



26 consumption. To achieve this goal, the addition of different concentrations of red onion peel  
27 extract added to the microparticles was evaluated: 5, 20 and 40%. Microparticles were  
28 morphologically characterized and the encapsulation efficiency of the bioactive compounds  
29 were evaluated. The physicochemical and microbiological characteristics of the fruit pulp  
30 were within the required standards, regardless of the formulation evaluated. As for the pulp  
31 added from the microparticles, their physicochemical and microbiological features and  
32 probiotic survival under simulated gastrointestinal conditions and storage were analyzed; the  
33 size of the microparticles ranged from 136.00 to 305.00  $\mu\text{m}$ . The encapsulation efficiency of  
34 both, probiotics and compounds was satisfactory over the different treatments. Indeed, the  
35 results pointed out values in the range from 77.77 to 92.11% for probiotic bacteria; from 28.88  
36 to 50.18% for reducing compounds; 35.72 to 69.01% for flavonoids; and 25.39 to 60.00% for  
37 total monomeric anthocyanins. The formulations of alginate microparticles and alginate + 5%  
38 extract had the best results of *L. casei* probiotic viability in strawberry pulp under simulated  
39 gastrointestinal conditions and during storage at -18 °C for 60 days. In conclusion, red onion  
40 peel extract at low concentrations can help the survival of the probiotic *L. casei* under  
41 different conditions.

42

43 **Keywords:** microparticles, antioxidant, co-encapsulation, external gelation, probiotic.

44

## 45 **1. Introduction**

46

47 The search for a diet that transcends the need for nutrients and aims at awareness  
48 regarding environmental causes and balance with nature is pivotal, as veganism is no longer a  
49 trend, given it is growing exponentially and becoming a relevant market. Moreover, to

50 achieve a better quality of life, the search for healthy and bioactive foods has also become part  
51 of the dietary pattern of the vegan and general population.

52 According to Kleerebezem et al. (2019), probiotic foods are widespread and consumed  
53 by millions of people every day. Nonetheless, most of the probiotic products available today  
54 are of dairy origin (Reque & Brandelli, 2021); therefore, there is an undeniable need to  
55 expand the development of probiotic products and multiply the number of alternatives to meet  
56 the demands of consumer groups.

57 As part of a healthy diet, it is recommended to consume 400 g or five servings of fruits  
58 and vegetables every day (WHO, 2003; Mondini, Moraes, Freitas, & Gimeno, 2010). Fruits  
59 have numerous vitamins, fiber, minerals, and polyphenols (Harborne & Williams, 2000;  
60 Jaime, Figueiredo, Moura, & Malta, 2009), which decrease the incidence of diseases such as  
61 cancer and cardiovascular diseases (Williamson, 1996). Thus, developing new fruit-based  
62 probiotic products is a healthy alternative with high acceptability for all audiences, including  
63 vegans, vegetarians, and people who are intolerant or allergic to milk.

64 Strawberries are capable of exerting antioxidant, diuretic, and anti-inflammatory  
65 effects; these fruits improve muscle development and regeneration and exert positive effects  
66 on the skin, teeth, and bones, and act in collagen formation in the body (Rocha, Abreu,  
67 Corrêa, Santos, & Fonseca, 2008; Andrade, Diniz, Neves, & Nóbrega, 2002; Nunes &  
68 Novello, 2020). However, strawberries have low perishability due to their high post-harvest  
69 metabolic rates and must be consumed within a week (Alves, Alencar, Ferreira, Silva, &  
70 Ribeiro, 2019). With this in mind, the use of fruit pulps reduces losses and enables all harvest  
71 fruits to be fully taken advantage of (Dantas et al., 2012). The fruit pulp is defined as the  
72 unfermented product, not concentrated, not diluted, and obtained from pulpy fruit through the  
73 appropriate technological process, with a minimum content of total solids from the edible part

74 of the fruit (Brazil, 2000); the most common way to preserve fruit pulp nowadays is by  
75 freezing (Carvalho, Mattietto, & Beckman, 2017).

76         Given that it presents low pH, high acidity, and antimicrobial compounds, inserting  
77 probiotic bacteria into strawberry pulp is challenging because these microorganisms are  
78 highly sensitive. In order to form a protective barrier that prevents probiotics from interacting  
79 with food, microencapsulation presents itself as a highly effective alternative. Associated with  
80 this, the use of bioactive extracts in the process of forming microparticles may prove effective  
81 in increasing probiotic survival.

82         Numerous studies have already reported quercetin and its ability to modulate intestinal  
83 microbiota (Etxeberria et al., 2015; Porras et al., 2017). Onions stand out as one of the  
84 vegetables with the highest levels of flavonoids, especially quercetin (Santiago et al., 2020;  
85 Campone et al., 2018), and higher quantities of this compound are found in the peel than in  
86 any other part of the vegetable (Shim, Yi, & Kim, 2011). In the red onion peel, reducing  
87 compounds and anthocyanins are also found, which, like quercetin, have high antioxidant  
88 properties. The use of onion peel would generate added value and create a useful destination  
89 for this material, which is normally treated as waste (Mekar Saptarini & Herawati, 2018).

90         Given this scenario, this study aimed to evaluate the influence of a red onion (*Allium*  
91 *cepa* L.) peel extract at different concentrations (5, 20, and 40%) on probiotic microparticle  
92 viability added to frozen strawberry pulp.

93

## 94         **2. Materials and Methods**

95         The red onions were purchased locally in Santa Maria (Rio Grande do Sul State,  
96 southern Brazil). The onion peels were manually removed and sanitized with hypochlorite  
97 (200 mg L<sup>-1</sup> / 20 min); the peels were then dried in an oven at 50 °C (± 1) for 24 h, ground in

98 a knife mill (Mill MA 630/1-Marconi; 5 rpm for 10 s), and stored in a freezer at -18 °C until  
99 analyses. Organic strawberries were purchased in Agudo (Brazil).

100 The probiotics of the species *Lactobacillus casei* LC03 were kindly provided by the  
101 company Coana (Florianópolis, Brazil). For culture activation, 1 g was incubated in 100 mL  
102 of MRS broth (Himedia) for 15 to 18 h at  $37 \pm 1$  °C. It was then centrifuged at  $4670 \times g$  for  
103 15 min at  $4 \pm 1$  °C and washed with saline (0.85%).

#### 104 **2.1 Obtaining the red onion peel extract**

105 Hydroalcoholic extracts were prepared from 3 g of ground red onion peel and then  
106 mixed with 60 mL of 80% ethanol at 25 °C under stirring; the extraction time was 120 min  
107 according to Viera et al. (2017). Afterward, ethanol was evaporated from the extract using a  
108 rotary evaporator, and its volume was corrected with distilled water.

109

#### 110 **2.2 Red onion peel extract reducing capacity**

111 The reducing capacity was evaluated using the Folin-Ciocalteu method according to  
112 Roesler et al. (2007). The absorbances obtained in the reaction were read in a  
113 spectrophotometer at a wavelength of 765 nm, and the content of reducing compounds was  
114 expressed as milligrams of gallic acid/g of dry sample (mg GAE g<sup>-1</sup>)

115

#### 116 **2.3 Red onion peel extract total flavonoid content**

117 The total flavonoid content was evaluated according to Zhishen, Mengcheng, &  
118 Jianming (1999). The absorbances obtained in the reaction were read in a spectrophotometer  
119 at a wavelength of 510 nm, and the flavonoid content was expressed as mg quercetin (QE)  
120 equivalent per g of dry sample (mg QE/g).

121

#### 122 **2.4 Red onion peel extract total monomeric anthocyanin content**

123 The total monomeric anthocyanin content was performed according to Giusti &  
124 Wrolstad (2001) using the differential pH method. The absorbances obtained in the reaction  
125 were read at the wavelengths of 520 and 700 nm, and the total monomeric anthocyanin  
126 content was calculated using the following equation:

127

$$128 \quad \text{Anthocyanin content (mg/100 g dry matter)} = A \times MW \times DF\% (\epsilon \times W)$$

129

130 Where A is the absorbance (520 – 700 nm) pH 1.0 - (520 – 700 nm) pH 4.5, MW is  
131 the molecular weight of cyanidin-3glucoside (C<sub>15</sub>H<sub>11</sub>O<sub>6</sub>, 449.2), DF is the dilution factor,  
132  $\epsilon$  is the molar absorptivity (26900), and W is the sample weight (g).

133

## 134 **2.5 Red onion peel extract antioxidant capacity**

135 The oxygen radical absorbance capacity (ORAC) was analyzed as proposed by Ou et  
136 al. (2001), and the results were expressed as  $\mu\text{mol}$  equivalents of Trolox per gram of red  
137 onion peel ( $\mu\text{mol}$  of Trolox/g).

138

## 139 **2.6 Microparticle production by external ionic gelation**

140 The microparticles were produced using the method described by Etchepare et al.  
141 (2016), with adaptations. For this, 100 mL of a solution containing 2% alginate was prepared  
142 along with the activated probiotic *L. casei* LC03 and red onion peel extract. A dual fluid  
143 atomizer nozzle (0.3 mm) at 0.125 kgf/cm was used, and this mixture was sprayed in 0.1 M  
144 CaCl<sub>2</sub>, where it remained under stirring for 30 min. From this method, four microparticle  
145 formulations were obtained: 2% alginate microparticles (AM), 2% alginate microparticles +  
146 5% extract (AME5), 2% alginate microparticles + 20% extract (AME20), and 2% alginate  
147 microparticles + 40% extract (AME40).

148

## 149 **2.7 Microparticle characterization**

150

### 151 2.7.1 Optical microscopy and particle size

152           Optical microscopy was performed using an optical microscope (Carl Zeiss Axio Scope  
153 A1, Oberkochen, Germany) equipped with an Axio Cam MRc digital camera (Carl Zeiss).  
154 The size of the microparticles was measured by laser diffraction using the Mastersizer 2000  
155 particle size analyzer (Malvern, UK).

156

### 157 **2.7.2 Encapsulation efficiency of reducing compounds, flavonoids, and total monomeric** 158 **anthocyanin content**

159           The extraction of reducing compounds, flavonoids, and total monomeric anthocyanin  
160 content from inside the microparticles was performed according to Robert et al. (2010), with  
161 adaptations. Briefly, 0.5 mL of acetonitrile and 0.5 mL of methanol:acetic acid:water (50:8:42  
162 mL/mL/mL) and 1 g of microparticles were vortexed for 4 min and then sonicated for 60 min.  
163 Afterward, the supernatant was collected and centrifuged at 5000 rpm for 15 min. From this  
164 liquid, the encapsulation efficiency of reducing compounds, flavonoids, and total monomeric  
165 anthocyanin content were determined as described in sections 2.2, 2.3, and 2.4, respectively,  
166 by calculating the percentage of reducing compounds, flavonoids, and total monomeric  
167 anthocyanin content encapsulated over the total of these compounds in the initial alginate  
168 solution.

169

### 170 **2.7.3 Probiotic encapsulation efficiency**

171           The probiotic encapsulation efficiency (EE%) was calculated as proposed by Martin,  
172 Lara-Villoslada, Ruiz, & Morales (2013) in Eq. 1.

173

174

$$\text{Eq. 1. EE} = (\text{N}/\text{N}_0) \times 100$$

175

176 Where N is the number of viable cells (log CFU g<sup>-1</sup>) released by breaking down the  
177 microparticles using a sterile phosphate buffer solution (pH 7.5; 25 g/225 mL) according to  
178 item 2.9, and N<sub>0</sub> is the number of viable cells (log CFU g<sup>-1</sup>) in the cell concentrate before  
179 microencapsulation.

180

## 181 **2.8 Preparation of the frozen strawberry pulp with added microparticles containing *L.*** 182 ***casei* and red onion (*Allium cepa* L.) peel extract**

183 The strawberries were washed with running water, processed in a blender, and sieved  
184 to prepare the pulp. Then, 5% microparticles (5 g/100 g) were added to the pulp and  
185 homogenized. The pulp was then packed in polyethylene bags and stored at -18 °C for further  
186 analysis.

187

## 188 **2.9 Microbiological analysis in the frozen strawberry pulp**

189 Microbiological analyses to detect *Salmonella* sp., total coliforms, thermotolerant  
190 coliforms, and molds and yeasts were performed according to Brazil (2003). Probiotic  
191 quantification was performed according to Sheu, Marshall, & Heymann (1993), with  
192 modifications. The probiotics were released from the microparticles using a sterile phosphate  
193 buffer solution (pH 7.5; 25 g/225 mL) with stirring for 10 minutes. Serial decimal dilutions  
194 were then performed in 0.1% peptone water with plating of 1.0 mL of the dilutions in  
195 triplicate onto sterile Petri dishes, followed by adding MRS Agar. The plates were then  
196 incubated at 37 ± 1 °C for 72 h under anaerobic conditions.

197



## 2.10 Physicochemical analysis in the frozen strawberry pulp

For the physicochemical characterization of the pulp, the pH, soluble solids, moisture, and titratable acidity were evaluated according to the method of the Adolfo Lutz Institute (IAL, 2009).

## 2.11 Resistance of free and microencapsulated probiotics in strawberry pulp under simulated gastrointestinal conditions

Simulation of esophagus/stomach (pH 2.0/90 min in the presence of pepsin), duodenum (pH 5.0/20 min in the presence of pancreatin and bile salts), and ileum (pH 7.5/90 min) conditions were performed according to the method described by Madureira, Amorim, Gomes, Pintado, & Malcata (2011) with adaptations. The analysis was performed in a TE 421 shaker (Tecnal, Piracicaba, São Paulo, Brazil) at  $37 \pm 2$  °C to simulate body temperature. At the end of each simulation stage, aliquots were collected and plated according to item 2.9.

## 2.12 Evaluation of the shelf life of free and microencapsulated probiotics in the strawberry pulp during storage and under freezing conditions

The probiotic viability of the strawberry pulp was evaluated for 60 days at  $-18 \pm 1$  °C (freezing conditions). Counts were performed every 15 days according to item 2.9.

## 2.13 Statistical analyses

Data were submitted to analysis of variance (ANOVA) using the Statistica 7.0 software (Statsoft Inc., Tulsa, OK, USA), followed by Tukey's test for comparison of means, considering a significance level of 5% ( $p < 0.05$ ). Tests were performed in triplicate, and data were expressed as mean  $\pm$  standard deviation.

### 223 3. Results and discussion

224

#### 225 3.1 Optical microscopy and microparticle size

226 Based on the morphological analyses of the microparticles (Figure 1), all treatments  
227 showed spherical or elliptical shapes and an intact structure without visible cracks or fissures.  
228 The advantages of obtaining spherical-shaped microparticles include easier consumption,  
229 manufacturing, and packaging (Nami, Lornezhad, Kiani, Abdullah, & Haghshenas, 2020).

230 Furthermore, we observed that adding the red onion peel extract altered the color of  
231 the microparticles, as shown in Figures A, B, C, and D, which correspond to treatments AM,  
232 AME5, AME20, and AME40, respectively. The higher the extract concentration added to the  
233 microparticles, the darker the coloration. This coloration, which can vary from red to purple,  
234 is due to anthocyanins in the red onion peel extract; anthocyanins are natural pigments  
235 characterized as phenolic compounds of the flavonoid family and confer coloration to a  
236 plethora of flowers, fruits, and plants (Chopra & Panesar, 2010; Nascimento et al., 2008).  
237 Moreover, incorporating anthocyanins in foods grants them bioactive and medicinal  
238 properties given their high antioxidant activity (Maran, Priya, & Manikandan, 2014).

239 As for the size of the microparticles, there was a variation from 136.00 to 305.00  $\mu\text{m}$ ,  
240 with the smallest size being obtained in the AM treatment and the largest size in the AME20  
241 treatment (Table 1). Treatments AME5 and AME40 showed 214.00 and 205.00  $\mu\text{m}$  sizes,  
242 respectively. The sizes obtained in this study are advantageous because, in the micrometer  
243 range, the microparticles allow the food to remain smooth, while in the millimeter range, the  
244 particles confer a sandy texture to the product (Mohammad Ali Khosravi Zanjani, 2012)

245 Nami, Lornezhad, Kiani, Abdullah, & Haghshenas (2020) employed an extrusion  
246 technique and reported even larger particles (860-1130  $\mu\text{m}$ ) using 2% alginate. Nevertheless,  
247 the authors did not observe any adverse effect on the texture and structure of orange juice

248 mixed with these particles. Similarly, Batista de Oliveira et al. (2021) maintained the average  
249 acceptance for the consistency of chocolate milk with microencapsulated *Spirulina sp.* LEB  
250 18 and reported microparticles that varied from 616.60 to 680.75  $\mu\text{m}$ .

251 It was also possible to conclude that the composition of the wall material interferes  
252 with the size of the microparticles, as shown in Table 1. The addition of the red onion peel  
253 extract at different concentrations (5, 20, and 40%) significantly increased the size of the  
254 microparticles compared to the treatment that only contained alginate (AM). It is known that  
255 changes in the viscosity of the encapsulating solution can have an effect on the size of the  
256 microparticles (Haghshenas et al, 2015).

257

### 258 **3.2 Probiotic encapsulation efficiency, reducing compounds, flavonoids, and total** 259 **monomeric anthocyanin content**

260 Encapsulation efficiency (EE%) above 77 % indicates the successful entrapment of the  
261 probiotic *L. casei* inside the microparticles prepared in the different formulations (AM,  
262 AME5, AME20, and AME40; Table 1). These high EE% values are pivotal so that when they  
263 are inserted into food, the microparticles contain a sufficiently high number of probiotics that  
264 survive the processing and storage conditions of the product and reach their site of action in  
265 adequate amounts. Microencapsulation, in general, is one of the best ways to protect sensitive  
266 bioactive compounds; in external ionic gelation, other authors have reported EE% >90%  
267 (Angélica Andrade Lopes et al., 2020), as well as in other microencapsulation techniques,  
268 including complex coacervation with EE% >90.9% (da Silva et al., 2019), internal  
269 emulsification/ionic gelation with EE% >66.2% (Raddatz et al., 2020), and spray drying with  
270 EE% = 94.61% (Rosolen et al., 2019).

271 Among the different microparticle formulations, AME40 showed the highest EE%  
272 compared to the others, reaching a value above 92%. As for the compounds present in the

273 extract, before microencapsulation, the content of reducing compounds was  $653.75 \pm 32.78$   
274 mg/g, the flavonoid content was  $78.49 \pm 0.34$  mg/g, the total monomeric anthocyanin content  
275 was  $47.09 \pm 7.65$  mg/g, and the antioxidant capacity was  $1096.45$   $\mu$ mol Trolox/g (data not  
276 tabulated). Onions contain the highest amount of flavonoids and organosulfur compounds  
277 (Pareek, Sagar, Sharma, & Kumar, 2017). Viera et al. (2017) obtained values of 640.8, 35.2,  
278 and 30.9 mg/g of total reducing compounds, flavonoids, and monomeric anthocyanin content,  
279 respectively, when producing red onion peel extracts using this extraction method.

280 As for the EE% of the reducing compounds, the AME20 treatment stood out, with  
281  $50.18 \pm 0.01\%$ . Arriola et al.(2018) obtained EE% of total phenolic compounds above 60%  
282 when using the extrusion/external ionic gelation technique to encapsulate stevia extract. The  
283 use of alginate has also already demonstrated excellent EE% results when encapsulating  
284 anthocyanins (~89%) and phenolic compounds (~98%) extracted from jaboticaba fruit (*Plinia*  
285 *cauliflora* (Mart.) Kausel) and Tubuna (*Scaptotrigona bipunctata*) stingless bee propolis peels  
286 by ionotropic gelation (Dallabona et al., 2020).

287 As for the EE% of flavonoids, AME5 obtained the highest values ( $69.01 \pm 0.01\%$ );  
288 this result is similar to the data reported by Milea et al. (2019), who achieved  $66.46 \pm 0.18\%$   
289 as the best result of EE% of flavonoids from yellow onion peel, although the authors  
290 employed freeze-drying. Lastly, the EE% of total monomeric anthocyanin content was higher  
291 in the AME20 and AME40 treatments with values of  $60.00 \pm 0.08$  and  $59.95 \pm 0.05$ ,  
292 respectively. Enache et al. (2020) obtained EE% of anthocyanins from blackcurrant fruits of  
293  $95.46 \pm 1.30\%$  and  $87.38 \pm 0.48\%$  from the probiotic *Lactobacillus casei* when encapsulating  
294 them into a single matrix, albeit the authors used microencapsulation via freeze-drying.

295 According to Belščak- Cvitanović et al, (2015), lower concentrations of active  
296 compound tend to result in less entrapment of some compounds.

297

298 **3.3 Physicochemical and microbiological characteristics of fruit pulp containing**  
299 **microencapsulated *L. casei* in the different treatments**

300 The physicochemical evaluations in the strawberry pulps containing the free or  
301 microencapsulated *L. casei* probiotic are listed in Table 2. According to the general technical  
302 regulation to set the identity and quality standards for fruit pulp set by legislation (Brazil,  
303 2001), the strawberry pulp is the product obtained from the edible part of the strawberry  
304 (*Fragaria x. ananassa* Duchesne, *Fragaria chiloensis* Duchesne x *Fragaria virginiana*  
305 Duchesne) through an appropriate technological process.

306 The regulation establishes the minimum values of 3.3 for pH, 6.5 for soluble solids in  
307 °Brix at 20 °C, and 0.8 for titratable acidity (g/100 g). All the samples evaluated were above  
308 these minimum values required. In addition, the moisture content of the different samples was  
309 evaluated and ranged from 90.69 to 91.12%, with no statistical differences among the five  
310 treatments. Fruits have high moisture content with large variations among them. In addition,  
311 the water supply to the plant and growing conditions interfere with the moisture content of  
312 fruit (Chitarra & Chitarra, 2005).

313 The results of the microbiological evaluations of pathogenic or spoilage bacteria in  
314 strawberry pulp containing free or microencapsulated *L. casei* probiotic are described in Table  
315 3. The analyses were based on the standards required by RDC No. 12 (Brazil, 2001), which  
316 establishes the microbiological standards for foods in Brazil.

317 According to the results presented herein, the strawberry pulps analyzed are suitable  
318 for consumption, since no salmonella, fecal coliforms, and total coliforms were observed in  
319 all samples evaluated (Table 3), with these results being in agreement with the legislation,  
320 which has a maximum tolerable limit of  $10^2$  NMP/g of fecal coliforms and absence of  
321 *Salmonella* spp. in 25 g of sample. The thermotolerant coliforms may signal contamination of  
322 fecal origin or by other enteric microorganisms, which are associated with risks to human

323 health (Verma, Saharan, Nimesh, & Singh, 2018). *Salmonella sp.* is one of the main  
324 microorganisms that cause food outbreaks worldwide, causing economic losses due to its high  
325 morbidity (Sharma & Carlson, 2000).

326 The National Sanitary Surveillance Agency (ANVISA) does not determine limits for  
327 molds and yeasts in fruit pulps; nonetheless, these microorganisms were evaluated based on  
328 Normative Instruction No. 4, which establishes maximum counts of  $5 \times 10^3/\text{g}$  for *in natura*  
329 pulp, frozen or not (Brazil, 2018). All samples evaluated were in compliance with current  
330 legislation, with counts of  $1.06 \times 10^2 \pm 5.77$ ,  $1.56 \times 10^2 \pm 23.09$ ,  $4.50 \times 10^2 \pm 36.30$ ,  $8.40 \times 10^2$   
331  $\pm 22.81$ ,  $8.93 \times 10^2 \pm 13.85$  for free culture, AM, AME5, AME20, and AME40, respectively.  
332 High mold and yeast counts represent a potential spoilage capacity as well as a public health  
333 risk, considering that some filamentous fungi are toxin-producing organisms (Moraes &  
334 Machado, 2021).

335 Therefore, the data obtained in the microbiological evaluations indicate that the  
336 strawberry pulps were prepared under adequate hygienic and sanitary conditions and did not  
337 represent a threat to the consumers.

338

### 339 **3.4 Survival of free and microencapsulated *L. casei* in fruit pulp after simulating** 340 **gastrointestinal conditions.**

341 The survival of the free or microencapsulated *L. casei* probiotic in strawberry pulp  
342 under simulated gastrointestinal conditions is listed in Table 4. Stress conditions such as  
343 digestive enzymes and low pH have negative and inhibitory effects on probiotic performance  
344 and viability. In the duodenum, the gallbladder and pancreas release bile and pancreatic  
345 juices, respectively. These, responsible for the digestion of lipids, proteins and sugars, end up  
346 also affecting the proteins, lipids and sugars present in the structure of microorganisms, thus,  
347 a second barrier against bacteria, after the acidic environment of the stomach and causing few

348 species of *Lactobacillus* survive (Guyton & Hall, 2002; Nutrition and Health Collection, 1998  
349 and 1999). Overcoming these challenges is paramount for probiotics to reach the ileum (their  
350 site of action) and colonize it to promote beneficial effects to the host organism (Zeashan et  
351 al., 2020). As shown in Table 4, the strawberry pulp containing the free culture lost its  
352 viability in the first stage of the simulated gastrointestinal conditions, reaching the  
353 esophagus/stomach with only  $3.66 \pm 0.03 \log \text{CFU/g}^{-1}$ . This result demonstrates the need for a  
354 system that ensures that the probiotic *L. casei* reaches the ileum without remaining in direct  
355 contact with gastric fluids or the product in which it is inserted.

356 The microparticles are designed to remain intact as they pass through the  
357 stomach/esophagus and duodenum. This is possible because the alginate that makes up the  
358 wall of the microparticles only dissolves at a pH close to 7.5, thus, the dissolution of the  
359 microparticles with consequent release of the probiotics only occurs in the ileum (pH 7.5),  
360 where it is the site of action of probiotics and, in the other stages (esophagus/stomach and  
361 duodenum) the probiotics must remain intact inside the microparticles.

362 Dimitrellou et al., (2016), Dimitrellou et al., (2019) and Li, (2011) showed that  
363 microencapsulation favors the survival of the probiotic *L. casei* in food matrices, when  
364 subjected to simulated gastric juice and salt solutions bile.

365 We observed that the microencapsulation system used in this study met such a need,  
366 with the strawberry pulps containing the microparticles from treatments AM and AME5  
367 releasing the probiotic cells in sufficient quantities and at the appropriate time ( $6.51 \pm 0.48$   
368 and  $6.73 \pm 0.23 \log \text{CFU/g}^{-1}$ , respectively). Alginate is extremely efficient in releasing trapped  
369 compounds at neutral pH, such as intestinal pH (Annan, Borza, & Hansen, 2008; Gul &  
370 Dervisoglu, 2017). Higher probiotic bacteria viability was also reported by Chandramouli,  
371 Kailasapathy, Peiris, & Jones (2004) at pH 2.0 when alginate was used for encapsulation.

372 Other microencapsulation methods, such as freeze-drying, are also efficient in  
373 protecting *L. casei* probiotics from simulated gastrointestinal conditions, with increased  
374 survival of the encapsulated probiotic, while there was a loss of viability of the free probiotic  
375 (Li et al., 2019). The strawberry pulps that contained the probiotic microparticles together  
376 with the highest concentrations of red onion skin extract (AME20 and AME40) did not reach  
377 the ileum in the necessary amounts (6 log CFU/g).

378 Reza Gheisari, Davar, & Shahram Shekarforoush (2018) also evaluated  
379 microencapsulation process efficiency on the probiotic *L. casei* under simulated  
380 gastrointestinal conditions when added to mango juice. The microencapsulation was  
381 performed by extrusion with calcium alginate and chitosan, and similarly to our study, the  
382 authors observed higher probiotic survival than the free microorganism. The same occurred in  
383 the study of Marcial-Coba, Saaby, Knøchel, & Nielsen (2019) for *Akkermansia muciniphila*  
384 and *L. casei* added in chocolate in free form or microencapsulated by extrusion followed by  
385 lyophilization after gastric passage *in vitro*, with higher survival of microencapsulated strains.  
386

### 387 **3.5 Viability of free and microencapsulated *L. casei* added to strawberry pulp stored at** 388 **freezing temperatures**

389 The survival of the free and microencapsulated *L. casei* LC03 probiotic in the  
390 strawberry pulp during storage at -18°C was evaluated, and the results are presented in Figure  
391 2. To develop new probiotic foods, an important factor is to verify how they adapt to the food  
392 matrix as probiotics must be consumed regularly, and in order to obtain their health benefits,  
393 their presence in foods must be in sufficient quantities (at least 10<sup>6</sup> CFU/g or mL<sup>-1</sup>) during the  
394 shelf life (Abadía-García et al., 2013).

395 Probiotic bacteria are recommended to be inserted into food formulations with  
396 relatively high pH, around 5.5 to 6.5 (Cruz, Antunes, Sousa, Faria, & Saad, 2009). In



397 addition, freezing conditions may cause cellular damage to these microorganisms, leading to a  
398 reduction of up to 1 log CFU/g (Cruz et al., 2011). It was possible to observe that the free  
399 culture was not resistant to the acidity, low pH, and freezing conditions of the strawberry pulp  
400 since, in less than 15 days, its counts were already below the required standard (6 log CFU/g<sup>-1</sup>)  
401 for the product to be considered a probiotic (Figure 2). Despite the low pH of the  
402 strawberry pulp developed in this study (3.48-3.85), we noted that the microencapsulation  
403 allowed the survival of the microorganism *L. casei* LC03, highlighting the AM treatment,  
404 which kept the probiotics viable until the end of 60 days (6.92 log CFU/g), followed by the  
405 AME5 treatment, with viability between 45 and 60 days. The AME20 treatment lost viability  
406 after 30 days of storage, while AME40 remained viable for less than 15 days.

407 In addition to this study, Afzaal et al. (2020) also reported significant improvements in  
408 the viability of microencapsulated *Lactobacillus casei* added to ice cream for 80 days at -20  
409 °C, in addition to higher resistance under simulated gastrointestinal tract conditions compared  
410 to the free microorganism in the same product. In another study, microencapsulation also  
411 proved effective in protecting the probiotic *Lactobacillus casei* ATCC 334, since, when added  
412 to cashew ice cream at -18 °C for 150 days, the probiotic microencapsulated by extrusion with  
413 sodium alginate and chitosan showed higher survival than the free microorganism (Farias,  
414 2017).

415 As for the concentration of red onion (*Allium cepa* L.) peel extract in the  
416 microparticles, we observed that the higher the extract concentration, the greater the loss of  
417 probiotic viability over the 60 days. Thus, the lowest concentration of extract (5%) proved to  
418 be the most effective in maintaining the shelf life of the probiotic *L. casei* LC03. The  
419 bioactive compounds present in onions have, among their various properties, antibacterial  
420 action (Griffiths, Trueman, Crowther, Thomas, & Smith, 2002), which may explain the fact  
421 that the highest concentrations of extract used in this study (20 and 40%) resulted in

422 unfavorable effects on the viability of the probiotic *L. casei* LC03. The way plant extracts act  
423 is not yet completely defined; according to several studies, the compounds in the extracts may  
424 lead to the permeabilization or rupture of the cytoplasmic membrane of microorganisms,  
425 exposing the contents of the cytoplasm, besides being able to produce inhibitory effects on the  
426 ATPase enzyme and, consequently, causing cell death (Gill & Holley, 2006; Zhang et al.,  
427 2016).

428         When associating the results of probiotic viability at -18°C (Figure 2), with the  
429 survival of the probiotic in simulated gastrointestinal conditions (Table 4), it is possible to  
430 verify that the sum of the entire period of storage under freezing with the contact with the  
431 different sections of the gastrointestinal tract, induces to severe drops in the viability of the  
432 probiotic *L.casei*, causing it to reach the duodenum in adequate amounts (above 6 log CFU)  
433 only in strawberry pulps containing AM and AME5 microparticles formulations. The other  
434 treatments showed insufficient cell count for this.

435         Sagdic, Ozturk, Cankurt, & Tornuk (2011) supplemented ice cream containing *L.*  
436 *casei* Shirota with ellagic acid, gallic acid, grape seed extract, pomegranate peel extract, and  
437 peppermint essential oil and investigated the interaction of the probiotics with these  
438 compounds after 60 days at -18 °C. The phenolic compounds were found to benefit the  
439 survival of the probiotics.

440         Lastly, as described herein, it was possible to obtain a polyfunctional product by  
441 successfully applying the probiotic *L. casei* with a red onion peel extract to a highly nutritious  
442 food matrix that numerous vitamins, fibers, minerals, and polyphenols.

443

#### 444         **4. Conclusions**

445         The red onion peel extract can be efficiently used to microencapsulate the probiotic *L.*  
446 *casei* LC03 to ensure viability and stability in new functional foods, such as the strawberry

447 pulp. It was possible to observe that low extract concentrations are enough to achieve a  
448 protective and functional effect in the microcapsule, with probiotic viability under simulated  
449 gastrointestinal conditions and storage at -18 °C with only 5% extract. Therefore, this study  
450 allowed the development of a new probiotic product capable of satisfying the most diverse  
451 publics, including vegetarians, vegans, and those intolerant to gluten and lactose.

452

## 453 5. Referências

454

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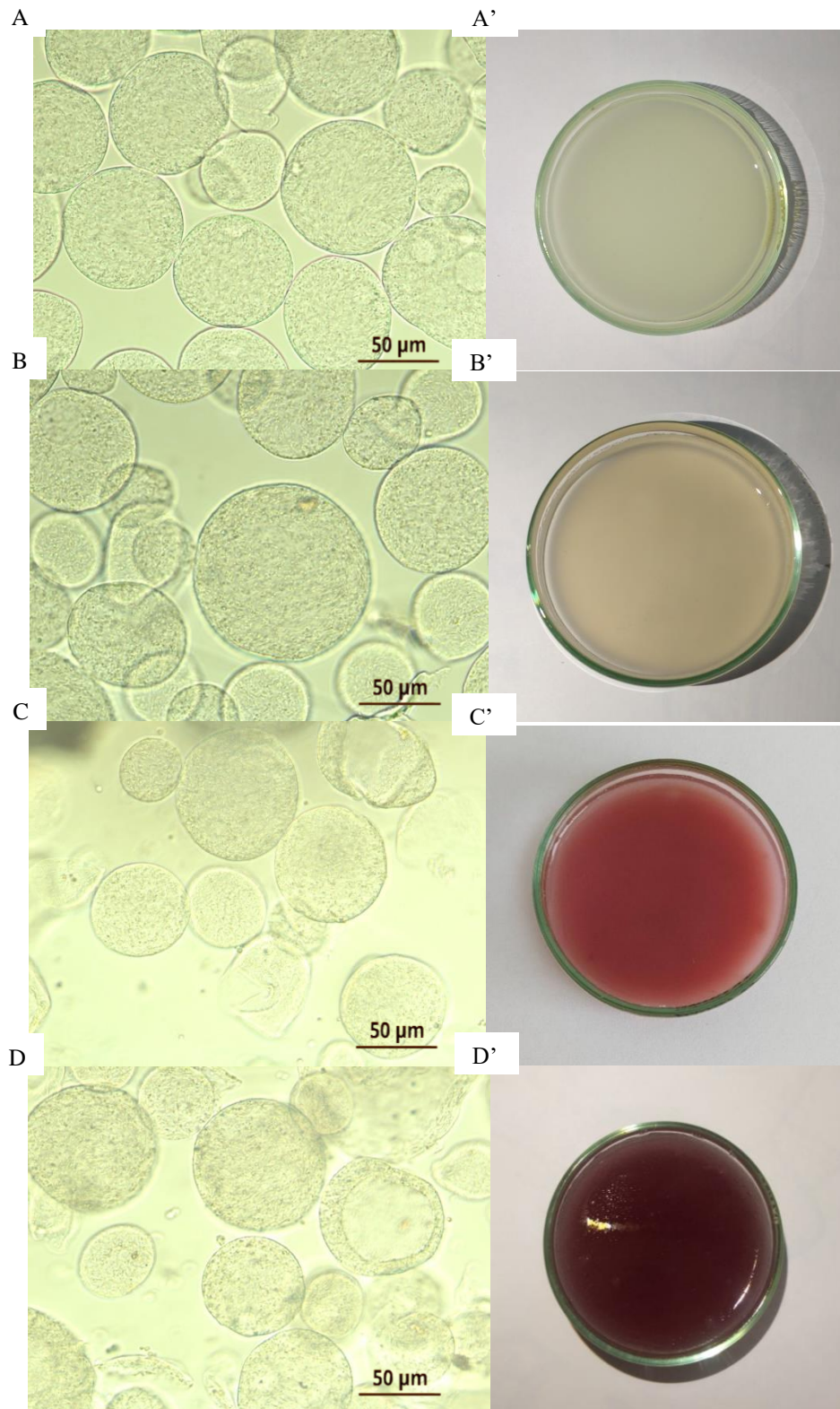
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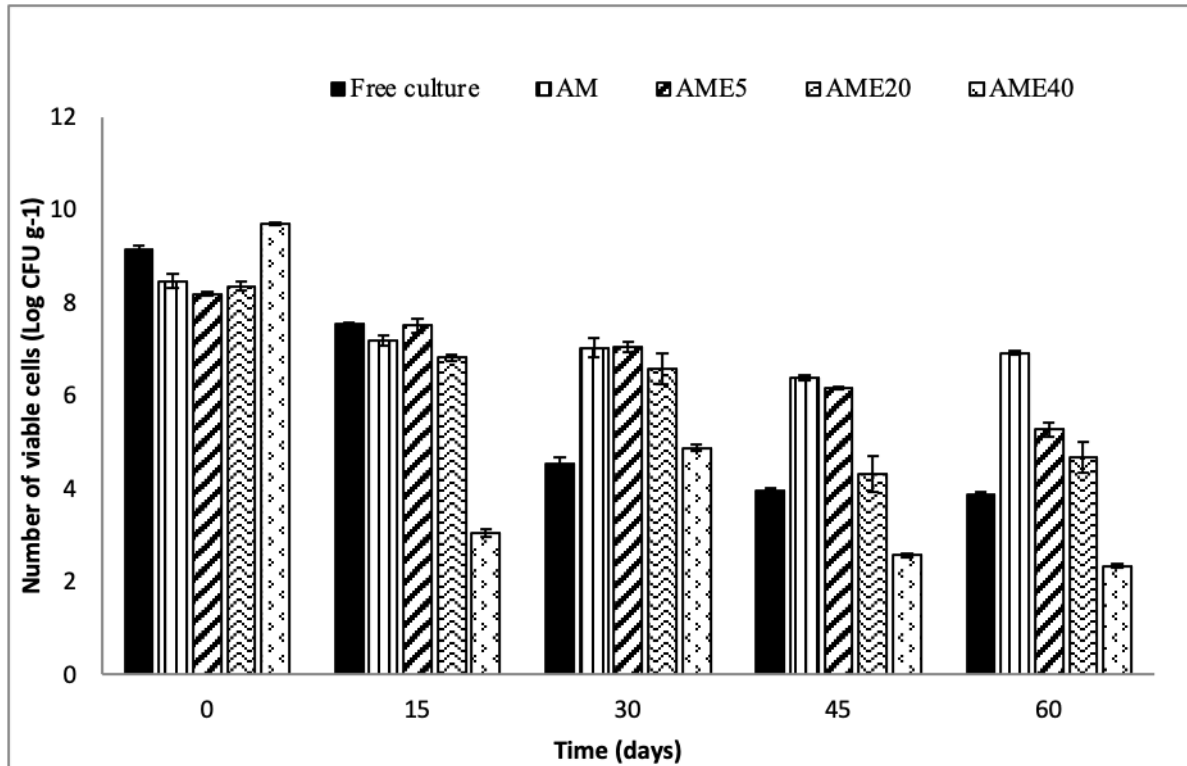
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**Fig 1.** Optical microscopy of alginate microparticles with different concentrations of red onion peel extract containing *L. casei* obtained by external ionic gelation. A = 2% alginate microparticles (AM) (40x); B = 2% alginate microparticles + 5% extract (AME5) (40x); C = 2% alginate microparticles + 20% extract (AME20) (40x); D = 2% alginate microparticles + 40% extract (AME40) (40x).

A', B', C' and D' = Treatments AM, AME5, AME20 and AME40, respectively, observed without microscope.



**Figure 2** – Effect of temperature (-18 °C) on the viability of *L. casei* (free and immobilized) in strawberry pulp stored for 60 days..

Free culture = Strawberry pulp containing free probiotics.

AM = 2% alginate particles; AME5 = particles of 2% alginate + 5% extract; AME20 = particles of 2% alginate + 20% extract; AME40 = particles of 2% alginate + 40% extract.

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**Table 1** – Characteristics of particles containing *L. casei* obtained by external ionic gelation with alginate and red onion peel extract at different concentrations.

Treatment	Particle size (µm)	EE% probiotics	EE% reducing compounds	EE% flavonoids	EE% total monomeric anthocyanins
AM	136.00	80.30 ± 1.42 <sup>b</sup>	-----	-----	-----
AME5	214.00	77.77 ± 0.43 <sup>c</sup>	30.10 ± 0.06 <sup>b</sup>	69.01 ± 0.01 <sup>a</sup>	25.39 ± 0.05 <sup>b</sup>
AME20	305.00	79.35 ± 0.92 <sup>bc</sup>	50.18 ± 0.01 <sup>a</sup>	35.72 ± 0.00 <sup>c</sup>	60.00 ± 0.08 <sup>a</sup>
AME40	205.00	92.11 ± 0.23 <sup>a</sup>	28.88 ± 0.01 <sup>b</sup>	41.28 ± 0.00 <sup>b</sup>	59.95 ± 0.05 <sup>a</sup>

AM = 2% alginate particles; AME5 = particles of 2% alginate + 5% extract; AME20 = particles of 2% alginate + 20% extract; AME40 = particles of 2% alginate + 40% extract. EE%= percentage of encapsulation efficiency. The means followed by the same lowercase letter in the column do not differ statistically from each other by Tukey's test, with a significance of 5%. Means found in triplicate.

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**Table 2** - Physicochemical characterization of whole strawberry pulp with or without alginate microparticles with different concentrations of red onion peel extract containing *L. casei* obtained by external ionic gelation.

Treatment	pH	Soluble Solids / Brix	Moisture	Titrateable Acidity
Free culture	3.61 ± 0.01 <sup>bc</sup>	7.80 ± 0.17 <sup>a</sup>	91.12 ± 0.13 <sup>a</sup>	1.03 ± 0.05 <sup>a</sup>
AM	3.62 ± 0.01 <sup>bc</sup>	7.40 ± 0.17 <sup>ab</sup>	90.69 ± 0.68 <sup>a</sup>	0.86 ± 0.05 <sup>a</sup>
AME5	3.85 ± 0.12 <sup>a</sup>	7.50 ± 0.20 <sup>ab</sup>	91.09 ± 0.09 <sup>a</sup>	1.0 ± 0.00 <sup>a</sup>
AME20	3.48 ± 0.00 <sup>c</sup>	7.33 ± 0.10 <sup>b</sup>	90.75 ± 0.51 <sup>a</sup>	0.86 ± 0.05 <sup>a</sup>
AME40	3.70 ± 0.02 <sup>ab</sup>	7.43 ± 0.15 <sup>ab</sup>	90.73 ± 0.28 <sup>a</sup>	1.0 ± 0.1 <sup>a</sup>

AM = 2% alginate particles; AME5 = particles of 2% alginate + 5% extract; AME20 = particles of 2% alginate + 20% extract; AME40 = particles of 2% alginate + 40% extract. The means followed by the same lowercase letter in the column do not differ statistically from each other by the Tukey test, with a significance of 5%. Means found in triplicate.

Free culture = Strawberry pulp containing free probiotics.



**Table 3** – Microbiological characteristics of strawberry pulp added with microparticles containing *L. casei* obtained by external ionic gelation with alginate and red onion peel extract at different concentrations.

<b>Treatment</b>	<b>Molds and Yeasts</b>	<b>Total Coliforms</b>	<b>Thermotolerant Coliforms</b>	<b>Salmonella</b>
Free culture	$1.06 \times 10^2 \pm 5.77$	Absent	Absent	Absent
AM	$1.56 \times 10^2 \pm 23.09$	Absent	Absent	Absent
AME5	$4.50 \times 10^2 \pm 36.30$	Absent	Absent	Absent
AME20	$8.40 \times 10^2 \pm 22.81$	Absent	Absent	Absent
AME40	$8.93 \times 10^2 \pm 13.85$	Absent	Absent	Absent

AM = 2% alginate particles; AME5 = particles of 2% alginate + 5% extract; AME20 = particles of 2% alginate + 20% extract; AME40 = particles of 2% alginate + 40% extract. The means followed by the same lowercase letter in the column do not differ statistically from each other by the Tukey test, with a significance of 5%. Means found in triplicate.

Free culture = Strawberry pulp containing free probiotics.

	Free culture	AM	AME5	AME20	AME40
<b>Initial count</b>	9.14±0.06 <sup>aB</sup>	8.45±0.15 <sup>aC</sup>	8.19±0.04 <sup>aD</sup>	8.35±0.09 <sup>aCD</sup>	9.70±0.02 <sup>aA</sup>
<b>Esophagus / stomach 90 min / pH 2.0</b>	3,66±0.03 <sup>bB</sup>	4.66±0.07 <sup>cA</sup>	4.28±0.07 <sup>cA</sup>	4.72±0.04 <sup>cA</sup>	4.31±0.36 <sup>cA</sup>
<b>Duodenum 20 min / pH 5.0</b>	3,58±0.05 <sup>bD</sup>	4.51±0.03 <sup>cB</sup>	4.17±0.09 <sup>cC</sup>	4.43±0.12 <sup>dB</sup>	5.31±0.00 <sup>bA</sup>
<b>Ileum 90 min / pH 6.5</b>	2,91±0.31 <sup>cD</sup>	6.51±0.48 <sup>bA</sup>	6.73±0.23 <sup>bA</sup>	5.22±0.10 <sup>bB</sup>	3.71±0.02 <sup>dC</sup>

**Table 4** – Survival of free and encapsulated *L. casei* in different treatments after each stage of the simulation of gastrointestinal conditions.

AM = 2% alginate particles; AME5 = particles of 2% alginate + 5% extract; AME20 = particles of 2% alginate + 20% extract; AME40 = particles of 2% alginate + 40% extract.

Free culture = strawberry pulp containing free *L. casei*.

734 The means followed by the same lowercase letter in the column and uppercase in the row do  
735 not differ statistically from each other by the Tukey test, with significance set at 5%. Means  
736 found in triplicate. Values in log CFU / g.

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