jacsó (2006b) | Chicken | 387,000 | 2,300,000 |
| | Chickens | 168,000 | 1,070,000 |
| | chicken OR chickens | 322,000 | 2,170,000 |
| | Scholar | 556,000 | 6,520,000 |
| Jacsó (2006b) | Scholars | 939,000 | 2,510,000 |
| | scholar OR scholars | 611,000 | 4,720,000 |
| | Protein | 8,390,000 | 5,270,000 |
| Jacsó (2005a) | Proteins | 4,270,000 | 4,550,000 |
| | protein OR proteins | 1,630,000 | 5,190,000 |

| Table IV. Test search on absu | urd Boolean hit counts |
|-------------------------------|------------------------|
|-------------------------------|------------------------|

Duplicate hits

The generation of repeated hits has also been a recurrent issue in the Google Scholar literature (Jacsó, 2005a; 2006b; 2008b; Shultz, 2007): the existence of duplicate records in Google Scholar results due to parsing and matching errors (versions). It should be mentioned, however, that much of the literature uses erroneous terms when referring to the concept "hit" (results for a specific search), because sometimes they use it as a synonym for "citation" (citations aggregated under a master record), although they are related but different concepts (Levine-Clark and Gil, 2009). It is therefore difficult at times to follow or appropriately contextualise many of the findings and conclusions. Of the few publications in which specific figures are given, Jacsó (2008b) reported how, after analysing the number of articles published in Online Information Review indexed by Google Scholar, he obtained a total of 513 records (thus hits). Of these, approximately 38% (195) were duplicates, with the added problem that this figure (513) varied depending on the Search Engine Result Page (SERP) that the user was on at any given moment.

Year range

If parsing errors are assumed in the publication dates, we could not expect an advanced search by publication date to be error-free. Table V compiles all the examples provided by Jacsó with a reconstruction of the searches for 2017 conducted for this bibliographic review of errors. As can be seen, inconsistencies still persist.

c) Erroneous full text links

Finally, the literature has identified errors in the links in the master records that provide access to the full text of the article, where this is possible. Jacsó (2005a) found that by clicking on the link to an article published in 2005 on Infection and Immunity, the system took him to the full text of another article published 25 years before in *PNAS*. Likewise, Shultz (2007) discovered the existence of broken links or dysfunctional links. Later, Martín-Martín et al. (2016b), after conducting an exhaustive case study into the article "Mathematical theory of communication" on Google Scholar, detected 830 versions linked to the master record. Of these,

| Work | Query | Time range | Original hits | Replicated hits (2017) |
|-------------------------------|--------------------------|---------------|------------------|------------------------|
| | | 1700-2013 | 596,000 | 699,000 |
| | <empty query=""></empty> | 1750-2013 | 567,000 | 717,000 |
| | | 1800-2013 | 552,000 | 758,000 |
| Orduna-Malea et al. (2015) | | 1850-2013 | 566,000 | 766,000 |
| ct un (2020) | | 1900-2013 | 541,000 | *676,000 |
| | | 1950-2013 | 617,000 | 742,000 |
| | | 2000-2013 | 693,000 | 739,000 |
| Torres-Salinas | | 2006-2008 | 93,900 | 915,000 |
| | | 2005-2008 | 89,800 | 813,000 |
| et al. (2009) | <empty query=""></empty> | 2004-2008 | 139,000 | *930,000 |
| | | 2000-2008 | 109,000 | 671,000 |
| 1 | Leventer | 1907-2007 | 116,000 | 386,000 |
| Jacsó (2008c) | Lancaster | 1917-2007 | 118,000 | *350,000 |
| Jacsó (2008a) | | 1435-2008 | 20,200 | 993,000 |
| Jacso (2008a) | Vietnam | 1960-2008 | 20,600 | *911,000 |
| | Cabalana | 2005 | 23,600 | 188,000 |
| Jacsó (2006a) | Scholars | 2005-2006 | 11,900 | 181,000 |
| la aní (2005a) | | 1995-2005 | 976,000 | 738,000 |
| Jacsó (2005a) | <empty query=""></empty> | 1985-2005 | 966,000 | 672,000 |

Table V. Test search on absurd time range hit counts

* Note: these results are coherent with Boolean algebra although quite implausible

Google Scholar only returned 763, of which 21.1% (161) presented some kind of error. In particular, 86 had a broken link to the full text.

3.2.5. Global error propagation

The errors identified by the scholarly literature analysed in this study have barely been quantified, and most of the time they are merely mentioned or reported. Despite the absence of error percentages, the deficiencies were sufficiently voluminous for Jacsó (2008c) to conclude that the citations reported by Google Scholar were not acceptable, not even as a starting point, for the evaluation of the scholarly activity of researchers, since the volume of citations was "inflated" and "untraceable", which had similar repercussions for the calculation of derived indicators such as the h-index (Jacsó, 2009a; 2012c).

To illustrate these shortcomings, the literature has carried out several analyses that have revealed the combined occurrence of several types of errors that distort the overall results, among which the following publications stand out:

- Jacsó (2009a): analysed the book Managing the Multinationals: an International Study of Control Mechanisms (Harzing). Seven (unlinked) versions were detected, each with its own received citations (citation dispersion), and one result corresponded to a book review that was erroneously attributed to Harzing.
- Bar-Ilan (2010): analysed the book Introduction to Informetrics (Egghe and Rousseau). 358 documents referring to the article were detected, of which 24 (6.7%) were duplicates, 17 contained title variants, and 5 had authorship errors. After removing the duplicates and other errors, only 307 documents actually cited the book (total error of 14.2%).
- García-Pérez (2010): analysed a corpus of 380 publications by 4 authors in the field of psychology. 16.5% of the citations were erroneous (phantom citations, duplicate links, unlinked versions and errors in the estimation of hits).
- Adriaanse and Rensleigh (2011): analysed the content of 9 environmental science journals in South Africa, identifying a total of 448 inconsistencies in the records (14%) as well as duplications (a total of 185) due to "citation" hits in Google Scholar. Note: In this case, hits are counted as citations (citation hits).

Martín-Martín et al. (2014b): analysed a corpus of 64,000 highly-cited documents between 1950 and 2013. The following errors were identified: full-text links that did not work or did not correspond to the master record, GS-WoS linking failures,⁸ unlinked versions, incorrect attribution of citations to documents, incorrect attribution of documents to authors, phantom citations, phantom authors, incorrect identification of titles, duplicate citations and publications.

As can be seen, the broader the samples, the greater the quantity and variety of errors found. This is due, as already mentioned, to the interconnection between different types of errors: a parsing error can generate a duplicate which, if the version control system does not group them correctly, can generate a duplicate citation.

3.3. Errors in filtered environments

All of the studies reviewed above operate in the Google Scholar environment. However, there are platforms, both external and linked to this service, designed for working with more filtered and structured data, which may in some cases help to fix some of the errors seen in the previous sections, although they may similarly introduce new errors.

a) External products

One of the more notable external products is Publish or Perish (PoP) (Harzing, 2010), a desktop application that provides a user-friendly interface for searching Google Scholar directly and, especially, for working with results in such a way that allows users not only to work with the retrieved documents (sort them according to various criteria, merge duplicates, etc.) but also to obtain a wide variety of bibliometric indicators calculated from the retrieved documents. This application, which is free to download and use,⁹ has undoubtedly contributed to the democratisation and popularisation of bibliometrics.

Jacsó (2009a) analysed the first versions of the tool, confirming its potential to facilitate the discovery of erroneous information and correct it. However, since the application works directly with Google Scholar results, it inherited certain errors (e.g. typography errors in author names or errors in the title, phantom authors, phantom citations) and limitations (e.g. a maximum of 1,000 results per query) that cannot be directly corrected or resolved. The ability of PoP to export the results obtained to a spreadsheet can mitigate, but not solve, some of the problems. Baneyx (2008) developed a complement to PoP called CleanPoP, which works with the results provided by PoP to improve their quality. Its capabilities include the automatic detection and merging of duplicate articles and variants of the author name. As a sample, Baneyx analysed 12 French researchers. Focusing on one of them (R. Br), PoP located 3,707 citations that, after using CleanPoP, were reduced to 526, so the author concluded that about 86% of the citations provided by PoP were incorrect.

b) Internal tools

The Google Scholar team, fully aware of the errors and limitations of their database, developed and launched two new services between 2011 and 2012 that directly draw on the Google Scholar database. First, Google Scholar Citations (GSC),¹⁰ and, second, Google Scholar Metrics (GSM),¹¹ oriented towards the management of authors and journals, respectively.

First impressions of Google Scholar Citations (from an errors point of view) were positive. Jacsó (2012a) admitted that this platform "apparently managed to separate – if not all, but most – of the wheat from the chaff", since a large number of duplicates were identified and corrected. In addition, the fact that it allowed the authors themselves to correct and edit the descriptive metadata of their articles could help in the medium and long term to improve the quality of the data, so the system was seen as promising. However, many inherited errors were still present (some of which the authors themselves could not correct, for instance separating versions of documents that had been incorrectly merged by the system).

Moreover, Google Scholar Citations has its own errors. For example, in the automatic generation of co-authors, Jacsó (2012a) criticised the fact that in his own list there were authors with whom he had not published: "most of them I have not heard of, let alone known or worked with". At present, this process has improved considerably, although many of the errors are the result of actions, deliberate or not, of the authors themselves, who, through interest, negligence or incompetence may have incorrectly filled in the various personal information fields or edited the description of a document. The number of citations received per document is a value automatically calculated by Google Scholar, in which authors can not intervene. Even so, there are errors in the processes that are performed automatically. For example, Doğan et al. (2016), after analysing the profiles of 10 researchers from the Department of Information Management at Hacettepe University, estimated that 55% of their contributions (135) had received duplicate citations, representing approximately 12% of the total number of citations received. Martín-Martín et al. (2016c) also detected duplicate documents, incorrectly merged documents and incorrect titles when analysing the GSM profiles for 814 bibliometrics researchers. Subsequently, Orduna-Malea et al. (2017) detected and classified errors in the automatic linking of authors with their institutional affiliations, in the case of the Spanish university system (wrong by normalised names, disambiguation problems, incorrect linking, multiple official academic web domains, errors with complex, multiple and internal affiliations).

With regard to Google Scholar Metrics, impressions were similar. Jacsó (2012d) described the service as a potentially useful and complementary tool for journals, although he also acknowledged that the information provided, while it is an improvement, is only "plastic surgery", and that "the parsing and citation matching components require brain surgery to qualify GSM for bibliometric purposes at the journal level".

Apart from the errors inherited from Google Scholar, GSM also has errors of its own making, such as linking articles to the wrong journals. Jacsó (2012d) was surprised that GS occasionally provided correct data but that, subsequently, GSM attributed an article to the wrong journal. These attribution errors consequently caused errors in the attribution of the h5-index of publications.

Also noteworthy are the annual reports from the EC3 Research Group on the release of each new version of GSM (Martín-Martín et al. 2014a; 2016a). These reports have enabled us to explore a wider variety of errors, particularly those related to normalisation problems (unification of journal titles, problems in the linking of documents, and problems in the search and retrieval of publication titles).

3.4. Classification of errors

The last body of publications on Google Scholar errors has tried to categorise and classify existing errors. However, it should be pointed out that these classifications are not only incomplete (not reflecting all types of errors), but were carried out in a way that complemented or supplemented the original work, the main objectives of which were not to create or construct a taxonomy of errors. For example, the most detailed classification (although it mainly focuses on parsing aspects) is found in the work of Adriaanse and Rensleigh (2013), whose analysis was based on a sample of only 14 South African environment journals. In any case, and given their interest, Table VI is a compilation of the main types of errors published to date, the article in which they appeared, and their main items.

4. DISCUSSION AND CONCLUSIONS

The results of our qualitative analysis reveal that the bibliographical corpus on errors in Google Scholar is still limited. The bibliographic review process yielded a total of 49 publications, of which only a small percentage deals in any depth with the concept of errors and even fewer contribute empirical data.

With the exception of Péter Jacsó's work, we can only point to two articles written with the goal of directly ascertaining how errors in Google Scholar function and what their impact is: Doğan et al. (2016) and Orduna-Malea et al. (2017). Other works of great interest, such as those by Harzing and Van der Wal (2008), Baneyx (2008), Li et al. (2010), Adriaanse and Rensleigh (2011; 2013), and De

| Work | Туре | Subtype | Original error Category |
|-----------------------------------|-------------------------------|-----------------------------|----------------------------|
| 1 | Innumeracy | | Browsing |
| Jacsó (2008a) | Illiteracy | | Parsing |
| | Phantom link | | Browsing |
| | Phantom master record | | Matching |
| Jacsó (2008c) | Phantom author | | Parsing |
| | Phantom citation | | Matching |
| | Phantom publication year | | Parsing |
| | | From section title | Parsing |
| 1 | Phantom author | From search template option | Parsing |
| Jacsó (2010) | | From other data elements | Parsing |
| | Phantom publication year | | Parsing |
| | Data export inconsistencies | | Browsing |
| | | Author spelling | Parsing |
| | | Double-barrelled surnames | Parsing |
| | | Diacritical marks | Parsing |
| | Author inconsistencies | Author sequence | Parsing |
| | | Separated authors | Parsing |
| | | Incorrect authors | Parsing |
| | | Nonsensical authors | Parsing |
| | | Article title omission | Parsing |
| Adriaanse and Rensleigh (2011) | | Article title variation | Parsing |
| | | Article title sequence | Parsing |
| | Article title inconsistencies | Subtitle omission | Parsing |
| | | Alternate language title | Parsing |
| | | Nonsensical title | Parsing |
| | Volume inconsistencies | Volume number omission | Parsing |
| | | Issue number omissions | Parsing |
| | Issue inconsistencies | Incorrect issue number | Parsing |
| | | Page number omitted | Parsing |
| | Page number inconsistencies | Incorrect page number | Parsing |

Table VI. Error Classifications in Google Scholar

Winter et al. (2014), in spite of their contributions to the knowledge of errors in Google Scholar, have addressed this issue in an indirect, circumstantial, secondary or at worst incidental way.

This means that, in general terms, scholarly literature about errors in Google Scholar, particularly articles focusing on the use of this tool in bibliometric analysis, is scarce, excessively fragmented and diffuse. There are no studies in which research designs have been specifically developed not only to identify but also to quantify the errors and evaluate their consequences. Studies that do touch on the question of errors were designed with other objectives in mind, and when they address the issue, they often arrive at conclusions that are all too apparent (that there are errors is obvious). In addition, the few studies that provide empirical evidence (albeit indirectly) are not comparable because they deal with completely different samples with different units and research objectives.

Given the importance of quantifying and evaluating the consequences of errors in Google Scholar, since this database is widely used in both bibliometric analysis and in academic evaluation processes (whether we like it or not), it is quite remarkable that the bibliometric community has not undertaken more studies of this nature. The experts that have been most critical of Google Scholar, with the exception of Jacsó, have criticised the database on the basis of its errors, but have not studied their true impact on bibliometric analysis, especially in the context of a big data system that is forcibly transforming the postulates on which many bibliometric studies have been based. These studies are limited - for better or for worse - by the capabilities of the available bibliographic sources, which to date have been controlled and supervised.

One of the possible reasons is the recognised difficulty in evaluating the errors themselves, due to certain substantial limitations (limit of 1,000 search results, limit of 1,000 citing documents per result, with hardly any options for ordering the results, etc.). This is something that has been strongly criticised by Jacsó (2006a; 2008c; 2012b), while Meho and Yang (2007) have already criticised the excessive time required to clean up the data.

For this reason, few studies have shed light on the real effects of existing errors. Sanderson (2008), who calculated the h-index in detail for 3 British researchers, concluded that, after correcting the errors, the h-index had been underestimated by 5-10%. Li et al. (2010), who also acknowledged the excessive data processing time required by Google Scholar, showed that data cleaning processes have, after all, little effect on results, something that had already been partially demonstrated by Baneyx (2008), albeit with very small samples. Doğan et al. (2016) were the first to systematically calculate various indicators before and after cleaning the data (in this case in Google Scholar Metrics). Although the authors concluded that the differences in the calculation of the h-index and the i10-index before and after eliminating duplicates (of both records and received citations) were statistically significant, an analysis of their results leads us to question their conclusion, since the differences, even when they exist, are not so significant. In fact, the h-index does not change for any of the authors after deleting the duplicate records, although it does change slightly after deleting duplicate citations (the most extreme case falls from 16 to 13). In these cases, the level of profile editing and maintenance (even possible manipulation) by the authors themselves has a direct influence on these differences.

Lastly, as regards Jacsó's work, his quite considerable body of work identifying, discovering, testing and disseminating the errors and limitations of Google Scholar are worthy of recognition. Undoubtedly, he is the author who has most contributed to the serious, rigorous and nonopinionated analysis of this database, so that it may be used for bibliometric purposes. Nevertheless, we would venture to mention some limitations or shortcomings in his extensive scholarly output. Regrettably, Jacsó's work does not reveal all the errors in Google Scholar, although it does expose the most notorious and flagrant, a fact that has led to an improved service. Many of the errors are perhaps repeated excessively throughout his work as practical examples and, beyond the selfexplanatory screenshots, greater detail would not have gone amiss in some of the methodological aspects, which are sometimes lacking or only partly sketched out. The design of an exhaustive systematic classification of errors, as well as an estimation of the overall magnitude of these errors, beyond simple exemplification, is also lacking. This has become particularly relevant since 2012 (when Péter Jacsó's contributions ceased and GSC and GSM appeared on the scene).

The evolution of Google Scholar (both in coverage and data quality) must be continually evaluated because of the speed at which its database is updated. Nevertheless, the tests performed in the course of this study have shown that most of the errors reported by Jacsó (especially parsing and searching errors) are still present today. However, the calculation of bibliometric indicators (citations received, h-index) has improved, thanks in no small measure to the development and evolution of GSM and GSC (predicted by Jacsó, 2012a). Only the calculation of error rates (by type of error), with large samples and by discipline, will allow us to rigorously appraise the suitability of the system for use as a complement to the evaluation of academic impact.

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6. NOTES

- 1. https://scholar.google.com/intl/en/scholar/about.html
- Like many informal articles published about Google Scholar between 2004 and 2005, this document is no longer available online, and has been retrieved from the Wayback Machine (archive.org). See bibliography.
- 3. http://www.searchengineshowdown.com/ blog/2008/01/scholar_down_books_up.shtml
- https://techcrunch.com/2007/12/22/2007-in-numbers-igoogle-googles-homegrown-star-performerthis-year/
- 5. Google Scholar Blog, available at: http://weblogs. elearning.ubc.ca/googlescholar/
- https://scholar.google.com/intl/en/scholar/inclusion. html#content

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- It should be remembered that the number of citations was the criterion used by Google Scholar to rank the results (Jacsó, 2008b). As of 2007/2008, new ranking criteria (http://scholar.google.com/intl/ en/scholar/about.html) were introduced, of which citations is only one, though important, criterion (Martín-Martín et al., 2017).
- 8. http://wokinfo.com/googlescholar/
- 9. https://www.harzing.com/resources/publish-or-perish
- https://scholar.googleblog.com/2011/11/googlescholar-citations-open-to-all.html
- https://scholar.googleblog.com/2012/04/googlescholar-metrics-for-publications.html

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| APPENDI | APPENDIX I. PÉTER JACSÓ'S WORK ON | 'S WORK ON | GOOGLE | SCHOLAR | | | | |
|------------------|---|--|---------------------------------|-------------------|------------------------|---------------------|---|---|
| WORK | ANALYSIS UNIT | SAMPLE | Period | DISCIPLINE | GEOGRAPHIC COVERAGE | ANALYSED PRODUCT | Main variable | Empirical Observations |
| Jacsó (2004) | Hit results | Random Test searches | AII | Multidisciplinary | International | Google Scholar | -Searching -Linking -Citations -Versions | -Deficiencies are found using "site" command -Hits and citations inflated -Versions unmerged |
| Jacsó (2005a) | Hit results Authors (Garfield) Journals (Current science) Documents | Random Test searches | All to May 2005 | Multidisciplinary | International | Google Scholar | - Searching - Parsing | Deficiencies in year range searches Deficiencies in Boolean searches Deficiencies in advanced search (published by func- tion) Deficiencies in citation at- tribution |
| Jacso (2005b) | Journal | 680 articles published in Asian Pacific Journal of Allergy and Immunology | December 2004 – July 2005 | Medicine | International | Google Scholar | - Parsing - Matching | -Deficiencies in the correct indexation of one journal related especially to author- ity control (source title vari- ants, article title variants), erroneous titles, and article versions unmerged |
| Jacsó (2005c) | Journal | Random Test searches | | Multidisciplinary | International | Google Scholar | -Searching | -Deficiencies in coverage us- ing "site" search command |
| Jacsó (2006a) | Hit results | Random Test searches | 2005; 2006 | Multidisciplinary | International | Google Scholar | - Searching - Matching | -Through the use of one specific query search (<scholars>, the number of hit counts retrieved are analysed as well as the num- ber of citations received by each result. Some errors are found (false positives) which inflate results. - Cited/citing document link- age malfunction generates citation errors - Errors in the bibliographic information (authorship, date of publication, source) are found</scholars> |
| Jacsó (2006b) | Hit results | Random Test searches | | Multidisciplinary | International | Google Scholar | - Searching | -Duplicate hits -Less than 1,000 hits against some queries. -Boolean logic does not work properly in combined query searches |

| -Limitations and errors in GS are classified into Innu- meracy and Illiteracy -Errors are compiled and recalculated to compare them to those previously detected in 2007 | -Google Scholar (GS) is under the microscope from the perspective of calculat- ing the h-index for individu- als and journals. -Phantom citations -Duplicate hits -Identification of Ghost authors that steal citations from real authors -Hit count estimates vary according to each SERP | -Discusses the related fea- tures of Google Scholar, Scopus, and Web of Science (WoS), and demonstrates in the latter how a much more realistic and fair h-index can be computed for F. W. Lancaster than one pro- duced automatically -Categorisation of errors: phantom links, phantom master records, phantom authors, phantom citations, phantom publication years | -Calculating errors in GS when using PoP software, especially when computing h-index -Summarising errors with Boolean searches, dupli- cates (hits and citations), and author mismatches | - Phantom authors - Phantom publication years - Critique of GS team feedback | -Some of the problems that exist with GS are discussed, particularly regarding content spam and citation spam |
|--|---|---|---|--|---|
| -Searching - Parsing | - Searching - Parsing | - Searching - Parsing | - Searching - Matching | - Parsing | - Coverage |
| Google Scholar | Google Scholar | Google Scholar | Google Scholar | Google Scholar | Google Scholar |
| International | International | International | International | International | International |
| Multidisciplinary | Multidisciplinary | Multidisciplinary | Multidisciplinary | Multidisciplinary | Multidisciplinary |
| | | | | | |
| Random Test searches | Random Test searches | Random Test searches | Random Test searches | Random Test searches | Random Test searches |
| Hit results | Authors Journals | Authors | Authors Journals | Authors | Authors |
| Jacsó (2008a) | Jacsó (2008b) | Jacsó (2008c) | Jacsó (2009a) | Jacsó (2010) | Jacsó (2011) |

| , data iination railabili- icators, ally sts), rom | work olar's oS and errors oncepts | work. ugle rithm is some ons ons ons ons ons ons ons ons | paper vare t of Aetrics ned ning ng, func- ing and |
|---|---|---|---|
| Improvements in GS data are highlighted: elimination of duplicates, and availabili- ty of bibliometric indicators. Some errors are equally claimed: co-author lists), citations (inherited from GS) and search limitations | -Critique of Vanclay's work on using Google Scholar's h-index instead of WoS and JCR; methodological errors and misunderstood concepts are highlighted as well | Critique of Vanclay's work. In this case, the Google Scholar ranking algorithm is observed, as well as some other related limitations (1,000 results per query, lack of advanced sort- ing results options) which jeopardise the use of GS to accurately compute some basic bibliometric indica- tors, irrespective of the existence of other errors. | The purpose of this paper is to review the software and content features of the Google Scholar Metrics (GSM) service launched in April 2012, examining the software, browsing, searching and sorting func- tions, citation matching and content. |
| -Improvem are highlic of duplica ty of biblic ty of biblic -Some error claimed: (citations (GS) and s | -Critique or on using (h-index in JCR; meth and misur are highlig | -Critique o In this cas Scholar ra other rela other rela (1,000 result jeopardise accurately basic bibli basic bibli tors, irres | -The purpo is to revie and conte the Googl (GSM) sen (GSM) sen in April 20 the softw searching tions, cita |
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| or (A. a), per of | n Test es | as test | ' ' |
| 1 author (A. Acharya), developer of GS | Random Test searches | Random Test searches | 20 journals |
| | | | |
| Authors | Journals | Hit results Journals | Journals |
| Jacsó (2012a) | Jacsó (2012b) | Jacsó (2012c) | Jacsó (2012d) |

APPENDIX II. COMPILATION OF STUDIES THAT DIRECTLY OR INDIRECTLY ADDRESS ERRORS IN GOOGLE SCHOLAR

| Empirical Observations | •36 citations identified by WoS but missed by GS | •No empirical data on errors is provided | No empirical data on errors is provided | •GS misses 501 (12%) citations due to system errors •475 citations from GS did not have complete bibliographic information | -2 queries with 1 inaccessible result each | Duplicates in 7 out of 10 queries 4 queries out of 10 with some error 5 pages with errors out of 247 results | 1 citation error (a misspelled name) and 1 link that led to the wrong destination page | 86% of citations found by PoP are incorrect | **Probabilistic encryption" cited 915 times improperly attributed to A. Wigderson Duplications to "P Ingwersen" query Date of publication for American Physical Society fails frequently |
|---------------------------|--|--|---|--|--|---|--|--|---|
| Variables | •Improper citation attribution •Author inconsistencies | Improper citation attribution Improper author attribution Author inconsistencies | Duplicate citations | Author inconsistencies Duplicate citations Matching errors | Duplicate hits | Duplicate hits Pages not found . | Duplicate publications Author inconsistencies Title inconsistencies | Duplicate publications | Error in date of publication Search errors Versions not properly Wrong authorship Wrong authorship Similar titles are merged wrongly |
| PRODUCT | GS | GS | GS | GS | GS | GS | GS | GS | GS |
| GEOGRAPHIC COVERAGE | International | International | USA | USA | Ireland | International | International | France | Israel |
| DISCIPLINE | Multidisciplinary | Mathematics; Computer Science | Library & Information Science | Library & Information Science | Multidisciplinary | Medicine | Later-life migration | History; Sociology; Economy; Geography; Philosophy | Multidisciplinary |
| Period | 1997-2004 (publication date of cited works) | N/A | N/A | 1971-2005 | N/A | 2006 | 1990-2000 | N/A | 1996-2006 |
| SAMPLE | 203 publications from 6 authors | 113 publications of Michael Rabin | 47 and 62 publications from 2 authors | 5,285 citations from 25 researchers | 7 students through 8 queries | 10 queries | 155 articles | 12 researchers | 40 highly-cited Israeli researchers |
| ANALYSIS UNIT | Authors | Documents | Authors | Authors | Hit results | Hit results | Documents | Authors | Authors |
| WORK | Belew (2005) | Bar-Ilan (2006) | Yang and Meho (2006) | Meho and Yang (2007) | Robinson and Wusteman (2007) | Shultz (2007) | Walters (2007) | Baneyx (2008) | Bar-Ilan (2008) |

| Query for "I Introduction" shows 956 results, of which 160 correspond to publications a) that receive citations, and b) of which "I Introduction" is the only author | 23% of citations included duplicates and multiple versions | Overall, the level of duplicates within GS was found to be around 3% | 24 items out of 358 (6.7%) referring to the book are duplicates (17 title duplicates and 5 with authorship errors) First SERP: Hit count estimates claims 227 results, but only 219 appear Second SERP: 134 results are claimed, and only 119 appear. After deleting duplicates, 51 citations (14.2%) constitute errors. | 55 citations (16.5%) present errors 11 errors were due to HCE 57 errors due to phantom citations 215 contains duplicate links 100 duplicates due to reprints published in different sources | -Citation counts inflated by 5% after cleaning data through PoP | •185 duplicate records •448 (14%) inconsistencies |
|--|---|--|--|---|---|--|
| • Author inconsistencies • Title inconsistencies • Citations improperly attributed | Duplicate citations Duplicate publications Incomplete bibliographic information | Title inconsistencies Duplicate publications Duplicate citations | Title inconsistencies Duplicate publications Ouplicate citations Versions unmerged | Duplicate citations Duplicate publications Citations missed Phantom citations Title inconsistencies | Duplicate publications Duplicate citations | Author inconsistencies Title inconsistencies Bibliographic inconsistencies (volume, issue, pagination) |
| ک در در در در | GS | GS | GS | GS | GS | GS |
| International | International | International | International | Spain | International | South Africa |
| Management and International Business | History of Art | Accounting | Informetrics; Bibliometrics | Psychology | Library & Information Science | Environmental Sciences |
| 2002-2006 | 1975-2008 | N/A | N/a | N/A | N/A | 2004-2008 |
| 20 | 472 | 34 | 1 book: 'introduction to informetrics' (by egghe and rousseau) | 380 publications from 4 authors | 42 experts rating research published by 101 authors | 6 |
| Journals | Documents | Journals | Documents | Authors | Authors | Journals |
| Harzing and Van de Wal (2008) | Noll (2008) | Rosenstreic and Wooliscro (2009) | Bar-Ilan (2010) | García- Pérez (2010) | Li et al. (2010) | Adriaanse and Rensleigh (2011) |

| •No empirical data on errors is provided | •The query "allintitle: merge purge large" shows 8 results (records) all of which refer to the same publication (duplicates) | •448 (14%) inconsistencies: 12 data export inconsistencies; 146 author inconsistencies; 122 title inconsistencies; 167 bibliographic inconsistencies | •No empirical data on errors is provided | 8.7% (108) of citations without year of publication. 4% (29) of publications without year of publication (11 in theses; 14 in unknown documents) 64 duplicates and 11 false positives | •Ada Yonath lost 1 highly- cited article, modifying her g-index due to a parsing error | 29% of documents with undefined typology 165 versions corresponding to one same work unmerged HCE claims 763 versions for 1 record, but 20.1% are incorrect: 83 broken links, and 38 errors could not be verified |
|---|--|---|--|---|---|--|
| Duplicate citations Duplicate publications | Duplicate publications | Author inconsistencies Title inconsistencies Bibliographic inconsistencies (volume, issue, pagination) | Author inconsistencies Data export inconsistencies Versions unmerged | Duplicate publications Duplicate citations False positives Technical scanning errors | •Technical errors | Duplicate publications Duplicate citations Title inconsistencies Phantom authors Phantom citations Book reviews confused Book reviews confused Documents improperly attributed to authors Citations improperly attributed to documents Full text links accessing to erroneous file Link connection between GS and WoS broken |
| GS | GS | es | ß | GS | GS | gs |
| UK | International | South Africa | Germany | International | International | International |
| Economy; Geography; International relations; Law; Sociology | Multidisciplinary | Environmental Sciences | Business | Multidisciplinary | Multidisciplinary (Chemistry, Economics; Medicine and Physics) | Multidisciplinary |
| N/A | N/A | 2004-2008 | 2005-2009 | Sep 2005- Apr 2013 | 2012-2013 | 1950-2013 |
| 120 | N/A | 14 | 1572 members of German Academic Association for Business Research (VHB) | 2 classic articles 56 articles | 20 Nobel winners | 64000 |
| Authors | Journals | Journals | Authors | Documents | Authors | Documents |
| LSE Public Policy Group (2011) | Thor and Bornmann (2011) | Adriaanse and Rensleigh (2013) | Dilger and Müller (2013) | De Winter et al. (2014) | Harzing (2014) | Martín- Martín et al. (2014b) |

| •Not clear if duplicate versions refer to unmerged versions or just merged versions | Full text search: duplication rate of 0.56% Title search: duplication rate of 2.93%. 15% of typographic errors capital letters provoke 36% of all duplicates due to Title errors Incomplete titles cause 15% of duplicates due to title errors Parsing causes 3% of duplicates due to title error Scanning references causes 13% of duplicates due to Title error Title error Total duplicates due to Title error Title error Total duplicates due to title error | 1.3% (17) duplicate profiles [duplicate profiles is not considered error but a limitation in this work] | •The use of PoP reduces research output from 244 to 106 results (138 original document duplicates) | 15% (360) documents without date of publication 27% (633) documents without source of publication |
|--|---|--|--|--|
| Ouplicate publications Versions unmerged | •Title inconsistencies •Duplicate hits in searches •Data export inconsistencies | •Duplicate profiles | Author inconsistencies Citation inconsistencies Duplicate publications | •Author inconsistencies •Incorrect source identification |
| S | ß | GSC | S9 | S |
| International | International | International | International | International |
| Multidisciplinary | Sciences | Multidisciplinary | Multidisciplinary (Humanities; Social Sciences; Engineering; Sciences; Life Sciences) | Strategy as Practice (SAP) |
| N/A | N/A | 2011-2012 | 2013-2015 | N/A |
| 982 | 6988 full text searches 4194 Title searches; 7 systematic reviews | 191858 | 146 | 2400 |
| Articles | Documents | Authors | Authors | Publications |
| Pitol and De Groote (2014) | Haddaway et al. (2015) | Ortega (2015) | Harzing and Alakangas (2016) | Maia et al. (2016) |

| •No empirical data on errors is provided | Article published in Journal of Virology receives 270 citations from GS, out of which 180 are false due to wrong attribution Total duplicates: 3.9% (430) in GS, 5.2% (366) in GSM. Identical duplicates: 0.2% (26) in GS, and 18% (0.3) in GSM 11.4% of citing documents in GS the publication year is unavailable | -73% (8) of authors have duplicate records in GSM profile -55% (135) of publications receive 364 duplicate citations (12% of all citations) In GS, 92 out of 591 publications are duplicated In GS, 92 out of 3079 (12%) citations are duplicates |
|---|---|--|
| GS: - Uuplicate publications - Duplicate citations - Duplicate citations - Phantom authors - Duplicate profiles (deprecated) - Misattributed - Duplicate profiles (deprecated) - Misattributed - Duplicate records - Incorrectly merged - Incorrectly merged | Duplicate publications Duplicate citations Versions unmerged Versions unmerged Technical errors | Duplicate publications Duplicate citations |
| es es | S S S S S S S S S S S S S S S S S S S | GS GSC |
| International | International | Turkey |
| Bibliometrics | Multidisciplinary | Information management |
| N/A | 2010-2014 | N/A |
| 814 | 1200 articles published in 12 journals | 11 authors 617 publications from GS 3144 publications from GSC |
| Authors | Journals | Authors |
| Martín - Martín et al. (2016c) | Moed et al. (2016) | Doğan et al. (2016) |

| Martín- Martín et al. (2017) | Documents 64000 | 64000 | 1950-2013 | Multidisciplinary International | International | GS | Incorrect title Versions unmerged Date of publication inconsistencies | 96.7% of documents included both in WoS and GS (32680) show concordance in date of publication |
|------------------------------------|-----------------|---|-----------|---------------------------------|---------------|-----|---|--|
| Orduna- Malea et al. (2017) | | Universities 82 universities Authors Sample A: 17938 authors Sample B: 22285 authors | N/A | Multidisciplinary Spain | Spain | GSM | Institution name disambiguation Incorrect linking Lack of precision in search queries Errors in complex institutions due to internal units | 16% (13) Spanish universities do not have a normalised entry GSC only groups 73.7% of all the scholars that could potentially have been linked to the institution by having an email or affiliation of the institution, and 80.5% of those with official email from the institution |