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4 **From Satellite Interferometry displacements to potential damage** 5 **maps: a tool for risk reduction and urban planning**

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20 **Abstract**

21 Persistent Scatterer Interferometry (PSI) is a consolidated tool for detecting and monitoring ground surface
22 displacements. The availability of satellite data with free access policy and high monitoring capabilities (in
23 terms of resolution and acquisition frequency) is increasing. Moreover, the first continental displacement
24 map of Europe will be freely available in quarter one 2022 by the latest Copernicus Service, the European
25 Ground Motion services (EGMS). The EGMS will provide ground displacement maps, updated every year,
26 delivering valuable information to a wide range of users, such as public or governmental institutions,
27 industry, academia, and citizens. This vast amount of information needs semi-automatic tools and
28 methodologies to derive user-oriented products that can be easily used by land use and urban planning
29 decision-makers, who are often unfamiliar with PSI. This work proposes a semi-automatic procedure to
30 identify damage prone areas in urban environments from wide-area PSI displacement maps. The proposed
31 method identifies the most significant Active Deformation Areas (ADAs) to calculate three products based
32 on the displacement intensity gradient: the Gradient Intensity Map, the Gradient Vectors and Time Series,
33 and the Potential Damage Map. These products allow identifying buildings and urban structures exposed to
34 potential damage, which could be followed by a more detailed building-based vulnerability and risk
35 assessment. The methodology has been applied to an area of the province of Granada (Andalucía, Spain)
36 but it can be applied to any other urban environment where PSI displacement maps are available. To
37 demonstrate the advantages and limitations of the proposed method, results are discussed in five coastal
38 resorts (Cerro Gordo, Punta de la Mona, Marina del Este, Alfa Mar, and Monte de los Almendros), strongly
39 affected by slope movements. The methodology allowed to derive 175 ADAs from about 200,000
40 measurement points. About 15 % of the resulting area has been found to correspond to high or very high
41 gradient intensity class, and 192 out of 633 buildings have been identified to be prone to moderate or high
42 potential damage. A damage prediction test has been realised through ROC analysis, based on a damage
43 inventory map derived from field surveys. The results demonstrate the effectiveness of the methodology to
44 localize damaged or potentially damaged buildings, substantially reducing the time of analysis.

45 1 Introduction

46 Geological or anthropogenic hazards are one of the main targets for urban planning activities at both local
47 and regional scales. An accurate and updated hazard zoning or susceptibility map is a fundamental tool for
48 urban planning and development (Cascini et al., 2005; Mateos et al., 2020), as it supports decision making
49 in relation to both prevention and mitigation measures. The spatial and temporal characterization of surface
50 movements (e.g., slope instability, landslides, soil settlement or subsidence) and their interaction with urban
51 expansion and human activities, is essential to understand the process at hand and plays a key role in
52 sustainable land use and risk management. The anthropic activity can accelerate natural processes that may
53 have an impact on human lives, and damage or destroy buildings and infrastructures. Damages caused by
54 natural disasters have increased in the last decades due to the growing demographic pressure, urban sprawl,
55 territory mismanagements (Cascini et al., 2005) and the effects of climate change. This increase has had an
56 important impact in terms of economic loss. Remote Sensing (RS) and Earth Observation (EO) techniques
57 allow us to implement a multi-scale and multi-frequency monitoring approach: from small-scale detection
58 and low updating frequency to large scale monitoring and, if necessary, high updating frequency. Among
59 the EO techniques, Advanced Differential Synthetic Aperture Radar Interferometry (A-DInSAR)
60 techniques, and in particular Persistent Scatterer Interferometry (PSI) (Crosetto et al., 2016a), are now
61 considered valuable and reliable tools to provide dense and millimetre-accurate ground motion
62 Measurement Points (MPs) networks over wide areas. In the case of PSI, these MPs are referred to as
63 Persistent Scatterers (PSs). Since the 1990s, the applications of satellite interferometry have been multiple
64 and diversified. Some examples are: geological hazards detection and characterization (Calò et al., 2014;
65 Massonnet et al., 1995, 1993; Massonnet and Feigl, 1998), risk assessment (Solari et al., 2020a, 2018),
66 worksites monitoring (Botey i Bassols et al., 2021), engineering and mining (Krishnakumar et al., 2021;
67 López-Vinielles et al., 2020; Solari et al., 2020b). The new satellite generations are improving their
68 monitoring capability, ensuring regular acquisitions with high temporal frequency and a free-for-all data
69 access. The first mission with these acquisition policies was Copernicus Sentinel-1 (S1), a constellation of

70 two twin satellites, S1A and S1B, respectively launched in 2014 and 2016. S1 was designed to continue the
71 data flow provided by previous missions (Salvi et al., 2012) and represents a turning point in the use of
72 satellite interferometry. It is a precious resource for systematic long-term, wide-area monitoring. S1 allowed
73 developing the so-called Ground Motion Services (GMSs), i.e. wide-area A-DInSAR-based ground motion
74 monitoring and mapping services. Nowadays, GMSs are emerging and provide displacement maps that are
75 freely accessible at both regional (Conforto et al., 2021; Montalti et al., 2019; Raspini et al., 2018) and
76 national scales (Dehls et al., 2019; Kalia, 2017; Kalia et al., 2017; Papoutsis et al., 2020). The first
77 continental displacement map of Europe will be provided to users in quarter one 2022 by the latest
78 Copernicus Service, the European Ground Motion services (EGMS) (Costantini et al., 2021; Crosetto et al.,
79 2021, 2020a, 2020b). The EGMS will provide ground displacement maps, updated every year, freely
80 accessible through a visualization and download interface. So far, the EGMS has been implemented as
81 scheduled, so its results will soon be distributed by the European Environment Agency. The direct
82 consequence of the launch of GMSs relates to an increasing accessibility to satellite interferometry data and
83 products, and thus to an increasing interest among a wider range of users, including public or governmental
84 institutions, industry, academia and even the general public. However, interpreting this huge amount of data
85 by using the current analysis tools can be difficult, time-consuming, and poorly effective, especially for
86 non-expert users. In this context, it is necessary to develop semi-automatic tools and methodologies to
87 generate operational and interpreted products. In the last years, the research community and a number of
88 projects (e.g. Safety, U-geohaz, RISKCOAST, MOMIT, etc.) have been focused on the application of post-
89 processing methods to ease the interpretation of PSI products and make them more exploitable for users
90 (Barra et al., 2018, 2017; Bonì et al., 2016; Bovenga et al., 2021; Mirmazloumi et al., 2022; Monserrat et
91 al., 2018; Notti et al., 2014; Raspini et al., 2018).

92 In this paper, we present a methodology that starts from a S1 PSI displacement map to derive potential
93 damage maps and localize areas where structures and infrastructures are prone to damage. Based on the
94 concept of multi-scale analysis proposed by Cascini et al. (2013), Ferlisi et al. (2019), and Peduto et al.

95 (2017b, 2017a), our method proposes a two-level approach. First, it starts with a regional-scale detection of
96 the most significant Active Deformation Areas (ADA) (Barra et al., 2017), which support the small-medium
97 scale analysis (less than 1:25,000). Then, it derives, through a local-scale analysis, large-scale information
98 on potential damage for elements (buildings) that are exposed to slow movements, and especially to
99 differential displacements (1:10,000-2,000). The ADA map is the first level of information, which allows
100 the fast identification of potentially unstable areas, which permits in turn the use of further automatic
101 methodologies, such as the approaches developed for the automatic classification of the ADAs (Navarro et
102 al., 2020; Solari et al., 2018), or for the estimation of the potential impact to exposed elements (Pastonchi
103 et al., 2018; Solari et al., 2020a). Here, the ADAs are the starting point to generate a second level of
104 information able to drive deeper analyses, field investigations and prompt intervention. The proposed
105 method is based on landslide intensity values, expressed in terms of spatial gradients of motion, to
106 characterise exposed areas (buildings) and indicate where damage might be expected. The main outputs are
107 (i) the Gradient Intensity map; (ii) the gradient vectors and time series, and (iii) the exposed elements
108 Potential Damage Map. An important aspect of the proposed method is that it is fully based on freely
109 available remote sensing data.

110 PSI has been widely used to assess the relation between movements and damages due to subsidence (Cigna
111 et al., 2011; Cigna and Tapete, 2021; Ezquerro et al., 2020; Peduto et al., 2017b), uplifts (Drougkas et al.,
112 2020) or landslides (Béjar-Pizarro et al., 2017; Bianchini et al., 2015). Here we illustrate the procedure over
113 a coastal area of around 700 km² in the province of Granada (Andalucía, South of Spain), with a focus on
114 some ADAs located on residential slopes, where constructions and infrastructure are suffering severe
115 damages. In those areas, a number of field surveys have been carried out to map the actual damage, with
116 the aim of comparing the field evidence with the outputs of the proposed method. For this purpose, a test
117 analysis based on Receiver Operating Characteristic (ROC) curves has been performed to quantitatively
118 evaluate the prediction capability of our building damage mapping approach. These results have been

119 achieved in the frame of RISKCOAST, a project funded by the Interreg SUDOE program (Ref:
120 SOE3/P4/E0868).

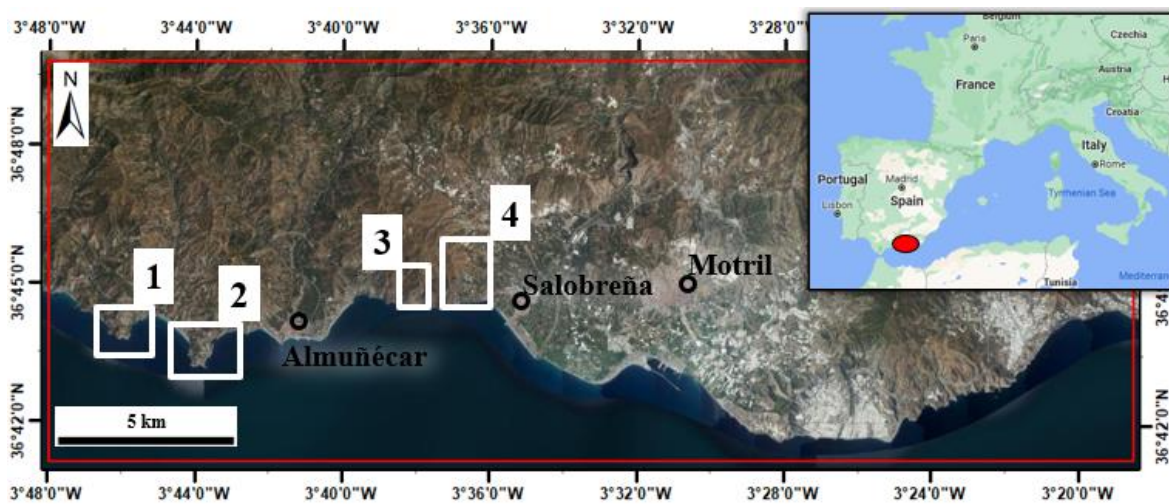
121 After the description of the study area (area of interest, AOI) in Section 2, this document includes a brief
122 introduction to the A-DInSAR (and PSI) techniques (Section 3) to ease the reading for non-experts. Then,
123 the methodology is described in Section 4. Section 5 is focused on the results obtained over the AOI. Finally,
124 the results are discussed (Section 6) and the conclusions are presented (Section 7), summarizing the main
125 strengths and limitations of the method.

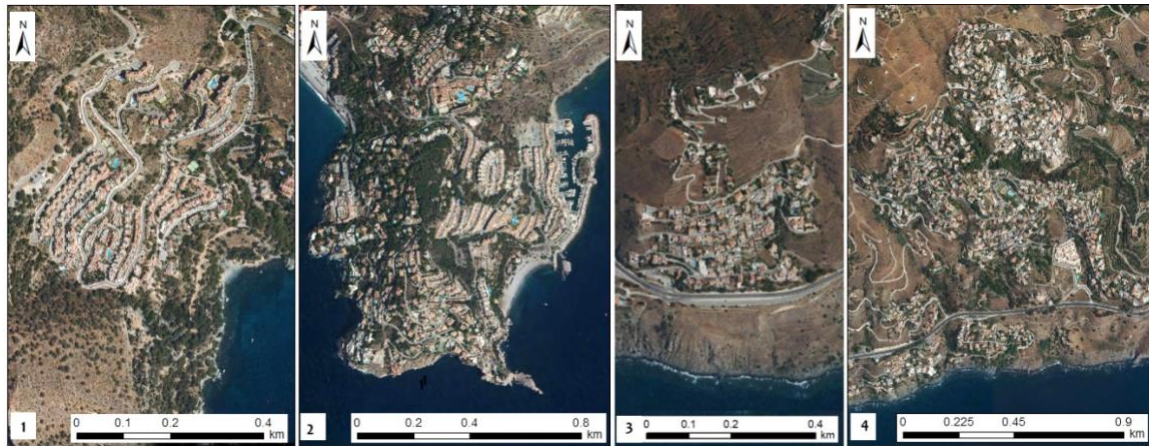
126 2 Area of application

127 The proposed methodology is applied over an area of around 705 km² (Fig. 1) localized in the districts of
128 Almuñécar and Salobreña, in the province of Granada (Andalucía, South of Spain), which is highly affected
129 by slope movements and subsidence (Chacón et al., 2007; Reyes-Carmona et al., 2021, 2020; Mateos et al.,
130 2017 , Notti et al., 2016). From the late sixties, these districts have undergone strong urban development
131 (Chacón et al., 2019, 2016, 2014), which has often disturbed and reactivated pre-existing coastal landslides
132 (Notti et al., 2015) or even triggered new ones (Chacón et al., 2014). Nowadays, many urban areas and
133 several resorts suffer damages and economic losses due to slope movements. We apply our methodology
134 on five coastal resorts (Fig. 2): Cerro Gordo (1); Punta de la Mona and Marina del Este (2); Alfa Mar (3)
135 and Monte de los Almendros (4). These resorts share a common denominator: they all have been built over
136 steep coastal slopes that are affected by ground instabilities and/or known landslides.

137 In the cases of Cerro Gordo and Marina del Este, both resorts were constructed on pre-existing coastal
138 landslides (Mateos et al. 2017, Notti et al., 2015). The studies conducted at both sites suggest that urban
139 development caused landslide reactivation processes that accelerated during intense rainfall periods. As an
140 example, severe urban damages occurred after a series of heavy rains recorded in winter 2009-2010. The
141 most remarkable case corresponds to the Cerro Gordo resort, which was partially evacuated and declared in
142 state of emergency in 2015 and remains under judicial proceedings today. Monitoring through PSI

143 techniques confirmed that both landslides (Cerro Gordo and Marina del Este) were active from 2003 to
144 2009, with velocities ranging from 5 to 15 mm/year. For the cases of Punta de la Mona, Alfa Mar and Monte
145 de los Almendros resorts, there is no information about any pre-existing landslides. Regarding ground
146 movements, Galve et al. (2017) reported, through an InSAR-based analysis, displacement values of up to 8
147 mm/year from 2003 to 2008 both in Alfa Mar and Monte de los Almendros. In contrast, in the Punta de la
148 Mona resort, no information on ground displacement has been published to date. It should be noted that the
149 papers cited above were the subject of discussion at the Spanish General Council of the Judiciary and the
150 High Commission for Architecture Professionals Conference in 2018. These annual conference addresses
151 issues related to the professional responsibilities and expert assistance of architects in judicial proceedings.
152 In 2018, the InSAR studies developed in the study area were considered by architects and lawyers as very
153 useful tools to provide evidence in judicial proceedings related to buildings affected by unstable ground.





155
 156 *Figure 1: The upper figure shows the coastal western fringe of Granada where the four selected areas are located (1, 2, 3 and 4).*
 157 *The square 1 bounds the Cerro Gordo resort, which is shown in frame 1. The square 2 contains the Punta de la Mona Promontory*
 158 *with two resorts, Punta de la Mona (western side of the promontory) and Marina del Este (eastern side of the promontory), in frame*
 159 *2. Finally, the square 3 contains the resort of Alfa Mar, in frame 3, the square 4 contains Monte de los Almendros, in frame 4.*

160 From a geological point of view, the rocks of this coastal area belong to the Alpujarride Complex of the
 161 Betic Cordillera (Simancas and Campos, 1993). The Alpujarride Complex is formed by a sequence of
 162 metamorphic rocks that are, from bottom to top: (1) dark graphite schists, (2) light schists together with
 163 quartzites and (3) marbles (Azañón et al., 1994). Both, the dark and light schists are very deformed and
 164 altered, which gives them a ductile and soil-like behaviour (Mateos et al., 2017) and thus makes them not
 165 very competent rocks. Moreover, the overlying marbles are densely fractured and karstified, which
 166 generates numerous unstable blocks of rocks that easily slide over the schists. These characteristics of the
 167 rocks contribute to a very unfavourable mechanic situation that commonly leads to slope instability and
 168 landslides along this coastal area.

169 3 General overview of Satellite Interferometry and PSI

170 The input of the methodology is a PSI displacement map, consisting of a set of measurement points (MPs)
 171 distributed over the processed area. Each MP includes information on the mean annual velocity and on the
 172 time series of the displacement occurred in the observed period. The displacement values are obtained at
 173 each acquisition of the satellite according to its revisit period, i.e. the time interval between two consecutive

174 image acquisitions over the same area with the same geometry. The satellite passes over the same area in
175 two geometries of acquisitions: the ascending one, when it moves northward and has a side look from west
176 to east, and the descending one, when it moves southward and has a side look from east to west.

177 DInSAR techniques measure the surface displacement between two or more SAR image acquisitions over
178 the same area with the same geometry. As opposed to an optical system, DInSAR allows measuring
179 regardless of the presence of clouds or absence of day light. Every image includes information on both the
180 amplitude (intensity), which depends on target characteristics (shape, orientation, roughness, electrical
181 properties), and the phase of the backscattered signal. The phase is mainly related to the two-way path of
182 the signal between the satellite and the target (i.e. the antenna-target distance). Therefore, the phase shift
183 between two images acquired in different times allows measuring an eventual change of distance related
184 with the movement of the target. The sign of the phase difference indicates if the target moves towards
185 (positive) or away (negative) from the satellite. The phase difference (interferogram) is not only influenced
186 by surface displacement, but it also includes other components related to: (i) the different atmosphere
187 conditions at each acquisition time; (ii) the difference of the signal path due to the different position of the
188 satellite at each acquisition; (iii) noise related to a change of the surface conditions (Crosetto et al., 2016).
189 Estimating the other components to extract the movement information is not straightforward. If the
190 displacement component is stronger than the others, and we are interested in punctual events, we can use
191 single pairs of images, acquired before and after the movements, to individually analyse the interferograms
192 (Barra et al., 2016; Hanssen, 2001). To detect slower movements and to generate wide-area displacement
193 maps, it is necessary to apply one of the existing Advanced DInSAR (A-DInSAR), such as the PSI
194 technique. These techniques are based on the use of a stack of images to generate a network of
195 interferograms. A review of PSI methods can be found in Crosetto et al. (2016a). The output of PSI
196 processing is a set of spatially distributed measurement points, known as Persistent Scatterers (PSs), each
197 one representing a ground surface area, where the backscattering characteristics remain stable in time (i.e.

198 coherent, see below) (Ferretti et al., 2001). In the following, a brief overview of the main characteristics of
199 DInSAR and PSI techniques is provided.

200 1) **Geometric characteristics.**

201 DInSAR can only measure motion along the Line-Of-Sight (LOS) direction, which is the direction
202 along which the on-board radar sensor transmits and receives the signal. The LOS direction is
203 oblique (i.e. with an angle with respect to the vertical). DInSAR can only measure the LOS
204 component of the real movement. Consequently, the smaller the angle between the direction of the
205 movement and the LOS, the greater the sensitivity of the technique to measure it. The worst case
206 occurs if the movement is totally perpendicular to the LOS. Due to the side look and slant range
207 nature of SAR systems, depending on the relative geometry between the LOS and the local
208 topography, the footprint (ground resolution) of each pixel changes in space; some slopes cannot
209 be measured because they are “compressed” in few pixels (foreshortening effect).

210 2) **Sampling density.** The capability of measurement depends on the surface characteristics and land
211 cover. Generally, we have PSs where the surface backscattering characteristics remain stable in time
212 (high coherence), as for example over anthropic elements and rock outcrops. On the contrary, PS
213 density decreases in vegetated land and in areas with frequent surface changes, such as open pit
214 mines.

215 3) **Phase measurements.** The interferometric phase allows measuring movements with millimetric
216 precision, but it has the drawback of being ambiguous, causing aliasing errors. Resolving the
217 ambiguities is the main task of phase unwrapping. This operation is one of the main sources of
218 errors, which may generate jumps in the time series. This is usually critical when fast movements
219 occur.

220 4) **Horizontal-Vertical components.** The availability of both ascending and descending data can help
221 to mitigate the geometric limitations of SAR: the steeper the slopes over the study area, the greater
222 the need to process both geometries. The use of two geometries is also needed to derive the

223 horizontal and vertical components of the movement, which eases data interpretation. The
224 horizontal-vertical decomposition depends on the availability of both ascending and descending
225 data in the same sampling cell. This implies (i) a reduction of the spatial resolution and (ii) the loss
226 of information where an area is not covered by PSs from both trajectories.

227 5) **Slope Projection.** In slope instability studies, the LOS measurements are usually projected onto the
228 maximum slope direction. The main advantage of this approach is that it does not require data
229 acquired both in ascending and descending geometries. Nevertheless, it is based on the strong
230 assumption that the movement is parallel to the slope. Moreover, the result is strictly dependent on
231 the resolution of the used Digital Elevation Model (DEM) and on the PS positioning accuracy over
232 it, which is not always precise.

233 4 Methodology

234 This study seeks to generate maps to identify buildings and urban infrastructures exposed to possible
235 damage. Assessing the potential damage suffered by structures requires at least three elements (Tinti et al.,
236 2011): i) the identification and definition of the parameters affecting the structures; ii) the characteristics of
237 the buildings; iii) the correlation between the intensity of the parameters and the damage levels. Let us
238 consider each element below.

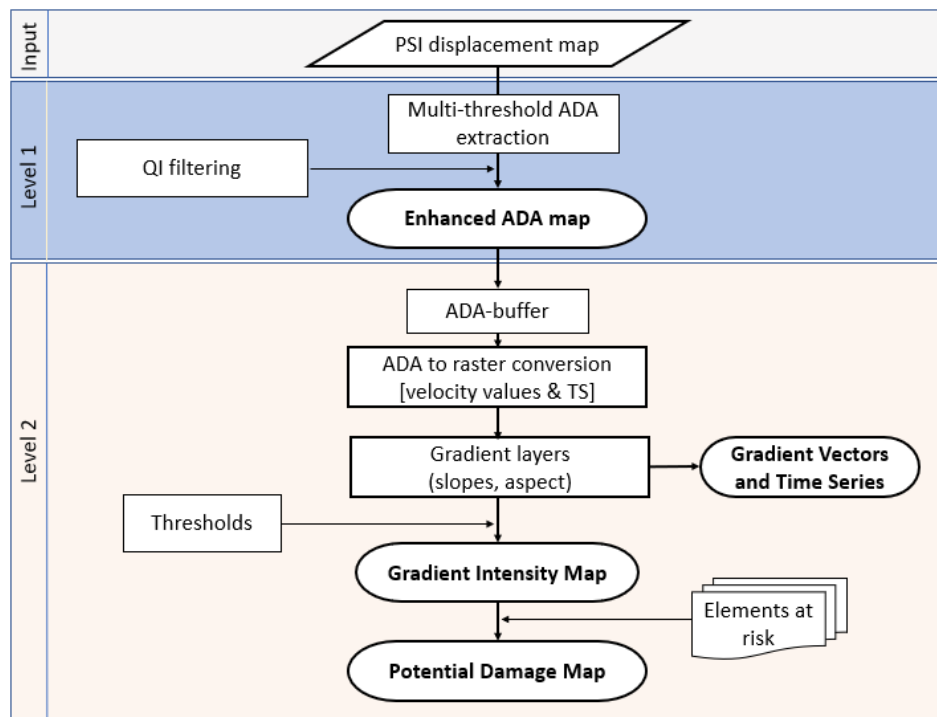
239 Parameters most frequently used to evaluate the damage probability of slow-moving phenomena (such as
240 subsidence, uplift and landslides) include total displacement, differential displacement, spatial gradient of
241 the displacement, tilts or angular distortions, displacement velocity or depth of the sliding surface
242 (Antronico et al., 2015; Boscawen Burland and Wroth, 1975; Ezquerro et al., 2020; Fell et al., 2008;
243 Mansour et al., 2011; Peduto et al., 2017b). A more detailed analysis of damage probability requires other
244 factors such as the orientation of the building with respect to the displacement vectors, its location within
245 the unstable slope (Mavrouli et al., 2014), the subsoil geology (lithology, lateral discontinuities, water
246 presence) or the soil-structure interaction.

247 Parameters that are key to perform a detailed vulnerability analysis of buildings (Pavić et al., 2019) are:
248 mechanical parameters of the construction materials; the characteristics of bearing and the distribution and
249 inter-relation between structural (pillars, beams, bearing wall) and non-structural (claddings, non-bearing
250 walls) elements; the geometry (e.g. height/width); the typology and depth of foundations; the construction
251 year; the history of the building in terms of past stress cycles; and the maintenance status, reconstructions,
252 or presence of hidden damage (Boscawen Burland and Wroth, 1975; Lancellotta, 2004; Pavić et al., 2019;
253 Peduto et al., 2017b; Polshin and Tokar, 1957; Skempton and Macdonald, 1956). However, collecting all
254 these parameters over wide urban areas would require a long amount of time.

255 The correlation between the intensity of the displacement and the damage levels depends upon the
256 characteristics of the exposed elements. The most frequent approaches to study this correlation are empirical
257 studies and fragility curvess, which relate the inventoried damage with the measured displacement (e.g.,
258 velocity, cumulative displacement, angular distortion, etc.). Some authors simplify the approach
259 distinguishing between the type of buildings or foundations (Peduto et al., 2019, 2017b). Despite the high
260 complexity of this evaluation, some general criteria and recommendations are given by some authors
261 (Boscawen Burland and Wroth, 1975; Measor et al., 1956; Polshin and Tokar, 1957; Skempton and
262 Macdonald, 1956). Finally, defining the acceptable damage a building can suffer according to its limit states
263 is even more complex and depends on its destination of use and on economic considerations.

264 Here we use the spatial gradient of displacements as main damage inducing factor. The main objective of
265 this methodology is to evaluate the intensity of the displacement spatial gradients and classify the buildings
266 based on the potential damage level. Hence, we consider the relation between the intensity and the expected
267 damage proposed by Polshin and Tokar (1957) and Skempton and Macdonald (2015). We follow the
268 multiscale (multi-level pyramidal approach) perspective previously proposed by Cascini et al. (2013) and
269 Peduto et al. (2017b, 2017a), as the methodology can be automatically applicable over wide areas (Figure
270 2). Starting from a wide-area PSI displacement map as input (section 4.1), the first step is to derive a small-
271 medium scale Active Displacement Areas map (Level 1) to localize the exposed areas and extract a

272 preliminary intensity information (section 4.2) based on the displacement statistics. The next steps are
 273 performed only at ADA-level and within a buffer surrounding the selected ADAs (ADA-buffer). This
 274 strongly reduces the computational time and allows to move to local scale level (Level 2). Then, the PS
 275 velocity values within the ADA-buffers are rasterized (section 4.3) and a slope map of the velocity values
 276 is finally calculated. The classified slope (section 4.4) constitutes the input for the two main outputs of the
 277 methodology: the Gradient Intensity Map (section 4.6), and the Potential Damage Map (section 4.7).
 278 Moreover, additional information is derived for a deeper analysis and interpretation: the gradient vectors,
 279 representing the direction of the gradients, and the gradient time series (section 4.5). Finally, a validation is
 280 performed through a test analysis of the damage prediction capability by comparing a Potential Damage
 281 Map and real damage inventory data, acquired through a field survey, and applying the damage classification
 282 approach proposed by Cooper (2008). The test performance is evaluated through the generation of ROC
 283 curves. All the steps after the ADA extraction were fully performed using ArcMap™ and can be reproduced
 284 in any Geographic Information System environment.



285

286

Figure 2 Methodology flowchart. In bold the main outputs.

287 4.1 PSI displacement map

288 The input of the methodology is a PSI displacement map, consisting in a set of Measurement Points (MPs)
289 distributed over the processed area, where the mean annual velocity and the displacement time series (TS)
290 are estimated. In this work, we have used the PSI processing software developed by the Geomatics Research
291 Unit of CTTC (PSIG) to process a set of 230 Wide Swath Single Look Complex (SLC) images, acquired
292 by the satellites Sentinel-1 A and B in ascending geometry. The processed data cover a period of 4.5 years
293 (from 17/11/2015 to 06/05/2020), with a temporal sampling of one image every 6 days (with some
294 exceptions of 12 days). The pixel footprint of Sentinel-1 data is approximately 4 by 14 m², and a full
295 resolution processing was performed. We refer to Reyes-Carmona et al. (2020) for a short explication of
296 main steps of the processing used here and to Devanthery et al. (2019, 2014) for a more detailed description
297 of the PSIG processing chain. It is worth underlining that the estimated velocities and displacements
298 correspond to measures along the LOS direction. Hereafter, we always refer to LOS measurements when
299 we talk about velocities and displacements. To remove the topographical component from the
300 interferograms, the 3-arcsec Shuttle Radar Topography Mission (SRTM) Digital Elevation Model (Farr et
301 al., 2007), freely provided by NASA, was used.

302 4.2 Level 1: ADA Detection and selection

303 The detection and extraction of the ADAs was performed with the methodology explained in Barra et al.
304 (2017) using the ADAFinder software (Navarro et al., 2020, 2019; Tomás et al., 2019). Each ADA polygon
305 synthesises the most significant information of the movement: the mean, minimum and maximum velocity,
306 the number of PS that forms the moving area and the accumulated displacement. It also provides a Quality
307 Index (QI) that represents the noise level of the TS within each ADA. The QI is crucial for non-expert users
308 to understand the level of reliability of each moving area and to eventually exclude the noisier ones from
309 the analysis. The algorithm of ADAFinder is based on a simple concept that was already proposed by
310 Bianchini et al. (2012), Meisina et al. (2008) and Notti et al. (2014): if the number of active contiguous PSs
311 exceeds a predefined threshold, those PSs are considered a cluster and extracted as an ADA. What does

312 *active* and *contiguous* mean? These are two conditions defined by the user. For that purpose, the user must
313 specify two key factors: the proximity distance (in terms of radius around each PS) to consider two points
314 as contiguous, and the Velocity Threshold (VT) to classify a point as active. The first factor is usually based
315 on the resolution of the used SAR data. For Sentinel-1 data, Barra et al. (2017) proposed to set this radius
316 to 26 meters: this value was used in several applications and has empirically confirmed to be effective. The
317 Velocity Threshold (VT) is based on the standard deviation of all the velocity values of the displacement
318 map (V_{stdev}), considering the V_{stdev} over wide areas as an indicator of the global level of noise (Barra
319 et al., 2017). The user can decide a factor (F_{stdev}) that is multiplied by the V_{stdev} to derive the VT to be
320 used. The lower the VT, the higher the noise included in the areas, whereas if the VT is high the extraction
321 is more restrictive and slower areas are not detected. The presence of noise within each ADA is reflected by
322 the QI value. To avoid these limitations and improve both the detection capability and the QI
323 characterization of the moving areas, we propose a multi-VT ADA extraction. Thus, ADAFinder is used
324 iteratively three times, changing the F_{stdev} , and if an area is extracted with more than one threshold the
325 best calculated QI will be assigned to that area, being the most representative. The selection of the F_{stdev}
326 depends on the analysis being performed and on the characteristics of the study area. In this work, we used
327 the F_{stdev} values of 1, 1.5 and 2. Consequently, we could detect moving areas characterized by slower
328 movements, without losing any information. Finally, based on the QI, which entails 4 classes (from class 1,
329 the most reliable, to class 4, the most unreliable), all the unreliable ADAs (QI=4) were removed and not
330 considered in the next steps.

331 4.3 ADA to raster conversion

332 A buffer of 150 meters is created around each ADA, then used as a mask polygon for the next steps, yielding
333 lower computational charge and clearer results. The buffer allows including in the analysis the PSs that are
334 in the surrounding areas of the ADA, which can be stable, with a lower velocity or isolated with respect to
335 the main moving cluster. Moreover, it is common that the stronger gradients of movements are expected to
336 be located at the borders of the main movement, especially at the interface between different lithologies or

337 where a structural or geomorphological element is present (e.g. a landslide crown). The PSs within the
338 ADA-buffers are rasterized to have a regular grid information. This is done for the displacement
339 accumulated at each acquisition time (derived from the TS) and for the velocity values. Since the PS
340 information is spatially sparse, we can have pixels with more than one PS, and pixels with no PS. For the
341 first case, the average of all the PSs that fall inside the pixel is assigned, whereas for the second case, a
342 smooth and linear interpolation is done assigning the mean value between the surrounding pixels. The
343 interpolation is done applying the *focal statistics* algorithm only for the empty cells surrounded by values,
344 by using the *raster calculator* tool. Let us call R-DEFO(i) all the rasterized displacements (mm) at the i^{th}
345 image, and R-VELO the rasterized velocity (mm/year). It is worth underlining that the interpolation is not
346 continuous for the whole area, as the interpolation of distances longer than 100 m is avoided. The raster
347 cell-size was set at 22 m, based on the estimation of the general point density within the ADAs.

348 4.4 Gradient layers

349 The *slope* tool calculates for each pixel the maximum rate of change (gradient) of displacement between the
350 eight neighbouring pixels (Burrough and McDonnell, 1998). The *slope* of the mean velocity (R-VELO) is
351 calculated to assess the mean velocity gradient (from now, SL-VELO), which is the main information used
352 to derive the intensity and the potential damage maps. The slope of each displacement raster image (R-
353 DEFO(i)) is calculated to assess the gradient of the displacement time series (from now, SL-DEFO(i)). The
354 slope is calculated as percent rise: the output values are the percentage of differential displacement ($\Delta_Disp.$)
355 per distance (L) unit (percent of slope = $\Delta_Disp./L*100$). Moreover, the *aspect* of the velocity is calculated
356 to visualize the main direction of the maximum spatial gradients (hereinafter referred to as AS-VELO) and
357 will be used to visualize the gradients as vectors.

358 4.5 Gradient Vectors and Time Series

359 A point shapefile is generated using *raster to point* tool: the points are located at the centroid of the pixels
360 of the gradient layers, which are perfectly aligned (i.e., *snapped*). The information of all the layers of the
361 correspondent pixel is transferred to the point shapefile attribute table through the *sample* tool. The sampled

362 layers are: R-VELO, SL-VELO, AS-VELO, and all the slopes SL-DEFO(i) ordered in time. Sampling the
363 SL-DEFO layers allows reconstructing the temporal evolution of the gradients (Δ -TS) at each point. The
364 AS-VELO allows us to represent the point shapefile as vectors, where the direction of the arrow is
365 determined by the AS-VELO angle being the direction of the main spatial gradient at that location.

366 4.6 Gradient Intensity Map

367 The Gradient Intensity Map corresponds to the classified SL-VELO layer. The SL-VELO itself can be
368 considered as an intensity map in terms of angular distortions ($\beta = \Delta_Velocity/L$, where β is the angular
369 distortion). Nevertheless, to have an operational map useful at medium to local scale, we need to pass from
370 a series of continuous numbers to a qualitative classification of intensities that can be translated to potential
371 damage classes. To do so, we need to set specific thresholds. Many authors tried to assess general thresholds
372 for settlements of building (Boscawen Burland and Wroth, 1975; Measor et al., 1956; Polshin and Tokar,
373 1957; Skempton and Macdonald, 1956). Others (del Soldato et al., 2019; Peduto et al., 2017a) start from a
374 damage inventory, based on field surveys, to find the relation between the intensity parameter (e.g. velocity,
375 differential settlements, etc.) and the observed damages (fragility curves). Here we used a data-driven
376 classification; specifically, the thresholds were based on the cumulative frequency distribution of the SL-
377 VELO. Five classes were used, setting the four thresholds at the values corresponding to the cumulative
378 frequencies of 50% (second quantile), 75% (third quartile), 85% and 95%. Then, we refer to Skempton and
379 MacDonald (1956) to attribute to each threshold a meaning in terms of potential damage intensity. These
380 authors recommend avoiding angular distortion higher than $1/1,000$ (β_1) or $1/500$ (β_2) and establish some
381 general limits of the angular distortion that potentially produce cracking in walls and partitions ($\beta_3 = 1/300$),
382 or that cause structural damage ($\beta_4 = 1/150$). Assuming a constant gradient rate, the thresholds of annual
383 gradients fixed in this work correspond to the accumulated angular distortion β_1 , β_2 , β_3 and β_4 over a period
384 of 25 years (see Section 6.2). The two last and most critical thresholds are smaller than β_3 and β_4 by a caution
385 factor.

386 4.7 Potential Damage Map

387 A shapefile of the buildings was used to generate the exposed elements Potential Damage Map. The
388 buildings are classified based on their position with respect to the Gradient Intensity Map. When a building
389 overlaps more than one pixel with different values of displacement intensity gradients, the maximum one is
390 used. The vector layer of the buildings was acquired from the OpenStreetMap database.

391 5 Validation and threshold assessment

392 A field survey was performed to classify the buildings based on visible external damages. The classification
393 proposed by Cooper (2008) was used. This author categorizes structural damage due to subsidence and
394 landslide phenomena and presents a scheme including a description and classification of fractures and scarps
395 detectable on the ground surface. Seven categories are proposed ranging from 1, which is barely perceptible,
396 through 5, which is very severe with considerable damage, to 7, which is total collapse. The surveys have
397 been carried out in the resorts of Los Almendros (both in May 2019 and July 2020), Alfa Mar (in May
398 2019), Cerro Gordo (July 2020) and Punta de la Mona (November 2021). For Marina del Este we refer to
399 the damage inventory map generated in January 2015 (Notti et al., 2015). Moreover, evidence of other
400 damages was mapped as both linear and punctual annotations, such as road cracks and potholes or cracks
401 on walls.

402 5.1 Damage prediction test - ROC curves

403 To validate the damage prediction accuracy of the proposed method, we performed a damage prediction
404 test, comparing the intensity values of the Potential Damage Map with the damage inventory of the three
405 resorts of Los Almendros, Cerro Gordo, and Alfa Mar. Because of their unfavourable orientations, Marina
406 del Este and Punta de la Mona have been selected to be analysed through a slightly different approach (see
407 the discussion section). For this reason, they have been excluded from the prediction test analysis. The test
408 was done and evaluated through the generation of a series of ROC curves. A ROC curve is based on
409 confusion matrixes generated by using several thresholds that set the difference between a positive (presence

410 of damage) or negative (absence of damage) prediction. A ROC curve describes the behaviour of the true-
411 positive rate as a function of the false-positive rate, which is generated by using different thresholds that set
412 the difference between a positive (presence of damage) or negative (absence of damage) prediction. For
413 each chosen threshold value, one will have a different performance in terms of ability to lead to a positive
414 prediction where the building is effectively damaged (true-positive result), and a negative prediction in case
415 of not damaged building (true-negative result). This can be evaluated with a simple contingency table, where
416 the numbers of true-positive (TP), false-positive (FP, i.e., positive prediction in case of no-damaged
417 building), true-negative (TN) and false-negative (FN, i.e., negative prediction in case of damaged building)
418 are visualized. To compare different models and have a more comprehensive assessment, we can use the
419 ROC curves, where the true-positive rate ($TPR=TP/(TP+FN)$) are plotted against the false positive rate
420 ($FPR=FP/(TN+FP)$) for each possible threshold. The discriminating capacity is then proportional to the
421 extension of the area under the ROC curve (Area Under Curve, AUC). The greater the AUC, the greater the
422 probability of having a true-positive result over the probability of having a false-positive result. A value of
423 AUC of 0.5 occurs if the ROC curve falls on the diagonal of the diagram, indicating that the model has no
424 discriminatory capability: independently from the used threshold, the percentage of true-positives equals to
425 the percentage of false-positives. As a rule, an AUC of 0.5 indicates no discriminating capability, whereas
426 an AUC between 0.6 and 0.7 relates to a poorly discriminant model, an AUC between 0.7 and 0.8 is
427 considered acceptable, an AUC between 0.8 and 0.9 is considered excellent, and an AUC higher than 0.9 is
428 considered outstanding. Through the AUC, we evaluated the prediction performances of the Potential
429 Damage Map, and how it changes depending on the damage level. Moreover, the percentages of TPR and
430 FPR were used to assess the optimal threshold of the test, as the one that maximizes the difference TPR-
431 FPR, having the highest discriminatory capability.

432 **6 Results**

433 **6.1 Level 1: PSI displacement and ADA maps**

434 The PSI processing allowed to obtain a displacement map (Figure 3-A) consisting of around 215,000 MPs,
435 with information on the mean annual velocity and on the displacement time series for each point, over a
436 total area of about 700 km². The standard deviation of the velocities resulted in 2.9 mm per year. This value
437 was used to assess the general noise level of the displacement map, always considering that noise level
438 changes within the AOI depend on the local surface conditions and characteristics of the land cover. From
439 this map, the multi-threshold ADA extraction and QI assignation was performed: the final number of ADA
440 for each QI class is resumed in Table 1. Figure 3-B shows the ADAs obtained after excluding the ones with
441 a QI class equal to 4 (not reliable areas). This resulted in a total of 175 ADAs that were selected for the next
442 steps of the methodology.

443

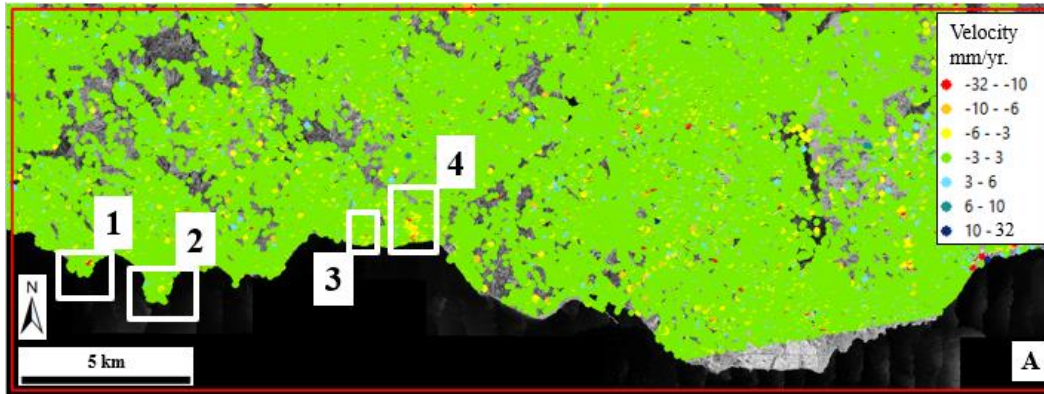
444

Table 1 Number of ADAs extracted for the Quality Index classes 1, 2 and 3.

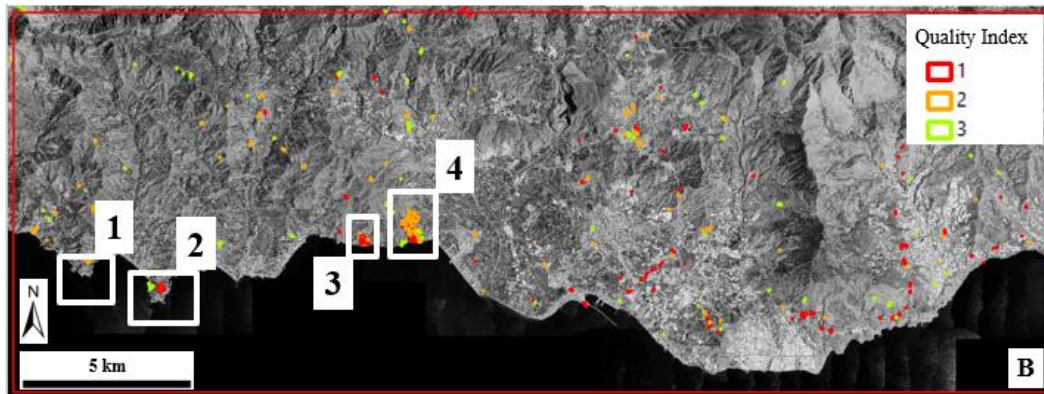
	Description	Number of ADA
QI=1	Reliable	55
QI=2	Reliable with some noise	72
QI=3	To be checked	48
TOT		175

445

446



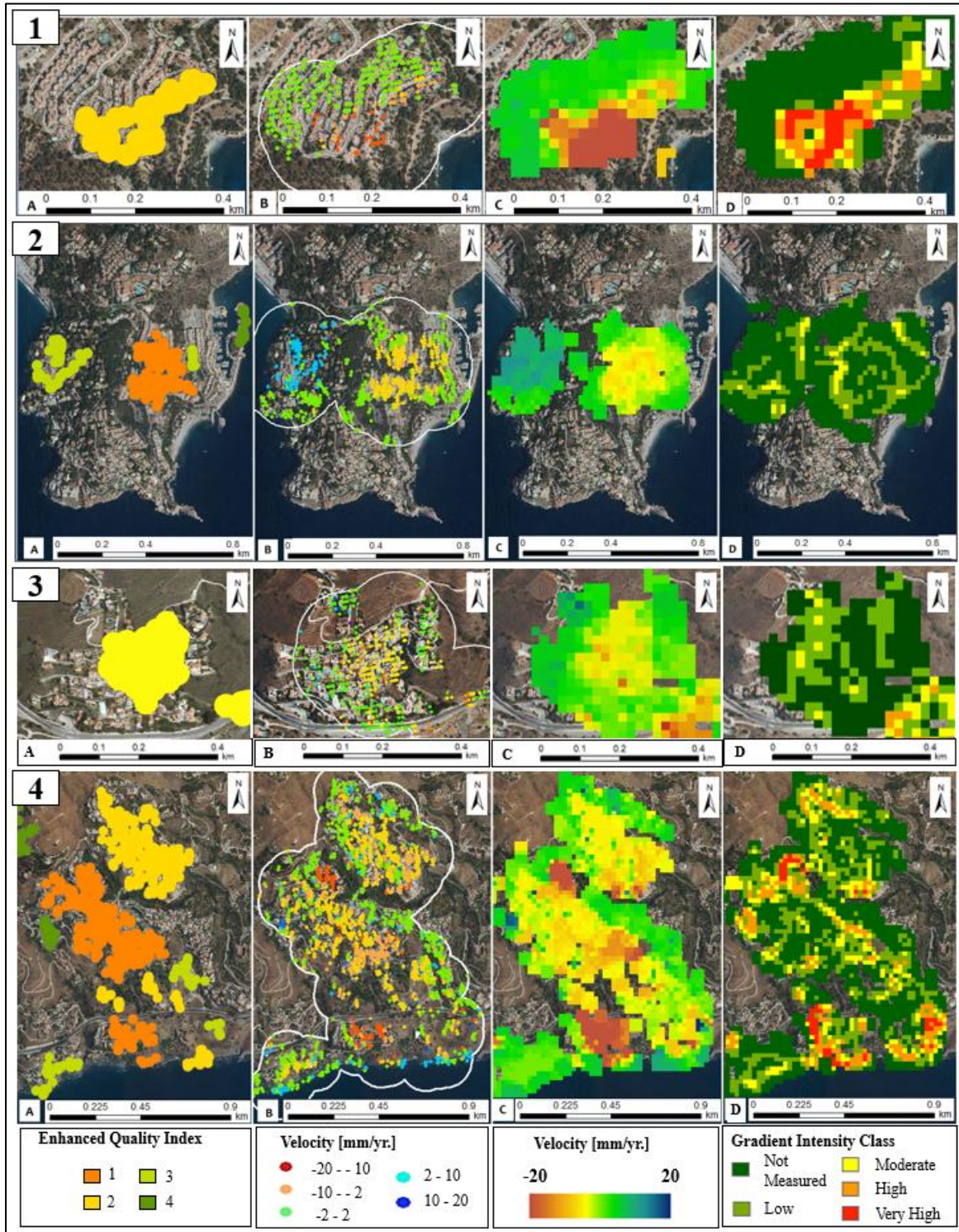
447



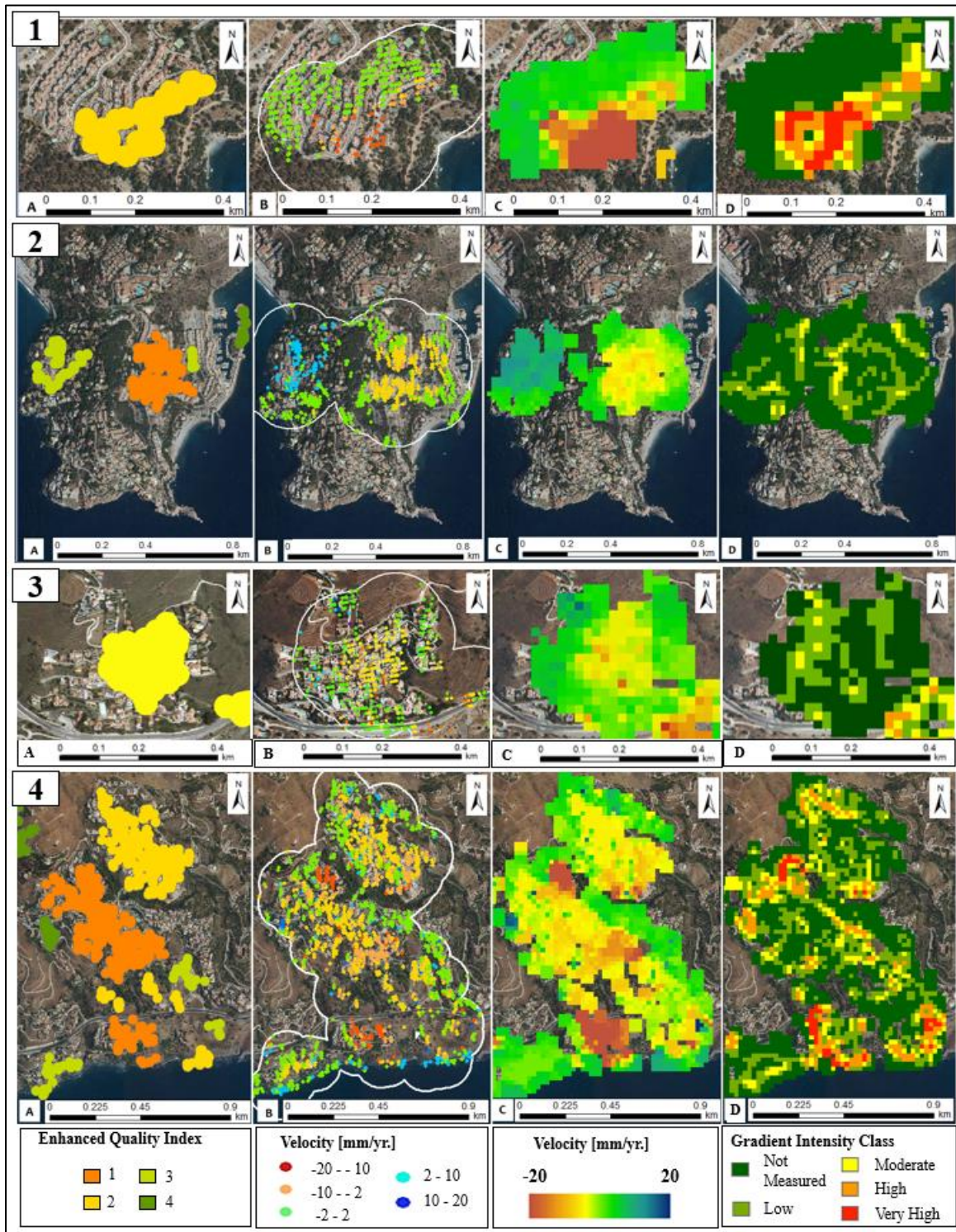
448 *Figure 3 A) PSI displacement map obtained over the AOI, showing the annual mean velocity. B) ADA map obtained from the PSI*
449 *displacement map, showing the areas extracted through the multi-threshold approach together with their quality index. The*
450 *rectangles 1, 2, 3 and 4 show the location of the four areas selected to present the results of the Second Level of the methodology.*

451 6.2 Level 2: From ADA to Gradient Intensity Map

452 To show the results at a detailed scale, we focus on the four areas of interest (Figure 1, Figure 3): Cerro
453 Gordo (1); Punta de la Mona Promontory (including Punta de la Mona and Marina del Este) (2); and Monte



456 Figure 4-A shows the extracted ADAs classified according to their QI.



457

458 Figure 4-B shows the ADA-buffer areas generated with a distance of 150 meters from the selected ADAs,

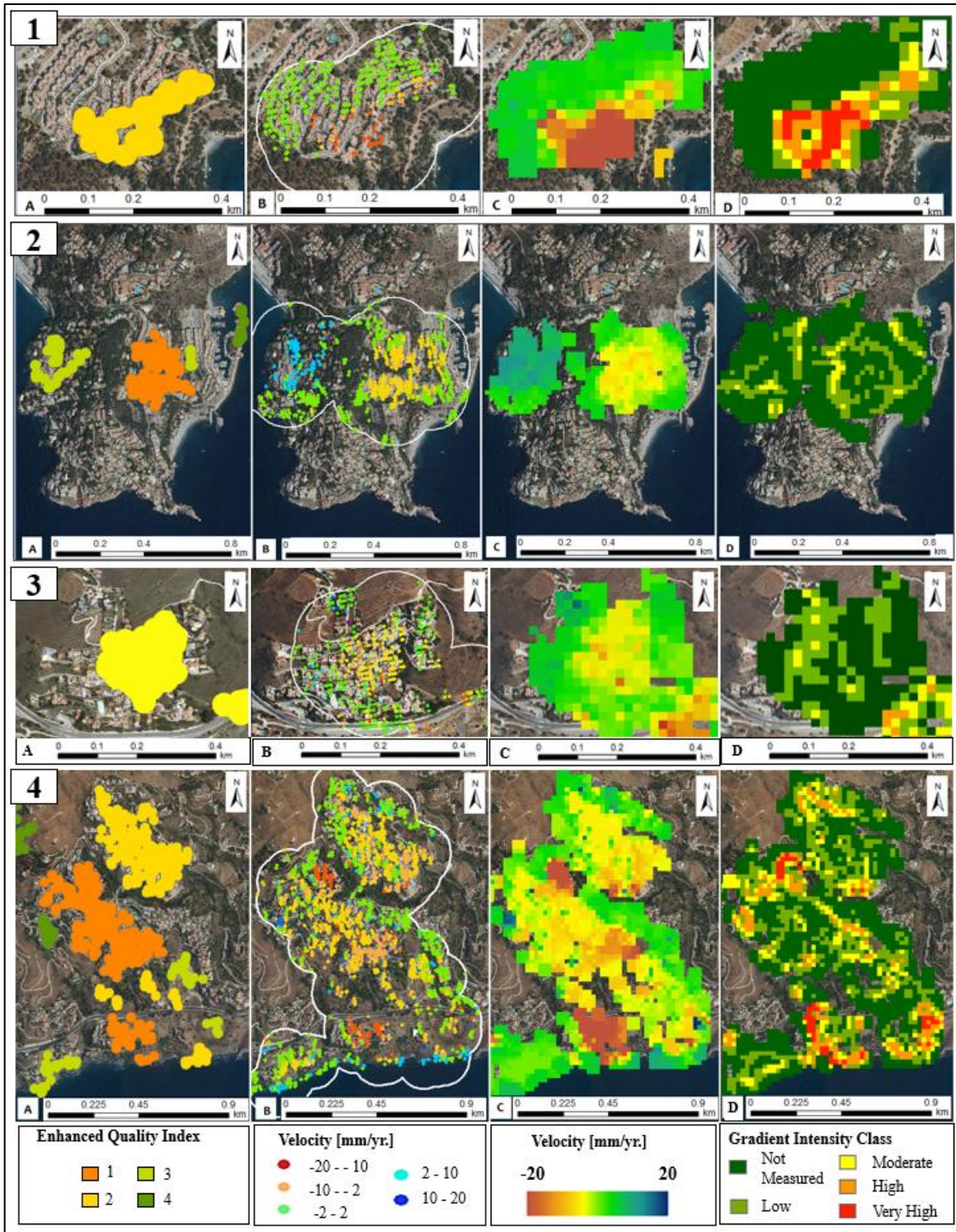
459 and the PSs of the displacement map that fall inside those areas. Figure 4-C shows the rasterized velocity

460 map. Figure 4-D shows the Gradient Intensity Maps. Table 2 shows the upper thresholds (third column) of
 461 each class in terms of velocity gradients in % ($\text{mm} \cdot \text{yr}^{-1} \cdot \text{m}^{-1}$), the relative cumulative frequencies, the
 462 angular distortion (β) reached in 25 years, assuming the gradient rate does not change in time, the reference
 463 value from Skempton and MacDonald (1956), and the cautious factors.

464 *Table 2 Proposed classes for the Gradient Intensity Map and their meaning in terms of Angular Distortion expected in 25 years.*

465 *The reference values of angular distortion are referred to Skempton and MacDonald (1956).*

Class number	Intensity Class	Annual Gradient % ($\text{mm} \cdot \text{yr}^{-1} \cdot \text{m}^{-1}$)	Cumulated Frequency %	Angular distortion (β) in 25 years	β reference values*	Factor
0	Not measured	≤ 4	50	$\leq 1/1,000$	$\leq 1/1,000$	1
1	Low	8	75	1/500	1/500	1
2	Medium	12	85	1/333	1/300	1/1.1
3	High	20	95	1/200	1/150	1/1.3
4	Very high	> 20			$> 1/150$	

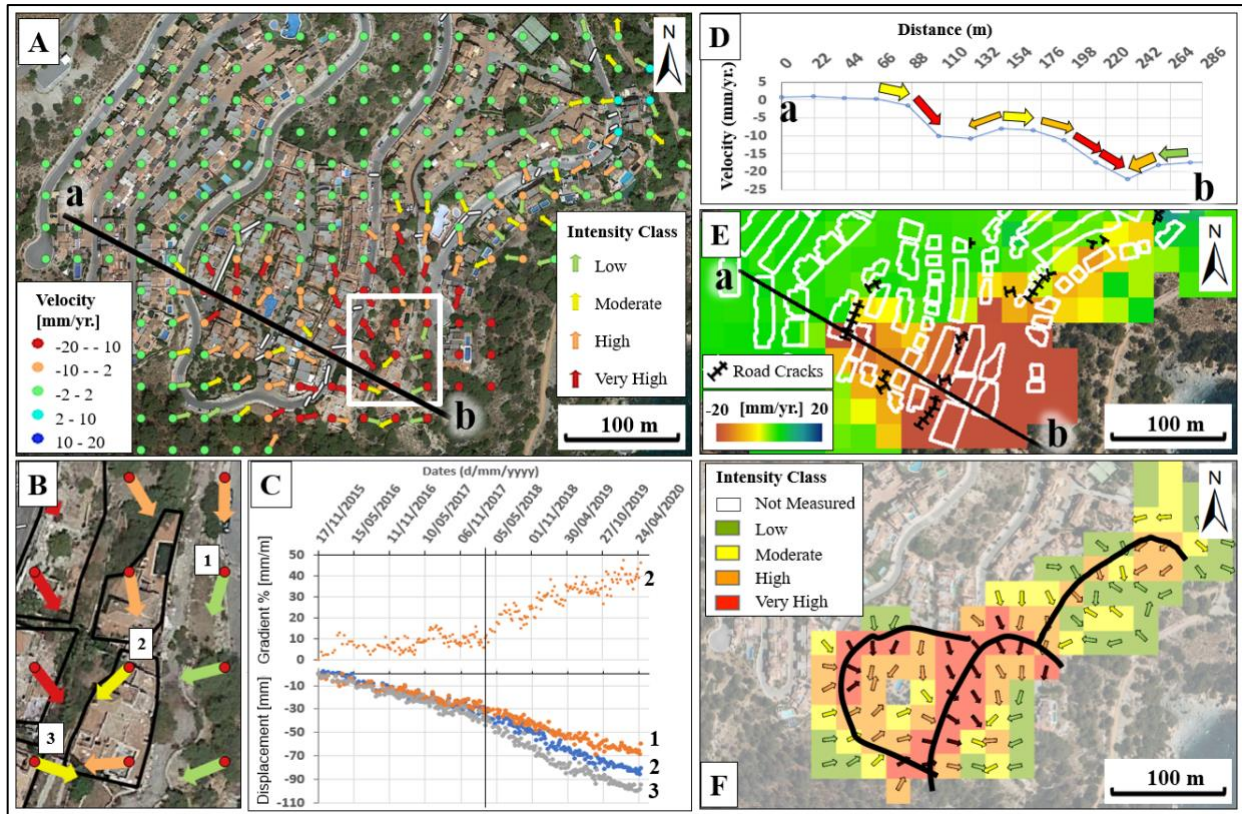


466

467 *Figure 4 Results over the four local areas selected for the analysis: Cerro Gordo (1), Punta de la Mona Promontory (2), Alfa Mar*
 468 *(3) and Monte de los Almendros (4). For each area, the results of the main steps of the Methodology are showed: ADAs extraction*
 469 *(A), ADA-Buffer masking (B), rasterization and interpolation (C), and the Gradient Intensity Maps (D).*

470 6.3 Gradients vectors and Time Series

471 Starting from the gradient maps, we can derive additional information useful for representation and analysis
472 purposes. Here we present the case of Cerro Gordo. Each point of Figure 5 represents the centroid of a pixel.
473 For each pixel we can visualize the following information (Figure 5-A)Figure 5: the colour of the point
474 represents the velocities as calculated in the rasterization step (R-VELO); the arrow represents the main
475 direction of the velocity gradients (AS-VELO) and its colour the intensity class in terms of annual rates of
476 gradients (SL-VELO). Additionally, for each point we can visualize both the time series of displacements
477 (TS, derived from R-DEFO(i)), and the time series of differential displacements (Δ -TS, derived from SL-
478 DEFO(i)). Figure 5-B shows a detail of the area within the wide frame of Figure 5-A, the numbers refer to
479 the TS of Figure 5-C. In Figure 5-C, we see an example of Δ -TS of the central point (2), and we compare it
480 with the displacement TS of the same point with respect to its neighbour points 1 and 3, located on the
481 direction of the main gradient of point 2. The displacement was spatially uniform (points 1, 2 and 3 were
482 moving together) until March 2018, when the three points accelerated and started moving with different
483 rates, generating differential displacements. Thus, the Δ -TS allows here to determine the time when the
484 stress conditions of the building changed. Figure 5-D shows a profile of the velocity (Figure 5-E) along the
485 main direction of the movement (the arrows represent the gradients). The map of gradients and vectors
486 allows a fast interpretation on the movement characteristics (Figure 5-F): the distribution of velocities and
487 gradients along the a-b profile is consistent with the presence of two main crowns of landslides, with a
488 rotational component that would justify both the two points of faster movement along the slope, and the
489 gradient directions going in the opposite direction of motion.

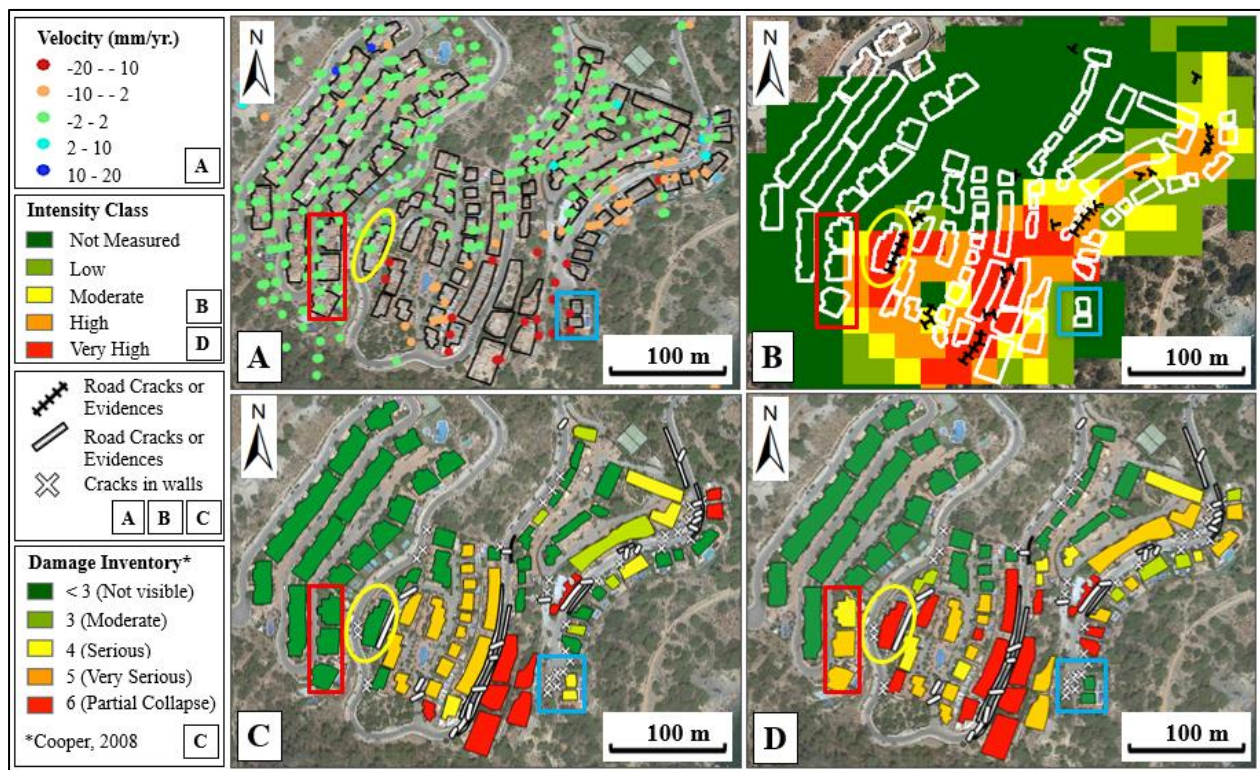


490
 491 *Figure 5 Results over the area of Cerro Gordo. Figure A shows the Map of Gradient Vectors (arrows) classified according to their*
 492 *intensity class; the points represent the displacement velocities ($\text{mm}\cdot\text{yr}^{-1}$). The area enclosed by the white rectangle is shown in*
 493 *detail in Figure B, where the black polygons represent the buildings. The TS of displacements (mm) of points 1, 2 and 3, together*
 494 *with the Δ -TS ($\text{mm}\cdot\text{m}^{-1}$ %) of point 2, are shown in image C. Figure D shows the velocity profile and the arrows above represent*
 495 *the consequence gradients of velocity, along the line a-b showed in figures A and E. Figure E shows the rasterized velocity, together*
 496 *with the polygon of the buildings (in white). Figure F shows the gradient intensity map, together with the gradient vectors. The*
 497 *black lines represent an expeditious interpretation of the movement crowns, only based on the gradient intensity map and vectors.*

498 6.4 Potential Damage Map

499 The Gradient Intensity Map is used to generate the map of Potential Damage for the exposed elements. In
 500 Figure 6 we use again the case of Cerro Gordo to visualize: the PSI displacement map (A) superimposed
 501 over the building's polygons (in black); the derived raster of gradient intensities (B); the damage inventory
 502 (C); and the Potential Damage Map (D). The red rectangle shows an example of buildings located in a
 503 critical border position, where the result depends on the rasterization resolution and pixel positioning. The

504 yellow circle shows an example of time-related incongruity between the potential damage and what is visible
 505 on the field at the time of the survey. If we compare our damage inventory map (ref. date July 2020) with
 506 the one produced by Mateos et al., 2017 (ref. date January 2016), we see that the same building was
 507 classified with a moderate damage severity in 2016, whereas it did not show any damage in 2020. Thus, the
 508 damage state has changed probably after stabilization or reconstruction interventions realized between 2016
 509 and 2020: it is now counted as false positive case. It is worth to notice that damages on roads or low walls,
 510 which have a direct response to the differential movements and overlap quite well the areas classified with
 511 a moderate, high, or very high gradient intensity, are frequently located in the vicinity of false positive
 512 predictions. The blue square shows a false negative case, located in this case at the border of the PSI
 513 displacement map (Figure 5 A): no measurement points were retrieved at the eastern and southern sides of
 514 the buildings; thus, we can calculate the gradient by using partial information only, which results in a less
 515 reliable prediction.



516

517 *Figure 6 Results in Cerro Gordo. A) PSI displacement velocity map (input of the methodology); B) Gradient Intensity Map*
 518 *(output of the methodology), superimposed with the polygons of the buildings (white) and the road cracks mapped on the field*
 519 *(black lines); C) Damage inventory map done through field survey; D) Potential Damage Map (output of the methodology).*

520 6.5 Validation and threshold assessment

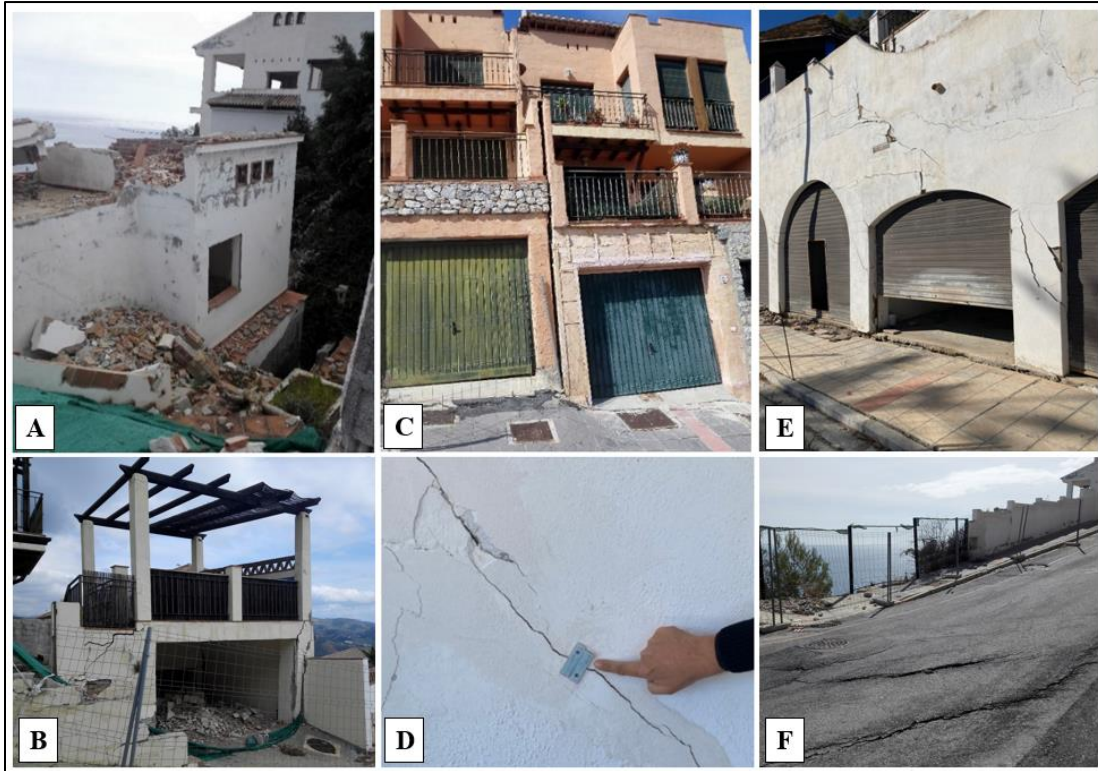
521 6.5.1 Damage Inventory Map (field survey)

522 As described in the previous sections, the damage Inventory Maps were based on Cooper (2008). Table 3
 523 summarizes the number of the buildings classified in each class, for each urban area. Figure 7 shows some
 524 pictures taken in Cerro Gordo to explain some examples affected by different types of damage. The
 525 inventoried buildings of Alfa Mar, Cerro Gordo and Monte de Los Almendros were used as test dataset to
 526 validate the prediction capabilities of the Potential Damage Map.

527 *Table 3 Number of building inventoried over each urban area for each class of damage (Cooper, 2008), and the total numbers used*
 528 *for the ROC analysis.*

Urb. Class	Alfa Mar	Cerro Gordo	Almendros	Totals for ROC Analysis	Punta Mona	Marina Este	TOT
<3 (Not visible)	68	40	401	509 (~ 80%)	136	104	749
3 (Moderate)	17	5	49	71 (~ 11%)	21	39	131
4 (Serious)	5	6	9	20 (~ 3%)	7	5	32
5 (Very serious)	1	15	3	19 (~ 3%)	0	0	19
6 (Partial collapse)	2	9	3	14 (~ 2%)	1	0	15
TOT	93	75	465	633	165	148	946

529



530

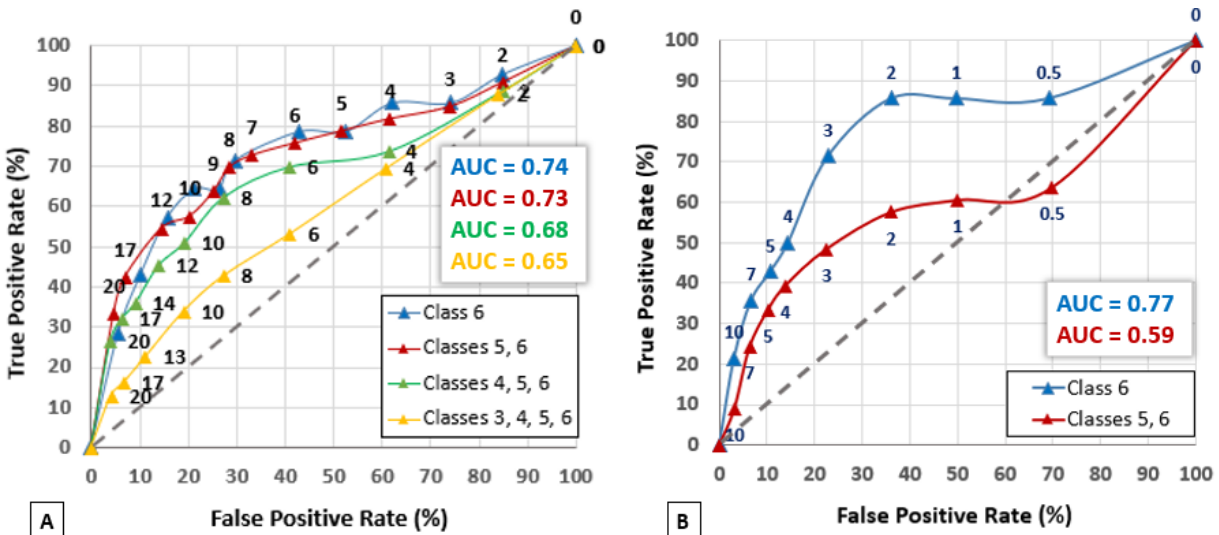
531 *Figure 7 Examples of landslide-field evidences and damages in Cerro Gordo. Images A and B belong to class 6 (partial collapses),*
 532 *Image C is an example of class 5, a very serious damage, with a distinct crack (separating two bloks) and tilts affecting the*
 533 *garages. Images D and E are examples of wall cracks belonging to class 4 (serious damage); Image F shows cracks and*
 534 *deformations on the street.*

535 6.5.2 Damage Prediction Test (ROC analysis)

536 In this section, we try to answer the following questions: is differential displacement a discriminant
 537 parameter for potential damage detection? In such a case, for which classes of damage? And which are the
 538 most suitable thresholds to used?

539 Figure 8-A shows a graph with four ROC curves generated by considering as “damaged” four selections of
 540 the classes: starting from only the most severe (class 6), and then including for each curve the next class,
 541 i.e., the class corresponding to the next lower damage level. This is done to analyse how the prediction
 542 capability changes depending on the damage severity levels. The numbers next to the curves are the
 543 thresholds used to generate the ROCs (see section 6.1) and represent the gradients of velocity ($\text{mm yr}^{-1}\text{m}^{-1}$,

544 in %) used to classify the buildings as damaged or not-damaged. The ROC curves seem to approach the
 545 diagonal, as we introduce classes of lower damage level. We can see that the prediction capabilities are
 546 acceptable and almost the same if we consider only the class 6 ($AUC_6=0.74$) or classes 5 and 6 together
 547 ($AUC_{5,6}=0.73$). The AUC slightly decrease ($AUC_{4,5,6}=0.68$) if we also introduce class 4, but in this case the
 548 test is poorly discriminant even though the AUC is about 0.7. The “belly” of the curve indicates that the
 549 discriminatory capability starts to improve using thresholds higher than 4, as the curve starts moving away
 550 from the diagonal. Finally, the performance is strongly affected by the inclusion of class 3, with a ROC
 551 curve that is very close to the diagonal regardless the used threshold ($AUC_{3,4,5,6}=0.65$). Figure 8-B shows a
 552 similar analysis performed by using as intensity value the absolute values of the velocities instead of
 553 gradients. We see that the prediction capability of the velocity abruptly decreases from acceptable
 554 ($AUC_6=0.77$) to poorly discriminant ($AUC_{5,6}=0.59$) as we move from considering only class 6 to
 555 considering the classes 5 and 6. This means a good discrimination only for the class 6 (partially collapsed),
 556 which is not satisfactory for preventing purposes. Therefore, it is evident that the use of gradients as intensity
 557 values to predict damage, provides a higher discriminant capacity than the use of velocities.

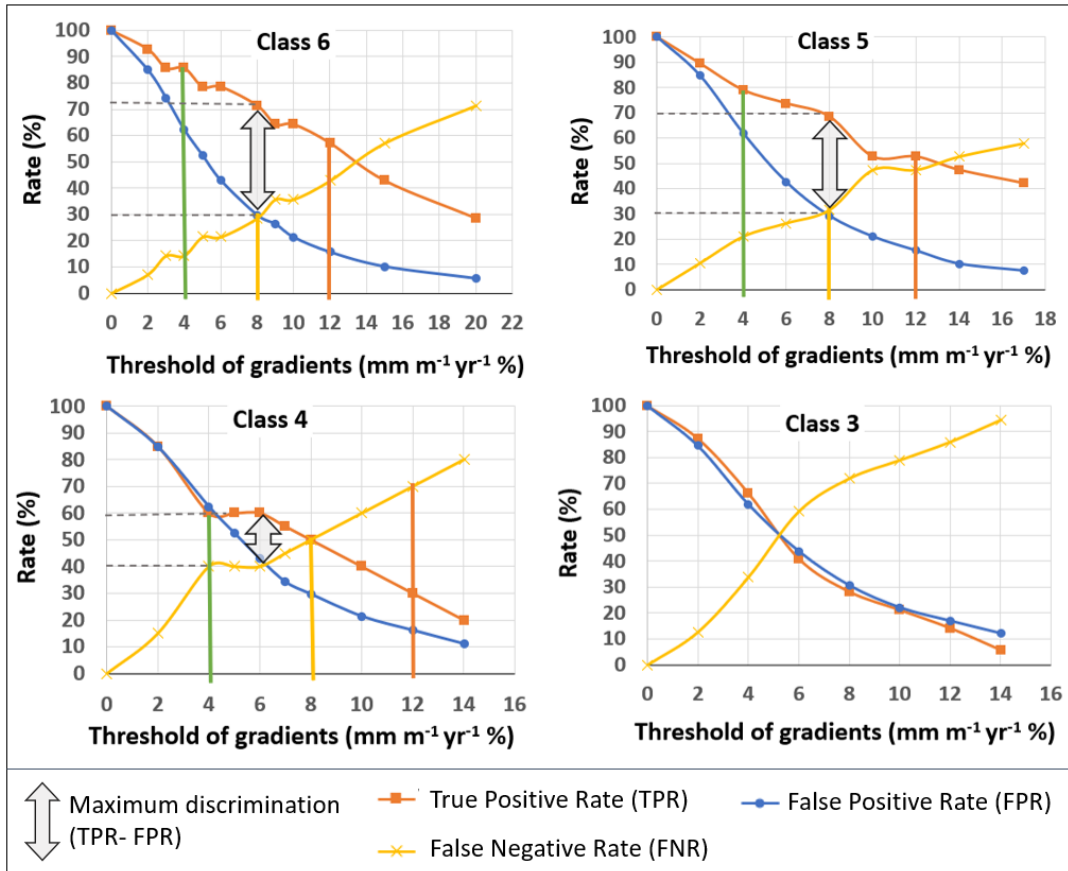


558 **A** **B**

559 *Figure 8 ROC curves generated with the same test sample, considering the gradients (A) and the velocities (B). The different*
 560 *curves are generated considering as damaged only a sub-selection of classes. The numbers are the thresholds used to plot the*
 561 *curves, in % [$mm \cdot yr^{-1} \cdot m^{-1}$].*

562 6.5.3 Threshold assessment

563 In the following, we will refer to the threshold values of velocity gradients just as percentages (%). Figure
564 9 shows the information derived from the confusion matrices used to generate the ROC curves. The figure
565 shows how the percentages of TPR, FPR and FNR vary depending on the threshold, as well as the inter-
566 relation between them. Each graph refers to one class, meaning that we consider as damaged only those
567 buildings inventoried within that specific class. Note that we are talking about binary prediction, where no-
568 damage is a negative prediction and damage is a positive prediction. Given the relation between TPR and
569 FNR ($FNR = 1 - TPR$), the TPR and FNR lines are symmetric with respect to 50%. For each point of the
570 curves, the prediction is positive for all the buildings with gradients higher than the threshold and negative
571 for the ones with gradients lower or equal. The double arrows show the threshold that generates the
572 maximum Discrimination Level ($DL = TPR - FPR$) we have until the FNR are lower than the FPR. The
573 Maximum DL (Max_DL) is almost the same for damage classes 6 and 5 ($Max_DL \approx 40\%$), while for class 4
574 it decreases by half ($Max_DL \approx 20\%$), whereas it is null ($TPR \approx FPR$) for the class 3 at any threshold. As
575 shown in the figure, for classes 6 and 5, Max_DL is reached with a threshold of 8% (gradients higher than
576 8%). This value represents the optimal threshold for the two highest damage levels. For class 4, the optimal
577 threshold would be 6%. Nevertheless, if we look at threshold 4, we see that the TPR and FPR do not change
578 and is associated to an increase of DL. Furthermore, threshold 4% represents a TPR of around 80% for Class
579 5 and of around 85% for Class 6. Since FPRs are probably overestimated (and, consequently, the DL
580 underestimated), we prefer to maintain the first threshold (a value of 4%), as it leads to higher TPR and
581 FPR, and lower FNR, and provides a first aware class (of low intensity).



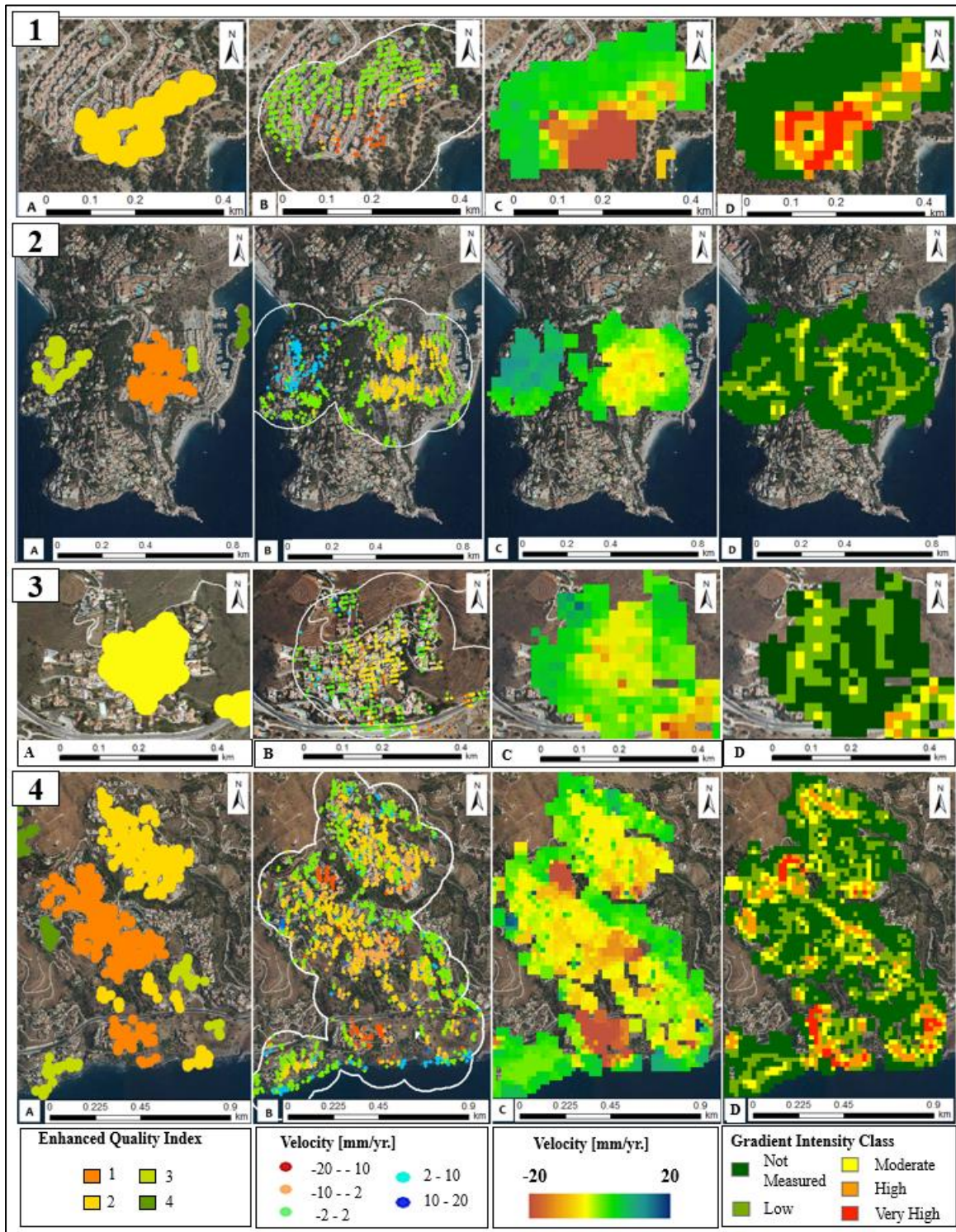
582

583 *Figure 9 Graphs showing the behaviour of the test predictions depending on the threshold, for each damage class. The orange, blue*
 584 *and yellow curves represent respectively the True Positive Rate (TPR), the False Positive Rate (FPR) and the False Negative Rate*
 585 *(FNR). The arrows show the thresholds corresponding to the maximum discrimination levels*

586 7 Discussion

587 The focus of the proposed methodology is to extract, from a regional-scale PSI displacement map, a series
 588 of maps useful for medium to local scale applications. The extracted information is related to the intensity
 589 parameter of differential displacements, which allows us to locally detect the areas where an exposed
 590 element is more likely to be already damaged or prone to be damaged in the future, provided that the external
 591 action persists. The main advantages of the procedure are that it can be applied semi-automatically over
 592 wide areas, independently from the type of movements, and that it is totally based on freely available remote
 593 sensing data. The methodology has been applied here over an area of around 700 km² located in the province

594 of Granada (South of Spain): from the extraction of the most significant ADAs to the generation of the
595 Gradient Intensity and Potential Damage Maps. The ADA map represents the first-level information, which
596 allows localizing exposed areas and, based on the velocity statistics, assigning them a first-level of intensity.
597 The ADAs allow reducing in an automatic way the volume of information to be processed by the user. In
598 this case, this approach has allowed to pass from more than 200,000 MPs to just 175 ADAs, most of them
599 belonging to a common moving area. This strongly reduces the interpretation time and increases the
600 operational use of PSI for small-scale territorial management purposes. Through the ADA-buffer we can
601 automatically work only over the extracted ADAs, without losing boundary information, and strongly
602 reducing the computational time and data storage. The main second-level product of the methodology is the



604

605 *Figure 4-D*). Once an exposed area is localized (through the ADA map) it is possible to perform a local
606 analysis to visualize how the intensity, in terms of spatial gradients of movement, is distributed in space.

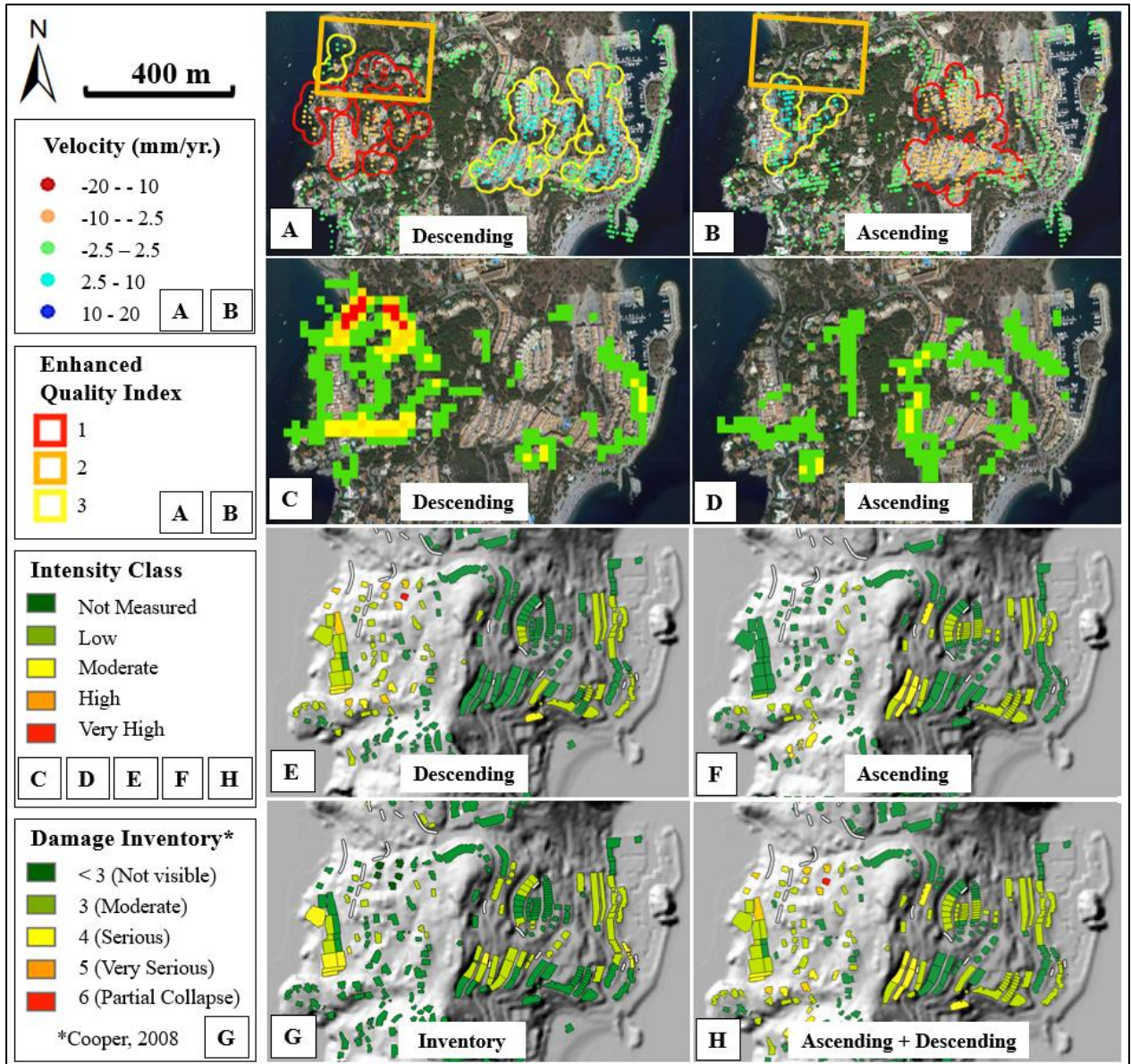
607 Some authors use the velocity (or the total displacement) as intensity value, instead of using the differential
608 velocity (or the differential displacement), as proposed in our work. Through the damage prediction test
609 performed and the comparison of the ROC curves carried out (section 5.1), it has been proven that
610 differential movements are much more discriminant than velocities for detecting damage prone areas.
611 Moreover, the gradients can highlight some characteristics of the movement, for example indicating the
612 boundaries of primary and secondary landslide bodies (Figure 5). The gradient vectors and time series
613 provide essential information for further analysis. The gradient TS, if regularly updated by applying
614 continuous monitoring and implemented in an automatic TS trend change detection (Raspini et al., 2018),
615 could allow a prompt detection of changes in the stress conditions of the buildings (Figure 5). The
616 directional vector has not only a representation purpose, but it also gives valuable information to determine
617 the relationship between the main stress direction and the building geometry. The expected differential
618 displacement suffered by a structure (and thus the damage level) is different if the direction of the angular
619 distortion is parallel to the shorter or longer side of the building. This aspect has not been considered in this
620 work and could be an added element for an improved Potential Damage Map. The proposed Potential
621 Damage Map together with the Gradient Intensity Map, are two useful maps to support field surveys and
622 perform deeper analyses.

623 Note that assigning an intensity class to each building based on its position with respect to the gradient map
624 (Figure 5-b), would be a first step towards the vulnerability assessment. However, to make a more complete
625 vulnerability classification, we should add additional information such as year of construction, eventual
626 securing interventions, geometry measurements, construction type and material, foundation type, and
627 destination use. The use of the annual velocity gradients (instead of total accumulated gradients) allows to
628 estimate the values over different times. Based on their classification and considering the values provided
629 in previous studies (Skempton and Macdonald, 1956), we could associate a level of expected damage (e.g.,
630 cracks or structural) to each class.

631 The results of damage prediction test, shown in Figure 8 and 9, allowed us to derive some considerations
632 about the prediction capability of the methodology for each level of damage. The Potential Damage Map
633 proved to be unable to predict the test samples belonging to Class 3 (moderate damage): independently from
634 the threshold, the spatial gradients behave as a random classifier, with no ability to discriminate between
635 moderate-damage and no-damage. For Class 4, the test revealed a poor discrimination capacity, whereas for
636 classes 5 and 6 (i.e., the higher damage classes), the discrimination capacity was found to be acceptable.
637 Surely, the different classes have a different statistical significance depending on the sample size, which is
638 drastically reduced from class 3 to class 6. Nevertheless, note that even though the size of the classes 4 and
639 5 is almost the same (20 and 19, respectively), the results obtained for both classes in terms of TPR/FPR are
640 strongly different. Therefore, it is reasonable to think about other aspects conditioning the prediction
641 capabilities of the methodology. In this sense, the uncertainties associated to the damage field-observations
642 within each class, represent another important factor, which could have an impact on the results of the
643 prediction capabilities analysis. Since the damage inventory was prepared by visual inspection from outside
644 the buildings, the lower the damage level the more the observation is subjected to variables like visibility
645 and recognisability. Moreover, the building external status can be altered, fixed, or occulted (e.g., fissures
646 or small cracks can be easily filled and painted). Furthermore, the damage classification is also the result of
647 a subjective evaluation carried out by the operator. Finally, it must be taken into account that lower damage
648 levels are expected to be related to lower displacement intensity gradients, which are more difficult to be
649 detected by the PSI technique, especially when they are at or below the precision limit of the technique. By
650 contrast, a stronger damage level is more evident and more difficult to be repaired or hidden. Given the
651 multiple elements that can create a false positive, the evaluation of the methodology should focus on the
652 false-negative rates. Whereas false positives could be interpreted as potential damage areas, negative
653 predictions should be read as unmeasured rather than no-damage. We have seen that false negatives are
654 related to the intrinsic limitations of both the PSI technique (namely geometric limitations, absence of
655 measurement points or non-precise geo-localization), and the methodology. The latter are mainly related to
656 the rasterization and interpolation process (Figure 6). Based on the analysis of the thresholds (Section 6.7),

657 we have seen that using the optimal gradient threshold of 8 % ($\text{mm} \cdot \text{yr}^{-1} \cdot \text{m}^{-1}$), we could detect around the
658 70% (TPR) of the buildings belonging to classes 5 and 6, having the FNR of around 30%. Considering all
659 the limitations related to the test-sample characterization, and that we did not consider the vulnerability
660 variables related with the building characteristics, we believe this result represents a satisfactory outcome.
661 However, there is still room for improvement.

662 In this sense, a possible improvement to overcome the geometric limitations of PSI (Section 3), would be
663 using both ascending and descending data. Figure 10 shows a test we carried out in the case of Punta de la
664 Mona Promontory, which includes the resorts of Punta de La Mona (hereafter La Mona), located in the
665 western part, and Marina del Este (hereafter Marina), located in the eastern part. From the ascending
666 acquisition geometry, La Mona is less “visible” than Marina (and vice-versa from descending geometry). A
667 lower visibility means that we can measure a lower percentage of the real movement, with a lower resolution
668 and thus higher noise over the signal. This is clearly reflected in the ADAs-QI (Figure 10 A and B). The
669 main consequences are the underestimation of the velocity, and a lower sensitivity to the spatial variations
670 of the movement. Here we test the methodology by integrating both the descending (Figure 10 A, C, E) and
671 ascending results (Figure 10 B, D, F), without applying the horizontal-vertical (h-v) decomposition (Section
672 3). In Figure 10, the first row shows the displacement maps and the Enhanced ADAs, the second row shows
673 the derived Gradient Intensity Maps, and the third row shows the Potential Damage Maps. The damage
674 inventory map (Figure 10 G) and the final potential damage map (Figure 10 H) are shown at the bottom.
675 For the final potential damage map, each building was assigned the maximum intensity value resulting from
676 the two acquisition geometries. The orange rectangle (Figure 10 A and B) shows an area where measurement
677 points are only obtained in descending geometry, preventing the application of h-v decomposition. Despite
678 this limitation, our methodology allowed to detect the strongest displacement intensity gradient derived
679 from the descending orbit PSI displacement dataset. In any case, a possible way forward in our approach
680 allows to systematically integrate the use of ascending and descending PSI displacement measurements.



681

682 *Figure 10 Combined use of ascending and descending data over the area of Punta de la Mona Promontory. A and B show the*
 683 *PSI velocity maps obtained by processing the selected descending and ascending datasets, respectively, together with their*
 684 *respective extracted ADAs. The second row shows the Gradient Intensity Maps derived from the descending (C) and ascending*
 685 *(D) velocity maps; E and F show respectively the Potential Damage Maps derived from the descending and ascending*
 686 *Intensity Maps. G and H show respectively the damage inventory map, and the final Potential Damage Map, obtained by*
 687 *combining both the ascending and descending results.*

688 8 Conclusions

689 This work proposes a semi-automatic procedure to extract highly valuable information to identify damage
690 prone buildings in urban environments from wide-area PSI displacement maps. The procedure starts by
691 automatically identifying the most significant displacement clusters by using an improved version of the
692 Active Deformation Areas (ADAs) approach. In the next step, the displacement intensity gradients are
693 calculated over the selected ADAs, which are then classified into five levels of damage. This allows
694 identifying the most critical zones in any urban environment before considering the presence, or absence,
695 of exposed elements. The combination of the obtained displacement intensity gradients, with a map of
696 exposed buildings, results into the Potential Damage Map, which allows performing a preliminary, fast
697 classification of exposed buildings at risk. This map can be used as a base map for a more detailed building-
698 based vulnerability and risk evaluation. To support the analysis of singular buildings and urban structures,
699 our procedure also estimates the vectors of the displacement velocity gradients and the time series of the
700 displacement intensity gradients.

701 The methodology was tested over a coastal area of the province of Granada (Spain), focusing on several
702 resorts considerably affected by slope movements. This allowed to derive 175 ADAs from about 200,000
703 MPs. The 15 % of the resulting area was found to correspond to high or very high gradient intensity class,
704 and 192 out of 633 buildings were identified to be prone to moderate or higher potential damage. These
705 results demonstrate that the proposed methodology represents a cost-effective approach to preliminarily
706 assess potential risk in urban environments in a semi-automatic way, providing a starting point for
707 performing further analyses and investigations at a more detailed scale in specific locations (such as the
708 analysis of singular buildings). The procedure, which exploits open, free and worldwide available remote
709 sensing data (e.g. Sentinel-1, SRTM, and OpenStreetMap), is designed in light of the new European Ground
710 Motion Service (EGMS) and its pan-European free-for-all PSI displacement map, which will soon be
711 available. If automatized, it could be tested and improved using the different product levels provided by the

712 EGMS, i.e., using as input both the ascending and descending PSI maps (full resolution), and the horizontal
713 and vertical maps derived from them (reduced resolution).

714 To refine the presented approach, several aspects could be improved. These include the selection of more
715 significant thresholds or the adoption of a method to assess the reliability of each estimation, although both
716 tasks would require longer monitoring periods. Moreover, a future study for an improved product will be
717 the inclusion of supplementary information among the input data of the methodology, although this would
718 be subjected to (site-specific) limitations related to data availability. Such information could include local
719 geology, building and foundation typology, or building construction year. These improvements could have
720 a positive impact on the performance of the methodology, leading to the generation of much more accurate
721 results. Such results would be of high interest for different actors involved in urban planning and landscape
722 management, including public institutions, architects, civil engineers, insurance companies, etc. In this
723 sense, a possible application of the described method approach, might be the evaluation of real estate for
724 purchase and sale, an area where unstable ground generates great economic losses, also causing serious
725 legal problems for both the public and private sectors (as occurred in the study area). Thus, the development
726 of InSAR post-processing tools such as the one provided in this work, could allow avoiding future court
727 proceedings and economic losses related to unstable ground.

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