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Holographic fluids from 5D dilaton gravity

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ABSTRACT: We study a solvable class of five-dimensional dilaton gravity models that continuously interpolate between anti-de Sitter (AdS₅), linear dilaton (LD₅) and positively curved spacetimes as a function of a continuous parameter ν . The dilaton vacuum expectation value is set by a potential localized on a flat brane. We chart the elementary properties of these backgrounds for any admissible ν , and determine stability conditions of the brane-dilaton system. We find that the spectrum of metric fluctuations can be either continuous or discrete. It features a massless graviton mode confined between the brane and the curvature singularity, and a massive radion mode tied to brane-dilaton stability. We show that, in the presence of a bulk black hole, the holographic theory living on the brane features a perfect fluid. The equation of state of the holographic fluid interpolates between radiation, pressureless matter and vacuum energy as a function of ν . This extends earlier findings on holographic fluids. Our results suggest that the thermodynamics of the fluid mirrors precisely the thermodynamics of the bulk black hole.

KEYWORDS: Black Holes, Effective Field Theories, Gauge-Gravity Correspondence, Spacetime Singularities

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1 Introduction

Both anti-de Sitter (AdS) and linear dilaton (LD) spacetimes are holographic. Observables defined in a given asymptotic region of these spacetimes can, at least for certain dimensions, be equivalently described by a dual theory with one dimension less and no gravity. This fact is obtained from string theory by taking appropriate decoupling limits of certain brane configurations. For AdS, this leads to the string-derived AdS_5/CFT_4 duality [1], while the seven-dimensional linear dilaton background (LD₇) is dual to little string theory (LST) [2, 3].¹

At subPlanckian energies, holography of the AdS and LD spacetimes can alternatively be explored using general relativity and effective field theory (EFT). A successful approach

¹LST is a 6D string theory on a stack of NS5 branes with $g_s \to 0$ [4]. It is an interacting theory of noncritical strings which is nonlocal, has no massless graviton, and has Hagedorn density of states at high energy [5, 6]. Lower-dimensional versions of this LD₇/LST₆ duality can be obtained via spatial compactifications, e.g. a simple toroidal T₂ compactification gives rise to the phenomenological theories LD₅/LST₄, see e.g. [7].

is to place a brane in the spacetime and to study observables defined on this brane. The bulk spacetime, possibly featuring a black hole, is integrated out.

This low-energy approach to holography gives compelling results in AdS background, in which case the brane can be seen as a regularized version of the AdS conformal boundary. At low-energy, the effective theory of gravity on the brane is Einstein gravity coupled to a perfect fluid that nontrivially emerges from the bulk physics. This *holographic fluid* turns out to be conformal, as expected from the AdS/CFT correspondence [8–13].

The same approach also gives compelling results in the LD background. It was shown in [14] that the holographic fluid emerging on the brane has Hagedorn thermodynamics for any dimension d. The low-energy framework thus reproduces the thermodynamic behavior of LST for d = 6. It also reproduces the thermodynamics of $T\bar{T}$ -deformed CFT proposed in [15] for $d = 2.^2$

In this manuscript we extend the above low-energy approach to holography to a class of dilatonic spacetimes that contains the AdS and LD ones as particular cases. One motivation for this analysis is to study the behavior of the holographic fluid that emerges on the brane. Since meaningful results are obtained from both AdS and LD, we may wonder how does the holographic fluid behaves in our more general spacetime.

Another motivation comes from the fact that the linear dilaton background is special because it features some simple conformal symmetries. While these are much weaker than the AdS isometries, they are nevertheless expected to have physical consequences [14]. Placing the LD in a broader context helps understanding why physics on the LD background is special.

An extra motivation for our model is the remarkable simplicity of its solutions. Both classical solutions of the dilaton-gravity system and the quantum fluctuations of gravity are described by compact analytical expressions. Even though this technical feature is conceptually irrelevant, it is certainly important for practical purposes: our model provides an avenue to easily explore the physics of dilatonic spacetimes.

We present the model and discuss solutions with and without black hole in section 2. We study the fluctuations of the bulk metric around these solutions in section 3. We compute the holographic fluid and its thermodynamic properties in section 4. There we also briefly discuss a class of braneworld scenarios. A summary is given in section 5. The appendices contain details about gauge fixing in holographic actions (appendix A), a full calculation of the graviton quadratic action showing explicitly that the 5D graviton is massless (appendix B), and a discussion of the equations of motion in the scalar sector (appendix C).

2 A solvable dilaton-gravity model

The action of the 5D dilaton gravity system in the Einstein frame is

$$S = \int d^5 x \sqrt{g} \left(\frac{M_5^3}{2} {}^{(5)}R - \frac{1}{2} (\partial_M \phi)^2 - V(\phi) \right) - \int_{\text{brane}} d^4 x \sqrt{\overline{g}} \left(V_b(\phi) + \Lambda_b - M_5^3 K \right) + S_{\text{matter}} \,.$$
(2.1)

 $^{(5)}R$ is the scalar curvature, ϕ is the dilaton field, M_5 is the fundamental 5D Planck scale. The spacetime supports a 3-brane with induced metric $\bar{g}_{\mu\nu}$. The brane supports a tension Λ_b and a

²Further evidence for the proposed duality has been developed in [16-25].

localized potential $V_b(\phi)$. K is the extrinsic curvature that appears in the Gibbons-Hawking-York (GHY) boundary term. The $V_b(\phi)$ potential stabilizes ϕ to the vacuum expectation value (vev) $\langle \phi \rangle_{\text{brane}} \equiv v_b$, which determines completely the background. S_{matter} encodes the quantum fields living on this background.

The $V_b(\phi)$ potential does not need to be explicitly specified. We use the convention $V_b(v_b) = 0$ without loss of generality. The potential and the stability of the dilaton-brane system are discussed in sections 2.2 and 3, in which further conditions on V_b are derived.

The 5D metric is set to the general ansatz

$$ds_{\rm gen}^2 = g_{MN} dx^M dx^N = e^{-2A(r)} \left(-f(r) d\tau^2 + d\mathbf{x}^2 \right) + \frac{e^{-2B(r)}}{f(r)} dr^2 \,. \tag{2.2}$$

5D coordinates are labeled by uppercase Latin indexes (M, N, \dots) , 4D coordinates along the constant-*r* slices are labeled by Greek indexes (μ, ν, \dots) , i.e. $x^M = (x^{\mu}, r) = (\tau, \mathbf{x}, r)$. In the general ansatz (2.2), we allow for a blackening factor f(r) that describes a black hole horizon at the hypersurface $r = r_h$ if $f(r_h) = 0$, and A(r), B(r) and $\phi(r)$ are regular at r_h . We assume a flat brane lying at the location $r = r_b$, consistently with the Poincaré invariance of the constant-*r* slices of (2.2),

We introduce the reduced bulk potential $V(\phi) \equiv 3M_5^3 \bar{V}(\bar{\phi})$ and the reduced dilaton field $\phi \equiv \sqrt{3M_5^3} \bar{\phi}$. \bar{V} has mass dimension 2, while $\bar{\phi}$ is dimensionless. The general model of this work is defined by setting the reduced bulk potential to

$$\bar{V}(\bar{\phi}) = -\frac{1}{2}(4-\nu^2)k^2 e^{2\nu\bar{\phi}}.$$
(2.3)

Here ν is a real parameter that we take positive without loss of generality. Further restrictions on ν are found in subsections 2.1 and 2.3.

The field equations are

$$0 = {}^{(5)}R_{MN} - \frac{1}{2}g_{MN}{}^{(5)}R - 3\partial_M\bar{\phi}\partial_N\bar{\phi} + \frac{3}{2}g_{MN}(\partial_A\bar{\phi})^2 + 3g_{MN}\bar{V}(\bar{\phi}), \qquad (2.4)$$

$$0 = \frac{1}{\sqrt{g}} \partial_M \left(\sqrt{g} g^{MN} \partial_N \bar{\phi} \right) - \frac{\partial V}{\partial \bar{\phi}} \,. \tag{2.5}$$

The solutions to the field equations have some integration constants that need a careful analysis. Some are gauge redundancies, other are physically meaningful. We refer to [14] for a detailed discussion.

A combination of integration constants is fixed by the fact that the value of v_b does not change with the brane location, $\frac{\partial v_b}{\partial r_b} = 0$ [14]. This is a natural consequence of the fact that the V_b potential is independent on the brane location. Upon reduction of the integration constants, a physical mass scale η appears,

$$\eta \equiv k \, e^{\nu \, \bar{v}_b} \,. \tag{2.6}$$

The spacetime manifold that solves the field equations (2.4) and (2.5) is denoted by \mathcal{M}_{ν} . The brane at $r = r_b$ partitions \mathcal{M}_{ν} into two regions

$$\mathcal{M}_{\nu}^{-} = \mathcal{M}_{\nu}\big|_{r \in (0, r_b]}, \qquad \mathcal{M}_{\nu}^{+} = \mathcal{M}_{\nu}\big|_{r \in [r_b, \infty)}.$$
(2.7)

All the quantum fields, including gravitons, have boundary conditions on the brane. The quantum fields living in \mathcal{M}_{ν}^{-} and \mathcal{M}_{ν}^{+} are thus independent of each other.

The \mathbb{Z}_2 orbifold convention. The fundamental domains of \mathcal{M}_{ν}^- , \mathcal{M}_{ν}^+ are $(0, r_b]$ and $[r_b, \infty)$. To ease comparison with the extradimensional literature, we find it convenient to adopt the orbifold convention for which space is mirrored on each side of the brane. In this paper, the brane-localized quantities Λ_b , V_b are defined within this convention. If, instead, one chooses to define the action only on the fundamental domain, S_{bulk} and S_{GHY} both get reduced by a factor of two, and all the subsequent computations are equivalent to those made in the orbifold convention upon changing the definition of the brane localized quantities as $\Lambda_b \to \frac{1}{2}\Lambda_b$, $V_b \to \frac{1}{2}V_b$.

2.1 The \mathcal{M}_{ν} spacetime with no black hole

In the absence of a black hole (i.e. eq. (2.2) with $f(r) \equiv 1$), the solutions to (2.4) and (2.5) are

$$ds_{\nu,r_b}^2 = \left(\frac{r}{L}\right)^2 \eta_{\mu\nu} dx^{\mu} dx^{\mu} + \left(\frac{r}{r_b}\right)^{2\nu^2} \frac{1}{(\eta r)^2} dr^2, \qquad (2.8)$$

$$\bar{\phi}(r) = \bar{\phi}_b - \nu \log\left(\frac{r}{r_b}\right) \,, \tag{2.9}$$

with $r \in \mathbb{R}_+$. In (2.9), $\bar{\phi}_b = \frac{1}{\sqrt{3M_5^3}}\phi_b$ is the value of the reduced dilaton field on the brane, with $\phi_{\text{brane}} \equiv \phi_b$. The value of ϕ_b is set to the vev $\langle \phi_b \rangle = v_b$ due to the brane-localized potential.

The nonzero components of the Ricci tensor are

$$R_{ii} = -R_{\tau\tau} = (\nu^2 - 4)\eta^2 \left(\frac{r_b}{L}\right)^2 \left(\frac{r}{r_b}\right)^{2(1-\nu^2)}, \qquad R_{rr} = \frac{4(\nu^2 - 1)}{r^2}, \qquad (2.10)$$

and the scalar curvature is

$$R = 4(2\nu^2 - 5)\eta^2 \left(\frac{r_b}{r}\right)^{2\nu^2}.$$
 (2.11)

The scalar curvature is negative, zero and positive for $\nu < \nu_c$, $\nu = \nu_c$, $\nu > \nu_c$, respectively, with $\nu_c \equiv \sqrt{5/2}$. \mathcal{M}_{ν_c} is however not Ricci-flat, as can be seen from (2.10). Some noticeable cases appear:

- For $\nu = 0$, the scalar curvature is a negative constant. Thus \mathcal{M}_0 is AdS₅ spacetime.
- For $\nu = 1$, the dilatation $r \to \lambda r$ is a conformal symmetry of ds_{1,r_b}^2 . Thus \mathcal{M}_1 is LD₅ spacetime [14]. This symmetry implies that the R_{rr} component vanishes.
- For $\nu = 2$, only R_{rr} is nonzero. The bulk potential defined in (2.3) vanishes identically. Yet, the spacetime is non-flat due to the nonzero dilaton kinetic term, on which the metric backreacts.

Importantly, the metric (2.8) depends on the brane location r_b , except for $\nu = 0$, i.e. AdS. This is because the dilaton vev is fixed on the brane. When the brane location varies, the whole spacetime varies accordingly.

2.1.1 Conformal frame

We introduce conformal coordinates. For any $\nu \neq 1$ we have

$$z = \frac{L}{\eta r_b} \frac{1}{|\nu^2 - 1|} \left(\frac{r}{r_b}\right)^{\nu^2 - 1}, \qquad \nu \neq 1,$$
(2.12)

with the domain $z \in \mathbb{R}_+$. In conformal coordinates the metric reads

$$ds_{\nu,r_b}^2 = \left(\frac{r_b}{L}\right)^{\frac{2\nu^2}{\nu^2 - 1}} \left(|\nu^2 - 1|\eta z\right)^{\frac{2}{\nu^2 - 1}} \left(\eta_{\mu\nu} dx^{\mu} dx^{\mu} + dz^2\right).$$
(2.13)

In these coordinates, the \mathcal{M}_{ν}^{-} and \mathcal{M}_{ν}^{+} subspaces defined in (2.7) are given by

$$\mathcal{M}_{\nu}^{+} = \mathcal{M}_{\nu}|_{z \in (0, z_{b}]}, \quad \mathcal{M}_{\nu}^{-} = \mathcal{M}_{\nu}|_{z \in [z_{b}, \infty)} \quad \text{if} \quad \nu < 1,$$

$$\mathcal{M}_{\nu}^{-} = \mathcal{M}_{\nu}|_{z \in (0, z_{b}]}, \quad \mathcal{M}_{\nu}^{+} = \mathcal{M}_{\nu}|_{z \in [z_{b}, \infty)} \quad \text{if} \quad \nu > 1, \qquad (2.14)$$

where

$$z_b = \frac{L}{\eta r_b} \frac{1}{|\nu^2 - 1|} \,. \tag{2.15}$$

The special case $\nu = 1$ is the linear dilaton spacetime, for which

$$z = \pm \frac{L}{r_b \eta} \log \frac{r}{L} \,. \tag{2.16}$$

Importantly, the domain in this case is $z \in \mathbb{R}$. The freedom of sign in (2.16) is reminiscent of a discrete symmetry of the LD spacetime pointed out in [14].

2.1.2 Global properties

Singularity. The scalar curvature diverges at $r \to 0$ for any $\nu > 0$. There is thus a curvature singularity at r = 0, which lies in the \mathcal{M}_{ν}^{-} part of the spacetime. The \mathcal{M}_{ν}^{+} spacetime does not feature any singularity for any ν . The singularity is labeled as "good" in the sense of refs. [26, 27] if $\nu < 2$. As a matter of fact, we will see below that $\nu \in [0, 2)$ is the range of values for which the singularity can get censored by a black hole horizon.

Boundaries. Using the conformal coordinates with $z \in (0, \infty)$, we see that if $\nu \in [0, 1)$, \mathcal{M}_{ν} has a conformal boundary.³ This boundary is in \mathcal{M}_{ν}^+ . For $\nu = 1$ (the LD₅ spacetime), there is no boundary since $z \in \mathbb{R}$, hence the LD₅ space has the same causal structure as Minkowski space [14]. Finally for $\nu > 1$, there is a regular (i.e. not conformal) boundary at z = 0. This boundary is in \mathcal{M}_{ν}^- . It coincides with the curvature singularity.

2.2 Holographic effective potential and stability

The \mathcal{M}_{ν} solutions assume that the brane lies at an arbitrary location r_b . Here we determine under which conditions this assumption is valid.

We put the classical solutions (2.8), (2.9) into the action S. This defines a "holographic" on-shell action, $S_{\text{on-shell}}$, that depends only on the brane location r_b and on the value of

³That is, \mathcal{M}_{ν} is conformally equivalent to a spacetime with boundary. In our case, it is for example equivalent to half-Minkowski space.

the dilaton field on the brane, ϕ_b . Restricting ϕ_b to x^{μ} -independent configurations, we obtain the effective potential

$$S_{\rm on-shell}(r_b, \phi_b) \equiv -\int d^4x \, V_{\rm eff}(r_b, \phi_b) \tag{2.17}$$

that we can use to study stability of the brane-dilaton system.

The on-shell action receives contributions from the bulk, brane and GHY actions, $S = S_{\text{bulk}} + S_{\text{brane}} + S_{\text{GHY}}$. The *r*-integral in S_{bulk} has to be performed. The integral in the \mathcal{M}_{ν}^{-} region is finite. The integral in \mathcal{M}_{ν}^{+} is infinite, in that case one regularizes it with a 4D cutoff surface Σ located at $r_{\Sigma} \gg r_{b}$. Placing a counterterm on Σ produces a finite, renormalized on-shell action (see e.g. [28]). The counterterm in our case is $S_{\text{ct}} = 2M_5^3 \int_{\Sigma} d^4x \sqrt{h} \eta(\phi_{\Sigma})$ with $h_{\mu\nu}$ the induced metric on Σ .

We find

$$S_{\text{bulk}} = \mp 2 \int d^4x \, M_5^3 \eta(\phi_b) \left(\frac{r_b}{L}\right)^4 \,, \qquad (2.18)$$

$$S_{\text{brane}} = -\int d^4x \left(V_b(\phi_b) + \Lambda_b \right) \left(\frac{r_b}{L} \right)^4 \,, \qquad (2.19)$$

$$\mathcal{S}_{\rm GHY} = \pm 8 \int d^4 x \, M_5^3 \eta(\phi_b) \left(\frac{r_b}{L}\right)^4 \,, \qquad (2.20)$$

for the \mathcal{M}_{ν}^{\mp} spacetimes. We have introduced the ϕ_b -dependent mass scale $\eta(\phi_b) = k e^{\nu \phi_b}$. Combining the terms, the effective potential is

$$V_{\text{eff}}(r_b, \phi_b) = U_b(\phi_b) \left(\frac{r_b}{L}\right)^4 , \qquad (2.21)$$

where we have defined the brane-localized effective potential⁴

$$U_b(\phi_b) = V_b(\phi_b) + \Lambda_b \mp 6M_5^3 \eta(\phi_b) \quad \text{for} \quad \mathcal{M}_{\nu}^{\mp} \,. \tag{2.22}$$

Let us consider the ϕ_b direction for any $r_b \neq 0$. The potential stabilizes ϕ_b to the vev $\langle \phi_b \rangle = v_b$ when the ϕ_b derivative vanishes, which corresponds to the condition $U'(v_b) = V_b'(v_b) \mp 2\sqrt{3M_5^3} \nu \eta(v_b) = 0$ for \mathcal{M}_{ν}^{\mp} . Stability along the ϕ_b direction is ensured if the second ϕ_b derivative is positive, i.e.

$$U_b''(v_b) > 0. (2.23)$$

Using that $\partial_{\phi_b}^2 \eta = \frac{\nu^2}{3M_5^3} \eta$, it follows that for any $r_b \neq 0$, the brane potential V_b must satisfy

$$V_b''(v_b) > \pm 2\nu^2 \eta \quad \text{for} \quad \mathcal{M}_\nu^{\mp} \,, \tag{2.24}$$

with $\eta(v_b) = \eta$. This is true for any potential V_b , we do not need to specify it further than the above stability condition. Notice that in the \mathcal{M}_{ν}^- space, depending on the form of V_b , the $\phi_b = v_b$ minimum may be local if $|\eta| \gg V_b$ at large values of ϕ_b . In such a case, V_{eff} gets unbounded from below, the $\phi_b = v_b$ vacuum is then metastable.

⁴We may recognize the $-6M_5^3\eta(\phi_b)$ term as the superpotential of the model, $W(\phi_b) = -6M_5^3\eta(\phi_b)$. The bulk potential itself can in general be expressed as a function of the superpotential as $V(\phi) = \frac{1}{8} \left(W'(\phi)^2 - \frac{4}{3M_*^2} W^2(\phi) \right)$ [14]. In our case, this gives rise to the bulk potential of eq. (2.3).

We then analyze the r_b direction of V_{eff} . Recall we use the convention $V_b(v_b) = 0$. When the dilaton is stabilized at $\phi_b = v_b$, it turns out that V_{eff} has a minimum at $r_b = 0$ for $\pm 6M_5^3\eta < \Lambda_b$ for \mathcal{M}_{ν}^{\mp} , and is unbounded from below otherwise. Neither of these cases would be compatible with a brane living at arbitrary values of r. However, we can assume that Λ_b is tuned as

$$\Lambda_b = \pm 6M_5^3 \eta \quad \text{for} \quad \mathcal{M}_\nu^{\mp} \,. \tag{2.25}$$

In that case the potential has a flat direction in r_b , and the brane can stay at any value of r.

In summary, we find that the \mathcal{M}_{ν} solutions are stable provided that the conditions (2.24) and (2.25) are satisfied. In section 3, the stability condition (2.24) shows up in a different way by analysis of the metric fluctuations. In section 4, we find that the tuning (2.25) corresponds precisely to tuning the 4D cosmological constant to zero in the 4D holographic theory.

2.3 The \mathcal{M}_{ν} spacetime with a black hole

The metric in the presence of a planar black hole is given by

$$ds_{\nu,r_h,r_b}^2 = \left(\frac{r}{L}\right)^2 \left(-f(r)d\tau^2 + d\mathbf{x}^2\right) + \frac{1}{f(r)} \left(\frac{r}{r_b}\right)^{2\nu^2} \frac{1}{(\eta r)^2} dr^2, \qquad (2.26)$$

with

$$f(r) = 1 - \left(\frac{r_h}{r}\right)^{4-\nu^2}.$$
 (2.27)

We assume that the brane is outside the black hole, i.e. $r_h < r_b$.

We see from (2.26), (2.27) that the black hole solution exists if $\nu \in [0, 2)$. In this range, the black hole interior is at $r \leq r_h$. Therefore the horizon censors the singularity at r = 0. This is another way to see that the singularity is good on $\nu \in [0, 2)$. The black hole is always in \mathcal{M}_{ν}^- .

At $\nu = 2$, f vanishes identically, which is inconsistent and means that the black hole cannot exist. Finally, for $\nu > 2$, the singularity would be naked. We thus restrict our analysis to $\nu \in [0, 2)$.

For $\nu \in [0, 2)$, the Hawking temperature of the black hole horizon is [14]

$$T_{h} = \frac{4 - \nu^{2}}{4} \frac{\eta}{\pi} \frac{r_{h}}{L} \left(\frac{r_{b}}{r_{h}}\right)^{\nu^{2}} .$$
 (2.28)

We have $T_h \propto r_h$ if $\nu = 0$ (i.e. AdS₅) and $T_h \propto r_b$ if $\nu = 1$ (i.e. LD₅).

The entropy of the black hole can be computed by using the Bekenstein-Hawking entropy formula 5

$$S_h = \frac{\mathcal{A}}{2G_5} = 4\pi M_5^3 \left(\frac{r_h}{L}\right)^3 V_3,$$
 (2.29)

where $G_5 \equiv 1/(8\pi M_5^3)$ is the 5D Newton constant and $V_3 = \int d^3x$ the comoving volume. The entropy density per unit of comoving volume is thus

$$\underline{s_h} = \frac{S_h}{V_3} = \frac{1}{2G_5} \left(\frac{r_h}{L}\right)^3.$$
(2.30)

⁵We have introduced an extra factor of 2 due to the \mathbb{Z}_2 orbifold convention.

3 Spacetime fluctuations

We analyze the fluctuations of the 5D metric in the \mathcal{M}_{ν}^{\pm} spacetimes. The spectrum of these fluctuations contains important bits of information. In particular it tells us about the stability of the \mathcal{M}_{ν}^{\pm} spacetimes, and whether or not gravity decouples at low energy in each of them.

In this section it is convenient to work in conformal coordinates. Notice that the properties of the spacetimes on each side of the brane are not symmetric, see eq. (2.14). For $\nu \neq 1$, z is in \mathbb{R}_+ , thus we have a bounded interval $z \in (0, z_b]$ to the left of the brane, but a half-bounded interval $z \in [z_b, \infty)$ to the right of the brane. This fact has consequences for the spectrum, as is shown further below. We study each region systematically.

We first detail in subsection 3.1 our approach to spacetime fluctuations. Along the process, we review some technical points that are usually left unexplained in the warped extra dimension literature, and correct a statement about gauge fixing. Additional material is collected in Appendices A and B.

3.1 Parametrization and gauge-fixing

The metric fluctuations have in general the form $g_{MN} + \tilde{h}_{MN}$. Invariance of the action under diffeomorphisms implies the gauge symmetry $\tilde{h}_{MN} \rightarrow \tilde{h}_{MN} + \nabla_M \xi_N + \nabla_N \xi_M$ that must be used to remove five unphysical degrees of freedom. If one works with a boundary effective action in which the fifth dimension is integrated out, the 5D gauge symmetry becomes a 4D gauge symmetry plus Stückelberg transformations. Details on the gauge fixing are given in appendix A, see also [29]. Our specific gauge choice is given below.

We parametrize the fluctuation in the form $g_{MN} + \tilde{h}_{MN} \equiv e^{-2A(z)}(\eta_{MN} + 2M_5^{-3/2}h_{MN})$ for convenience. We plug the metric fluctuations into the general action (2.1). The fluctuation of the Ricci scalar is computed via an identity using conformal rescaling to flat space $g_{MN} = e^{-2A(z)}\eta_{MN}$, which gives

$$^{(5)}R = e^{2A(z)} \left({}^{(5)}R_{\text{flat}} + 8^{(5)} \Box A - 12(\partial_M A)^2 \right) , \qquad (3.1)$$

and the middle term is conveniently integrated by parts. On the right-hand side, contractions are done using the 5D Minkowski metric η_{MN} .

The fluctuations of ${}^{(5)}R_{\text{flat}}$ at quadratic order in h_{MN} are well-known, see e.g. [30]. Before gauge-fixing, the expression contains the combination ${}^{(5)}R_{\text{flat}} \supset \frac{1}{2} ((\partial_5 h_{\mu\nu})^2 - (\partial_5 h)^2)$, which gives rise to the 4D Fierz-Pauli mass term when one decomposes the 5D fields over a basis of 4 modes and integrate out over z (see e.g. [31]). This is how the spectrum of massive gravitons appears in the boundary effective action.

⁽⁵⁾ R_{flat} contains a kinetic mixing of the longitudinal part of $h_{\mu\nu}$ with h^{55} , $\partial_{\mu}h^{\mu\nu}\partial_{\nu}h^{55}$. This is removed by a suitable field redefinition $h_{\mu\nu} \to h_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}h_{55}$. Finally, we fix the gauge such that $h_{\mu5} = 0$ and $h^{\mu}_{\mu} \equiv h = 0$, leaving the traceless part of $h_{\mu\nu}$ and h_{55} as physical degrees of freedom (see appendix A).

The physical, diagonalized fluctuations of the metric and the dilaton vev take the final form

$$ds^{2} = e^{-2A(z)} \left[e^{-2F(x,z)} \left(\eta_{\mu\nu} + \frac{2}{M_{5}^{3/2}} h_{\mu\nu}(x,z) \right) dx^{\mu} dx^{\nu} + (1+2F(x,z))^{2} dz^{2} \right],$$

$$\bar{\Phi}(x,z) = \bar{\phi}(z) + \bar{\varphi}(x,z), \qquad (3.2)$$

where $h_{\mu\nu}$ is traceless and $F \equiv \frac{1}{2}M_5^{-3/2}h_{55}$. This matches the gauge-fixed form usually taken in the warped extra dimension literature, see e.g. [32].

The extra terms in (3.1) do not produce a mass term for the $h_{\mu\nu}$ field. We show explicitly in appendix B that the quadratic fluctuation of the volume form does not produce a mass term for $h_{\mu\nu}$ either. Hence $h_{\mu\nu}$ is massless in the 5D action.

When using the gauge-fixed metric (3.2) in the equations of motions of the F and $\bar{\varphi}$ fluctuations, a constraint equation relating F and $\bar{\varphi}$ appears,

$$\bar{\phi}'(z)\bar{\varphi}(x,z) = \left(\partial_z - 2A'(z)\right)F(x,z). \tag{3.3}$$

Details are given in appendix C. This is consistent with the choices of parametrization and gauge made in [32, 33], see also [34] for related considerations. We emphasize that (3.3) is not a gauge choice by itself, a statement that is sometimes found in the warped extra dimension literature.

There is thus one physical scalar mode that is a combination of F and $\bar{\varphi}$, usually referred to as the *radion*. For our purposes, it is enough to focus on the F fluctuation. We refer to F as the radion for simplicity.

3.2 Graviton

We introduce the graviton propagator $\langle h_{\mu\nu}(x^M)h_{\rho\sigma}(x'^N)\rangle \equiv G^h_{\mu\nu,\rho\sigma}(x^M,x'^N)$. The propagator contains a tensor structure that is determined by our (partially unitary and traceless) gauge fixing. For our purposes of determining the spectrum, it is enough to focus on the identity part of the tensor structure. We define the propagator of the identity part of $G^h_{\mu\nu,\rho\sigma}$,

$$G^{h}_{\mu\nu,\rho\sigma}(x,x') = G_{h}(x,x')\mathbb{I}_{\mu\nu,\rho\sigma} + \dots, \qquad (3.4)$$

with $\mathbb{I}_{\mu\nu,\rho\sigma} = \frac{1}{2} \left(\eta_{\mu\rho} \eta_{\nu\sigma} + \eta_{\mu\sigma} \eta_{\nu\rho} \right)$ the identity on the space of 4D symmetric tensors. The wave operator for $h_{\mu\nu}$ is read from the identity term in the fluctuation of the Einstein-Hilbert action,

$$\mathcal{S}_{\rm EH} = \frac{1}{2} \int d^5 x \, e^{-3A} \left(h_{\mu\nu} \mathcal{O}^{\mu\nu,\rho\sigma} h_{\rho\sigma} \right) + \dots \,, \tag{3.5}$$

with

$$\mathcal{O}^{\mu\nu,\rho\sigma} = \mathbb{I}^{\mu\nu,\rho\sigma}\mathcal{D}, \qquad \mathcal{D} = e^{3A}\partial_z \left(e^{-3A}\partial_z\right) + \Box^{(4)}. \qquad (3.6)$$

The G_h propagator in (3.4) satisfies a scalar equation of motion,

$$\mathcal{D}G_h(x, x') = ie^{3A}\delta^{(5)}(x - x').$$
(3.7)

Plugging the metric solutions and Fourier transforming along the Minkowski slices, the wave operator is

$$\mathcal{D} = \partial_z^2 + \frac{3}{\nu^2 - 1} \frac{1}{z} \partial_z - p^2, \qquad (3.8)$$

with $p^2 = \eta_{\mu\nu}p^{\mu}p^{\nu}$. The homogeneous solutions of (3.7) are any combination of $z^{\alpha}I_{\alpha}(\sqrt{p^2}z)$, $z^{\alpha}K_{\alpha}(\sqrt{p^2}z)$, where I_{α} , K_{α} are the modified Bessel functions with order

$$\alpha = \frac{1}{2} \frac{(4 - \nu^2)}{(1 - \nu^2)}.$$
(3.9)

The order satisfies $\alpha \ge 2$ for $0 \le \nu < 1$ and $\alpha < 0$ for $1 < \nu < 2$. The case $\nu = 1$ has been analyzed in [14] and is not repeated here.

3.2.1 The $z \in (0, z_b]$ region

The boundary condition of the graviton on the brane is Neumann, $\partial_z G^h(z, z')|_{z=z_b} = 0$. We require regularity of the solutions in the $z \to 0$ limit. In this region it is convenient to use the basis of solutions $f_{\pm} = z^{\alpha} I_{\pm \alpha}(\sqrt{p^2}z)$, where $I_a(x)$ is the modified Bessel function of the first kind.⁶

Case $0 \leq \nu < 1$ **.** This is the $\mathcal{M}_{\nu<1}^+$ spacetime. In that case f_+ is the regular solution at $z \to 0$, since $f_+/f_- \xrightarrow[z \to 0]{} 0$. The bulk propagator is given by

$$G_h(z, z'; p) = -\frac{i}{C} f_+(z_{<}) \left(f_-(z_{>}) - \frac{f'_-(z_b)}{f'_+(z_b)} f_+(z_{>}) \right) , \qquad (3.10)$$

where $z_{\leq} = \min(z, z'), z_{\geq} = \max(z, z')$. The *C* constant is fixed by the Wronskian of f_{\pm} via $f'_{\pm}f_{-} - f'_{-}f_{+} \equiv Ce^{3A}$ (see [35]).

In particular, the brane-to-brane propagator reduces to

$$G_h(z_b, z_b; p) = -i|1 - \nu^2|^3 \eta^3 z_b^3 \frac{I_\alpha(\sqrt{p^2} z_b)}{I_{\alpha-1}(\sqrt{p^2} z_b)} \frac{1}{\sqrt{p^2}}.$$
(3.11)

In the low-momentum limit,

$$G_h(z_b, z_b; p) \xrightarrow[p \to 0]{} -i\eta^3 z_b^4 \frac{(1-\nu^2)^4}{4-\nu^2}.$$
 (3.12)

Case 1 $< \nu < 2$. This is the $\mathcal{M}_{\nu>1}^-$ spacetime. In that case f_- is the regular solution at $z \to 0$, since $f_-/f_+ \xrightarrow[z \to 0]{} 0$. The bulk propagator is given by

$$G_h(z, z'; p) = -\frac{i}{C} f_-(z_{<}) \left(f_+(z_{>}) - \frac{f'_+(z_b)}{f'_-(z_b)} f_-(z_{>}) \right) .$$
(3.13)

The brane-to-brane propagator is

$$G_h(z_b, z_b; p) = -i|1 - \nu^2|^3 \eta^3 z_b^3 \frac{I_{-\alpha}(\sqrt{p^2} z_b)}{I_{1-\alpha}(\sqrt{p^2} z_b)} \frac{1}{\sqrt{p^2}}.$$
(3.14)

In the low-momentum limit,

$$G_h(z_b, z_b; p) \xrightarrow[p \to 0]{} -i\eta^3 z_b^2 \frac{(\nu^2 - 1)^2 (\nu^2 + 2)}{p^2}.$$
 (3.15)

Spectrum. We can read the spectrum from the non-analyticities of the propagators (e.g. eqs. (3.11) and (3.14)) in the $-p^2 \in \mathbb{R}_+$ region. In the present case, it is a tower of poles corresponding to the zeros of the Bessel J functions. In both cases, the spectrum is discrete with spacing $m_n \sim \frac{n\pi}{z_b}$ at large n. This can be understood as a consequence of the fact that the interval in z is bounded. The wave functions of the massive modes tend to be localized towards the brane.

⁶A different basis is required in case α is integer.

There is however an important difference between the \mathcal{M}_{ν}^{+} and \mathcal{M}_{ν}^{-} cases. At the level of the spectrum, it turns out that \mathcal{M}_{ν}^{-} has a graviton massless mode, while \mathcal{M}_{ν}^{+} does not, as can be seen from the low-energy limits. We relate this feature to the fact that, in the \mathcal{M}_{ν}^{-} case, z = 0 corresponds to the curvature singularity, while in the \mathcal{M}_{ν}^{+} case, z = 0 corresponds instead to asymptotically flat infinity in the r coordinates. The massless mode is not localized towards the brane. The singularity in the \mathcal{M}_{ν}^{-} case has, somehow, a confining effect that gives the zero mode a finite normalization. In contrast, for \mathcal{M}_{ν}^{+} , even though the interval is bounded, there is no physical boundary at z = 0, and accordingly no normalizable massless mode exists in the spectrum. A similar phenomenon appears in the LD spacetime, see [14].

3.2.2 The $z \in [z_b, \infty)$ region

The boundary condition of the graviton on the brane is Neumann, $\partial_z G^h(z,z')|_{z=z_b} = 0$. We require regularity of the solutions in the $z \to \infty$ limit. In this region it is convenient to use the basis of solutions $\{i_\alpha(z), k_\alpha(z)\} \equiv \{z^\alpha I_\alpha(\sqrt{p^2}z), z^\alpha K_\alpha(\sqrt{p^2}z)\}$, where $K_\alpha(x)$ is the modified Bessel function of the second kind.

In that region, for either ν smaller or larger than one, the regular solution at $z \to \infty$ is k_{α} . The bulk propagator is thus

$$G_h(z, z'; p) = -\frac{i}{C} \left(i_\alpha(z_{<}) - \frac{i'_\alpha(z_b)}{k'_\alpha(z_b)} k_\alpha(z_{<}) \right) k_\alpha(z_{>}).$$
(3.16)

The brane-to-brane propagator is

$$G_h(z_b, z_b; p) = -i|1 - \nu^2|^3 \eta^3 z_b^3 \frac{K_\alpha(\sqrt{p^2} z_b)}{K_{\alpha-1}(\sqrt{p^2} z_b)} \frac{1}{\sqrt{p^2}}.$$
(3.17)

We analyze the small momentum limit for both cases of ν .

Case $0 \leq \nu < 1$. This is the $\mathcal{M}_{\nu<1}^{-}$ spacetime. The brane-to-brane propagator in the small momentum regime is

$$G_h(z_b, z_b; p) = -i\eta^3 z_b^4 \frac{|1 - \nu^2|^3}{2} \left(\frac{p^2 z_b^2}{4\alpha - 4} + \frac{\Gamma(1 - \alpha)}{\Gamma(\alpha)} \left(\frac{\sqrt{p^2} z_b}{2} \right)^{2\alpha} \right)^{-1} \left(1 + O(p^2) \right) . \quad (3.18)$$

Case $1 < \nu < 2$. This is the $\mathcal{M}^+_{\nu>1}$ spacetime. The brane-to-brane propagator in the small momentum regime is

$$G_h(z_b, z_b; p) = -i\eta^3 z_b^4 \frac{|1 - \nu^2|^3}{2} \left(-\alpha + \frac{\Gamma(\alpha + 1)}{\Gamma(-\alpha)} \left(\frac{\sqrt{p^2} z_b}{2} \right)^{-2\alpha} \right)^{-1} \left(1 + O(p^2) \right).$$
(3.19)

Spectrum. In both cases, the spectrum features an ungapped continuum, described by the leading non-analytical terms $p^{2\alpha}$ and $p^{-2\alpha}$. In the $\nu < 1$ case, the spectrum also features a massless mode. This mode is not a ghost since $\alpha \geq 2$ on $\nu \in [0, 1)$. This mode is absent in the $\nu > 1$ case. We thus obtain again that the existence of the zero mode is tied to the space being bounded by the curvature singularity.

Holography. The case of AdS₅ spacetime truncated by a UV brane, which is the familiar background to study AdS/CFT, is recovered from the \mathcal{M}_{ν}^{-} region when taking $\nu = 0$. In that case, the form of the propagator (3.18) is interpreted as a 4D free field mixing with a CFT operator with dimension $\Delta = 2 + \alpha = 4$, which is the dimension of the 4D CFT stress-energy tensor. One may notice that for $\nu \neq 0$, the structure of (3.18) remains unchanged. Therefore, if one speculatively generalizes the holographic interpretation to any $0 \leq \nu < 1$, we may interpret (3.18) as a 4D graviton coupled to a 4D stress tensor with dimension

$$\Delta = 2 + \alpha \tag{3.20}$$

in the dual theory. Since $\alpha > 2$ for $0 < \nu < 1$, this dual stress tensor is an irrelevant operator. It would be very interesting to find a suitably deformed 4D CFT that reproduces such a behavior.

Braneworld. A \mathcal{M}_{ν}^{-} braneworld scenario with $\nu < 1$ could be viable due to the existence of the graviton zero mode. For non-integer α , the graviton continuum induces a modification to the Newtonian potential of the form

$$\Delta V_{\text{Newton}}(R) \sim \frac{1}{R} \Delta(R), \quad \Delta(R) = \left(\frac{z_b}{R}\right)^{2\alpha - 2}$$
 (3.21)

(see e.g. [36, 37] for calculations in AdS and LD cases). Notice that the AdS case ($\alpha = 2$) is recovered from (3.21), but recovering the LD case is not possible from this simple estimate because a mass gap must emerge in the LD limit. Similar deviations with non-integer powers have been pointed out in the non-gravitational case in AdS background [38–40]. In the gravitational case, having a non-AdS background is mandatory to reach a non-integer scaling.

Constraints from Newtonian gravity at distances $R \sim 25 \,\mu m$ [41] lead, after imposing the condition $\Delta(R) \leq 1$, to the mild bound

$$\frac{1}{z_b} \gtrsim 0.01 \text{ eV}. \tag{3.22}$$

3.3 Radion

We repeat our analysis for the radion fluctuation F. Due to the gauge fixing (3.3), we know that, even though F is not the physical radion, it does have the same spectrum as the physical one.⁷ We thus use F as a proxy to find the radion spectrum. We refer to F as the radion in the following.

The wave operator for the radion is [32, 33]

$$\mathcal{D}F = e^A X \partial_z \left(\frac{e^A}{X} \partial_z (e^{-2A}F)\right) + \left(\Box^{(4)} - 2X\right)F \tag{3.23}$$

with

$$X(z) = A''(z) + (A'(z))^2.$$
(3.24)

⁷We have verified explicitly this feature at the level of the radion effective action. Our study of the full radion effective action is in preparation [42].

From this homogeneous EOM, we can deduce the equation for the radion propagator $\langle F(x)F(x')\rangle \equiv G_F(x,x')$ up to a positive normalization constant $c,^8$

$$\mathcal{D}G_F(x,x') = ic \, e^{3A} X \delta^{(5)}(x-x') \,. \tag{3.25}$$

Putting in the background and going to momentum space, we have

$$\mathcal{D} = \partial_z^2 + \frac{(1+2\nu^2)}{(\nu^2 - 1)} \frac{1}{z} \partial_z - p^2.$$
(3.26)

The homogeneous solutions of (3.25) are any combination of $z^{\gamma}I_{\gamma}(\sqrt{p^2}z)$, $z^{\gamma}K_{\gamma}(\sqrt{p^2}z)$ with Bessel order

$$\gamma = \frac{1}{2} \frac{(2+\nu^2)}{(1-\nu^2)} = \alpha - 1.$$
(3.27)

It is convenient to introduce

$$\mathcal{Y}_{\pm} \equiv 2 \left[(1 - \nu^2)^2 \eta z_b^2 \frac{p^2}{U_b''} \pm 1 \right] \,, \tag{3.28}$$

where $U_b'' \equiv U_b''(v_b)$ is the second derivative of the brane-localized effective potential defined by eq. (2.22). The mass dimension is $[U_b''] = 1$.

3.3.1 The $z \in (0, z_b]$ region

The boundary condition of the radion on the brane is

$$\left(\partial_z - 2A' + \frac{2p^2 e^A}{U_b''(\phi)}\right) G_F(z, z') \Big|_{z=z_b} = 0.$$
(3.29)

We require regularity in the $z \to 0$ limit. A convenient basis of solutions is then $\{i_{\gamma}(z), \bar{i}_{\gamma}(z)\} \equiv \{z^{\gamma}I_{\gamma}(\sqrt{p^2}z), z^{\gamma}I_{-\gamma}(\sqrt{p^2}z)\}.$

Case $0 \leq \nu < 1$ **.** This is the $\mathcal{M}_{\nu<1}^+$ spacetime. In that case the regular solution at $z \to 0$ is i_{γ} , since $i_{\gamma}/\bar{i}_{\gamma} \xrightarrow{z \to 0} 0$. The bulk propagator is given by

$$G_F(z,z';p) = -\frac{i}{C}i_{\gamma}(z_{<})\left(\overline{i}_{\gamma}(z_{>}) - \frac{\overline{i}_{\gamma}'(z_b) + \mathcal{X}_+ \overline{i}_{\gamma}(z_b)}{i_{\gamma}'(z_b) + \mathcal{X}_+ i_{\gamma}(z_b)}i_{\gamma}(z_{>})\right), \qquad (3.30)$$

where $\mathcal{X}_{+} \equiv -2A'(z_b) + \frac{2p^2}{U''_{b}}e^{A(z_b)}$, and the constant *C* is fixed by $i'_{\gamma}\bar{i}_{\gamma} - \bar{i}'_{\gamma}i_{\gamma} \equiv C c e^{3A}X$. The brane-to-brane propagator reduces to

$$G_F(z_b, z_b; p) = -i \frac{Z}{\mathcal{Y}_- + |1 - \nu^2| \frac{I_{\gamma-1}\left(\sqrt{p^2} z_b\right)}{I_{\gamma}\left(\sqrt{p^2} z_b\right)} \sqrt{p^2} z_b}$$
(3.31)

⁸The dependence in $e^{3A}X$ is enforced by the single- ∂_z term of the EOM. This term determines the Wronskian of the solutions, which for consistency must be proportional to the $\delta^{(5)}(x-x')$ term [35]. Here this is more easily derived by studying the EOM of the $\tilde{F} \equiv e^{-2A}F$ field. The Wronskian of the \tilde{F} EOM is found to be $\propto e^{-A}X$. Going back from $G_{\tilde{F}}$ to G_F then produces the $e^{3A}X$ factor used in eq. (3.25).

with $Z = c\nu^2(1-\nu^2)^2\eta^3 z_b^2$. Since our focus is on the spectrum, the precise value of Z is irrelevant, we only need to know that Z > 0. The same constant appears below.

In the low-momentum limit, an isolated mode appears with mass

$$m_0^2 = \frac{\nu^2 (4 - \nu^2) U_b''}{(1 - \nu^2)^2 [U_b'' + 2(4 - \nu^2)\eta] z_b^2}.$$
(3.32)

This mode becomes massless in the limits $\nu \to 0$ or $U_b'' \to 0$.

Case 1 $< \nu < 2$. This is the $\mathcal{M}_{\nu>1}^-$ spacetime. In that case the regular solution at $z \to 0$ is \bar{i}_{γ} . The bulk propagator is given by

$$G_F(z,z';p) = -\frac{i}{C}\bar{i}_{\gamma}(z_{<})\left(i_{\gamma}(z_{>}) - \frac{i'_{\gamma}(z_b) + \mathcal{X}_+ i_{\gamma}(z_b)}{\bar{i}'_{\gamma}(z_b) + \mathcal{X}_+ \bar{i}_{\gamma}(z_b)}\bar{i}_{\gamma}(z_{>})\right).$$
(3.33)

The C constant is fixed by $i'_{\gamma}i_{\gamma} - i'_{\gamma}\bar{i}_{\gamma} \equiv C c e^{3A}X$. The brane-to-brane propagator is

$$G_F(z_b, z_b; p) = -i \frac{Z}{\mathcal{Y}_+ + |1 - \nu^2| \frac{I_{1-\gamma} \left(\sqrt{p^2} z_b\right)}{I_{-\gamma} \left(\sqrt{p^2} z_b\right)} \sqrt{p^2} z_b} .$$
(3.34)

In the low-momentum limit, an isolated mode appears with mass

$$m_0^2 = \frac{6\nu^2 U_b''}{(\nu^2 - 1)^2 [U_b'' + 6\nu^2 \eta] z_b^2} \,. \tag{3.35}$$

Spectrum. In both cases, the spectrum features a discretum with spacing $m_n \sim \frac{n\pi}{z_b}$, as in the graviton case. The spectrum features, in either cases, a stable massive isolated mode which is non-ghost and non-tachyonic provided that $U''_b > 0$.

3.3.2 The $z \in [z_b, \infty)$ region

The boundary condition of the radion on the brane is

$$\left(\partial_z - 2A' - \frac{2p^2 e^A}{U_b''(\phi)}\right) G_F(z, z') \bigg|_{z=z_b} = 0.$$
(3.36)

We require regularity in the $z \to \infty$ limit. A convenient basis of solutions is then $\{i_{\gamma}(z), k_{\gamma}(z)\} \equiv \{z^{\gamma}I_{\gamma}(\sqrt{p^2}z), z^{\gamma}K_{\gamma}(\sqrt{p^2}z)\}$. In that case, for either ν smaller of larger than one, the regular solution at $z \to \infty$ is k_{γ} . The bulk propagator is given by

$$G_F(z,z';p) = -\frac{i}{C} \left(i_\gamma(z_{<}) - \frac{i'_\gamma(z_b) + \mathcal{X}_- i_\gamma(z_b)}{k'_\gamma(z_b) + \mathcal{X}_- k_\gamma(z_b)} k_\gamma(z_{<}) \right) k_\gamma(z_{>}), \qquad (3.37)$$

where $\mathcal{X}_{-} \equiv -2A'(z_b) - \frac{2p^2}{U_b''} e^{A(z_b)}$. The *C* constant is fixed by $\pm \left(i'_{\gamma}k_{\gamma} - k'_{\gamma}i_{\gamma}\right) \equiv C c e^{3A} X$ for \mathcal{M}^{\mp} .

Case $0 \leq \nu < 1$. This is the $\mathcal{M}_{\nu<1}^{-}$ spacetime. The brane-to-brane propagator is

$$G_F(z_b, z_b; p) = -i \frac{Z}{\mathcal{Y}_+ + |1 - \nu^2| \frac{K_{\gamma - 1}\left(\sqrt{p^2} z_b\right)}{K_{\gamma}\left(\sqrt{p^2} z_b\right)} \sqrt{p^2} z_b}}.$$
(3.38)

There is an isolated mode, that is non-ghost and non-tachyonic provided that $U_b'' \ge 0$. The mass is

$$m_0^2 = \frac{6\nu^2 U_b''}{(1-\nu^2)^2 [U_b'' + 6\nu^2 \eta] z_b^2} \,. \tag{3.39}$$

When ν approaches 0, appropriate Bessel approximation has to be used. We find that the mass is nonzero at $\nu = 0$, $m_0^2 \simeq \frac{U_b''}{\eta z_*^2}$.

Case $1 < \nu < 2$. This is the $\mathcal{M}_{\nu>1}^+$ spacetime. The brane-to-brane propagator is

$$G_F(z_b, z_b; p) = -i \frac{Z}{\mathcal{Y}_- + |1 - \nu^2| \frac{K_{\gamma - 1}\left(\sqrt{p^2} z_b\right)}{K_{\gamma}\left(\sqrt{p^2} z_b\right)} \sqrt{p^2} z_b} .$$
(3.40)

There is an isolated mode with mass

$$m_0^2 = \frac{\nu^2 (4 - \nu^2) U_b''}{(\nu^2 - 1)^2 \left[U_b'' + 2(4 - \nu^2) \eta \right] z_b^2} \,. \tag{3.41}$$

Spectrum. In both cases, the spectrum features an ungapped continuum. The spectrum features also an isolated mode that mixes with the continuum and acquires a decay width due to this mixing. The isolated mode is not stable, it can decay into the continuum. It is non-ghost and non-tachyonic if $U_b'' > 0$.

3.3.3 Radion mode and stability

We recapitulate the properties of the isolated radion mode. The radion mode exists in all regions and is non-ghost and non-tachyonic if

$$U_b'' > 0.$$
 (3.42)

For $\nu \to 0$ the radion mode becomes massless in \mathcal{M}^+_{ν} but remains massive in \mathcal{M}^-_{ν} . We also find that the radion wavefunction is localized towards the brane in \mathcal{M}^+_{ν} , while it has flat profile in \mathcal{M}^-_{ν} . Thus in \mathcal{M}^-_{ν} the singularity acts somehow as a second boundary that allows the isolated mode to exist, analogously to the graviton case.

The study of the isolated radion mode is the extension of the holographic potential analysis of section 2.2. While in section 2.2 we obtain only a stability condition, here we determine the actual mass of the radion fluctuation. We find that the mass of the mode is described by the same expression in the $\mathcal{M}_{\nu<1}^+$ and $\mathcal{M}_{\nu>1}^+$ cases, and similarly in the $\mathcal{M}_{\nu<1}^-$ and $\mathcal{M}_{\nu>1}^-$ cases. Even though these masses are respectively proportional to $V_b'' \mp W''$, the fact that the expressions match exactly at $\nu < 1$ and $\nu > 1$ is unexpected, especially because the various spacetime regions and the rest of the spectra are very different. A full computation of the radion effective action might be needed to fully understand this unexpected simplicity. We leave this for future work.

4 Holographic fluids

We consider the \mathcal{M}_{ν} spacetime with a planar black hole and a brane. The black hole is always in the \mathcal{M}_{ν}^{-} region, as shown in section 2.

4.1 Effective Einstein equation

We study the gravitational behavior of the theory projected onto the brane. The induced metric on the brane at $r = r_b$ is

$$d\bar{s}^{2} = \bar{g}_{\mu\nu}dx^{\mu}dx^{\nu} = -dt^{2} + e^{-2A(r_{b})}d\mathbf{x}^{2}, \qquad (4.1)$$

where the brane proper time is $dt = e^{-A(r_b)} \sqrt{f(r_b)} d\tau$. Notice the $e^{-A(r_b)}$ amounts to a spatial scale factor.

To study gravity in the holographic theory, we compute the effective 4-dimensional Einstein equations as seen by a brane observer. These are computed by projecting the 5-dimensional Einstein equations on the brane using the Gauss equation and the Israel junction condition (see [9] for the original calculation in AdS_5). We recall that we use a \mathbb{Z}_2 orbifold convention as in [9] which implies that the spacetime is mirrored on the other side of the brane. A notable implication is that the entropy of the black hole horizon is doubled when using this convention, see e.g. ref. [43].

Following [14], we find that the effective Einstein equations have the form

$${}^{(d)}G_{\mu\nu} = \frac{1}{M_4^2} \left(T^b_{\mu\nu} + T^{\text{eff}}_{\mu\nu} \right) + O\left(\frac{T^2_b}{M_5^6} \right) \,, \tag{4.2}$$

where $T^b_{\mu\nu}$ is the stress tensor of possible brane-localized matter. The indices in (4.2) are contracted with the induced metric (4.1). Equation (4.2) has the form of the standard Einstein equations with an extra effective stress tensor $T^{\text{eff}}_{\mu\nu}$. Moreover, it turns out that the structure of the $T^{\text{eff}}_{\mu\nu}$ tensor represents a 4-dimensional perfect fluid at rest:

$$T_{\nu}^{\text{eff},\mu} = g^{\mu\lambda}T_{\lambda\nu}^{\text{eff}} = \text{diag}(-\rho_{\text{eff}}, P_{\text{eff}}, \cdots, P_{\text{eff}}).$$
(4.3)

We refer to this as the holographic fluid. See [14] for further details.

The effective energy density and pressure in (4.3) split as

$$\rho_{\text{eff}} = \rho_{\text{fluid}} + \rho_{\text{vacuum}}, \qquad P_{\text{eff}} = P_{\text{fluid}} + P_{\text{vacuum}}.$$
(4.4)

The vacuum contributions are independent on ν , r_b and r_h . They are given by

$$\rho_{\text{vacuum}} = -P_{\text{vacuum}} = \Lambda_4 M_4^2 \,. \tag{4.5}$$

The 4D cosmological constant Λ_4 and Planck mass M_4 are related to the parameters of the bulk action as

$$\Lambda_4 = -3\eta^2 + \frac{\Lambda_b^2}{12M_5^6}, \qquad (4.6)$$

$$M_5^3 = M_4^2 \eta \sqrt{1 + \frac{\Lambda_4}{3\eta^2}} \,. \tag{4.7}$$

The fluid contributions depend on ν , r_b and r_h . They are

$$\rho_{\rm fluid}(r_h, r_b) = 3\eta^2 M_4^2 \left(\frac{r_h}{r_b}\right)^{4-\nu^2} \,, \tag{4.8}$$

$$P_{\rm fluid}(r_h, r_b) = (1 - \nu^2) \eta^2 M_4^2 \left(\frac{r_h}{r_b}\right)^{4 - \nu^2} .$$
(4.9)

For $\nu = 0$, ρ_{fluid} and P_{fluid} scale as r_b^{-4} and we have $\rho_{\text{fluid}} = 3P_{\text{fluid}}$, as expected from AdS₅. For $\nu = 1$, the pressure vanishes identically and ρ_{fluid} scales as r_b^{-3} , which is the scaling of pressureless matter. Once again, something peculiar happens for the LD₅ spacetime.

Finally, for the critical case $\nu \to 2$, the r_b dependence of ρ_{fluid} , P_{fluid} vanishes and we have $P_{\text{fluid}} \to -\rho_{\text{fluid}}$ asymptotically. Thus the fluid tends to behave as vacuum energy, i.e. as a cosmological constant for $\nu \to 2$. In that limit, the separation of the terms in (4.4) does not hold. Accordingly, a direct calculation of the $\nu = 2$ case simply gives $\rho_{\text{fluid}} = 0 = P_{\text{fluid}}$, because the black hole cannot exist in this critical case.

All these results are summarized into the equation of state of the holographic fluid, $P_{\text{fluid}} = w_{\text{fluid}} \rho_{\text{fluid}}$ with parameter

$$w_{\text{fluid}} = \frac{1 - \nu^2}{3} \,.$$
 (4.10)

4.2 Thermodynamics

We study the thermodynamics of the holographic fluid. The physical parameters of the system are the horizon location r_h and the brane location r_b . We treat all the thermodynamical variables as functions of these two parameters.

We define the volume and temperature of the system. These are related to the comoving volume $V_3 = \int d^3x$ and to r_h by powers of the scale factor

$$a(r_b) \equiv e^{-A(r_b)} = \frac{r_b}{L}.$$
 (4.11)

The spatial volume of the brane is given by

$$V_b = V_3 a(r_b)^3 = V_3 \left(\frac{r_b}{L}\right)^3.$$
(4.12)

The black hole temperature on the brane is⁹

$$T_b = \frac{T_h}{a(r_b)} = \frac{4 - \nu^2}{4} \frac{\eta}{\pi} \left(\frac{r_b}{r_h}\right)^{\nu^2 - 1} .$$
(4.13)

Using the energy density obtained in (4.8), the total energy of the system is

$$E_{\rm fluid}(r_h, r_b) = \rho_{\rm fluid}(r_h, r_b) V_b = 3\eta^2 M_4^2 \left(\frac{r_h}{L}\right)^3 \left(\frac{r_h}{r_b}\right)^{1-\nu^2} V_3.$$
(4.14)

Using this expression of E_{eff} and using T_b and V_b , we can derive the rest of the thermodynamic variables.

⁹The temperature on the brane is obtained from the horizon temperature by multiplying with $1/\sqrt{|g_{\tau\tau}|}$. In the present analysis we are assuming $r_h \ll r_b$, hence we neglect the $1/\sqrt{f(r_b)}$ factor as it is a small correction.

By the fundamental laws of thermodynamics, the variation of the total energy of the system should satisfy

$$dE = TdS - PdV. (4.15)$$

From this, we get the relation

$$\left[\frac{\partial E_{\text{fluid}}}{\partial r_h} - T_b \frac{\partial S_{\text{fluid}}}{\partial r_h}\right] dr_h + \left[\frac{\partial E_{\text{fluid}}}{\partial r_b} + P_{\text{fluid}} \frac{\partial V_b}{\partial r_b} - T_b \frac{\partial S_{\text{fluid}}}{\partial r_b}\right] dr_b = 0.$$
(4.16)

Each bracket must separately vanish. From the first bracket, we find that

$$S_{\text{fluid}}(r_h, r_b) = 4\pi\eta M_4^2 \left(\frac{r_h}{L}\right)^3 V_3 + C_S(r_b), \qquad (4.17)$$

where $C_S(r_b)$ is an arbitrary function on r_b . We set C_S to zero using that S_{eff} should vanish in the $r_h \to 0$ limit, for which the black hole does not exist. Plugging this result into the second bracket, we obtain the pressure, which matches exactly the expression obtained in eq. (4.9). This provides a nontrivial consistency check of our thermodynamic approach.

Finally, the free energy of the system is

$$F_{\rm fluid} \equiv E_{\rm fluid} - T_b S_{\rm fluid} = -(1-\nu^2)\eta^2 M_4^2 \left(\frac{r_h}{L}\right)^3 \left(\frac{r_h}{r_b}\right)^{1-\nu^2} V_3, \qquad (4.18)$$

which coincides with $-P_{\text{eff}}V_b$.

These results make clear that the thermodynamics of the linear dilaton spacetime is very particular. For $\nu = 1$, we have $F_{\text{fluid}} = 0$, $T_b = \text{cste}$ and $S_{\text{fluid}} \propto E_{\text{fluid}}$, which is the so-called Hagedorn behavior. See [14] for more details.

We notice that the fluid entropy S_{fluid} can be independently derived from the Bekenstein-Hawking entropy of the black hole. Starting from the entropy density s_h obtained in (2.30), we multiply by the redshift factor to get the entropy density in the brane, i.e. $s_b = s_h/a(r_b)^3$. Multiplying with V_b , using the relation between the Planck masses of eq. (4.7) and assuming $\Lambda_4 \ll \eta^2$, the result precisely reproduces eq. (4.17):

$$s_b V_b = 4\pi M_5^3 \left(\frac{r_h}{L}\right)^3 V_3 = S_{\text{fluid}}.$$
 (4.19)

In other words, we find $S_{\text{fluid}} = S_h$, i.e. the entropy of the holographic fluid matches exactly the black hole entropy.

In analogy with the matching of the entropies, we may expect that an appropriately defined mass density of the planar black hole, computed for example along the lines of [22], could also reproduce ρ_{fluid} upon redshifting. This is an interesting calculation that is left for future research.

4.3 Time evolution of the holographic fluid

We let the brane location evolve in time, $r_b = r_b(t)$. Computing the time evolution of the system serves as a consistency check for our formal results. This is also a development that can be used for the study of realistic braneworld models beyond AdS₅, see discussion

in next subsection. The low-energy regime $\rho_b \ll \eta^2 M_4^2$ implies $H \ll \eta$ and the brane motion is nonrelativistic. We introduce the scale factor $a_b(t) \equiv a(r_b(t))$ and the Hubble parameter $H \equiv \dot{a}_b(t)/a_b(t)$.

In this subsection we assume that there is no brane-localized matter, $T^b_{\mu\nu} = 0$. The braneworld is empty, apart from the contributions from the holographic fluid and the vacuum energy both encoded into $T^{\text{eff}}_{\mu\nu}$. Starting from the effective Einstein equation (4.2), the Friedmann equations on the brane are

$$3M_4^2 H^2 \approx -\rho_{\text{eff}}$$
, $6M_4^2 \frac{a_b''(t)}{a_b(t)} \approx -(\rho_{\text{eff}} + 3P_{\text{eff}})$. (4.20)

The solution of the Friedmann equations including both the perfect fluid contribution and the cosmological constant term is

$$a_b(t) = a_h \left[(4 - \nu^2) \frac{\eta}{2\gamma} \sinh(\gamma(t - t_*)) \right]^{\frac{2}{4-\nu^2}}, \quad (0 \le \nu < 2)$$
(4.21)

where

$$\gamma \equiv \frac{1}{2} (4 - \nu^2) \sqrt{\frac{\rho_{\text{vacuum}}}{3M_4^2}}, \qquad (4.22)$$

and $a_h \equiv r_h/L$ while t_* is an integration constant that can be freely chosen.

The solution eq. (4.21) reproduces a power-law behavior for a fluid-dominated universe of the form

$$a_b(t) \propto t^{\frac{2}{3(1+w_{\text{fluid}})}} \qquad (\rho_{\text{vacuum}} \ll \rho_{\text{fluid}}(r_b))$$
(4.23)

with w_{fluid} given by eq. (4.10). Also, eq. (4.21) leads to an exponential behavior for a universe dominated by the cosmological constant

$$a_b(t) \propto \exp(Ht) \qquad (\rho_{\text{vacuum}} \gg \rho_{\text{fluid}}(r_b)), \qquad (4.24)$$

with $H = \sqrt{\Lambda_4/3}$.

The conservation equation of the bulk stress tensor evaluated on the brane can be written as [13, 44]

$$\dot{\rho}_{\text{eff}} + 4H\rho_{\text{eff}} + HT_{\mu}^{\text{eff}\ \mu} = -2\left(1 + \frac{\rho_b}{\Lambda_b}\right)T_{MN}^{\phi}u^M n^N.$$
(4.25)

It turns out that $T^{\phi}_{MN}u^M n^N = O(H^3)$, the r.h.s. of this equation is negligible in the lowenergy regime. Using that $T^{\text{eff}\,\mu}_{\mu} = -\nu^2 \rho_{\text{fluid}}(r_b) - 4\rho_{\text{vacuum}}$, it is easy to verify that the conservation equation is satisfied.

Finally, the limiting case $\nu = 2$ has vacuum contribution only, such that the solution of the Friedmann equations is given by eq. (4.24). In this case $\rho_{\text{fluid}}(r_b) = 0$, and the conservation equation is also satisfied.

4.4 A cosmological dark fluid

We may identify the brane-localized matter $T^b_{\mu\nu}$ in eq. (4.2) with the known matter content of our universe. The \mathcal{M}^-_{ν} model then defines a braneworld scenario. In the low-energy regime, the main cosmological consequence is the presence of the holographic fluid.

In the LD₅ case the fluid may be identified as dark matter [37, 45]. But more generally for $\nu \neq 1$, given the equation of state established in (4.10), the behavior of the "dark fluid" can lie anywhere between radiation-like and vacuum energy-like. Radiation corresponds to $\nu = 0$, i.e. $w_{\text{fluid}} = \frac{1}{3}$, which is the well-known AdS₅ case. Vacuum energy behavior corresponds to the $\nu \rightarrow 2$ limit, i.e. $w_{\text{fluid}} = -1$. This range of possibilities implies that the dark fluid may possibly dominate at some intermediate phase of the evolution of the universe. Here we do not undertake the study of this intriguing set of possibilities — a detailed analysis of each cases would deserve a separate work.

As a simple constraint, we just require that the fluid energy density be negligible at present times. This constraint, together with the one from Newtonian gravity shown in (3.22), translates as bounds on the fundamental parameters of the \mathcal{M}^{-}_{ν} braneworld, that we now present.

The relationship between conformal and cosmological coordinates leads to

$$|1 - \nu^2|\eta z_b = \frac{L}{r_b(t)}, \qquad (4.26)$$

and makes $z_b = z_b(t)$. The bound (3.22) on z_b refers to the present time t_0 , for which we define $a_b(t_0) = r_b(t_0)/L = 1$. This translates into the mild bound on the η parameter

$$\eta = \frac{1}{|1 - \nu^2|z_b(t_0)} \gtrsim \frac{0.01 \text{ eV}}{|1 - \nu^2|}, \qquad (4.27)$$

for $\nu \neq 1$. The case $\nu = 1$ has been treated in [37].

Moreover, the fraction of fluid energy density in the universe $\Omega_{\text{fluid}} = \rho_{\text{fluid}}(t)/\rho_c(t)$, where the critical energy density is defined as $\rho_c = 3H^2M_4^2$, yields

$$\Omega_{\text{fluid}} = \frac{\eta^2}{H^2} \left(\frac{r_h}{r_b}\right)^{4-\nu^2}.$$
(4.28)

We then impose the condition that the fluid energy density be negligible with respect to the other known cosmological fluid densities today: $\Omega_{\rm fluid} < \Omega_{\rm rad} \approx 10^{-4}$. This in turn provides the bound

$$\frac{r_h}{L} \lesssim \left(0.01 \frac{H_0}{\eta}\right)^{\frac{2}{4-\nu^2}} \lesssim 10^{-\frac{66}{4-\nu^2}} \tag{4.29}$$

where H_0 is the Hubble parameter today, which leads e.g. to the bound $r_h \leq 5 \times 10^{-17} L$ for $\nu = 0$, and $r_h \leq 10^{-22} L$ for $\nu = 1$.

5 Summary

We present a simple class of 5D dilaton-gravity spacetimes that includes both AdS₅ and LD₅ (the linear dilaton spacetime) as particular cases. The model has a single continuous parameter ν . We compute the planar black hole solutions of these \mathcal{M}_{ν} spacetimes.

Value of ν	$0 [AdS_5]$	(0, 1)	$1 [LD_5]$	$(1, \nu_c)$	ν_c	$(\nu_c, 2)$	2
Scalar curvature			< 0		0	> (0
Singularity	× conformal		good		X	good	bad
Timelike boundary			×		reg		
Bulk Black hole			1				×
Gravity spectrum in \mathcal{M}^{ν}	continuum		gapped cont.	discretum			
Gravity spectrum in \mathcal{M}^+_{ν}	discretum		gapped cont.	continuum		inuum	

Table 1. Elementary properties of the \mathcal{M}_{ν} spacetimes. The $\nu = \nu_c$ case with $\nu_c \equiv \sqrt{5/2}$ is not Ricci-flat. There is always a massless graviton mode in \mathcal{M}_{ν}^- , and never in \mathcal{M}_{ν}^+ . There is an isolated massive radion mode anywhere in \mathcal{M}_{ν}^{\pm} , which is resonant when the spectrum is continuous.

Depending on the ν parameter, the \mathcal{M}_{ν} spacetimes can have a timelike boundary that is either conformal or regular. \mathcal{M}_{ν} also typically features a curvature singularity, except for certain values of ν . The ν parameter is bounded (namely $\nu \in [0, 2)$) for the black hole to be allowed to exist. The black hole horizon screens the singularity.

We assume that a flat brane exists, that splits the \mathcal{M}_{ν} spacetime into inequivalent regions \mathcal{M}_{ν}^{\pm} . The \mathcal{M}_{ν}^{-} region contains the singularity and the black hole. The dilaton vev is stabilized on the brane by a potential $V_b(\phi)$. We compute the holographic potential of the dilaton-brane system and determine a stability condition on V_b that is conveniently expressed using a brane-localized effective potential U_b . We show that the brane location can be free upon an appropriate tuning of the brane tension. The brane would otherwise go into the singularity or run to infinity. This tuning turns out to be equivalent to setting the effective 4D cosmological constant to zero in the 4D holographic theory.

We perform a systematical analysis of the fluctuations of the bulk metric. Depending on the value of the ν parameter, the spectrum of the fluctuations for both gravitons and radion can be either discrete or continuous. Overall, the tendency of the spectral distribution, except for a possible isolated mode (see below), is to be localized in the direction of the curvature singularity for both \mathcal{M}^-_{ν} and \mathcal{M}^+_{ν} spaces.

The spectrum contains an isolated massless graviton mode in \mathcal{M}_{ν}^{-} . This implies that low-energy 4D EFTs arising from \mathcal{M}_{ν}^{-} feature Einstein-like gravity. The spectrum contains an isolated massive radion mode in both \mathcal{M}_{ν}^{-} and \mathcal{M}_{ν}^{+} , that is non-tachyonic in all regions provided that the second derivative of the brane-localized effective potential $U_b(\phi)$ is positive. This bound is equivalent to the one derived from the holographic potential stability, confirming stability of the solutions upon perturbations. Both graviton and radion modes have flat profiles in \mathcal{M}_{ν}^{-} . The singularity acts effectively as a second boundary that makes these modes normalizable.

The elementary properties of the \mathcal{M}_{ν}^{\pm} spacetimes are summarized in table 1. The class of \mathcal{M}_{ν} spacetimes sheds some light on the LD spacetime. It is clear from table 1 that the LD spacetime corresponds to a critical case between two regimes, this helps to make sense of its peculiar properties (see [14].

We compute the effective Einstein equation on the brane, which at low-energies features a perfect fluid that is the manifestation of the bulk black hole projected on the brane. We find that the equation of state of the holographic fluid is $w = \frac{1-\nu^2}{3}$. This interpolates between radiation behavior (AdS), non-relativistic behavior (LD), and tends to vacuum energy behavior for $\nu \to 2$. We verify the consistency of these results using the 5D conservation equation. As another check we compute the time evolution of the fluid-dominated braneworld.

The \mathcal{M}_{ν}^{-} background provides a set of braneworld models beyond AdS₅. Its main cosmological prediction is the existence of a dark fluid whose equation of state can lie anywhere between radiation ($\nu = 0$) and vacuum energy ($\nu \rightarrow 2$) behaviors. The dark fluid could dominate at some intermediate period in the history of the universe. This generates a set of intriguing modifications of the standard cosmological history that require dedicated investigation. Here we just derived a few simple bounds on the parameters of the \mathcal{M}_{ν}^{-} braneworld.

We establish the thermodynamic properties of the holographic fluid. It turns out that the entropy matches exactly the black hole Bekenstein-Hawking entropy upon appropriate redshifting. Along the same line of black hole/fluid correspondence, it would be good to evaluate the black hole mass density using the formalism of [22] to verify whether it reproduces ρ_{fluid} upon redshifting.

At the technical level, we explain in details the gauge-fixing of spacetime fluctuations in warped spacetimes, and present detailed calculations of the graviton action. We provide a detailed computation of the graviton quadratic action, using a form of the off-shell gravity action that is reminiscent of the Codazzi equation. We show that the graviton mass induced by the variation of the \sqrt{g} volume form vanishes. We also revisit the equations of motion of the radion/dilaton sector, showing that a redundancy in the equations of motion fixes an integration constant, which in turn completely fixes the relation between the metric and dilaton fluctuations, leaving the radion as the only degree of freedom.

Regarding future research directions, it would be for example interesting to study a more evolved field content of the \mathcal{M}_{ν} spacetimes. In particular, given the simplicity of the \mathcal{M}_{ν} spacetimes solutions, it would be interesting to exactly solve the Abelian Higgs model introduced in [46] in the \mathcal{M}_{ν} background, in which case the Higgs field is identified as the dilaton field. Along the same lines, it would also be interesting to study models that solve the electroweak hierarchy problem using the $\nu \ll 1$ limit, for which the Higgs/dilaton spectrum features a parametrically light mode.

Finally it would be good to further study the holographic correlators defined on the brane. In this paper we have only evaluated the two-point brane-to-brane propagators. We note that in the region \mathcal{M}_{ν}^{-} with $\nu < 1$, which is connected to the familiar case of AdS₅ with a UV brane, the two-point correlator takes a form which amounts to a simple generalization of the well-known AdS result. It would be very interesting to further explore the brane correlators of \mathcal{M}_{ν}^{-} and to search for a dual 4D theory that reproduces their features.

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A Gauge-fixing spacetime fluctuations

In curved space, the 5D fluctuation h_{MN} of the g_{MN} metric has the gauge redundancy

$$h_{MN} \to h_{MN} + \nabla_M \xi_N + \nabla_N \xi_M \,, \tag{A.1}$$

due to invariance under diffeomorphisms.

In the case of warped metrics of the general form (2.2), one can always define a decomposition over a set of orthogonal wavefunctions $h_{MN}(x,z) = \sum_{\lambda} h_{MN}^{\lambda}(x) f^{\lambda}(z)$. In the presence of a brane, a brane-localized mode can also exist, see [31] for details on the decomposition. For our purposes, it is enough to focus on a single mode f^{λ} . The gauge parameter is similarly expanded as $\xi_M(x,z) = \sum_{\lambda} \xi_M^{\lambda}(x) f^{\lambda}(z)$. The gauge transformation (A.1) can be written as

$$h^{\lambda}_{\mu\nu} \to h^{\lambda}_{\mu\nu} + \nabla_{\mu}\xi^{\lambda}_{\nu} + \nabla_{\nu}\xi^{\lambda}_{\mu}, \qquad (A.2)$$

$$h_{5\mu}^{\lambda} \to h_{5\mu}^{\lambda} + \omega^{\lambda} \xi_{\mu}^{\lambda} + \nabla_{\mu} \xi_{5}^{\lambda} , \qquad (A.3)$$

$$h_{55}^{\lambda} \to h_{55}^{\lambda} + 2\omega^{\lambda}\xi_5^{\lambda} \,, \tag{A.4}$$

where $\omega^{\lambda} = (f^{\lambda})^{-1} \nabla_5 f^{\lambda}$. The ω^{λ} may be a function of z, however the gauge transformation is done within the action, in which the z integral is ultimately done. For the present discussion we can just use (A.2)–(A.4) assuming that ω^{λ} is constant. Hence the situation is analogous to gauge fixing spacetime fluctuations in e.g. a S_1 compactification [29]: the 5D gauge symmetry becomes a 4D Stueckelberg symmetry.

The full unitary gauge. The gauge redundancy (A.2)–(A.4) can be used to set $h_{5\mu}^{\lambda} = 0$ by fixing ξ_{μ}^{λ} , and to set $h_{55}^{\lambda} = 0$ by fixing ξ_5 . This is the full unitary gauge, in which all polarizations of the massive graviton modes are encoded into the propagator of $h_{\mu\nu}^{\lambda}$. Notice that the trace of $h_{\mu\nu}^{\lambda}$ cannot be set to zero.

The traceless-unitary gauge. Instead of removing h_{55}^{λ} we can remove the 4D trace $h^{\lambda} = h_{\mu\nu}^{\lambda}g^{\mu\nu}$, along with $h_{5\mu}^{\lambda}$, as follows. The 4D trace transforms as $h^{\lambda} \to h^{\lambda} + 2\nabla^{\mu}\xi_{\mu}^{\lambda}$. We see that $\nabla^{\mu}\xi_{\mu}^{\lambda}$ is related to the longitudinal component of ξ_{μ}^{λ} , that we will call $\xi_{\mu,L}^{\lambda}$, which can then be used to remove h^{λ} . Let us then introduce the transverse and longitudinal projectors

$$P_{T,\nu}^{\mu} = \delta_{\nu}^{\mu} - \frac{\nabla^{\mu} \nabla_{\nu}}{\nabla^2}, \qquad P_{L,\nu}^{\mu} = \frac{\nabla^{\mu} \nabla_{\nu}}{\nabla^2}, \qquad (A.5)$$

that satisfy $P_{T\alpha}^{\mu}P_{L,\nu}^{\alpha} = 0$, $P_{T,\alpha}^{\mu}P_{T,\nu}^{\alpha} = P_{T,\nu}^{\mu}$, and similarly for P_L . We apply P_T to (A.3) and use that, in the general warped metric, ∇_{μ} and ∇_5 commute since $R_{\mu5} = 0$. The ξ_5^{λ} term is projected out, leaving

$$h_{5\mu,T}^{\lambda} \to h_{5\mu,T}^{\lambda} + \omega^{\lambda} \xi_{\mu,T}^{\lambda},$$
 (A.6)

with $h_{5\mu,T}^{\lambda} \equiv P_T \cdot h_{5\mu}^{\lambda}$, $\xi_{\mu,T}^{\lambda} \equiv P_T \cdot \xi_{\mu}^{\lambda}$. Using this transformation we remove the transverse component of $h_{5\mu}^{\lambda}$ using the transverse component of ξ_{μ} . We *cannot* remove the longitudinal part of $h_{\mu 5}^{\lambda}$ because the corresponding longitudinal part of ξ_{μ}^{λ} has been already used to remove the trace. However, we can use ξ_{5}^{λ} , since the longitudinal part transforms as

$$h_{5\mu,L}^{\lambda} \to h_{5\mu,L}^{\lambda} + \omega^{\lambda} \xi_{\mu,L}^{\lambda} + \nabla_{\mu} \xi_{5}^{\lambda} \,. \tag{A.7}$$

In doing so, $h_{5\mu}$ is completely removed. All gauge freedoms have been used, thus h_{55} remains unconstrained.

In summary we have traded $h_{55}^{\lambda} = 0$ for $h^{\lambda} = 0$. In this traceless and partially unitary gauge, the $h_{\mu\nu}^{\lambda}$ propagator contains spin-2 and spin-1 polarizations, while the spin-0 polarization of the massive graviton mode is separately encoded into the propagator of h_{55}^{λ} .

B Quadratic action and graviton mass

By considering the expansion of the action up to second order in the metric fluctuations, we prove in this appendix the absence of mass terms for the graviton. This is a point that is often left implicit in the warped extra dimension literature, where fluctuations of the \sqrt{g} volume form at second order are often ignored.

B.1 Codazzi form of the action

Let us consider the 5D bulk metric in proper coordinates

$$ds^2 = \gamma_{\mu\nu} dx^{\mu} dx^{\nu} + dy^2, \qquad \gamma_{\mu\nu} = e^{-2A(y)} \eta_{\mu\nu}.$$
 (B.1)

The non-vanishing Christoffel symbols are [47]

$$-\Gamma^{5}_{\mu\nu} = K_{\mu\nu} = \frac{1}{2} \dot{\gamma}_{\mu\nu} , \qquad \Gamma^{\mu}_{\nu 5} = K^{\mu}{}_{\nu} , \qquad (B.2)$$

where the dot denotes differentiation with respect to y. We consider intrinsic four dimensional curvature quantities on the y = constant surface. The 5D Ricci scalar writes in terms of 4D quantities as

$${}^{(5)}R = {}^{(4)}R - 2\dot{K} - K^2 - K_{\mu\nu}K^{\mu\nu}, \qquad (B.3)$$

with ${}^{(4)}R^{\mu}{}_{\nu\alpha\beta}$ the 4D Riemann tensor. In the 4D quantities, indices are raised and lowered with $\gamma_{\mu\nu}$, e.g. $K = \gamma^{\mu\nu}K_{\mu\nu}$, ${}^{(4)}R = \gamma^{\mu\nu}{}^{(4)}R_{\mu\nu}$. After plugging eq. (B.3) into the action of eq. (2.1), we integrate by parts the term $\propto \dot{K}$, thus producing a boundary term that exactly cancels the GHY term. The final form of this *off-shell* action writes

$$S = \int d^{5}x \sqrt{g} \left(\frac{M_{5}^{3}}{2} \left({}^{(4)}R + K^{2} - K_{\mu\nu}K^{\mu\nu} \right) - \frac{1}{2} (\partial_{\mu}\phi)^{2} - \frac{1}{2} \dot{\phi}^{2} - V(\phi) \right) - \int_{\text{brane}} d^{4}x \sqrt{\gamma} \left(V_{b}(\phi) + \Lambda_{b} \right) + S_{\text{matter}} \,.$$
(B.4)

We remind that in this manuscript we have adopted the orbifold convention in which space is mirrored on each side of the brane, as in e.g. [9].

B.2 Action at second order

Let us allow for fluctuations in the 4D part of the metric,

$$\gamma_{\mu\nu} \equiv e^{-2A(y)} \bar{\gamma}_{\mu\nu} , \qquad \bar{\gamma}_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu} . \tag{B.5}$$

We are fixing the gauge such that $h_{\mu 5} = 0$ and $h^{\mu}{}_{\mu} \equiv h = 0$. We will omit in this analysis the dilaton vev, i.e. $h_{55} = 0 = \bar{\varphi}$. Both metrics $\gamma_{\mu\nu}$ and $\bar{\gamma}_{\mu\nu}$ are related by a Weyl rescaling with scaling function $\omega \equiv A(y)$ which is constant in x^{μ} . The Ricci scalar ⁽⁴⁾R is thus expressed in terms of ⁽⁴⁾ \bar{R} (the Ricci scalar computed with the metric $\bar{\gamma}_{\mu\nu}$) as

$${}^{(4)}R = e^{2A(y)} {}^{(4)}\bar{R} \,. \tag{B.6}$$

On the other hand, the extrinsic curvature computed up to second order $\mathcal{O}(h_{\mu\nu}^2)$ leads to the following results:

$$K = -4\dot{A} - \frac{1}{2}h_{\mu\nu}\partial_5 h^{\mu\nu}, \qquad (B.7)$$

$$K_{\mu\nu}K^{\mu\nu} = 4\dot{A}^2 + \dot{A}h_{\mu\nu}\partial_5 h^{\mu\nu} + \frac{1}{4}\partial_5 h_{\mu\nu}\partial_5 h^{\mu\nu} \,. \tag{B.8}$$

Finally, after using the classical equations of motion for the background, the on-shell action becomes

$$S = \int d^5 x \sqrt{\gamma} \left[\frac{M_5^3}{2} \left(e^{2A(y) (4)} \bar{R} + 3A'(y) h_{\mu\nu} \partial_5 h^{\mu\nu} - \frac{1}{4} \partial_5 h_{\mu\nu} \partial_5 h^{\mu\nu} \right) - 2V(\phi) \right] - \int_{\text{brane}} d^4 x \sqrt{\gamma} \Lambda_b + S_{\text{matter}} ,$$
(B.9)

where we have set the minimum value of the brane potential to zero by convention, i.e. $V_b(v_b) = 0.$

B.2.1 Brane terms

Let us study the brane term. The contribution of this term to the energy-momentum tensor is

$$T_{\mu\nu} = -\Lambda_b \gamma_{\mu\nu} \,. \tag{B.10}$$

By using Israel's junction condition, we have [9]

$$[K_{\mu\nu}] = -\frac{1}{M_5^3} \left(T_{\mu\nu} - \frac{1}{3} \gamma_{\mu\nu} T \right) , \qquad (B.11)$$

where $T \equiv \gamma^{\mu\nu}T_{\mu\nu}$ and $[X] \equiv X^+ - X^-$, while the \mathbb{Z}_2 symmetry implies that $K^+_{\mu\nu} = -K^-_{\mu\nu}$. Focussing on $K^-_{\mu\nu}$ we have

$$K^{-} \equiv \gamma^{\mu\nu} K^{-}_{\mu\nu} = \frac{2}{3M_5^3} \Lambda_b \,, \tag{B.12}$$

and from a comparison with eq. (B.8) we obtain

$$\Lambda_b = -6M_5^3 A'(y_b) \,. \tag{B.13}$$

This result can be used to evaluate the brane tension contribution to the action, i.e.

$$S_b \equiv -\int_{\text{brane}} d^4x \sqrt{\gamma} \Lambda_b = 6M_5^3 \int_{\text{brane}} d^4x \sqrt{\gamma} A'(y_b) \,. \tag{B.14}$$

The volume form has the following expansion in fluctuations

$$\sqrt{\gamma} = e^{-4A(y)} \left(1 - \frac{1}{4} h_{\mu\nu} h^{\mu\nu} + \cdots \right) \,. \tag{B.15}$$

We use this to work out the expression of \mathcal{S}_b at orders $\mathcal{O}(h_{\mu\nu}^0)$ and $\mathcal{O}(h_{\mu\nu}^2)$.

Order $\mathcal{O}(h^0_{\mu\nu})$. It is convenient to rewrite the boundary terms via integration by parts, i.e. via the divergence theorem. At $\mathcal{O}(h^0_{\mu\nu})$ we have

$$\begin{split} \sqrt{\gamma}A'(y)|_{y_b} &= -\frac{1}{4}\frac{d}{dy}\left(e^{-4A(y)}\right)\Big|_{y_b} + \mathcal{O}(h_{\mu\nu}^2) = -\frac{1}{4}\int^{y_b}dy\frac{d^2}{dy^2}\left(e^{-4A(y)}\right) + \mathcal{O}(h_{\mu\nu}^2) \\ &= \frac{2}{3M_5^3}\int^{y_b}dy\,e^{-4A(y)}\,V(\phi) + \mathcal{O}(h_{\mu\nu}^2)\,, \end{split}$$
(B.16)

where we have used the background equations of motion in the last equality. We also used that $\partial_y \left(e^{-4A(y)}\right)$ is vanishing on other possible boundaries — for example at the singularity in the \mathcal{M}_{ν} background (2.8). The brane contribution at lowest order in the fluctuation takes therefore the form

$$S_b = \int d^5 x \, e^{-4A(y)} 2V(\phi) + \mathcal{O}(h_{\mu\nu}^2) \,. \tag{B.17}$$

This exactly cancels the bulk term $\propto V(\phi)$ in eq. (B.9) at this order.¹⁰

Order $\mathcal{O}(h_{\mu\nu}^2)$. In the computation at order $\mathcal{O}(h_{\mu\nu}^2)$, we have to consider \mathcal{S}_b as well as the bulk terms $\propto \sqrt{\gamma}V(\phi)$ and $\propto \sqrt{\gamma}A'(y)h_{\mu\nu}\partial_5h^{\mu\nu}$ in the action (B.9). The terms are

$$\mathcal{S}_{b}^{(2)} \equiv 6M_{5}^{3} \int_{\text{brane}} d^{4}x [\sqrt{\gamma}]_{2} A'(y_{b}) = -\frac{3}{2} M_{5}^{3} \int_{\text{brane}} d^{4}x \, e^{-4A(y_{b})} A'(y_{b}) h_{\mu\nu} h^{\mu\nu} \,, \tag{B.18}$$

$$\mathcal{S}_{V}^{(2)} \equiv \int d^{5}x \, [\sqrt{\gamma}]_{2} \, (-2V(\phi)) = \frac{1}{2} \int d^{5}x \, e^{-4A(y)} V(\phi) h_{\mu\nu} h^{\mu\nu} \,, \tag{B.19}$$

$$\mathcal{S}_{A'}^{(2)} \equiv \frac{3}{2} M_5^3 \int d^5 x \, [\sqrt{\gamma}]_0 A'(y) h_{\mu\nu} \partial_5 h^{\mu\nu} = \frac{3}{4} M_5^3 \int d^5 x \, e^{-4A(y)} A'(y) \partial_5 \left(h_{\mu\nu} h^{\mu\nu}\right) \,, \quad (B.20)$$

respectively, where $[\sqrt{\gamma}]_n$ stands for the order $\mathcal{O}(h_{\mu\nu}^n)$ term of the volume form. After integrating by parts in eq. (B.20) with the \mathbb{Z}_2 orbifold convention, it turns out that the summation of all these contributions cancel out, i.e. $\mathcal{S}_b^{(2)} + \mathcal{S}_V^{(2)} + \mathcal{S}_{A'}^{(2)} = 0$.

We conclude from this computation that there is no mass term for the fluctuation $h_{\mu\nu}$. The final result for the on-shell quadratic action of $h_{\mu\nu}$ is

$$\mathcal{S} = \frac{M_5^3}{2} \int d^5 x \, e^{-2A(y)} \left[-\frac{1}{4} \partial_\rho h_{\mu\nu} \partial^\rho h^{\mu\nu} + \frac{1}{2} \partial_\rho h_{\mu\nu} \partial^\mu h^{\rho\nu} - \frac{1}{4} e^{-2A(y)} \partial_5 h_{\mu\nu} \partial_5 h^{\mu\nu} \right] + \mathcal{S}_{\text{matter}} \,. \tag{B.21}$$

The two first terms come from the expansion of ${}^{(4)}\bar{R}$. The action (B.21) matches the result presented in the warped extra dimension literature [27, 48].

¹⁰The integral in eq. (B.17) has been extended to the full domain considering the \mathbb{Z}_2 symmetry and the subsequent factor 1/2.

C Equations of motion of the scalar sector

The classical equations of motion for the F and $\bar{\varphi}$ fluctuations are [32]

$$\Box^{(4)}F(x,z) + \partial_z^2 F(x,z) - A'(z)\partial_z F(x,z) - 2\bar{\phi}'(z)\partial_z\bar{\varphi}(x,z) = 0, \qquad (C.1)$$

$$\bar{\phi}'(z)\partial_{\mu}\bar{\varphi}(x,z) - (\partial_{z} - 2A'(z))\partial_{\mu}F(x,z) = 0, \qquad (C.2)$$

$$\Box^{(4)}\varphi(x,z) - \partial_z^2\varphi(x,z) + 3A'(z)\partial_z\varphi(x,z) + e^{-2A}V''(\phi)\varphi(x,z) +6\phi'(z)\partial_z F(x,z) + 4e^{-2A}V'(\phi)F(x,z) = 0.$$
(C.3)

Eq. (C.2) can be integrated to give

$$\bar{\phi}'(z)\bar{\varphi}(x,z) - \left(\partial_z - 2A'(z)\right)F(x,z) = c(z), \qquad (C.4)$$

with c(z) an arbitrary function constant in x^{μ} . This constant is set to zero due to a combination of the two other equations (C.1), (C.3), which satisfy

$$(1 + c_1\partial_z) [(C.1)] + (c_2 + c_3\partial_z + c_4\partial_z^2) [(C.4)] + c_5 [(C.3)] = 0, \qquad (C.5)$$

with

$$c_1 = \frac{1}{2} \left(\nu^2 - 1\right) z, \qquad c_2 = \frac{1}{2M_5^3} \left[\frac{1 + \nu^2}{1 - \nu^2} + \frac{1}{3} (\nu^2 - 1) p^2 z^2 \right] \frac{1}{z}, \qquad (C.6)$$

$$c_3 = \frac{1}{6M_5^3}, \qquad c_4 = \frac{1}{6M_5^3}(\nu^2 - 1)z, \qquad c_5 = \frac{\nu}{2\sqrt{3M_5^3}},$$
 (C.7)

once c(z) = 0.

As a result, eq. (C.4) amounts to a constraint equation which relates the fluctuations $\bar{\varphi}(x,z)$ and F(x,z). We are left with two independent differential equations, e.g. (C.1) and (C.4) with c(z) = 0. The fact that redundancies in the equations of motions turn into nontrivial algebraic constraints on integration constants was observed in [14, 45] at the level of the background.

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