Plastic and Reconstructive Surgery TRANSOSSEOUS TENODESIS OF THE EXTENSOR POLLICIS BREVIS AS TREATMENT FOR ACQUIRED METACARPOPHALANGEAL HYPEREXTENSION DEFORMITY OF THE THUMB: A PRELIMINARY REPORT

--Manuscript Draft--

Manuscript Number:	PRS-D-20-00263R1
Article Type:	Ideas and Innovations
Full Title:	TRANSOSSEOUS TENODESIS OF THE EXTENSOR POLLICIS BREVIS AS TREATMENT FOR ACQUIRED METACARPOPHALANGEAL HYPEREXTENSION DEFORMITY OF THE THUMB: A PRELIMINARY REPORT
Corresponding Author:	Miguel Pajares-López, Ph.D., M.D. Hospital Universitario Virgen de las Nieves Granada, Granada SPAIN
Order of Authors:	Pedro Hernández-Cortés, Ph.D.
	Pedro Hernández-Peregrina, M.D.
	Miguel Pajares-López, Ph.D., M.D.
	Emanuele Cigna, Ph.D.
	Indalecio Sánchez-Montesinos, Ph.D.
	Olga Roda, Ph.D.
Abstract:	Background: Hyperextension deformity of the metacarpophalangeal (MP) joint of the thumb contributes frequently to thumb pain and loss of function. Many treatments have been proposed, but none are universally accepted as ideal. Aims: To describe a new technique of tenodesis of the MP joint of the thumb using the extensor pollicis brevis (EPB) tendon and to analyze the results of a preliminary series with a minimum follow-up of one year. Methods: A descriptive study of a series of 12 symptomatic hyperextension deformities of the MP joint of the thumb in 10 patients who underwent a new method of tenodesis, with a minimum follow-up of 12 months. MP joint hyperextension and range of motion were assessed. Disability changes were evaluated by the QuickDASH score, the pain Visual Analogue Scale, the Kapandji opposition score, and pinch strength. The Wilcoxon test was used for statistical analysis. Results: The mean preoperative MP hyperextension deformity was 50.83+5.57°, which after the operation became a flexion attitude of 17.91+7.82°. The mean perceived pain went from 7.66 to 1.16. The QuickDash score was reduced by 34.4 points and the pinch strength increased by 50.42%. All changes observed after surgery were statistically significant. No major complications after the procedure were recorded. Conclusion: EPB transosseous tenodesis is a safe and mildly invasive option for achieving successful functional and cosmetic MP hyperextension deformity correction in posttraumatic and trapezio-methacarpal osteoarthritis-related cases. The advantage over MP fusion is that the functional range of flexion is maintained, even in deformities greater than 40°.
Section/Category:	Hand/Peripheral Nerve
Keywords:	thumb; metacarpophalangeal joint; hyperextension deformity; extensor pollicis brevis; tenodesis; volar plate; trapezio-metacarpal arthritis
Manuscript Classifications:	Flexor tendon repair; Hand - basic science; Hand/Peripheral Nerve; Joints, bones and fractures; Metacarpal fracture
Additional Information:	
Question	Response
Do you feel the manuscript qualifies as an outcomes study?	Yes

Please select: as follow-up to "Do you feel the manuscript qualifies as an outcomes study?"	Comparative effectiveness: Comparison of outcomes associated with different treatments, diagnostic approaches, or other management strategies: "What works best."
What should be the general public's take away from your research?	
RETAINED RIGHTS: Except for copyright, other proprietary rights related to the Work (e.g., patent or other rights to any process or procedure) shall be retained by the author. To reproduce any text, figures, tables, or illustrations from this Work in future works of their own, the author must obtain written permission from Wolters Kluwer Health, Inc. ("WKH").	I agree
ORIGINALITY: Each author warrants that his or her submission to the Work is original, does not infringe upon, violate, or misappropriate any copyright or other intellectual property rights, or any other proprietary right, contract or other right or interest of any third party, and that he or she has full power to enter into this agreement. Neither this Work nor a similar work has been published nor shall be submitted for publication elsewhere while under consideration by this Publication.	
AUTHORSHIP RESPONSIBILITY: Each author warrants that he or she has participated sufficiently in the intellectual content, the analysis of data, if applicable, and the writing of the Work to take public responsibility for it. Each has reviewed the final version of the Work, believes it represents valid work, and approves it for publication. Moreover, should the editors of the Publication request the data upon which the work is based, they shall produce it.	

PREPRINTS: Upon acceptance of the article for publication, each author warrants that he/she will promptly remove any prior versions of this Work (normally a preprint) that may have been posted to an electronic server.

DISCLAIMER: Each author warrants that this Work contains no libelous or unlawful statements and does not infringe or violate the publicity or privacy rights of any third party, libel or slander any third party, contain any scandalous, obscene, or negligently prepared information, or infringe or violate any other personal or proprietary right of others. Each author warrants that the Work does not contain any fraudulent, plagiarized or incorrectly attributed material. Each author warrants that all statements contained in the Work purporting to be facts are true, and any formula or instruction contained in the Work will not, if followed accurately, cause any injury, illness, or damage to the user. If excerpts (e.g., text, figures, tables, illustrations, or audio/video files) from copyrighted works are included, a written release will be secured by the author prior to submission, and credit to the original publication will be properly acknowledged. Each author further warrants that he or she has obtained, prior to submission, written releases from patients whose names or likenesses are submitted as part of the Work. Should the Editor or WKH request copies of such written releases, the author shall provide them in a timely manner.

DISCLOSURES/CONFLICT OF INTEREST

Each author must identify any financial interests or affiliations with institutions, organizations, or companies relevant to the manuscript by completing the form below. Additionally, any financial associations involving a spouse, partner

or children must be disclosed as well.	
Note: Some sections below come from the ICMJE Uniform Disclosure Form for Potential Conflicts of Interest at http://www.icmje.org/downloads/coi_disclo sure.pdf (dated July 2010).	
Other Relationships Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?	No other relationships/conditions/circumstances that present potential conflict of interest
Response to Reviewers:	Dear Editor-in-Chief, We have reviewed the work and adapted it to "Ideas and Innovations". We hope now meet the requirements of the journal.

EXTENSOR POLLICIS BREVIS TRANSOSSEOUS TENODESIS TECHNIQUE FOR TREATMENT OF ACQUIRED METACARPOPHALANGEAL HYPEREXTENSION DEFORMITY OF THE THUMB: A PRELIMINARY REPORT.

Running-title: EPB TRANSOSSEOUS TENODESIS.

Pedro Hernández-Cortés, PhD^{1, 2, 3}, Pedro Hernández-Peregrina, MD², Miguel Pajares-López, PhD¹, Emanuele Cigna, PhD⁴, Indalecio Sánchez Montesinos PhD⁵, Olga Roda, PhD⁵.

- Upper Limb Surgery Unit. Orthopedic Surgery Department. University Hospital of Granada, Spain
- 2. Surgery Department, School of Medicine, Granada University, Spain
- 3. Biosanitary Research Institute of Granada (IBS). Spain.
- Unit of Plastic Surgery. Department of Translational Research and New Technologies in Medicine and Surgery. University of Pisa. Italy
- 5. Department of Human Anatomy, School of Medicine, Granada University, Spain

Corresponding Author: Pedro Hernández-Cortés.

Department of Surgery. School of Medicine of Granada

Avenida de la Investigación, 11. Granada 18016. Spain.

hdezcp@hotmail.com

phc@ugr.es

No Conflict of Interest.

ABSTRACT

Background: Hyperextension deformity of the metacarpophalangeal (MP) joint of the thumb contributes frequently to thumb pain and loss of function. Many treatments have been proposed, but none are universally accepted as ideal. Aim: Describe a new technique of tenodesis of the MP joint of the thumb using the *Extensor Pollicis Brevis* (EPB) tendon and analyze the results of a preliminary series.

Methods: We report a descriptive study of a series of 12 symptomatic hyperextension deformities of the MP joint of the thumb in 10 patients who underwent a new method of tenodesis, with a minimum follow up of 12 months. The technique consists in EPB tenotomy and tendon transfer trough two bone tunnels to the palmar aspect of the MP joint. MP joint hyperextension and range of motion was assessed. Disability changes were evaluated by the Quick DASH score, pain visual analogue scale, Kapandji opposition scheme and pinch strength. Wilcoxon test was used for statistical analysis.

Results: The mean preoperative MP hyperextension deformity was $50,83\pm5,57^{\circ}$ that after the operation becomes a flexion attitude of $17,91\pm7,82^{\circ}$. The opposition of the thumb improved one point in Kapandji's scheme. The mean perceived pain went from 7.66 to 1.16. The Quick Dash score was reduced by 34.4 points and the pinch strength increased by 50.42%.

Conclusion: EPB transosseous tenodesis is a safe and little invasive option for achieving successful functional and cosmetic MP hyperextension deformity correction in posttraumatic and TM osteoarthritis associated cases. The advantage over MP fusion is that functional range of flexion is maintained, even

in deformities greater than 40°

Level of Evidence: III

Key Words: Thumb. Metacarpophalangeal joint. Hyperextension deformity. Extensor Pollicis Brevis. Tenodesis. Volar Plate. Trapezio-Metacarpal Arthritis.

INTRODUCTION

Hyperextension deformity of the metacarpophalangeal (MP) joint of the thumb contributes frequently to thumb pain and loss of function¹.

The incidence of MP joint hyperextension associated with TM osteoarthritis is unknown, but is frequently developed or intensified after trapeciectomy ²⁻⁴. It is considered a poor prognostic factor⁵ and many authors recommend simultaneous treatment of TM and MP joint^{1,6-8}.

Numerous methods have been proposed to treat MP joint hyperextension deformity, including Brachioradialis to Extensor Pollicis Brevis (EPB) tendón transfer^{9,10}, percutaneous transfixion of the joint¹¹, volar plate advancement and pull-out or bone anchors fixation^{7, 12-14}, sesamoidesis¹⁵, capsulodesis¹⁶, EPB tenotomy and tendon transfer¹⁷, *abductor pollicis brevis* transfer to A1 pulley¹⁸, percutaneous metacarpal osteotomy and external fixation¹⁹ and MP arthrodesis²⁰. However, none of them are universally accepted as ideal.

The aim of this study is to describe a new technique of transosseous tenodesis of the MP joint of the thumb with the EPB tendon for treatment for acquired hyperextension deformity of the MP joint of the thumb.

MATERIAL AND METHODS

We report a descriptive study of a prospective series of 10 patients with 12 symptomatic hyperextension deformities of the MP joint of the thumb. There were eight women and two men. Mean age was 53,75±12,21 years. Eight cases combined ipsilateral TM osteoarthritis (all were corrected in a second operation after trapeciectomy). Three patients developed hyperextension after trauma and one in the context of joint hyperlaxity. Mean Follow-up was 18,16 m.

The technique consists in EPB tenotomy at the wrist (eliminates a deforming force) and tendon transfer trough two bone tunnels to the palmar aspect of the MP joint. The tendon that is tautened and fixed to the back of the metacarpal has now a flexor effect (Fig. 1-4).

All patients were operated under loco-regional anesthesia, ischemia and received a single dose of Cefazolin. No drainage was used. All patients were discharged in the first 24 hours. The thumb and wrist were immobilized in a cast splint for four weeks.

MP joint hyperextension and range of motion were measured. Disability changes were evaluated with Quick DASH score²¹. Pain was scored using a visual analogue scale (VAS). Range of opposition was evaluated with the Kapandji scheme²². Pinch strength was estimated as mean of three measures using Jamar Dynamometer. All variables were recorded preoperatively and at the final follow-up. Complications were registered.

Statistical Analysis

The values of preoperative and postoperative measures were analyzed using the Wilcoxon test for related samples.

A 5 % alpha error was assumed with 95 % confidence intervals, accepting the statistical significance at p \leq 0.05. (SPSS 23.0; IBM SPSS Inc., Chicago, IL).

RESULTS

The mean preoperative MP hyperextension deformity was $50,83\pm5,57^{\circ}$ that after the operation and the follow-up becomes an attitude in flexion of $17,91\pm7,82^{\circ}$ (p=0.002). The average postoperative range of motion of the MP joint was 25.84°. The opposition of the thumb improved one point in Kapandji's

scheme. The mean perceived pain improved from 7.66 to 1.16 (p=0.002) on the visual analogue scale. The mean Quick Dash score reduce was 34.4 points and the pinch strength increased by 50.42%.

There were no loss of correction, infection, phalange or metacarpal fracture, or complex regional pain. All patients were very satisfied and if necessary, they would accept to be operated again by the same technique. The range of motion of the IP joint was reduced by 23.33° on average, but in no case was it a reason for complaint in any patient.

DISCUSSION

The preliminary results of this new technique of MP joint tenodesis shows hyperextension deformity correction, with preservation of MP flexion and improving thumb opposition, perceived pain decrease and pinch strength increasing, that resulted in a quick DASH reduction of 34,40 points at 1-year follow-up. Furthermore, no major complications were observed.

Many authors suggest that MP joint hyperextension of < 40 degrees without arthritis at the MP joint can be treated with soft tissue reconstructions^{7,17,23,24}, while arthrodesis has been recommended for deformities greater than 40 degrees or those cases with or those cases with osteoarthritis of the MP joint^{6,10}. However, these indications are stablished on studies^{12,14,15,17,18, 24} that provide little evidence to guide clinicians.

It is mostly accepted that thumb MP joint arthrodesis is safe and provides good pain relief and functional results with few complications, but some authors have questioned the patient-satisfaction following thumb MP joint arthrodesis^{25, 26,27}.

We do not believe that hyperextension passing 40 degrees necessitates MP arthrodesis, unless it combines degenerative joint changes and/or complex

instability. We have observed corrections higher than 50° with our technique without recurrence, retaining an acceptable range of motion at MP joint. Similar results have been published in range of motion and low rate of recurrence of the deformity with capsulodesis¹⁴, Sesamoid arthrodesis^{28, 29}, or other tenodesis³⁰.

MP joint tenodesis of the thumb has previously been performed with EPB tendon^{17, 30} and with palmaris longus free graft³¹.

The use of EPB tendon has at least two advantages over the free graft of palmaris longus, which is absent in almost 20% of patients. First, the proximal tenotomy of the EPB eliminates a deforming force and secondly, the retention of its distal insertion makes unnecessary to use an implant to fix the tendon to the phalanx.

We have observed a decrease in the mobility of the IP joint of the thumb in our patients, although without clinical significance. We believe that it is secondary to local postoperative fibrosis. Similar reductions in the mobility of the IP joint have been published in MP arthrodesis for this reason^{20, 32}.

We do note several limitations in our study. Descriptive studies have limited evidence, small patient numbers and short time follow-up limit the conclusions that can be drawn. Nevertheless, the data we present here seem to support that EPB transosseous tenodesis is a safe option for achieving successful functional and cosmetic MP joint hyperextension deformity correction and advantageous over MP fusion, as a functional range of flexion is maintained, even in deformities greater than 40°, on the premise that no articular degenerative changes or valgus instability are present.

REFERENCES

- Miller NJ, Davis TR. Palmar plate capsulodesis for thumb metacarpophalangeal joint hyperextension in association with trapeziometacarpal osteoarthritis. J Hand Surg Eur Vol 2014; 39(3):272-5.
- Davis TR, Brady O, Dias JJ. Excision of the trapezium for osteoarthritis of the trapeziometacarpal joint: a study of the benefit of ligament reconstruction or tendon interposition. J Hand Surg Am. 2004, 29: 1069–77.
- 3. Davis TR, Pace A. Trapeziectomy for trapeziometacarpal joint osteoarthritis: is ligament reconstruction and temporary stabilization of the pseudarthrosis with a Kirschner wire important? J Hand Surg Eur Vol. 2009, 34: 312–21.
- Robles-Molina MJ, López-Caba F, Gómez-Sánchez RC, Cárdenas-Grande E, Pajares-López M, Hernández-Cortés P. Trapeziectomy With Ligament Reconstruction and Tendon Interposition Versus a Trapeziometacarpal Prosthesis for the Treatment of Thumb Basal Joint Osteoarthritis. Orthopedics. 2017; 40(4): e681-e686.
- Moineau G, Richou J, Liot M, Le Nen D. Prognostic factors for the recovery of hand function following trapeziectomy with ligamentoplasty stabilisation. Orthop Traumatol Surg Res. 2009; 95(5):352-8.
- 6. Armbruster EJ, Tan V. Carpometacarpal joint disease: addressing the metacarpophalangeal joint deformity. Hand Clin. 2008; 24(3):295-9.
- Zouzias IC, Doft MA, Uzumcugil A, Rosenwasser MP. Treatment of hyperextension deformity of the thumb metacarpophalangeal joint in basal joint arthritis: a novel technique based on an anatomic study. Tech Hand Up Extrem Surg. 2011;15(2):119-24.

- De Smet L, Vandenberghe L, Didden K, Degreef I. Outcome of simultaneous surgical treatment of hyperextension of metacarpophalangeal and basal joint osteoarthritis of the thumb. Acta Orthop Belg. 2013; 79(5):514-6.
- Beckenbaugh RD, Linscheid RL. Arthroplasty in the hand and wrist. In: Green DP, ed. Operative Hand Surgery. 3rd ed. New York, NY: Churchill Livingstone; 1993:143-187.
- 10. Lourie GM. The role and implementation of metacarpophalangeal joint fusion and capsulodesis: indications and treatment alternatives. Hand Clin. 2001;17(2):255-260.
- 11.Braun RM, Feldman CW. Total joint replacement at the base of the thumb. Semin Arthroplasty. 1991;2(2):120-129.
- Schuurman AH, Bos KE. Treatment of volar instability of the metacarpophalangeal joint of the thumb by volar capsulodesis. J Hand Surg Eur Vol 1993; 18: 346-349.
- Hofer SO, Robinson PH. Palmar capsulodesis for treatment of symptomatic hyperextensibility of the metacarpophalangeal joints. J Hand Surg Br. 1999;24(4):468-470.
- 14. Quadir R, Duncan SFM, Smith AA, Merritt MV, Ivy CC, Iba K. Volar Capsulodesis of the Thumb Metacarpophalangeal Joint at the Time of Basal Joint Arthroplasty: A Surgical Technique Using Suture Anchors. J Hand Surg Am. 2014;39(10):1999-2004.
- 15. Tonkin MA, Beard AJ, Kemp SJ, Eakin DF. Sesamoid arthrodesis for hyperextension of the thumb metacarpophalangeal joint. J Hand Surg Am. 1995;20(2):334-338.

- 16.Zancolli, E. A. Claw-hand caused by paralysis of the intrinsic muscles: A simple surgical procedure for its correction, J Bone Joint Surg 1957; 39A: 5: 1076-1080.
- 17. Kessler I. A simplified technique to correct hyperextension deformity of the metacarpophalangeal joint of the thumb. J Bone Joint Surg 1979; 61 A (6): 903-905.
- 18.Zancolli ER, Perrotto CJ. New transfer for correction of thumb metacarpophalangeal hyperextension in cases with associated surgery for basal thumb osteoarthritis (transfer of the volar half of the abductor pollicis brevis). Tech Hand Upper Extrem Surg 2011; 15:92–93.
- 19. Hamada Y, Kobayashi A, Sairyo K, Sato R, Hibino N. Correction of a Hyperextension Deformity at the Metacarpophalangeal Joint by Arthroplasty for Osteoarthritis of the Thumb Carpometacarpal Joint Followed by External Fixator: A Case Series. J Hand Microsurg 2015; 7(1):67–72.
- 20. Klinefelter R. Metacarpophalangeal hyperextension deformity associated with trapezial-metacarpal arthritis. J Hand Surg Am. 2011;36(12):2041-2042.
- 21.Beaton DE, Wright JG, Katz JN, Group UEC. Development of the QuickDASH: comparison of three item-reduction approaches. J Bone joint Surg Am 2005; 87:1038-1046.
- 22. Kapandji A. Cotation Clinique De Lópposition et de la Contre-Opposition Du Pouce. Ann Chir Main1986; 5:67-73.
- 23. Filler BC, Stark HH, Boyes JH. Capsulodesis of the metacarpophalangeal joint of the thumb in children with cerebral palsy. J BoneJoint Surg Am 1976;58(5):667–670.

- 24. Eaton RG, Floyd WE. Thumb metacarpophalangeal capsulodesis: An adjunt procedure to basal joint arthroplasty for collapse deformity of the first ray. J Hand Surg 1988; 13A (3): 461-465.
- 25. Rigó IZ, Røkkum M. Not all non-rheumatoid patients are satisfied with thumb metacarpophalangeal joint arthrodesis. J Plast Surg Hand Surg 2013; 47(2): 144-6.
- 26. Jørgensen RW, Brorson S, Jensen CH. Metacarpophalangeal Joint Arthrodesis of the Thumb – Minimum of Eight Months Follow-up. Open Orthop J. 2016; 10:741-745.
- 27. Renfree KJ, Lara D. Incidence of implant-related complications after arthrodesisvof 30 thumb metacarpophalangeal joints with tension-band wires. J Hand Surg Eur Vol. 2017;42(5):523-524.
- 28. Gwilym SE, Swan MC, Giele HP. Sesamoid arthrodesis of the thumb: a technique using a Mitek anchor and wire suture. Ann R Coll Surg Engl. 2005, 87: 139.
- 29. Nicholls AJ, Crook TB, Hargreaves DG. Sesamoid arthrodesis of the thumb interphalangeal joint to prevent hyperextension. J Hand Surg Eur Vol. 2012;37(9):892-3.
- 30. Henry M. Extensor Pollicis Brevis Spiral Tenodesis for Combined Metacarpophalangeal Instability and Trapeziometacarpal Arthritis. Hand 2018, Vol. 13(2) 190–193.
- 31. Norris ME III, Samra S, DeMercurio J, Bourianoff TH, Netscher DT. Free palmaris longus graft tenodesis effectively treats swan neck adduction collapse secondary to thumb basilar joint arthritis. Plast Reconstr Surg 2007;120:475–481.

32. Tsang C, Hunter AR, Sorene ED. Bilateral thumb metacarpophalangeal joint fusions for severe hyperextension deformities in conjunction with carpometacarpal joint reconstructions. Hand Surg. 2013; 18:257-260.

FIGURE LEGENDS

Fig 1. Surgical technique scheme and incisions: 1. A. *Extensor Pollicis Brevis* (EPB) tendon is severed proximal to radial styloid process preserving its phalangeal insertion and the distal part is transferred anterior to the metacarpophalangeal volar plate through 2 bone tunnels, and fixed to the back of the metacarpal (IS: interference screw), with a flexor effect. It converts hyperextension deforming force into corrective. 1.B. Three little wounds are required in the dorsum, at the level of radial styloid process, distal part of the metacarpal and base of the first phalanx. A transverse accessory incision is made at the palmar crease of the metacarpophalangeal joint of the thumb.

Fig. 2. Tendon harvesting and bone tunnel creation. 2.A. *Extensor Pollicis Brevis* (EPB) tendon is identified in all dorsal incisions before being sectioned at the wrist. 2.B. The tendon is now retrieved in the distal wound. 2.C. Two Kirschner wires are passed from the back of the metacarpal and phalanx converging in a palmar direction to the anterior aspect of metacarpophalangeal joint. 2.D. A radioscopic control is necessary before making the bone tunnels with a 3.0 mm cannulated drill guided by the Kirschner wires.

Fig. 3. Passage of EPB tendon through bone tunnels. 3. A. straight microsuture lasso is used to recover a polypropylene loop from palmar to dorsal through the distal tunnel. 3.B. The loop grasps the tendon and then it is pulled in volar direction to harvest the plasty in the palmar wound 3.C. The microsuture lasso is used again, now to cross the proximal tunnel in back to palmar direction to link the EPB tendon, taking care to separate and protect the *Flexor Pollicis Longus* tendon and collateral vasculonervous bundles of the thumb. Vessel-

loops are very useful for handling these structures. 3.D. The tendon is finally retrieved on the back of the metacarpal.

Fig. 4. Tension and fixation of the tenodesis. 5.A. While the tendon is pulled from the dorsum, the vasculonervous bundles and the *Flexor Pollicis Longus* tendon should be protected in the palmar wound, so that the plasty is directly applied on the volar plate without the interposition of any structure. 4.B. Tugging the tendon of the EPB induces metacarpophalangeal flexion. 4.C. Now, the tenodesis is fixed with the desired degree of metacarpophalangeal joint flexion by introducing an 3x8 mm interference screw in the metacarpal tunnel. 4.D. Surgical wounds closure.









