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2D WATER-WAVE INTERACTION WITH PERMEABLE AND IMPERMEABLE SLOPES: DIMENSIONAL ANALYSIS AND EXPERIMENTAL OVERVIEW

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7 Abstract

8 The main objective of this research is to characterize and quantify the prevalent physical processes in 9 the energy transformation of a regular wave train when it interacts with permeable and impermeable 10 breakwaters. Two sources of experimental data are considered: (a) numerical experiments on an undefined impermeable rigid slope, using the numerical model (IH-2VOF), and (b) physical 11 experiments on a non-overtoppable permeable breakwater with a cube armor layer and a porous core 12 of finite width in a 2D wave flume. A revised dimensional analysis reveals that the relative water 13 14 depth, h/L, and the incident wave steepness, H/L, at the toe of permeable and impermeable breakwaters are the key factors to define and optimize the experimental space $(H_1/L, h/L)$. Moreover, 15 the product of $(h/L)(H_{l}/L)$ can be applied to identify the type of wave breaking and the domains of 16 wave energy transformation, and to quantify the reflected and transmitted energy coefficients and the 17 dissipation rate (K_R^2, K_T^2, D^*) . Fitting an experimental curve (i.e. a sigmoid function) to the 18 19 impermeable data, the slope is a plotting parameter. The same conclusion is obtained for a permeable breakwater; in addition the wave energy coefficients depend on the relative breakwater width B^*/L , 20 21 and the relative core grain size and $D_{50,p}/L$, and armor unit diameter, D_a/L . Because the range of the 22 design factors spans several orders of magnitude, a log-transformation provides a well behaved experimental space $[\ln(h/L), \ln(H/L)]$ which is likely of benefit to verify the wave breaker type and 23 24 the related dissipation-reflection-transmission on slopes. Finally, this study shows that there is not a biunivocal relationship between the Iribarren number, I_r , and the type of breaker, the reflection and 25 transmission coefficients and the bulk dissipation. Therefore, Iribarren's number is not a sufficient 26 27 similarity parameter for the analysis of wave breaking, and related flow characteristics on slopes. 28 Keywords: Breakwater, Iribarren number, experimental tests, bulk wave dissipation, wave reflection,

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33 **1. Introduction**

34 The main function of breakwaters is to protect harbors and coastal structures from wave action. They are an important type of coastal and port infrastructure because of their functionality as well as their 35 cost, design complexity, and environmental and socioeconomic impacts. The conception, design, and 36 37 verification of a breakwater mainly depends on the slope of the sea bottom, water depth at the breakwater toe, h, and the characteristic values of incident waves H, T, θ (height, period, and 38 39 incidence angle). They also depend on the available materials, construction and repair techniques, 40 and the consequences that ensue when and if objectives are not attained. The performance of the breakwater against wind waves is mainly determined by the slope on both sides of the breakwater, 41 42 shape and weight of the unit pieces, number of armor layers, thickness and emplacement of the main layer/secondary layers and the width, crest elevation, and size of the core materials (ROM 0.0, 2001; 43 44 ROM 1.1, 2019).

- Battjes (1974) proposed using the Iribarren number (Iribarren and Nogales, 1949), $I_r =$ 45
- $\tan(\alpha)/\sqrt{H/L}$, as the dynamic similarity parameter to analyze wave train behavior on an indefinite 46

impermeable flat slope, slope angle α , where L is the characteristic wavelength. He also conjectured 47

that the value of I_r identified breaker type as spilling, plunging, collapsing, or surging (Iversen, 1952; 48

- Galvin, 1968). Furthermore, he anticipated its capacity to determine the phase difference and 49
- 50 wavebreaking index, wave run-up and run-down, mean level, and the reflection and dissipation
- (absorption) of the waves on the breakwater slope. 51

In the field of harbor and maritime structures (and also beach morphodynamics), the seminal work of 52

- Battjes (1974) led to research whose objective was to determine the transformation of incident energy 53 when waves interacted with the breakwater by means of the reflected energy coefficient, K_R^2 , 54
- transmitted energy coefficient, K_T^2 , and the bulk dissipation rate, D^* . Still another objective was to 55
- develop formulas for wave run-up, run-down, overtopping and stability of the main armor layer in 56
- 57 the domain of interest, $I_r > 1.5$, as reflected in the following references, among others: Brunn and
- Günbak (1976); Losada and Giménez-Curto (1981); Seelig and Ahrens (1981); Allsop and Hiettrarchi 58
- (1989); Martin et al. (1999); Zanuttigh and Van der Meer (2008); Burcharth et al. (2010); Van der 59
- 60 Meer (2011); Gómez-Martín and Medina (2014); Vílchez et al. (2016a).
- These studies show that in the domain, $I_r > 1.5$, the Iribarren number reveals the general tendency of 61

coefficients $[K_R^2, K_T^2]$. However, the values tend to scatter as the value of I_r increases, depending on 62

the slope angle. Energy transmission at a non-overtopped breakwater is usually small, $K_T^2 < 0.15$, but 63

this information is necessary in order to evaluate the bulk dissipation at the structure. Nonetheless, 64

there are relatively few articles on the calculation of wave dissipation and is still an open quention. 65

66 Such studies include the following, among others: Seelig and Ahrens (1981); Kobayashi and

Wurjanto (1992); Pérez-Romero et al. (2009); Van Gent et al. (2013); and Vílchez et al. (2016b). 67

Forty years after Battjes (1974), physical experiments on breakwaters are still based on the working 68

69 hypothesis that the Iribarren number is a dynamic similarity parameter between model and prototype,

and that, generally speaking, the Iribarren number is the main variable in formulas that determine the 70

wave energy transformation coefficients $[K_R^2, K_T^2, D^*]$ for a breakwater and related hydrodynamic 71

72 performance.

Over the last thirty years, research studies have questioned the dependence of $K_R^2 = f(I_r)$. Hughes and 73

Fowler (1995), and Sutherland and O'Donoghue (1998) applied the parameter x_m/L (where $x_m =$ 74 $h/tq(\alpha)$) to also quantify the phase of the reflected wave train. Davidson et al. (1996) defined a 75 76 reflection number that includes I_r and the characteristic diameter of the armor layer. Van der Meer (1988, 1992) incorporated a permeability parameter and fit the exponents of I_r by means of a multiple 77

regression analysis. Benedicto (2004) analyzed wave reflection, depending on h/L and grain size. 78

- 79 Finally, Vílchez et al. (2016a) modifies the Iribarren number to incorporate, grain diameter, and the
- 80 width and depth of the breakwater core in a single parameter.

The main objectives of this research were the following: (1) to collate the dependence of wave energy 81 82 transformation processes (reflection, transmission, and bulk dissipation rate) with Iribarren number; 83 (2) to apply dimensional analysis to the design of experiments for both a permeable and impermeable slope; and (3) to analyze the variability of the results and identify those characterized by the 84 85 hydrodynamic performance of the breakwater. This study involved numerical experiments using an undefined, impermeable, rigid slope and the application of the IH-2VOF model (Lara et al., 2008). 86 These were combined with laboratory experiments in the 2D flume of a non-overtoppable mound 87 88 breakwater with a cube layer and porous core of finite width. Linear wave theory was applied to separate the incident, reflected, and transmitted time series of the data records of the vertical 89 displacement of the free surface at different points in the experimental setup. The wave energy 90 91 conservation equation was applied to obtain the bulk dissipation rate on the breakwater.

92 The rest of this paper is organized as follows. Section 2 describes the theoretical background to understand the main aspects of the physical processes that intervene in the water-wave interaction 93

with the breakwater. Section 3 presents the experimental design, analysis and setups (numerical and physical) performed in this study and presented in terms of I_r . Section 4 presents the numerical and physical results obtained. The results are initially represented, depending on the Iribarren number, after which they are displayed, depending on the dimensionless quantities obtained when the dimensional analysis was applied. Section 5 discusses the results, evaluates their validity in reference to the hypothesis and the experimental desviation obtained. Section 6 presents the conclusions derived

100 from this research. Finally, the revised dimensional analysis of this study is outlined in Appendix A.

101 **2. Background**

102 The dissipation of a wave train on a breakwater slope is mainly caused by the generation, transport, 103 and dissipation of turbulence during the following processes: (i) wave evolution and eventually 104 wavebreaking on the free surface of the slope; (ii) interaction (circulation and friction) with the main 105 armor layer; (iii) wave propagation through the secondary layers and porous core; and (iv) wave 106 transmission leewards of the structure.

107 Reflection-dissipation-transmission in a porous medium

108 The theoretical formulation for the propagation of a regular or irregular wave train through a porous 109 medium has been widely studied (Sollit and Cross, 1972; Dalrymple et al., 1991). Numerical and 110 physical experiments have also been performed to address this topic (Pérez-Romero et al., 2009; 111 Vílchez et al., 2016b). The Forchheimer equation is able to provide a reasonably accurate 112 representation of the bulk resistance over the porous medium with coefficients that depend on the 113 Reynolds and Keulegan-Carpenter numbers (Re_p , KC_p) (Van Gent, 1995; Pérez-Romero et al., 2009; 114 Jensen et al., 2014a, b).

- 115 In the last 20 years, there have been various studies on numerical predictions of wavebreaking on a 116 smooth impermeable slope by means of different techniques (Christensen and Deigaard, 2001; Lara 117 et al., 2006; Zhang and Liu, 2006; Madsen and Furham, 2008; Gíslason et al., 2009; Lakehal and 118 Liovic, 2011). These results provide a detailed picture of the spatio-temporal evolution of the wave 119 on the slope and help to clarify the origin of the variability and experimental scattering of the results 120 obtained in physical experiments. In the interval, $1.5 < I_r < 3.5$, four breaker types can be identified: weak plunging and strong plunging (Lakehal and Liovic, 2011) and weak bore and strong bore (Zhang 121 and Liu, 2008). 122
- Furthermore, reflection and dissipation during shoaling and the eventual breaking of the wave on a slope with a permeable core do not have a theoretical model equivalent to the Forchheimer equation. Accordingly, most studies are based on numerical and physical experiments (Kobayashi and Wurjanto, 1992; Lara et al., 2008; Zanuttigh and Van der Meer, 2008; Ruju et al., 2014; Jensen et al., 2014a, b; Vanneste and Troch, 2015; Vílchez et al., 2016a, b; Clavero et al., 2018). The following
- 128 results are relevant to this study:
- The presence of a porous core is relevant to the hydrodynamic performance of the breakwater
 because it determines the phase lag between the incident and reflected wave trains and its impact
 on breaker type.
- The dimensions of the main armor layer and its unit pieces significantly influence the values of
 reflected energy dissipation as well as the dissipation rate.
- 3. Design formulas for mound breakwaters are usually based on experimental data, whose scattering is usually maximum in the interval of I_r corresponding to the critical design conditions. These formulas are generally applied to calculate the transformation of incident energy, run-up and rundown, and when applicable, the overtopping volume and the stability of the breakwater units.
- 138 Model of hydrodynamic behavior

139 When a wave train interacts with a breakwater, its behavior depends on the transformation process, 140 which dominates the spatio-temporal evolution of the wave train that propagates onto the slope and

- porous core. In the case of spilling breakers, the process is gradually dissipative and depends on the characteristics of the wave train at undefined depths, $(H/L)_0$ and its shoaling at the slope. The shoaling
- 142 characteristics of the wave train at undefined depths, $(H/L)_0$ and its shoaling at the slope. The shoaling 143 depends on x_m/L , where $x_m = h/tg(\alpha)$ should be very small. In other words, reflection should be
- negligible on the slope as well as in the porous core. Spilling breakers and weak plunging breakers
- satisfy these conditions. If the wave train surges on the slope and propagates through the porous
- 146 nucleus, the transformation process is essentially reflective. This process is described by the slope
- 147 angle α , relative depth h/L, width B^*/L and the relative diameters of the core, $D_{50,p}/L$. Dissipation,
- 148 which is small, occurs on the main armor layer (or the rough granular bed) as well as inside the
- breakwater core. The phase depends on x_m/L and $D_{50,p}/L$, B^*/L , and determines the location of the
- 150 nodes and anti-nodes of the wave.
- These modes of wave-train transformation and their related breaker types are the following: (i) 151 152 dissipative mode typical of spilling breakers and weak plunging breakers; (ii) reflective mode for surging "breakers"; and (iii) transitional modes. In this work, three types of wave-train transformation 153 and their corresponding breaker type, namely, strong plunging breakers, strong bores, and weak bores 154 155 are identified as transitional modes. If wave reflection is not negligible (on the slope and in the core), the phase lag between the incident and reflected wave trains affects the location of the breaker point 156 157 and determines the breaker type. If the amount of reflected energy and the dissipation rate are similar, the most probable breaker types are: strong plunging, strong bores or weak bores, depending on the 158 159 place where most of the energy is reflected, namely, the breakwater slope or core, (Losada et al. 160 2019).
- 161 Turbulence processes in these three breaker types have multiple scales. Locally, turbulence 162 generation and turbulence dissipation are not in equilibrium, and so the spatio-temporal evolution of 163 the wave train has an intrinsic variability associated with the transport of the turbulent kinetic energy 164 (TKE). The transition from one breaker type to another can be sudden or gradual, and the three can 165 occur with different value pairs of H_{l}/h and h/L, depending on the slope angle, α , and the 166 characteristics of the main armor layer and the permeable core.
- 167 The experimental design and the dimensional analysis carried out in this work are based on the 168 background described in this section.

169 **3. Experimental design and setup**

- This research study is based on the following: (a) numerical experiments, using the IH-2VOF model (Lara et al., 2008), on a mound breakwater with an undefined, impermeable, rigid slope; (b) physical experiments using a wave flume, on a mound breakwater with a non-overtoppable permeable constant slope, a cube armor layer and a porous core of constant finite width, B^* , and grain size, $D_{50,p}$. The flume bottom is horizontal and the water depth in the wave generation zone and in the flume up to the toe of the slope is constant, h.
- 177 *3.1 Physical tests*
- The experimental tests were performed in the wave-current flume (23x0.65x1m) of the Andalusian Inter-University Institute for Earth System Research (IISTA) at the University of Granada. Figure 1 shows a diagram of the physical model tested, namely, a permeable mound breakwater with a main armor layer consisting of two layers of cubes with a porous core. See Table 1 for more details regarding the geometrical configuration of the model. The water depth was kept constant and equal to h=0.4 m.
- 184 Tests were performed in the wave flume with a VTI controller. The AwaSys software package was
- used to generate waves with the simultaneously active absorption of reflected waves. Regular waves
- were simulated and defined by a wave height, H_{target} , and wave period T_{target} . Wavebreaking was only
- 187 caused by wave-breakwater interaction, and the experiments were under non-overtopping and non-
- 188 damage conditions. Table 2 shows the target wave parameters run in each configuration.



Figure 1. Diagram of the wave flume and location of wave gauges (dimensions in meters).

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Breakwater geometry				Porous medium					
Armor unit: cubes (m)	B_b (m)	ρ_s (tn/m ³)	$\cot(\alpha)$	$\cot(\beta)$	F_{MT} (m)	$D_{50,p}$ (m)	A_{eq} (m ²)	ρ_s (tn/m ³)	n_p
l = 0.033 $D_{eq} = D_a = 0.0409$	$3D_a$	2.18	2	1.5	0.55	0.012	0.2125	2.84	0.39

192 Table 1. Geometric parameters of the physical model: B_b is the width of the top of the breakwater; F_{MT} is the porous 193 medium height; D_{eq} is the equivalent diameter of the main armor layer (the characteristic armor diameter D_a), where the 194 cube volume is equated to the volume of a sphere; A_{eq} is the area of the porous core per section unit below mean sea level 195 (Vilchez et al., 2016a); $\cot(\alpha)$ and $\cot(\beta)$ are the leeward and landward slopes of the breakwater, respectively; ρ_s is the

density of the unit pieces; and np is the porosity of the core, according to CIRIA/CUR/CETMEF (2007).

Four Iribarren numbers, $I_{r target}$ were tested (see Table 2) in the domain of interest ($I_r > 1.5$), following two ways of wave generation sequence: (1) $I_{r target,H}$, the wave height remained constant, whereas the

wave period ($T_{target,H}$) varied; (2) $I_{r target,T}$, the wave period remained constant, whereas the wave height

200 $(H_{target,T})$ varied. Each test was repeated three times and 100 waves were simulated in each test.

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2.30 3.00 3.70 5.00 Ir.target H_{target} (m) Ir target,H [0.07 - 0.12][0.05 - 0.12][0.04 - 0.10][0.02 - 0.80] $T_{target,H}$ (s) [1.00 - 1.47][1.14 - 2.30][1.31 - 2.86][1.23 - 4.10] T_{target} (s) [1.05 - 1.50][1.25 - 2.50][1.25 - 3.00][1.25 - 3.00]Ir target, T Htarget,T (m) [0.075 - 0.124][0.057 - 0.133][0.038 - 0.105][0.021 - 0.058]

203 Table 2. Wave conditions tested in the laboratory (target values for the two ways of wave generation sequence).

3.2 Numerical tests

The IH-2VOF numerical model (Lara et al., 2008) was used to study a breakwater with a nonovertoppable, impermeable, smooth slope with three leeward slope angles ($\cot \alpha = 2, 3$ and 10). For more details of the configuration of the model, see Table 3.

The wave flume of the IISTA was reproduced in the numerical model with a 2D domain. The 208 209 numerical set-up was the same used and calibrated in Vílchez et al. (2016b), formed by a uniform grid on the *y*-axis with a grid cell size of 0.5 cm, and horizontally (on the *x*-axis) grid with three 210 regions: (i) a center region, 5 m long, containing the breakwater section with the finest resolution and 211 212 a cell size of 1 cm; two regions (ii) at the beginning and (iii) at the rear of the numerical wave flow 213 with a cell size of 2 cm. A mesh sensitivity analysis was performed to assess the computational costs 214 and the accuracy of the results. The total number of cells in the numerical domain was 1304×162 . Active wave absorption was used at the generation boundary, and the dissipative ramp at the end of 215 the flume was reproduced with a porous medium. 216

Breakwater geometry						Wave conditions			
Armor +core	B_b	$\cot(\alpha)$	$\cot(\beta)$	F_{MT}	h T _{target} I _{r,target}		I _{r,target}		
	0.5 m	2	-	0.75 m	0.4 m	[1-2.2] s	[2.3, 3.0, 3.5, 4, 5]		
Impermeable	0.5 m	3	-	0.65 m	0.35 m	[1-2.2] s	[1.5, 1.8, 2.3, 3.0, 3.5, 4.0, 5.0]		
	0.5 m	10	-	0.65 m	0.35 m	[1-2.2] s	[0.5, 1.5, 1.8, 2.3, 3.0, 3.5, 4.0]		

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Table 3. Wave parameters and geometric configuration of the breakwater in the numerical model.

219 Regular waves were simulated by setting *T* and varying *H* to cover the Iribarren domain ($I_r > 1.5$). 220 Two water depths were tested: (1) h=0.35 m, the same as in Moraes (1970); and (2) h=0.4 m, the 221 same as in the physical model (see Table 3). To complete the study of breakwater performance, some 222 cases were simulated in domain $I_r < 1.5$ with a slope of 1:10.

223 *3.3 Time series analysis and evaluation of the bulk dissipation*

224 The incident and reflected wave trains were separated by applying Baquerizo (1995), (based on 225 Mansard and Funke's (1987) three-gauge method), providing the magnitude and phase of the reflected wave train. The reflected and transmitted wave energy, E_R , E_T , and their respective reflection 226 $(K_R^2 \text{ and phase } \varphi_R)$ and transmission coefficients (K_T^2) , that is, the dependent quantities of the 227 dimensional analalysis (Appendix A), were obtained by applying power spectral analysis. K_R^2 and φ_R 228 were calculated with the data measured by gauges G1, G2 and G3 (see Fig. 1). The transmission 229 230 coefficient (K_T^2) was computed with the data measured with gauge G5. Gauge G4, located at the toe of the structure (x = 0), provided the total wave height at the toe of the breakwater (due to the 231 interaction of the incident and reflected wave trains). For the numerical setup, wave gauges were 232 placed in the numerical model at the same location as the ones used in the physical experiments. 233

The dependent quantities satisfy the energy conservation equation in a finite control volume (CV) with a unit width and constant depth that includes the breakwater, (positive inflow, negative outflow and dissipation flow)

 $F_I - F_R - F_T - D'^* = 0 \tag{1}$

Where $F_i = C_{g,i}E_i = (1/8) \rho g C_{g,i}H_i^2$; i = I, R, T represents the mean energy flow of the incident, reflected, and transmitted wave trains, respectively; ρ is the water density; and $C_{g,i}$ is the group celerity of the energy propagation. D'^* is the mean bulk dissipation, due to wavebreaking on the slope, and where applicable, wave interaction with the main armor layer and propagation through the porous core.

4. Results

This section presents the results of the wave transformation coefficients $[K_R^2, K_T^2, D^*]$ for the two configurations: (impermeable and permeable) non-overtoppable mound breakwater.

- *4.1 Iribarren number as a breakwater similarity parameter*
- 247 *4.1.1 Impermeable and non-overtoppable slope*

Figure 2 represents the numerical results of K_R^2 and D^* against the Iribarren number, nine target values (Fig. 2 a1,b1), and three slopes, $[1/10 \le \tan(\alpha) \le 1/2]$ (Fig. 2 a2, b2). The behavior of the data is

similar to Battjes (1974) (his Figure 2 with data from Moraes, 1970). The left panels of Figure 2

251 provide the values of $I_{r,target}$ for each experiment, which were generated by fixing T and varying H.

252 The x-axis shows the experimental Iribarren number, $I_{r,I}$, calculated with incident wave height H_I . The

bulk dissipation, D^* , against I_r mimics the reflected energy coefficient, K_R^2 . Moreover, the blue bands

indicate the confidence interval (5%-95%) of the values thus calculated for each chosen $I_{r,target}$.



 $\begin{array}{c} 1 \\ r_{,1} \\ r$

For the three slopes, K_R^2 disposition depends on the slope angle. Scattering decreases as the slope decreases, and increases when $I_{r,I}$ is in interval $[2.2 \le I_{r,I} \le 4.2]$. As can be observed, the variability of each slope angle in each interval (blue band) is significant with slight changes in the value of $I_{r,I}$. This variation mainly stems from small variations in the incident wave height H_I . Nevertheless, "local" scattering (for $I_{r,I}$ intervals) does not decrease when the set of wave trains corresponding to a value of $I_{r,target}$ was repeated.

Figure 3 represents K_R^2 and D^* against the incident wave steepness, H_l/L . It is observed that the numerical data are better ordered and the dispersion is slightly less compared to Iribarren number. However, the variability is still significant, in particular for higher values of the wave reflection coefficient. For example, for a given value of $H_l/L=2.5 \cdot 10^{-2}$, the reflected energy coefficients are in interval $0.42 < K_R^2 < 0.9$ for the slope 1:2.



Figure 3. Impermeable and non-overtoppable slope. Experimental numerical results (IH-2VOF) of the transformation of incident waves against the incident wave steepness (H_l/L): (a) modulus of the reflected energy coefficient (K_R^2) y (b) simulated bulk wave dissipation, numerically simulated according to the slope angle.

275 *4.1.2 Permeable with a main armor layer and non-overtoppable slope*

Figure 4 presents the physical experimental results of K_R^2 , K_T^2 and D^* , against $I_{r,I}$ (inside the domain $I_r \ge 1.5$), obtained from the permeable slope of 1:2. $I_{r,target}$ values were set either by maintaining Hconstant and varying T, or by maintaining T constant and varying H, (Fig. 4a). The calculation of the real value $I_{r,I}$ is based on the incident wave height H_I , which was obtained by separating the incident and reflected wave trains (Section 3.3). These experiments also determined K_T^2 , (Fig. 4c). The transmitted energy is one order of magnitude lower than the reflected energy. The energy conservation equation is applied to obtain the bulk dissipation D^* (Fig. 4b).

Experimental scattering increases with $I_{r,I}$ in the interval $[2.2 \le I_{r,I} \le 4.2]$. The experimental scatter of each interval (blue band) is significant with slight changes in the value of $I_{r,I}$. This variation is mainly due to small variations in the incident wave height H_I and interaction with the reflected wave train, (modulus and phase). The same value of the Iribarren number can have different energy transformation modes and, consequently, different potential breaker types. Local scattering (for intervals de $I_{r,I}$) does not decrease when the experiment for a given value of $I_{r,target}$ is repeated.

289 Present results, for permeable and impermeable slopes as well, show that the transformation of

incident energy on an undefined slope roughly depends on I_r . Nevertheless, they also raise questions

regarding the application of the Iribarren number as a relevant parameter, to quantify the energy

transformation modes and, consequently, the potential breaker types.



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Figure 4. Permeable with a main armor layer and non-overtoppable slope. Results of the physical experiments (IISTA-UGR) on incident wave transformation against the experimental Iribarren number $l(I_{r,l})$: (a) modulus of the reflected energy coefficient (K_R^2), (b) bulk wave dissipation y (c) modulus of the transmitted energy coefficient (K_T^2) according to the $I_{r,target}$. The blue band represents the confidence level (5%-95%) for each $I_{r,t}$ target value.

298 4.2 An alternative breakwater similarity parameter: $\chi = (h/L)(HI/L)$

This section presents the same physical and numerical data of Figures 2, 3 and 4 against the nondimensional parameter $(h/L)(H_I/L)$, derived from the reformulation of the dimensional analysis (Appendix A).

302 *4.2.1 Impermeable and non-overtoppable slope*

Figure 5 represents K_R^2 , against the product of relative wave steepness, H_I/L , and relative depth, h/L,

As in Figures 2 and 3, the slope is also identified. The x-axis is represented on a semi-logarithmic

scale to facilitate the visualization of the data. The values are separated, depending on the slope. For a given value of $(h/L)(H_{I}/L)$ the experimental scattering becomes greater as the slope angle increases.



313 *4.2.2 Permeable with a main armor layer and non-overtoppable slope*

Figures 6a, 6b, and 6c represent the values of K_R^2 , D^* and K_T^2 , respectively, against the product of the 314 relative wave steepness and the relative width on the x-axis at a semi-logarithmic scale. For purposes 315 of comparison, the figures also represent the experimental data numerically obtained for the same 1:2 316 slope. For the permeable slope, the vertical dispersion of K_{R}^{2} is greater in the interval $5 \cdot 10^{-4} <$ 317 $(h/L)(H_1/L) < 3.10^{-3}$, which differs from the interval of greatest dispersion for the impermeable slope. 318 The horizontal dispersion (same value of the energy coefficient) is possibly due to the different mode 319 320 of energy transformation and the potential associated breaker types. Figure 7 shows the same information as Figure 6, but this time, the values of the relative characteristic width of the breakwater 321 322 are identified. 323



- 325 Figure 6. Physical results (circles IISTA-UGR) and numerical results of the slope 1:2 (triangles IH-2VOF) for the
- 326 incident wave transformation against $(h/L)(H_1/L)$: (a) modulus of the reflected energy coefficient $(K_R^2 Eq. 5 Appendix A)$,
- 327 (b) bulk wave dissipation (D^* -Eq. 7- Appendix A) and (c) modulus of the transmitted energy coefficient (K_T^2 -Eq. 6-
- 328 *Appendix A). The solid lines represent the sigmoid curves (Eq. 2) with the best fit to the experimental data* K_T^2 *and* D^* *. The fit parameters are shown in Table 4 and the dash lines represent the choosen limit values for fitting the curves.*

In all cases, the energy transmitted is very small, and thus the increase in K_R^2 is mostly compensated

- by the decrease in dissipated energy D^* (Figs. 6 and 7). The vertical scale of the figure highlights the
- fact that the data of K_T^2 cluster, based on the relative width (or relative diameter) of the breakwater.
- 333 Its value increases when there is a decrease in B^*/L , (or $D_{50,p}/L$) as well as its growth rate. This
- behavior is also observed in K_R^2 (and consequently in D^*), especially when the reflected energy is
- very small and energy dissipation is the dominant process (Figs. 7b and 7b). Given that $D_{50,p}$ and B^*
- are constant and become dimensionless with the wavelength, the experimental scatter of K_R^2 , D^* and
- 337 K_T^2 , for $D_{50,p}/L L$ is equivalent as for B^*/L in Figure 6.



 $\begin{array}{c} (IIID)(\Gamma_{1} D) \\ (IIID$

343 **5. Discussion**

This section discusses the aspects of the function fitted to the data and the experimental deviation technique that could have influenced or conditioned the results presented in Section 3.

346 5.1 Sigmoid curves and domains of wave energy transformation

The sigmoid curve is chosen to fit the experimental results according to $\chi = (h/L)(H_1/L)$, since it is successfully to describe various physical phenomena in fluid mechanics, heat transfer and chemical engineering (Churchill and Usagi, 1972; Sivanesapillai et al., 2014; Vílchez et al., 2016a),

350
$$X(\chi) = (X_1 - X_0) \left[1 + \left(\frac{\chi}{a_{\chi}}\right)^{\gamma_{\chi}} \right]^{-1} + X_0; \quad \chi > 0$$
(2)

- where $X(\chi) = [K_R^2, K_T^2, D^*]$ is the physical entity and X_0, X_1 are the choosen limit values of $X(\chi)$ for fitting the curves for a small and large values of the independent dimensionless variable $\chi = (h/L)(H_1/L)$. It describes a uniform transition between the limit values with a blending coefficient,
- 354 γ_{χ} , and a parameter of the process inherent to the sigmoid shape, a_{χ} .

355 Table 4 gathers the parameters of the sigmoid functions fitted to the impermeable and permeable

experimental results (Section 4). The sigmoid parameters (Table 4) show that the sigmoid shape, a_{γ} ,

is specific for each slope angle tested.

Mound breakwater	Sigmoid function	Fit parameters			
	$K_{R}^{2} = \left(K_{R1}^{2} - K_{R0}^{2}\right) \left[1 + \left(\frac{(h/L)(H_{I}/L)}{a_{\chi}}\right)^{\gamma_{\chi}}\right]^{-1} + K_{R0}^{2}$	K_{R0}^2	K_{R1}^2	a_{χ}	γ_{χ}
	$\tan \alpha = 1/2$	0	1	0.005	1.8
Impermeable	$\tan \alpha = 1/3$	0	1	0.002	2.1
	$\tan\alpha=1/10$	0.001	0.4	0.00012	1.5
Permeable	$\tan \alpha = 1/2$	0.03	0.65	0.0008	2.1

Table 4. Parameters for the sigmoid curves (Eq.2) fitted to the experimental data K_R^2 and D^* . Since K_T^2 values are an order of magnitude lower than K_R^2 values, the sigmoid curve are not fitted.

For practical engineering purposes, it is advisable to identify at least three domains of incident wave behavior according to $(h/L)(H_l/L)$ values and the shape of the sigmoid curve. Hence, the threshold values that limit the domains of energy transformation are specific for each slope of the breakwater tested.

- 364 For an impermeable breakwater with slope 1:2,
- 365 Reflective domain, $\{K_R^2 \ge 0.9\}$: $(h/L)(H_l/L) < 1.1 \cdot 10^{-3}$;
- Dissipative domain, $\{D^* \ge 0.9\}$: $(h/L)(H_1/L) > 1.1 \cdot 10^{-2}$;
- Transitional domain, $\{0.1 < K_R^2 < 0.9\}$: $1.1 \cdot 10^{-3} < (h/L)(H_l/L) < 1.1 \cdot 10^{-2}$

368 For a permeable breakwater with a constant slope 1:2 and a core with a B^*/L and $D_{50,p}/L$,

- Reflective domain, $\{K_R^2 \ge 0.5\}$: $(h/L)(H_I/L) < 4.5 \cdot 10^{-4}$, with $[D_{50,p}/L \le 0.0015, B^*/L \le 0.10]$
- Dissipative domain, $\{D^* \ge 0.9\}: (h/L)(H_1/L) > 2 \cdot 10^{-3}$, with $[D_{50,p}/L > 0.0035; B^*/L > 0.20]$
- Transitional domain, $\{0.1 < K_R^2 < 0.5, 0.5 < D^* < 0.9\}$: $5 \cdot 10^{-4} < (h/L)(H_l/L) < 2.5 \cdot 10^{-3}$, with [0.0015< $D_{50,p}/L \le 0.0035$; $0.10 < B^*/L \le 0.20$].

The reflected energy and the energy dissipation rate are almost equal (or are in equilibrium) when $[K_R^2 \approx 0.5; D^* \approx 0.5]$. This state can exist whenever $(h/L)(H_1/L) \approx 4 \cdot 10^{-4}, D_{50,p}/L \approx 0.0015, B^*/L \approx 0.10$. The boundaries of these domains can change with the type of unit piece, the number of layers and configuration of the main armor layer, and definitively with the slope angle. Finally, the variability of the relative armor diameter and the number of layers is analyzed in Clavero et al. (2018).

378 5.2 Experimental deviation

The experimental deviation may come from two sources: (1) experimental scattering from the generation, analysis and separation methods used, and (2) experimental scattering from the physical processes. The wave generation and separation method is analyzed in the Supplementary Material provided with the paper. From the discussion and results shown in the Supplementary Material, we can assert that the experimental technique and method of analysis in themselves are not sufficient to explain the variability of the experimental values. The deviation is located in certain intervals of the value of I_r , as well as of $(h/L)(H_1/L)$.

386 *5.2.1 Impermeable and non-overtoppable slope*

Figure 8 represents the fit of a sigmoid curve to the experimental values of K_R^2 of the 1:2 impermeable slope against $I_{r,I}$ and (h/L)(HI/L) (Fig. 8a.1 and 7a.2), as well as the residual values that fit a t-Student (Fig. 8b.1 and b.2). In (Fig. 8a.2), the experimental data alignment satisfactorily identifies the transitional domain, dissipative domain, and reflective domain: $[1.1 \cdot 10^{-3} < (h/L)(H_V/L) < 8 \cdot 10^{-3}]$, 391 $[(h/L)(H_{l'}/L) \ge 10^{-2}], [(h/L)(H_{l'}/L) \le 10^{-3}]$, respectively. The distribution of the residual values evidence 392 that the deviation of the K_R^2 values, in absolute terms, is small in the dissipative domain in both 393 representations. However, the scatter increases in the transitional domain and in the reflective domain 394 in the Ir representation. The latter is also applicable to the dissipation rate D^* values.

Figure 8a.2 shows that if $(h/L)(H_{l'}/L) < 2.8 \cdot 10^{-3}$, the reflection increases and the dissipation rate decreases, and that the breaker type evolves from a strong bore to strong plunging breaker. In contrast, if the product $(h/L)(H_{l'}/L) > 2.8 \cdot 10^{-3}$, wave reflection decreases, dissipation increases, and the breaker type evolves from a strong plunging breaker to a strong bore and perhaps a weak bore.

Hence, in the domains in which either reflection or dissipation is dominant, the variability of the 399 energy transformation mode and of the breaker type is delimited. Then, the values of K_R^2 and D^* do 400 not change significantly with $(h/L)(H_l/L)$, contrary to what happens in the transitional zone. In the 401 reflective domain, the type of wavebreaking remains practically constant. However, in the dissipative 402 403 domain, if the slope and relative depth remain constant, the type of wave breaking also depends on wave steepness. In contrast, if h and L simultaneously increase, I_r also increases, and the breaker type 404 evolves from a weak plunging to a strong plunging. If h and L simultaneously decrease, I_r also 405 decreases and the breaker type evolves from a strong plunging to a weak plunging (Fig. 8a.2). 406



413 5.2.2 Permeable with a main armor layer and non-overtoppable slope

414 If the breakwater is permeable, the relation between I_r and the energy transformation modes and 415 breaker type deteriorates significantly since other processes come into play, namely the reflection and 416 dissipation associated with the dimensionless variables $(D_{50,p}/L, B^*/L, D_a/L, n_lD_a/L)$. Figure 9 417 represents the value pairs, $(I_{r,l}, x_m/L)$, $(I_{r,l}, B^*/L)$, which were tested in this research with a 1:2 slope 418 angle. The results showed that a wide range of values of x_m/L and B^*/L corresponded to one value of 419 $I_{r,l}$.

A comparison of these results to those of the impermeable breakwater with the same slope angle highlighted significant changes in the performance of the permeable breakwater (Fig. 10). The reflected energy decreased throughout the experimental interval, in other words, in all the modes of incident energy transformation and wavebreaking. The domains shifted towards lower values of

424 $(h/L)(H_{I}/L)$, and the variation curves of K_{R}^{2} , K_{T}^{2} and D^{*} depend on both $(h/L)(H_{I}/L)$ and B^{*}/L (and

 $D_{50,p}/L$, D_a/L). Figure 10 shows the fit of a sigmoid curve to the experimental values of K_R^2 for the 425 1:2 permeable slope angle against $I_{r,I}$ and (h/L)(HI/L) (Fig. 10a), as well as the residual values that fit 426 a Student-t model (Fig. 10b). When the relative depth is incorporated on the x-axis (Fig. 10a.2), the 427 alignment of the experimental data provides a reasonably good identification of the transitional 428 domain $[5 \cdot 10^{-4} < (h/L)(H_1/L) < 3 \cdot 10^{-3}]$, reflective domain $[(h/L)(H_1/L) \ge 3 \cdot 10^{-3}]$, and dissipative domain 429 $[(h/L)(H_1/L) \le 4 \cdot 10^{-4}]$. Furthermore, it is visually evident, and confirmed by the residual distribution, 430 that the deviation of the K_R^2 and D^* values is more pronounced in the transitional domain. The 431 evolution of the modes of energy transformation and breaker type depends on the values of B^*/L (and 432 433 $D_{50,p}/L$, D_{a}/L) with specific trajectories (see Fig. 7).





436 Figure 9. Experimental physical values (IISTA-UGR) of (a) x_m/L , (b) B^*/L , against the experimental Iribarren number 437 ($I_{r,l}$), clustered according to the $I_{r target}$ tested in the laboratory.





- 444 The application of the modified Iribarren number, $I_r^* = (A_{eq}/L^2)/\sqrt{H_{trms}/L}$ (Clavero et al., 2018;
- 445 Díaz-Carrasco et al., 2018) significantly corrects this behavior of the Iribarren number; being H_{trms}
- the total root-mean-square wave height at the toe of the breakwater. The domains can thus be
- 447 identified since the deviation decreases in the transitional domain and the reflective domain, whereas
- it increases in the dissipative domain. In other words, I_r^* improves prediction when the transformation
- 449 processes in the core, particularly in the case of reflection, dominate those on the slope. Nevertheless,
- this representation does not permit the identification of the possible breaker types in each domain.
- 451 5.3 Wave transformation similarity and the log-transformation of the experimental space
- 452 In a real breakwater located in intermediate/shallow waters (e.g., h = 10 m, T = 10 s, H = 5 m, $5 < B^*$
- 453 (m) < 10 and $0.10 < D_{50,p}$ (m) < 0.25), $R_{e,p} > 10^5$, fully turbulent regime), it is improbable that all of
- the regime changes observed in the laboratory will occur. For the values of $[K_R^2, K_T^2, D^*]$ obtained in
- 455 a model to be representative of the prototype values, besides complying with the Froude scale, the 456 dissipation-reflection-transmission processes of the wave train in the core should be similar to those
- 457 of the prototype. One way to verify this condition is to select the two dimensionless quantities, B^*/L ,
- 458 $D_{50,p}/L$ in order to satisfy the model-prototype equivalent hypothesis of Lorentz (Pérez-Romero et al., 459 2009; Vílchez et al., 2016) in each run of *H* and *T*.
- Figure 11a represents the space $[\ln(h/L), \ln(H_l/L), B^*/L$ (or $D_{50,p}/L)]$ of the experimental values obtained in the laboratory. Figure 11b represents the space $[\ln(h/L), \ln(H_l/L)]$ of the experimental values with slope angle 1:2 obtained in the numerical model. The tendency and the wavebreaking bands are identified, as well the isolines of the constant product $(h/L)(H_l/L)$. It is observed that there is not a biunivocal relationship between I_r and the type of breaker. Figure 11 facilitates the creation of a suitable experimental design in the laboratory, since it includes the information needed to fulfill the prototype-model equivalent hypothesis of Lorentz $(B^*/L, D_{50,p}/L)$. Additonally, Figure 11
- 467 identifies combinations of H and T that delimit the three intervals of the energy transformation modes
- 468 for any mound breakwater typology.

469 **6.** Conclusions

The main objective of this research is to characterize and quantify the prevalent physical processes in 470 the energy transformation of a regular wave train while interacting with permeable or impermeable 471 472 breakwaters. Two sources of experimental data are considered: (a) numerical experiments on an 473 undefined impermeable rigid slope with the numerical model (IH-2VOF), and (b) physical 474 experiments on a non-overtoppable permeable breakwater with a cube armor layer and a porous core 475 of finite width in a 2D wave flume. Simultaneously, the dependence of wave energy transformation 476 processes (reflection, transmission, and dissipation) with Iribarren number is collated and its role as 477 surf similarity parameter is discussed. The following conclusions can be derived from this study:

- 478 (1) Applying dimensional analysis to the wave interaction with non-overtoppable, impermeable 479 slopes, the relationship between the reflected energy coefficient, K_R^2 , and the product of the 480 relative water depth, h/L, and wave steepness at the toe of the slope, H_I/L , is deduced. The wave 481 energy conservation equation enables to extent this result to the wave dissipation, D^* . The slope 482 angle is a parameter of the problem since it cannot be expressed in terms of the dimensional base.
- (2) Similarly, in the case of permeable slopes with a main armor layer and a porous core, in addition to $(h/L)(H_l/L)$, the reflection and transmitted coefficients, $[K_R^2, K_T^2]$, depend on $D_{50,p}/L$, B^*/L , D_a/L , $n_l D_a/L$. Again, the slope angle is a parameter, and the wave energy conservation equation enables the calculation of the bulk wave dissipation, D^* .
- 487 (3) Plotting $[K_R^2, K_T^2, D^*]$ against $(h/L)(H_I/L)$ three wave energy transformation domains are easily 488 defined: dissipative, transitional and reflective. In each of them a set of most probable breaker 489 types can be identified.
- 490 (4) In the transitional domain, different combinations of wave energy transformation mode and 491 breaker type can occur with a constant Iribarren number: there is not a biunivocal relationship 492 between I_r and (i) the type of breaker, (ii) the reflection and transmission coefficients and (iii)

the bulk dissipation. Therefore, at least in the transitional domain, Iribarren's number is not a
sufficient similarity parameter for the analysis of wave breaking, and related flow characteristics
on slopes.



Figure 11: Experimental space [ln(h/L), ln(H₁/L)] of (a) the laboratory tests with a slope angle 1:2 according to ranges
of values of the monomial B*/L (colors); (b) the numerical tests with a slope angle 1:2 and wavebreaking bands
represented by colors. In both figures the tendency of the wavebreaking is marked with solid blue arrows and the
following domains were also identified: (1) reflective domain; (2) dissipative domain; and (3) transitional domain.

- 503 (5) A sigmoid function of the variable $(h/L)(H_l/L)$ fits reasonable well the experimental results $[K_R^2, 504 K_T^2, D^*]$, for impermeable as well as for permeable slopes. A poor fit is obtained when the 505 Iribarren number taken the independent variable. These conclusions are confirmed by the 506 representation of the residual error between the experimental data and the fitted curve.
- (6) Because the range of the design factors spans several orders of magnitude, the log-transformation of the experimental space $[\ln(h/L), \ln(H/L)]$ is likely benefit to elaborate a suitable experimental design in laboratory, which allows verifying the wave breaker type and the related dissipationreflection-transmission on slopes.

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519 Appendix A. Wave-mound breakwater interaction: dimensional analysis

520 A.1 Problem definition

521 Dimensional analysis involves four stages: (1) identification of a complete set of n independent 522 quantities; (2) selection of a complete subset of k dimensionally independent quantities; (3) definition 523 of (n-k) independent quantities, based on the power products of the k quantities selected; (4) 524 application of the Π theorem to express dependent quantities as a function of the (n-k) independent 525 quantities.

526 *Impermeable and non-overtoppable slope*

527 The complete set of independent variables that participate in the transformation of the wave train on 528 an impermeable slope consists of the following: (i) slope angle, α ; (ii) water depth, h; (iii) incident 520 mark high L (iii) mark L (iv) mark L (i

529 wave height, H_l ; (iv) wave period, T_Z , (or wave length, L); (v) gravity acceleration, g;; (vi) dynamic 530 viscosity, μ ; and water density, ρ . The effect of the surface tension and water compressibility is

- 531 negligible. Excluding α ,
- 532 $X = f(h, \rho, \mu, g, H_I, L)$
- 533 $X = (X_1, X_2) = (H_R, X_{0,R})$

534 where X denotes the set of dependent variables, the wave height and the phase of the reflected wave

- train. $X_{0,R}$ is the distance measured from the toe of the slope to the location of the point reflector of the reflected wave train.
- 537 Accordingly, $\{\rho, g, L\}$ are selected as the complete subset of the dimensionally independent quantities
- 538 (k=3). The remaining variables of the set and the dependent quantities (i.e. characteristics of the 539 reflected wave train) can be expressed as power products of this dimensional base, (n-k) = 3, to obtain
- 540 the similarity equation

541
$$X^* = [H_R/L, X_{0,R}/L] = f_1(h/L, H_I/L, R_{e,w})$$

542 When the kinematic viscosity is represented by $v = \mu/\rho$, the third dimensionless variable, $R_{e,w} \approx U_c h$

- 543 /v, is a Reynolds number, whose value should be sufficiently high for the regime to be regarded as
- fully developed turbulence. U_c is a characteristic velocity, in general the maximum, of the oscillatory
- 545 movement in the water column (Keulegan and Carpenter, 1958).

- 546 The slope angle, α , is not included since it is a dimensionless quantity, and thus can not be expressed
- 547 in terms of the base. Since dimensional analysis cannot provide information about the way that wave
- transformation depends on the slope angle, n-k = 3. For the same reason, it is not possible to include
- 549 ϕ_R and consider the distance $X_{0,R}$, is considered, which like H_R is a quantity of the first kind.
- 550 Sutherland and O'Donoghue (1998) experimentally verified that the reflection phase ϕ_R depends on
- 551 h/L and the slope angle. They proposed that it should be related to the dimensionless variable x_m/L ,
- where x_m is the horizontal distance of the slope from the toe to the cut with the surface of the sea at
- rest. Thus, alternatively, x_m/L can be included in the complete set of independent variables, then n = 554 7 and (n-k) = 4,

555
$$X = f(h, x_m, \rho, \mu, g, H_I, L)$$

556 $X^* = [H_R/L, X_{0,R}/L] = f_1(h/L, x_m/L, H_I/L, R_{e,w})$

In this work, for clarity of exposition, the slope angle has been chosen to use as an "identificative parameter" of the results, instead of incorporating x_m/L in the function. Recall that, by definition $x_m/L = (1/\tan(\alpha))(h/L)$.

- The total energy of the incident and reflected wave trains are derived quantities that are expressed asfollows:
- 562 $E_{I}/(\rho gL) = (1/8)H_{I}^{2}; E_{R}/(\rho gL) = (1/8)H_{R}^{2}$

563
$$K_R^2 = H_R^2 / H_I^2 = E_R / E_I; \ \phi_R \propto X_{0,R} / L$$

564 Finally, the dependent quantity (reflected energy coefficient and phase of the reflected wave train) 565 are:

566
$$[K_R^2, \frac{X_{0R}}{I}] = \Psi_R(h/L, H_I/L)$$
 (3)

567 Although the function Ψ is undetermined, its form is similar for all possible slope angles. Finally, the 568 dissipation rate for the mean incident energy flow, which is a dimensionless variable of the second 569 kind, is calculated as follows (Eq. 1),

570

$$D^* = 1 - \Psi_R(h/L, H_I/L)$$
 (4)

571 *Permeable with a main armor layer and non-overtoppable slope*

572 The difference in performance in this permeable breakwater and in the impermeable slope stems from 573 the additional dissipative regimes: (1) in the main armor layer, and (2) during wave propagation in 574 the porous medium (see Section 2). Hence, for this permeable breakwater, the complete set of 575 independent variables participating in the transformation of the wave train, is considerably larger than 576 in the case of an impermeable slope. Again, excluding α and β , the seaward and landward slope 577 angles, respectively

578 $(X_{I}, X_{2}) = f(h, \rho, \mu, g, H_{I}, L, D_{50,p}, B^{*}, D_{a}, e)$

Where B^* is a characteristic width of the granular core of uniform size, $D_{50,p}$; D_a is the equivalent 579 580 diameter of the type and shape of the unit piece of the main armor layer, positioned with a specific placement criterion; e represents its thickness, which can be expressed in terms of the equivalent 581 diameter of the unit piece $(e = n_1 D_a)$, where n_1 is a real number; (X_1, X_2) are the two dependent 582 variables, which in this case are the statistical or spectral descriptors of the wave height and reflected 583 wave train, $[H_R \text{ or } (m_{0,R})^{1/2}, X_{0,R}]$, and of the transmitted wave train, $[H_T \text{ or } (m_{0,T})^{1/2}, X_{0,T}]$, 584 respectively. Generally, $X_{0,T}$ is the distance measured from the toe of leeward slope to the location 585 of the point transmitter of the transmitted wave train. Alternatively, it had be possible to include $x_{m,s}$ 586

and $x_{m,l}$, seaward and landward horizontal length of the slope, respectively.

- 588 In the same way as for the impermeable slope, $\{\rho, g, L\}$ are selected as the complete subset of
- dimensionally independent quantities (k=3). The remaining variables of the set and the dependent variable, can be expressed as the power product of this dimensional base, (n-k) = 7, (similarity equation),
- 592 $X_l^* = [H_R/L, X_{0,R}/L] = f_1(h/L, H_l/L, D_{50,p}/L, B^*/L, D_a/L, R_{eDa}, R_{e,p})$

593
$$X_2^* = [H_T/L, X_{0,T}/L] = f_2(h/L, H_I/L, D_{50,p}/L, B^*/L, D_a/L, R_{eDa}, R_{e,p})$$

The similarity equations incorporate four new dimensionless variables: D_a/L , and $R_{e,Da}$ the relative 594 595 armor diameter and an armor Reynolds number. They respectively govern the turbulence regime on the slope due to the breaking and interaction of the wave train with the main armor layer, mainly 596 dissipation (Clavero et al., 2018). Like the forces inside the core, the force regimes in the armor layer 597 598 are determined in function of $R_{e,Da}$ and $R_{e,Da}/KC_a$, where $KC_a \approx H_l/D_a$ is the armor Keulegan-Carpenter number. $R_{e,Da}$ is a reformulation of $R_{e,w}$, and was identified in the case of the impermeable slope. The 599 grain Reynolds number, R_{e,p} characterizes the hydrodynamic regime inside the core (Burcharth and 600 Andersen, 1995). The dimensionless quantities $D_{50,p}/L$ and $A_{eq}/L^2 \approx (h/L) (B^*/L)$ govern the 601 dissipation of the flow (Pérez-Romero et al., 2009), and the reflection and phase inside the porous 602 603 core (Vílchez et al., 2016a), respectively.

The total energy of the incident, reflected, and transmitted wave trains are derived dimensionless quantities of the first kind, which are expressed as follows:

606 $E_{I}/(\rho gL) = (1/8)H_{I}^{2}; E_{R}/(\rho gL) = (1/8)H_{R}^{2}; E_{T}/(\rho gL) = (1/8)H_{T}^{2}$

607
$$K_T^2 = H_T^2 / H_I^2 = E_T / E_I; \ \phi_T \propto X_{0,T} / L$$

608 Finally, the dependent quantity (reflected and transmitted energy coefficient and phase of the

609 reflected and transmitted wave train) are,

610
$$[K_{R}^{2}, \frac{X_{0R}}{L}] = \Psi_{R}(h/L, H_{I}/L, D_{50,p}/L, B^{*}/L, D_{a}/L, R_{eDa}, R_{e,p})$$
(5)

611
$$[K_{T}^{2}, \frac{X_{0T}}{L}] = \Psi_{T}(h/L, H_{I}/L, D_{50,p}/L, B^{*}/L, D_{a}/L, R_{eDa}, R_{e,p})$$
(6)

612 where, despite the fact that functions (Ψ_R, Ψ_T) are undetermined, their form is similar for the value 613 pairs of the leeward and landward slope angles considered. Moreover, in order to apply the results to 614 the scale of the prototype, the Reynolds number values of the layer, R_{eDa} , and grain $R_{e,p}$, should be 615 sufficiently high so that the hydrodynamic regime of each run is totally turbulent in the water column, 616 and inside the main layer and the core.

Bulk dissipation includes the shoaling-associated dissipation, interaction with the main armor layer and the evolution of the wave profile, which would include eventual wave breaking, and the dissipation during the propagation and transmission of the wave train through the porous core. This bulk dissipation (D^*) , a dimensionless quantity of the second kind, is determined on the basis of experimental results, solving the conservation energy equation:

622
$$D^* = D^{*}/(C_g E_I) = 1 - \Psi_R - \Psi_T$$
 (7)

Finally, it should be highlighted that even though the $R_{e,w}$ R_{eDa} , and $R_{e,p}$ values exceed the threshold, this does not signify a reduction in the number of independent variables in the initial set. The similarity equation cannot be simplified, and since the dependence of the Reynolds numbers is implicit in the experimental results, and it cannot be ignored.

627 A.2 Experimental design based on dimensional analysis

The response of the dependent dimensionless quantities, $[K_R^2, \frac{X_{0R}}{L}, K_T^2, \frac{X_{0T}}{L}]$ (first kind) are functions of the set of input/predictor dimensionless quantities, (Eq. 3, 5 and 6). In the case of the impermeable

- 630 slope, $\Psi: \Re^2 \rightarrow \Re^3$, whereas for the sloping breakwater with a porous core and a main armor layer, Ψ :
- 631 $\Re^2 \rightarrow \Re^5$ (where \Re^n is the real space of *n* non-dimensional quantities). In all cases, wave generation
- 632 were chosen based on two dimensionless quantities that characterize the incident wave train at the toe

of the slope breakwater: the wave steepness, (H_l/L) , and relative depth, (h/L).





635Figure 12: Experimental value of the impermeable slope for each $I_{r target}$ (symbols) and three slope angles (colors)636numerically simulated in: (a) space $[h/L, H_l/L]$; (b) log-transformation space $[ln(h/L), ln(H_l/L)]$. Figure 12b shows the637experimental limits for wave generation in the numerical model, wave breaking due to the water depth, and the non-638overtopping condition.

639 Figure 12 represents the pairs of experimental values of the impermeable breakwater in space [h/L], H/L (Fig. 13a), and in the space $[\ln(h/L), \ln(H/L)]$ (Fig. 12b), after log-transformation. The 640 corresponding values of the permeable porous breakwater are shown in Figure 13a. Figure 13b 641 identifies two dimensionless quantities for this type of breakwater, $[\ln(B^*/L), \ln(D_{50,\nu}/L)]$. Though not 642 shown in Figure 13, the breakwater slope is 1:2, a double layer of cube armor of diameter $D_a = 0.0409$ 643 m. After the log-transformation, the dimensionless quantities may become more independent (fewer 644 interactions), and facilitate (1) the selection of highly representative experimental results, (2) the 645 optimization of the number of experiments and (3) the minimum number of repetitions in order to 646 satisfactorily combine multivariate dimensional analysis with statistical analysis (Albrecht et al., 647 648 2013).



650Figure 13. Experimental values of the permeable slope with a main layer and porous core: (a) space $[ln(h/L), ln(H_I/L)]$ 651for each $I_{r target}$ tested; (b) log-transformed space $[ln(B^*/L), ln(h/L), ln(H_I/L)]$, which uses different colors to show the652value ranges of the monomial $D_{50,p}/L$.

653 List of symbols

Aeq	Equivalent area of the porous medium below the water level
B^*	Characterized width of the breakwater
$\mathbf{B}_{\mathbf{b}}$	Width of the top of the breakwater
C_{σ}	Group celerity
$\tilde{C}_{g,target}$	Target value of the group celerity
C	Target value of the celerity
D'*	Mean bulk dissination
ע זים	Wave energy dissination rate
D D50	Grain size
D 30,p D.	Characteristic diameter of the main armor layer
Da D.	Equivalent diameter of the main armor layer
e	Equivalent diameter
E Fi	Incident wave energy
E _P	Reflected wave energy
ET	Transmitted wave energy
Etarget	Target value of the wave energy
Fi	Mean energy flow of the incident wave train
f	Inertial force
f.	Laminar force
IL Fam	Darous medium height
Г _{МТ} Бр	Mean energy flow of the reflected wave train
ΓK Eπ	Mena energy flow of the transmitted wave train
fr 1	Turbulent force
1] α	Gravity acceleration
g h	Water denth
н	Wave height
H	Incident wave height
H_{P}	Reflected wave height
H_{T}	Transmitted wave height
Htrms	Total root-mean-square wave height
Htarget	Target value of wave height
Ir	Iribarren number
Ir. target	Target value of iribarren number
iwb	J=[1-6] identifies the breaker types
KCp	Keulegan-Carpenter number
K _R	Modulus of the reflection coefficient
K _T	Modulus of the transmission coefficient
L	Wave length
Ltarget	Target value of wave length
m_0	Zero-order momentum
\mathbf{n}_{l}	Real number
n _p	Core porosity
$R_{e,w}$	Reynolds number
R_{eDa}	Armor reynolds number
Rep	Granular reynolds number
Т	Wave period
Ttarget	Target value of wave period
Tz	Mean wave period
T _{z,target}	Target value of the mean wave period
Uc	Characteristic velocity of the water column
Up	Characteristic seepage velocity
$X_{0,R}$	Reflection dimensional phase
$X_{0,T}$	Transmission dimensional phase
Xm	$X_m=h/tan(\alpha)$ intersection of the mwl with the seaward slope of the breakwater

- α Seawards slope angle
- β Leeward slope angle
- μ Dynamic viscosity
- ρ Water density
- ρ_s Unit piece density
- Ψ Represent the functional relationship
- θ Incidence angle
- v Kinematic viscosity
- ϕ_R Reflection phase

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