Classification of olive oils according to their cultivars based on 1 second-order data using LC-DAD 2 3 4 Ana M. JIMÉNEZ-CARVELO¹, Carlos M. CRUZ², Alejandro C. OLIVIERI³, ANTONIO GONZÁLEZ-CASADO¹, Luis CUADROS-RODRÍGUEZ¹ 5 6 7 ¹ Department of Analytical Chemistry, ² Department of Organic Chemistry, Faculty of 8 Sciences, University of Granada, C/ Fuentenueva s/n, E-18071, Granada, Spain 9 ³ Instituto de Química Rosario (IQUIR–CONICET), Facultad de Ciencias Bioquímicas y Farmacéuticas, Universidad Nacional de Rosario, Suipacha 531, S2002LRK, Rosario, 10

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13 Abstract

14 Second-order data acquired using liquid chromatography coupled to a diode array detector 15 were used to classify extra virgin olive oils samples according to their cultivars. The 16 chromatographic fingerprints from the epoxidised fraction were obtained using normal-phase 17 liquid chromatography. To reduce the data matrices two strategies were employed: (1) 18 multivariate curve resolution-alternating least squares (MCR-ALS) and (2) a new strategy 19 proposed in this work based on the fusion of the mean data profiles in both spectral and time 20 domains. Several conventional chemometric tools were then applied to both raw and 21 reduced data: principal component analysis (PCA), partial least-squares-discriminant 22 analysis (PLS-DA), soft independent modelling of class analogies (SIMCA) and n-way partial 23 least-squares-discriminant analysis (NPLS-DA). Furthermore, an emergent multivariate 24 classification method known as random forest (RF) has been first applied to second-order 25 data. It was shown that RF is more efficient than conventional tools. Indeed, the obtained 26 sensibility, specificity and accuracy are 1.00, 0.92 and 0.95 respectively; these performance 27 metrics are significantly better than the values found for the other methods.

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29 Keywords

Olive oil authentication; Liquid chromatography; Three-way data classification method;
Multivariate curve resolution; Random forest

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33 ^{IIII} Corresponding author: phone: +34 958240797; fax: +34 958243328; email: <u>amariajc@ugr.es</u>

35 **1. Introduction**

36 Extra-virgin olive oil (EVOO) is a food which contains valuable bioactive compounds as 37 tocopherols and tocotrienols (vitamin E), β-carotenes, sterols, or phenols, which confer 38 cardioprotective, antioxidant and anti-inflammatory properties over the health of consumers 39 [1,2]. Furthermore, it is mainly composed by triacylglycerols (more than 90%) having a high 40 proportion of monounsaturated fatty acids, especially oleic acid (around 70%). Its chemical 41 composition could vary depending on many factors such as cultivar, agronomic conditions, 42 extraction process, and ripeness, among others [3]. EVOO have thus characteristic 43 organoleptic properties [4] due to the presence of many different flavouring organic 44 compounds.

This essential food represents a treasure into the Mediterranean diet, giving unique flavour and aroma to the dishes where it is employed. In the last few years, EVOO is gaining ground in high-quality cuisine, due to its broad spectrum in terms of organoleptic properties which allow choosing each cultivar for a specific flavour. Around 1700 olive varieties are being cultivated nowadays according to the World Catalogue of Olive Varieties of the International Olive Council (IOC). Nevertheless, only a handful of them are mostly used to produce olive oil [**5**].

52 In the last years, the main producers of olive oil have shown a special interest in the 53 marketing of monovarietal olive oils as a way to improve the competitiveness, and to try to 54 deal with the effects of the globalization process in the olive oil sector. The aim is to market 55 high-quality olive oil with specific organoleptic characteristics, which reflect the effect of the cultivar and the geographical origin where it has been grown. A good strategy to take a 56 57 prominent position over the competitors is to take advantage of the difference in chemical composition, organoleptic characteristics or the kind of cultivar of each EVOO, bearing a 58 59 recognised quality-differentiated food seal as the 'Protected Designation Origin' (PDO) or 60 'Protected Geographical Indication' (PGI) according to European regulations [6], and also 61 labelling the oil as monovarietal EVOO, *i.e.*, an extra-virgin olive oil obtained from a single kind of olive fruit botanical variety. These credentials, enforced within the EU and being 62 63 gradually expanded internationally via bilateral agreements between the EU and non-EU 64 countries, add value to the final product and bring exclusivity to the consumer.

The 'arbequina' cultivar is a commonly botanical variety in Spain since the XVII century. The monovarietal olive oil obtained from arbequina olive fruits shows special organoleptic properties in comparison with other olive varieties, characterized for their freshly and fruity aroma and for showing a slight pungency or even none. These particular organoleptic properties make this olive oil an appreciated product for a wide spectrum of consumers. In

Spain there are some PDO concerns to Arbequina cultivars as 'Estepa' (South of Spain) [7],
'Les Garrigues' [8] or 'Siurana' [9] (North of Spain).

In this sense, proper analytical methods which enable to distinguish quickly and reliably cultivar olive oils are currently demanded. There are some works reporting the classification of EVOO according to its cultivar using spectroscopic techniques [10], liquid chromatography [11,12,13,14] or gas chromatography [15,16,17]. Nevertheless, all these works are based on the quantification of specific compounds or on the study of the profile of a family of components such as chlorophylls, sterols, fatty acids and phenolic compounds.

78 On the other hand, it is possible to develop a global method for the classification of EVOO 79 according to its cultivar by applying the chromatographic fingerprinting methodology [18] 80 which combines second-order data with chemometric tools. Conventionally, second-order 81 data have been used for the quantification of compounds due to what is known as 'the second-order advantage', *i.e.*, 'the analytes can be quantitated in the presence of 82 83 uncalibrated interfering substances'. Therefore, only small sets of pure compounds are 84 required for building the calibration model, instead of large calibration sets containing all possible interfering substances. The main algorithms employed to process these data are: (i) 85 86 parallel factor analysis (PARAFAC) [19], (ii) multivariate curve resolution-alternating least 87 squares (MCR-ALS) [20] and (iii) unfolded or multidimensional partial least-squares with 88 residual bilinearization (UPLS-RBL or NPLS-RBL) [21].

89 Nevertheless, the application of this kind of data to build multivariate classification models for 90 authentication of olive oils has not been extensively explored. The literature reports some 91 studies applying PARAFAC together with unfolded principal component analysis (UPCA) to 92 discriminate between commercial samples of virgin and pure olive oils [22], to detect 93 adulterations in EVOO samples from the PDO [23], or PARAFAC with unfolded partial least-94 squares-discriminant analysis (UPLS-DA) to detect adulteration of olive oils with other 95 vegetable oils and to quantify the proportion in binary blends [24]. In all these studies, 96 fluorescence spectroscopy was mainly employed. As far as we know, no studies have been 97 reported where these algorithms are combined with chromatographic data and traditional supervised pattern recognition methods such as partial least-squares discriminant analysis 98 99 (PLS-DA) and soft independent modelling of class analogies (SIMCA), or with recently 100 introduced classification methods such as random forest (RF). Only few applications are 101 known in the food field with second-order data to authenticate the cultivar of extra-virgin olive 102 oils.

103 The aim of this study is to discriminate between arbequina extra-virgin olive oil from extra-104 virgin olive oils from other cultivars, using three-way data to develop multivariate

105 classification methods. For this purpose, we have developed a quick analytical method using 106 high performance liquid chromatography coupled to a UV absorption diode array detector 107 (HPLC-DAD). The second-order data were processed with PLS-DA, SIMCA and RF, in their 108 original format or by first reducing them using MCR and a newly proposed approach. In 109 addition, a set of quality metrics: (i) sensitivity, (ii) specificity, (iii) positive (or precision) and 110 negative predictive values, (iv) Youden index, (v) positive and negative likelihood ratios, (vi) 111 classification odds ratio; (vii) F-measure (or F-score), (viii) discriminant power, (ix) efficiency 112 (or accuracy), (x) AUC (area under the receiver operating curve), (xi) G-mean; (xii) Matthews 113 correlation coefficient and (xiii) Kappa coefficient, were used to assess the performance of 114 the classifications.

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116 2. Materials and methods

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118 2.1 Chemicals and reagents

HPLC-grade solvents (n-hexane, isopropanol, methanol and *tert*-butyl methyl ether (TBME))
were purchased from VWR International Eurolab, S.L. (Barcelona, Spain).

Other reagents, sodium methoxide (MeONa), citric acid monohydrate, and anhydride sodium
sulphate were provided by Merck (Darmstadt, Germany), sodium sulphate anhydrous was
provided by Panreac, S.L (Barcelona, Spain) and 3-chloroperbenzoic acid was purchased
from Sigma-Aldrich (Missouri, USA).

125

126 2.2 Samples

Sixty-four single-variety extra virgin olive oil samples (EVOO) of different regions from Spain and olive fruit varieties were analysed. The samples were obtained directly from local providers. More specifically, 20 samples were from 'arbequina' fruit variety and 44 samples were from different fruit varieties which include: 'picual', 'hojiblanca', 'cornicabra', 'frantoio', 'koroneiki', 'picudo', 'royal', 'loaime', 'lechin', 'lucio', 'arbosana' and 'manzanilla'. Table 1 summarizes the different EVOO and the number of samples analysed.

133

TABLE 1

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135 **2.3 Sample preparation**

136 First a transesterification reaction was applied to the EVOO samples. This reaction is a 137 modification of the original procedure described by Bierdemann et al. [25]. For further 138 information regarding to this modification see references [26,27]. Then, the methyl-139 transesterification fraction of the EVOO samples was epoxidised as follows: 1000 µL of the 140 transesterified fraction were added to a 10 mL tube and mixture with 1000 µL of a solution of 141 5% (m/v) 3-chloroperbenzoic acid in TBME. The tube was stirred for 20 s and then allowed to 142 stand for 10 min. Next, 4 mL n-hexane and 1 mL 20% sodium sulphate anhydrous in water 143 were added, and the mixture was shaken. The aqueous phase was removed with a Pasteur 144 pipet and finally the organic fraction was filtered using a syringe filter of 145 polytetrafluoroethylene (PTFE) membrane with a 0.22 µm pore diameter. The solution was 146 stored in cold until analysis.

For chromatographic analysis, 200 µL of the stored solutions was transferred to a 2 mL
HPLC vial. The epoxidisation step was carried out to enhance the difference between
arbequina EVOOs and the ones from other cultivars.

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151 **2.4 Instrumentation**

The chromatographic analysis was carried out with an Agilent 1100 series liquid chromatography (Santa Clara, CA) equipped with a G1316A column thermostat, G1311A quaternary pump, a G1379A degasser and a G1313A autosampler. Detection was performed with a G1315B diode-array detector (DAD). Agilent ChemStation software (rev.A.09.03 [1417]) for HPLC systems was used.

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158 **2.5 Chromatographic analysis**

The chromatographic fingerprint from the epoxidised fraction was obtained by HPLC-DAD using a column Lichrospher® 100 CN (250×4 mm, i.d, 4 µm) provided by Merck (Darmstadt, Germany). During the analysis the column temperature was constant at 30 °C. Isocratic chromatographic conditions were employed using a mixture of n-hexane/isopropanol (96:4, v/v) as mobile phase at a flow rate of 1.2 mL min⁻¹. The injection volume was 20 µL and the run time was only 8 min. The DAD collected spectra every 2 s in the range 190-400 nm, each 1 nm.

166

167 2.6 Chemometrics

168 The raw data files from each chromatogram were exported in 'comma separated value'

169 (CSV) format, and then converted to MATLAB format (version R2013b). The dimension of 170 the matrix for each sample was of 1343×211 where 1343 is the number of rows 171 corresponding to the number of elution times and 211 is the number of absorbance spectra 172 recorded. It is important to notice that the chromatographic fingerprints from the epoxidised 173 fraction were reproducible from sample to sample due to the short chromatographic run time 174 (3-4 min); for this reason, it was not necessary to apply any alignment procedure.

The original dataset was randomly split into a training set, which was composed of 44 EVOO samples (14 EVOO samples from arbequina cultivar and 30 from non-arbequina cultivar) and an external validation set was made up with 20 EVOO samples (6 EVOO samples from arbequina cultivar and 14 from non-arbequina cultivar).

MCR-ALS and NPLS-DA were applied using the interface MVC2 MATLAB toolbox, freely available on the internet [28]. Conventional multivariate chemometrics pattern recognition such PCA, SIMCA and PLS-DA, were employed using PLS_Toolbox ver 8.5.1 (Eigenvector Research Inc., Wenatchee, WA). RF was employed using perClass ver 4.7 (Delft, Netherlands). All the interface graphics, MVC2 toolbox, PLS Toolbox and perClass were designed for MATLAB software (Mathworks Inc., Natick, MA, USA).

185

186 3. Results and discussion

187 A two-way data array was recorded for each EVOO sample. Figure 1 illustrates a
188 chromatographic-spectral landscape for an EVOO sample from 'cornicabra' cultivar.

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FIGURE 1

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191 Variable reduction

Two strategies of variable reduction were employed: (i) strategy 1, named "decomposition and vector fusion" (DVF) and (ii) strategy 2, using MCR-ALS for the resolution into individual components. Figure 2 shows a flow chart of the two strategies performed.

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FIGURE 2

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197 (i) Strategy 1 for variable reduction: DVF

198 For each sample, the corresponding mean vectors in both time and spectral domains were

obtained. In this way, two individual vectors per sample were computed, a mean vector of
size 1343×1 (time domain) and another mean vector of size 211×1 (spectral domain). These
two vectors were then fused, so that the resulting fused vector was composed of 1544
variables. Finally, the fused vectors for all samples were grouped in a single matrix of
dimension 64×1544 (64 samples and 1544 variables). Figure 3 displays the mean vectors in
the time and spectral domain for an EVOO sample from 'cornicabra' cultivar, respectively.
Figure 4 shows the overlay of the fused mean vectors from the 64 EVOO samples.

206

207

FIGURE 4

FIGURE 3

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209 (ii) Strategy 2 for variable reduction: MCR-ALS

The successful application of this algorithm requires that enough selectivity exists in the spectral domain. If the samples show similar spectra, they cannot be resolved into individual components using MCR-ALS. In these cases, if the chromatograms are reproducible, matrix augmentation can be performed along the spectral domain before MCR-ALS is applied [**29**].

214 MCR-ALS was applied to the row-wise augmented matrix (i.e., along the spectral domain). 215 The number of components was estimated using principal component analysis of the 216 augmented data matrix under a series of constraints: non-negativity in both domain (time and 217 spectral) and none unimodality. According to the PCA results, 8 components were selected, 218 which explained 99.94% of the data variance. After MCR-ALS decomposition, the 219 chromatographic fingerprint information was arranged into a matrix of dimension 64×8 (64 220 samples and 8 components), which was subsequently processed with PCA, PLS-DA, SIMCA 221 and RF for classification purposes.

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223 Exploratory analysis

Two PCA models were built using each of the matrices computed by strategies 1 and 2, to test if there was some natural grouping in the data set. Both PCA models were built with four principal components (PCs) (98.96% and 98.08% of explained variance for each strategy, respectively). They grouped the samples in a similar way.

Figure 5a and 5b show the scores score-score plot on the PC4 *vs* PC1 plane and 3D plot with PC1-PC2-PC3, respectevely. PC4 and PC1 explained 4.08% and 68.18% of the variance, respectively. Two groups of EVOO cultivars are distinguished: the positive region
 of PC4 mainly groups the EVOOs of the arbequina cultivar, while the positive region of PC1
 clusters the EVOOs of the other cultivars.

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234

235 Conventional multivariate classification methods

As mentioned in the Introduction, multivariate classification methods using second-order data for the authentication of the cultivar kind of EVOOs are scarce, and the most commonly applied chemometric in these cases is linear discriminant analysis (LDA).

In the present report, three classification models were developed: (i) two well-known classification methods (PLS-DA and SIMCA) using the resulting matrices obtained from the application of both strategies 1 and 2 (see above), and (ii) NPLS-DA over the raw three-way data array. The main aim was to test whether there was significant difference between the classification methods usually applied to first-order (PLS-DA and SIMCA) and second-order data (NPLS-DA) when the chromatographic fingerprinting methodology is applied.

For classification purposes with PLS-DA, the class "arbequina" was indexed with the value 1 and the class "non-arbequina" with the value 0. The classification threshold was established by the software around of the value 0.6 for the arbequina class.

The classification of the samples with SIMCA was carried out from both Q-reduced (Q) and Hotelling T²-reduced values. The classification region for the arbequina class was established according to Q and T² values equal to 1, meaning that a sample must take values lower than 1 to be classified in the arbequina class.

Table 2 shows the specifications of the PLS-DA and SIMCA models.

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The raw three-way data array was analysed using NPLS-DA. The estimated number of latent variables (LVs) was 15 according to the leave-one-out cross-validation method. As in the PLS-DA model, the "arbequina" class was denoted using the number 1 and the "nonarbequina" class using the number 0. The prediction results from both strategies were the same. Table 3 shows the results of PLS-DA, SIMCA and NPLS-DA models and Table 4 presents the classification quality metrics

261 calculated from the prediction results on the external validation set.

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In Table 3, the wrongly classified samples have been highlighted. As can be seen, PLS-DA
and NPLS-DA classification models are more efficient than SIMCA. Furthermore, the former
two models misclassified the same samples, which make sense since PLS-DA and NPLSDA work similarly.

269

270 Emergent multivariate classification methods

271 Random forest (RF) was first employed to process the second-order data. This algorithm is a 272 combination of several prediction trees, which then selects the best split at each node among 273 a random selection of predictor variables. RF shows significant advantages about other more 274 applied classification methods such as high capability in handling mixed or badly unbalanced 275 datasets, flexibility with no formal assumption on data structure, and the ability to deal 276 address complex non-linear systems, and therefore it is able to build a more robust 277 classification model than other conventional algorithms. Moreover, RF readily handles larger 278 numbers of predictors and the cross-validation is unnecessary because it generates an 279 internal unbiased estimate of the generalization error (test error) as the forest building 280 progresses. The potential of RF for modelling linear and nonlinear multivariate calibration allows to be used for feature selection too, with two different objectives: (i) to find the subset 281 282 of features with the minimum possible generalization error, or (ii) to select the smallest 283 possible subset with a given discrimination capability [30].

Both classification models using the reduced data sets by strategies 1 and 2 achieved the same results. In both cases, 20 trees were combined to perform the prediction of the classes of the EVOO samples. Table 5 shows the obtained classification contingency table on the external validation data set, and table 6 displays the prediction results and the different classification quality metrics for the RF models, respectively.

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The RF results are significantly better than the obtained ones from the previously applied conventional classification methods. The sensibility, specificity and efficiency from PLS-DA and NPLS-DA were 0.67, 0.92 and 0.84, respectively, while the same performances featured by the RF model were 1.00, 0.92 and 0.95, respectively. This suggests that the analysis of second-order data with to a powerful algorithm such as RF is a promising methodology to authenticate cultivars of EVOO samples.

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299 4. Conclusions

300 The potential of second-order (or) fingerprint data obtained using LC-DAD to identify and 301 discriminate extra-virgin olive oils from 'arbequina' botanical variety in respect of other 302 varieties of milled olive fruits has been proved. A new fast-methodology has been proposal 303 for the quality control of the extra virgin olive oil from arbequina cultivar using three 304 multivariate classification algorithms, including two widely-recognised methods (partial least-305 squares-discriminant analysis, PLS-DA, and soft independent modelling of class analogies, 306 SIMCA) and a third one (random forest, RF) which is much less known and has been first 307 used on second-order data. Surprisingly RF has shown itself to be the more efficient one in 308 validation, yielding values of sensibility, specificity and accuracy of 1.00, 0.92 and 0.95, 309 respectively, which are significantly better than the values found for the other methods.

Before building multivariate classification models, the raw three-way data matrices have been reduced by applying two strategies: (1) multivariate curve resolution-alternating least squares (MCR-ALS), and (2) a new strategy named "decomposition and vector fusion" (DVF) which has been proposed in this work and based on the fusion of the mean vector obtained from the signal profiles in both spectral and time domains. No differences on the performance classification are found when both strategies are applied.

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Class	Fruit varieties	N⁰ samples
'Arbequina' (20 samples)	'arbequina'	20
	'picual'	10
	'hojiblanca'	4
	'cornicabra'	5
	'frantoio'	3
	'koroneiki'	3
'Non-arbequina'	'picudo'	4
(44 samples)	'royal'	3
	'loaime'	3
	'lechin'	1
	'lucio'	3
	'arbosana'	2
	'manzanilla'	3
	Total	64

 Table 1. Classes and olive fruit varieties of extra virgin olive oil analysed.

Table 2. Characteristics of the PLS-DA and SIMCA models

		PLS-DA		SIMCA			
		LVs	% var	PCs 'Arb-Class'	% var	PCs 'nArb-Class'	% var
	(a) Strategy 1						
		4	98.83	4	99.48	5	99.60
	(b) Strategy 2						
		5	97.29	6	99.92	6	99.87
325							
326							
327							

	Sample		PLSDA	SIMCA	NPLS-DA
Class	number	Class Ref	Clas Pred	Clas Pred	Clas Pred
Arbequina	28	1	0	0	0
(Arb)	30	1	0	0	0
	67	1	1	1	1
	69	1	1	1	1
	70	1	1	1	1
	74	1	1	0	1
Non-arbequina	1	0	0	0	0
(nArb)	10	0	0	0	0
	14	0	0	0	0
	16	0	0	0	0
	19	0	0	0	0
	34	0	0	0	0
	37	0	0	0	0
	39	0	0	1	0
	48	0	0	0	0
	51	0	0	0	0
	59	0	0	0	0
	61	0	0	0	0
	73	0	1	1	1

Table 3. Prediction results of arbequina and non-arbequina classification from theexternal validation set using PLS-DA, SIMCA and NPLS-DA.

Ref: reference; Pred: predicted

Table 4. Values of the quality metrics from the conventional multivariate classification methods.

Performance features	PLS-DA	SIMCA	NPLS-DA
Sensibility (Recall)	0.67	0.50	0.67
Specificity	0.92	0.85	0.92
Positive predictive value (Precision)	0.80	0.60	0.80
Negative predictive value	0.86	0.79	0.86
Youden index	0.59	0.35	0.59
Positive likelihood ratio	8.67	3.25	8.67
Negative likelihood ratio	0.36	0.59	0.36
Classification odds ratio	24.00	5.50	24.00
F-measure	0.73	0.55	0.73
Discriminant power	0.76	0.41	0.76
Efficiency (or Accuracy)	0.84	0.74	0.84
AUC (Correctly classified rate)	0.79	0.67	0.79
G-mean	0.78	0.65	0.78
Matthews correlation coefficient	0.62	0.36	0.62
Kappa coefficient	0.62	0.36	0.62

Table 5. Contingency charts for the RF classification models from the same external validation set used for the SIMCA, PLS-DA and NPLS-DA models.

		Decision of the classifier		
		Arb class	nArb class	Total
True	Arb class	6	0	6
class	nArb class	2	12	14
	Total	8	12	20

 Table 6. Values of the classification quality metrics from the RF models.

Performance features	RF (strategy 1)	RF (strategy 2)
Sensibility (Recall)	1.00	1.00
Specificity	0.92	0.92
Positive predictive value (Precision)	0.76	0.76
Negative predictive value	1.00	1.00
Youden index	0.92	0.92
Positive likelihood ratio	13.00	13.00
Negative likelihood ratio	0.00	0.00
Classification odds ratio	-	_
F-measure	0.92	0.92
Discriminant power	-	_
Efficiency (or Accuracy)	0.95	0.95
AUC (Correctly classified rate)	0.96	0.96
G-mean	0.96	0.96
Matthews correlation coefficient	0.89	0.89
Kappa coefficient	0.88	0.88

The hyphen "--" indicates that the performance feature cannot be determined

342 FIGURE CAPTIONS

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Figure 1. Time-wavelength chromatographic landscape of an extra virgin olive oil from'cornicabra' cultivar.

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Figure 2. Flow chart showing the strategies applied for the treatment of the two-way data (N = number of objects (EVOO samples); M = number of variables in the spectral domain (wavelengths of the UV absorption spectrum); T = number of variables in the time domain (retention times of the chromatogram); L = number of latent variables (principal components)).

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Figure 3. Plot of the mean vectors for an EVOO sample from 'cornicabra' cultivar: (a)
mean vector in the time domain and (b) mean vector in the spectral domain.

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Figure 4. Overlay of fused mean vectors of all EVOO samples.

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Figure 5. (a) PC1-PC2-PC3 and (b) PC4 vs PC1 plot from the matrix obtained from application of MCR of the epoxidised fraction of the 64 EVOO samples from different cultivars.



366 <Figure 2>























