Classic papers: *déjà vu*, a step further in the bibliometric exploitation of Google Scholar

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ABSTRACT

After giving a brief overview of Eugene Garfield's contributions to the issue of identifying and studying the most cited scientific articles, manifested in the creation of his *Citation Classics*, the main characteristics and features of Google Scholar's new service -Classic Papers-, as well as its main strengths and weaknesses, are addressed. This product currently displays the most cited English-language original research articles by fields and published in 2006.

KEYWORDS

Highly cited papers / Most cited papers / Citation Classics / Classic papers / Citation counts / Citation analysis / Bibliometrics / Scientometrics / Google Scholar

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1. The Precursor: Eugene Garfield's Citation Classics

On the 3rd of January of 1977, exactly forty years ago, **Eugene Garfield** started to publish what he then called Citation Classics, a collection of short essays that featured the top 500 most cited articles published between 1961 and 1975 (Figure 1). From that moment until 1993, the Current Contents service published no less than 400 "Citation Classic Commentaries". The intention of these pieces was to present "the human side of scientific reports" through comments from the very researchers that had published them: how they came to be, who collaborated in the process, the problems that occurred during their development, the obstacles that were faced, and how the results were received by their colleagues.



CURRENT COMMENTS

Introducing Citation Classics: The Human Side of Scientific Reports

Number 1, January 3, 1977

With this first issue of 1977, we begin experiment unique to Current Contents® . Immediately following this essay, you will discover a new feature

designation of 'classics." If one were to assume that the history of science encompasses 20 million papers, then one percent of these would constitute a large block of entitled Citation Classics. Each week we 200 thousand. One tenth of one percent

Figure 1. Creation of the Citation Classics. Eugene Garfield. Introducing Citation Classics: The human side of scientific papers. Current Contents, 3 January 1977, 1, p. 5-7.

But this idea was not new, because in 1969 Garfield had already compiled a list of the top 50 most cited articles published in 1967 (Figure 2). In that list he already used the term "classics" to refer to those highly cited documents. Six years later he prepared a similar list, but this time about articles published between 1961 and 1972 (Figure 3). This list comprised the top 50 most cited articles published in that period, and he again used the term "classics" to refer to those works.

¹ All of them available from http://garfield.library.upenn.edu/classics.html

	TOTAL				
BANK	TIMES CITED	AUTHOR	JOURNAL	VOL PAGE	YEAR
1	2363	LOWRY OH	1 BIOL CHEM	193 206	5.t
ż	64	AE YNGLOS ES	JOELL BIOL	17 208	63
;	941	LUFT M	/ BIDPHYS SHOCHEN CY		67
- 1	510	FISRE CH	J BIOL CHEM	es 275	25
i	467	FOLCH J	/ BADL CHEM	226 497	57
ě.	***	BRAY GA	ANAL BIOCHEM	1 279	80
7	***	SABATHNI DO	ACELL BIOL	17 19	63
	321	SPACKMAN DH	ANAL CHEM	30 1190	54
•	364	GORNALL AG	1810f CHEM	177 751	4
10	333	LINE WE AVER M	J AMER CHEM SOC	14 000	34
11	286	BURTON K	BIOCHEM 1	42 316	54
12	776	DUNCAN DB	BHOMETRICS	"	
13	274	SCHEIDEGGER A	INT ARCH ALLERGY APP	7 103	54
14	241	DOLE VP	/ CLIN INVEST	36 150	**
15	276	DAVIS 83	ANN NY ACADSCI	121 404	84
17	773 273	MELSON N REED LJ	BIOL CHEM	183 376 27 683	*
10	218	MOORHEAD PS	AMER JHYG EXP CELL RES	27 483 20 613	*
70	217	MARMUR J	AMOL BIOL	3 208	-
70	207	AACOB F	J MOL BIOL	3 319	-
21	203	WATSON MIL	BIOPHYS BIOCHEM CY	4 476	-
72	107	PALADE GE	JE AP MED	* **	57
73	182	KARNOVSKY MJ	J BIOPHYS BIOCHEM CY	11 729	61
24	182	MARTIN AG	J BIDL CHEM	238 1372	61
26	175	SMITHIES O	BIOCHEM 1	61 620	
26	163	BARTLETT GR	J BIOL CHEM	234 466	
21	152	BARKER SE	J BIOL CHEM	136 636	41
20	180	EAGLE H	SCIENCE	130 432	
7	156	ROSENFELD AH	REV MOD PHYS	30 1 126 1982	6 1
31	153	GELLMANN M TREVELYAN ME	PHYS REV MATURE LOND	126 1087 108 444	67 50
20	140	WARRENL	3 BHOL CHES	236 1971	=
ñ	140	ANDREWS	BIOCHEM 1	21 777	=
×	130	MONOD	J MOL BIDL	12	Ξ
-	136	SCHMIDT G	J 8+Os. CHEM	161 60	=
*	134	BARDEEN	PHYS REV	100 1176	
37	134	DEDUVE C	BIOCHEM A	80 804	*
=	131	KARPLUS M	J CHEM PHYS	30 11	•
*	131	AHLOUIST RP	AM / PHYSICL	163 100	44
40	130	DUBOIS M	ANAL CHEM	28 280	14
41	120	ELLMAN GL	ARCH BIOCHEM BIOPHYS	E2 70	*
42	125	WARBURG D	BIOCHEM S	310 394	41
43	176	GELLMANN M	PHYSICS	1 63	64
*	174	MANDELL AD	AMAL BIOCHEM		60
=	123	DOLE VP	1 BIOL CHEM	276 7605	**
47	177	LITCHFIELD JT	JPHARMAC EXP THER	* . •	-
~	177	MILLONIG G FRIEDEMANH TE	JAPPL PHYSICS JEIOL CHEM	33 16.37 147 416	6 1
-	110	MOORE S	184OF CHEM	217 807	43
-	119	JAFFE HH	CHEM REV	63 101	5
-	***	Section 1. Process	FUET MET	B4 181	

Fig. 1. Fifty most cited articles for 1967, ranked according to total times cited. (Refer to Appendix A)

Figure 2. Most cited articles published in 1967. Eugene Garfield. Citation indexing, historio-bibliography and the sociology of science. Current Contents, 14 April 1971, 6.

Garfield revisited this topic repeatedly in the following years. No less than 17 essays about the "citation classics" of various scientific fields or journals were published, and some of them stimulated a discussion on the meaning and influence of this kind of studies (immortality, obliteration, productivity, genre, Nobel prizes). Other essays (more than 80) were dedicated to examining the most cited papers, books, and authors in various disciplines, specialties, journals, or countries.

In short, a mammoth task that speaks volumes about **Garfield's** personality, a person who was ahead of his time in this topic and many other topics related to information retrieval and scientific evaluation. **Garfield**, recently deceased, was a pioneer whose memory we should always honour. These words are a tribute to him, but they are also a way to contextualize the birth of a new product. Nothing happens in a vacuum. We are always riding on the shoulders of giants... **Garfield** was the forefather of everything we do today, and of course, the precursor that enabled the creation of services like *Google Scholar*, and therefore partly responsible for the way we nowadays discover, retrieve, and evaluate scientific information.

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² All of them available at http://garfield.library.upenn.edu/citationclassicessays.html



.....current comments'

Selecting the All-Time Citation Classics. Here Are the Fifty Most Cited Papers for 1961-1972.

January 9, 1974 Number 2

About six years ago we compiled a list of the 50 papers most cited in the Science Citation Index ® in 1967. Re-

yet peaked; its 1972 count of 357 was up 20% from 1971.

Despite their 'age' many of the

Figure 3. Top 50 most cited articles published between 1961 and 1972. Eugene Garfield. Selecting the All-Time Citation Classics. Here Are the Fifty Most Cited Papers for 1961-1972. Current Contents, 9 January 1974, 2, p. 5-8

On top of this foundations, *Thomson Scientific* first, *Thomson Reuters* later, and today *Clarivate Analytics*, built the *Essential Science Indicators*, which every year presents the most cited documents of the last decade³.

2. What does Google Scholar's Classic Papers offer?

The top 10 most cited English-language original research articles published in 2006 in each of 252 subject categories, according to the data available in Google Scholar as of May 2017. The total number of articles displayed in the product is 2515 articles⁴.

2.1. Inclusion/Exclusion criteria

In order to make it to this product, articles must meet the following criteria:

- They must have been published in 2006
- They must be journal articles, articles deposited in repositories, or conference communications.
- The documents must describe original research. Review articles, introductory articles, editorials, guides, commentaries, etc. are explicitly excluded.
- They must be written in English.
- They must be among the top 10 most cited documents in their respective subject category.
- They must have received at least 20 citations.

³ https://images.webofknowledge.com/images/help/WOS/hs_citation_applications.html

⁴ Google Scholar's Classic Papers published in 2006. https://doi.org/10.13140/RG.2.2.27340.62084

2.2. Layout and visualization

Articles are classified in 294 subject categories, which in turn are grouped in eight broad scientific areas (Table 1). However, there are 42 subject categories that appear in two broad scientific areas. Thus, there are 252 unique subject categories.

Areas	Number of subject categories
Health & Medical Sciences	68
Engineering & Computer Science	57
Social Sciences	51
Life Sciences & Earth Sciences	38
Humanities, Literature & Arts	25
Physics & Mathematics	23
Chemical & Material Sciences	17
Business, Economics & Management	15

Table 1. Number of subject categories in each broad scientific area in Google Scholar's Classic papers.

Each of these 252 categories presents 10 articles, except *French Studies*, which only has 5 (because they could not find more than 5 articles with at least 20 citations, which is the self-imposed minimum used by *Google Scholar*). That is the reason why the total number of articles is 2515 instead of 2520 (252 times 10).

For each article, the information displayed is:

- Title of the study, with a hyperlink to the record of the document in Google Scholar
- Name of the authors. Not all of them are displayed, only the ones that can fit in about 50 characters. For those authors that have set up a public Google Scholar Citations profile, the name is underlined and a link is available to said profile
- Name of the journal, conference, or repository, where the article has been published
- Number of citations
- Picture and hyperlink to the Google Scholar Citations profile of one of the authors, if available. If there are several co-authors with a profile, the system gives preference to the first author, then to the last author, and if neither of these have a profile, it selects whatever profile is available first, by author order.

This product, as could not be otherwise, has the identifying traits of most of Google's products:

- **Simple and straightforward**: a list of the most cited articles in each discipline, with a simple browsing interface.
- **Easy to use and understand**: organized by broad scientific areas and inside of them by subject categories. Three clicks are enough to reach the documents or the public *Google Scholar Citations* profiles of their authors.

- **Minimal information**: As a whole, the product displays just over 2500 highly cited articles. Each article presents the most basic bibliographic information.
- **Little methodological transparency**: It is common for *Google Scholar* not to declare in detail how their products are developed.

Regarding the las point, there are four critical aspects about which we should know more precise information. They are aspects that could compromise the reliability and validity of the product:

The first of them is related to what *Google* understands as a research article. Although they declare that they are "...articles that presented new research", we ask: how have they identified research articles from those that are not research articles? What constitutes an introductory article and how have they identified them? What do they mean when they add a disconcerting "etc." when they list the excluded document types? "Etc." is rarely admissible in science, where all explanations should be precise. This issue is important because it may be the case that some articles that don't meet these requisites have been included, or the opposite, that some articles that do meet the requisites are missing.

Actually, some *Twitter* users have already denounced that there are highly cited documents missing from their respective categories (Figure 4). Although the third article mentioned by *Twitter* user @TrevorABranch was published in an issue called "Reviews in Fish Immunology" and the second one is classified as a report by *Science*⁷, which might explain why they have been excluded (neither has being considered an original research article), the first one is indeed classified as a research article by *Science*⁸, and has more citations that any article in that category (3,223 citations), which makes us wonder about the specific criteria used by *Google Scholar* to define the typology of the documents.



Figure 4. Papers missing from the category "Marine Sciences & Fisheries" according to Twitter user @TrevorABranch

http://science.sciencemag.org/content/314/5800/787

⁵ https://scholar.googleblog.com/2017/06/classic-papers-articles-that-have-stood.html

http://www.sciencedirect.com/science/article/pii/S1050464805000781

http://science.sciencemag.org/content/311/5760/522

It is important to remember that defining the typology of a document is not an easy task, and that even traditional bibliographic databases like *Web of Science* or *Scopus* have not been able to solve this issue completely. There are many discrepancies in how each of these databases defines the typology of the documents they cover. This happens frequently with review articles. There are also abundant internal inconsistencies in the databases.

The second aspect has to do with the subject classification of the articles. This task involves assigning each article to one of 252 subject categories, and it is a crucial issue for the correct development of the product, but also very thorny. There are two fundamental questions we may ask regarding this issue:

a) Which criteria have they adopted to carry out the subject classification?

This is a question we already asked in our previous analyses of *Google Scholar* Metrics⁹. It seems clear that the classification scheme they have selected is the same they use in *Google Scholar Metrics*, their annual ranking of scientific journals. The only difference is the elimination of eight subject categories. All of them share something in common: they are the categories referred to as "general", because their title is the same as the broad scientific area where they are included:

- Physics & Mathematics (general)
- Business, Economics & Management (general)
- Chemical & Material Sciences (general)
- Health & Medical Sciences (general)
- Engineering & Computer Science (general)
- Life Sciences & Earth Sciences (general)
- Social Sciences (general)
- Humanities, Literature & Arts (general)

At first, the elimination of these categories should not pose any problem, because the journals included in those categories are also classified in other subject categories (sometimes up to four other). However, there are journals which are only classified in these generic categories. Have the articles published in these journals been classified in other subject categories?

We have checked that articles published in multidisciplinary journals (such as *Nature*, *Science*, or *PNAS*) have been indeed classified *ad hoc* in their respective subject categories according to the topic of the articles. It seems that the articles published in journals with a broad scope have also been classified in the correct subject categories (Journal of the American Chemical Society, *IEEE Transactions on Industrial Electronics, The New England Journal of Medicine, JAMA, The Lancet, Qualitative Inquiry,*

⁹ Martín-Martín, A., Ayllón, J. M., Orduña-Malea, E., & Delgado López-Cózar, E. (2014). Google Scholar Metrics 2014: a low cost bibliometric tool. EC3 Working Papers, 17. arXiv preprint arXiv:1407.2827.

Scientific Reports, PLoS Biology, Reviews of Modern Physics, Procedia-Social and Behavioral Sciences). This issue makes us wonder a second question...

b) How have they classified the articles published in multidisciplinary journals and journals with a broad scope?

Considering that most services rely on journal-level classifications and not on article-level classifications, how has *Google Scholar* solved this problem? In most cases articles are simply assigned to the same categories where the journal has been classified, without paying attention to the actual topic of the article.

This approach, the most commonly used in bibliometrics, is ill-suited for multidisciplinary journals and the other journals with a broad scope that are published in most disciplines. We know that the *Essential Science Indicators* (ESI) classifies multidisciplinary articles according to the subject categories of the journals publishing the articles that cite them as well as to the journals of the articles cited by them, an incontrovertible approach. Therefore, how has *Google Scholar* done this?

The third aspect has to do with another crucial issue related to the way *Google Scholar* works: can we be sure they have successfully merged together all the versions indexed in *Google Scholar* of these documents? Otherwise, the citation counts of the documents might be scattered in several records.

In previous studies we have shown that this is an important issue when we are talking about highly cited articles¹⁰. It seems, as Figure 5 shows, that there are still some records that refer to the same highly cited documents that appear in *Classic Papers* which haven't been merged with the main record (the one with the most citations).

¹⁰ Martín-Martín, A., Orduña-Malea, E., Ayllón, J. M., Delgado López-Cózar, E. (2014). Does Google Scholar contain all highly cited documents (1950-2013)? EC3 Working Papers, 19. arXiv preprint arXiv:1410.8464

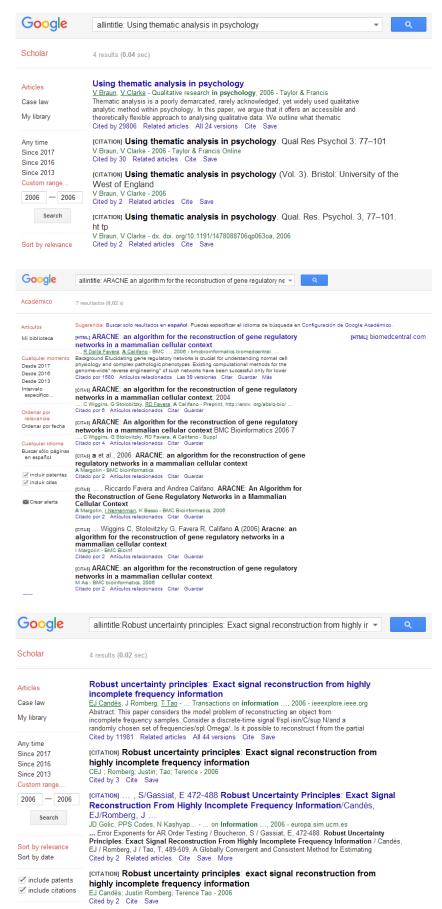


Figure 5. Examples of documents for which there are several versions that have not been properly merged to the main record.

The fourth aspect has to do with the threshold selected to consider an article a "classic paper". Why did they decide to set this number to 10 articles in each subject category? Why is this threshold the same for the 252 subject categories?

This decision goes against logic and long-established bibliometric practices, where the different natures of the various scientific disciplines have long been acknowledged. Different scientific communities have different citation habits and different sizes in terms of number of researchers. In order to illustrate this inconsistency, Table 2 shows the 10 WoS categories with the highest number of papers published in 2006, and the 10 categories with the lowest number of papers published in the same year. Next to the number of papers, another column shows the fraction that 10 articles is respect to the total amount of articles in the category.

Web of Science Categories	N papers	% covered by 10 documents
Engineering Electrical Electronic	86,568	0.012
Computer Science Artificial Intelligence	61,137	0.016
Materials Science Multidisciplinary	53,671	0.019
Physics Applied	49,267	0.020
Biochemistry Molecular Biology	47,259	0.021
Chemistry Physical	39,715	0.025
Telecommunications	37,641	0.027
Computer Science Theory Methods	36,233	0.028
Optics	33,660	0.030
Physics Condensed Matter	32,806	0.030

Web of Science Categories	N papers	% covered by 10 documents
Psychology Mathematical	498	2.008
Primary Health Care	484	2.066
Medical Ethics	474	2.110
Dance	401	2.494
Literature American	399	2.506
Andrology	378	2.646
Poetry	368	2.717
Literature Slavic	254	3.937
Folklore	205	4.878
Literature African Australian Canadian	175	5.714

Table 2. Number of papers classified in the 10 most productive (top) and least productive (down) WoS categories

While in *Engineering Electrical Electronic* and *Computer Science Artificial Intelligence* those 10 documents make up barely 0.01% of the total, in *Folklore* and *Literature African Australian Canadian*, 10 articles make up more than 5% of the articles in the category.

This productive disparity among disciplines goes together with also huge differences in citation patterns. Table 3 displays the maximum and minimum number of citations in the 10 articles displayed in *Classic Papers* in the 15 categories with highest (top) and lowest (down) number of citations. This way it is easy to see the problem of selecting the same citation threshold (20) for all subject categories.

Subcategories	Citations (10 most cited articles)		
Subcategories	Maximun	Minimum	Total
Information Theory	18,648	1,179	51,987
Psychology	29,294	1,181	42,226
Cell Biology	17,121	1,278	36,359
Oncology	6,987	2,411	35,763
Bioinformatics & Computational Biology	9,981	1,555	34,680
Condensed Matter Physics & Semiconductors	8,415	1,640	34,379
Immunology	5,706	1,706	23,200
Economics	3,112	1,883	23,048
Molecular Modeling	9,745	766	22,823
Astronomy & Astrophysics	6,624	1,056	21,854
Finance	2,958	1,065	21,496
Psychiatry	3,059	1,313	20,127
Atmospheric Sciences	2,763	1,319	19,684
Biophysics	4,556	760	19,610
Cardiology	2,824	1,378	18,853

Subcategories	Citations (10 most cited articles)			
Subcategories	Maximun	Minimum	Total	
Religion	300	102	1,743	
History	341	104	1,682	
Economic History	328	81	1,586	
Latin American Studies	231	103	1,396	
Bioethics	237	90	1,272	
Literature & Writing	353	72	1,263	
Visual Arts	155	89	1,101	
Film	536	37	1,049	
Technology Law	75	41	1,014	
European Law	178	63	978	
Middle Eastern & Islamic Studies	225	58	966	
Canadian Studies & History	182	42	706	
American Literature & Studies	81	32	545	
Drama & Theater Arts	69	34	450	
French Studies	32	20	131	

Table 3. Citations in the 15 subject categories in Classic Papers with highest (top) and lowest (down) numbers of citations overall.

There is no one better than **Eugene Garfield** to highlight this reality since he acknowledges this problem when discussing what a "citation classic" is. He said "Citation rates differ for each discipline. The number of citations indicating a classic in botany, a small field, might be lower than the number required to make a classic in a large field like biochemistry. In general, a publication cited more than 400 times should be considered a classic; but in some fields with fewer researchers, 100 citations might qualify a work"¹¹.

The *Highly Cited Papers* available in the *Essential Science Indicators* (currently owned by *Clarivate Analytics*), follow the same principles delineated by **Garfield**. Today the product "lists the top cited papers over the last 10 years in 22 scientific fields. Rankings are based on meeting a threshold of the top 1% by field and year based on total citations received. Citation cutoffs specific to field and year are applied to all papers in the journal set to select highly cited papers. Citation thresholds are based on the distribution of citations, picking the specified top fraction of papers for each year and field. The thresholds are based on the cutoffs given in the All Years column of the Baseline Percentiles table" 12.

One of the most innovative aspects of the product is that it displays the link to the *Google Scholar Citations* profile of some of the authors of the article. 654 of the 2515 articles (31%) displayed in "*Classic papers*" lack such a link, and there are significant differences among disciplines. For example, in Chemical & Material Sciences, 5 out of the 17 subdisciplines considered (0.29%) display links to author profiles for all documents included in the subdiscipline, whereas in Humanities, Literature & Arts, in none of the 25 subcategories can we find at least one author with a public profile for each of the 10 documents (Table 4).

Category	Subcategories	SWP	%
Life Sciences & Earth Sciences	38	7	0,18
Business, Economics & Management	15	4	0,27
Chemical & Material Sciences	17	5	0,29
Engineering & Computer Science	57	15	0,26
Humanities, Literature & Arts	25	0	0,00
Health & Medical Sciences	68	6	0,09
Physics & Mathematics	23	3	0,13
Social Sciences	51	5	0,10
TOTAL	294	45	

Table 4. Number of subcategories in which all documents are linked to at least one Google Scholar Citations profile

Note: NWP: Number of subcategories with at least one author profile linked

² https://images.webofknowledge.com/images/help/WOS/hs citation applications.html

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¹¹ Garfield, E. Short History of Citation Classics Commentaries. Available at http://garfield.library.upenn.edu/classics.html

The subcategories in which all 10 highly cited documents have at least an author with a *Google Scholar Citations* profile are listed in Table 5.

Artificial Intelligence, Computer Graphics, Computer Networks & Wireless Communication, Computer Vision & Pattern Recognition, Data Mining & Analysis, Databases & Information Systems, Multimedia, Software Systems, Human Computer Interaction

Economics, Entrepreneurship & Innovation, Business, Economics & Management, Human Resources & Organizations, Game Theory and Decision Science, Probability & Statistics with Applications

Biodiversity & Conservation Biology, Sustainable Development, Urban Studies & Planning, Environmental Sciences, Atmospheric Sciences, Genetics & Genomics, Developmental Biology & Embryology, Evolutionary Biology, Biochemistry, Ocean & Marine Engineering

Inorganic Chemistry, Polymers & Plastics, Materials Engineering, Electromagnetism, Nanotechnology, Structural Engineering, Quantum Mechanics

Developmental Disabilities, Pulmonology, Psychiatry, Rehabilitation Therapy

Political Science, Family Studies

Table 5. Subcategories in Classic Papers in which there is at least one author of the articles displayed who has a public Google Scholar Citations profile.

Lastly, Table 6 shows the subcategories in which there is a higher number of highly cited documents for which no author profile is available. As we can observe, American Literature & Studies and, unexpectedly, Plastic & Reconstructive Surgery, are at the top of this list.

Subcategories	Number of papers for which no author has a public GSC profile
American Literature & Studies	9
Plastic & Reconstructive Surgery	9
Drama & Theater Arts	8
International Law	8
African Studies & History	7
Dentistry	7
Ethnic & Cultural Studies	7
Literature & Writing	7
Visual Arts	7

Table 6. Subcategories in Classic Papers in which most of the documents are written by authors that haven't set up a public Google Scholar Citations profile.

Most of the articles displayed in "Classic Papers" are written in collaboration by several co-authors, and even if more than one has a public Google Scholar Citations profile, only one is prominently displayed in the record. The system seems to give preference to the first author, then to the last author, and if neither of these have a profile, it selects whatever profile is available first according to author order.

2.3. The surprise

Surprisingly for a Google product: there is no search feature. The search box is absent, and therefore users cannot search articles using keywords. For the first time, Google Scholar forces us to use browsing as the only way to navigate the information available in the product. Users will have to first select a broad category, then a subcategory, and then they'll be presented with the 10 most cited articles of that subcategory. Additionally, they have also changed the interface of Google Scholar Metrics, which now has an even more minimalist feel, and they have grouped Classic Papers and the journal lists under the same tag "METRICS" (Figure 6). Is this a sign of more future changes in Google Scholar's products? We cannot know for sure, and we'll have to wait until summer, the season when Google Scholar usually releases its innovations.

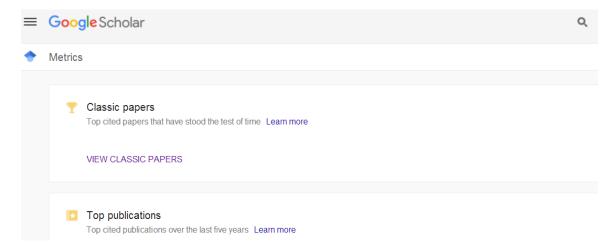


Figure 6. New interface of Google Scholar Metrics: Access to Citation Papers and Top publications

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