### 1 Title

- 2 Differential cleaving of specific substrates for cathepsin-like activity show cysteine and serine
- 3 protease activities and a differential profile between Anisakis simplex s.s. and Anisakis pegreffii,
- 4 sibling species major etiologic agents of anisakiasis.

## 5 Running title

- 6 Cathepsins in sibling *Anisakis* spp.
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#### 14 Abstract

15 Humans can contract anisakiasis by eating fish or squid containing live larvae of the third stage 16 (L3) of the parasitic nematodes of the genus Anisakis, majorly from A. simplex s.s. and A. 17 pegreffii, sibling species of the A. simplex s.l. complex. Most cases diagnosed molecularly are 18 due to A. simplex s.s., although A. pegreffii has also been identified in human cases. Cathepsins 19 are mostly lysosomal multifunctional cysteine proteases and can participate in the pathogenicity 20 of parasites. Cathepsin B and L activities were investigated in the two sibling species of Anisakis 21 mentioned. L3 and L4 of both species were collected during their in vitro development and 22 cathepsin activity was determined in the range of pH 4.0-8.5, using specific fluorogenic 23 substrates. The activity detected with the substrate Z-FR-AMC was identified as cathepsin L 24 (optimum pH = 5.0, range 4.0-6.0, p<0.001). Activity was highest in L3 freshly collected from fish, 25 especially in A. simplex s.s., and decreased during development, which could be related to 26 virulence, invasion of host tissues and/or intracellular digestion. Cathepsin B-like activity was 27 not identified with either of the substrates used (Z-RR-AMC and Z-FR-AMC). With Z-RR-AMC, 28 cleaving activity was detected almost exclusively in L4 of A. simplex s.s. (p<0.05) with optimum 29 pH = 8.0 (range 7.0-8.5). Assays with class-specific protease inhibitors showed this activity was 30 mainly due to serine proteases (up to 90% inhibition with AEBSF), although metalloproteases 31 (up to 40-45% inhibition with 1,10 phenanthroline) and slight cysteine protease activity (<15 % 32 inhibition with E64; putative cathepsin B-like) were also detected. These results show 33 differential serine protease activity between sibling Anisakis species, regulated by larval development, at least in A. simplex s.s. The higher cathepsin L and serine protease activities 34 35 detected in this species could be related to its greater pathogenicity, reported in experimental 36 animals, compared to that of A. pegreffii.

37 Key words: nematode; parasite; anisakiasis; sibling species; *Anisakis simplex s.l.*; cathepsins;
38 serine proteases.

#### 39 INTRODUCTION

40 Anisakiasis or anisakidosis is an infection caused by the third stage larvae (L3) of parasitic 41 nematodes of the family Anisakidae. Humans can be infected on consuming fish or squid 42 parasitized by these larvae and which is either raw, undercooked or marinated, smoked, salted, 43 etc. More than 20000 cases have been described worldwide (Chai et al., 2005), perhaps 44 underestimated (Bao et al. 2017), by Anisakis (>97% cases), Pseudoterranova (2-3%), 45 Contracaecum and Hysterothylacium. Although more than 90% of cases occur in Japan, anisakiasis also have been often reported in Asian countries such as South Korea (Lim et al., 46 47 2015) or European countries such as Spain or Italy (López-Serrano et al., 2000; Pampiglione et 48 al., 2002;). The etiologic agents are usually the larvae of A. simplex s.s. or A. pegreffii, two species 49 of the complex A. simplex s.l. Although cases involving the former appear more frequent in 50 sympatric zones, implying greater pathogenicity, the lack of studies in humans advises caution, 51 although studies on experimental animals seem to confirm this (Rello Yubero, 2003; Suzuki et 52 al., 2010; Quiazon et al., 2011; Romero et al., 2013; Jeon and Kim, 2015).

53 Cathepsins are peptidases, usually from the papain family and of lysosomic origin, thus acting 54 preferentially in an acidic medium. However, in contrast to the cysteine endopeptidases of 55 vertebrates, the enzymes of helminths exhibit activity within a wide range of pH (pH 4.0-8.0) 56 (Robinson et al., 2013). They are generally cysteine proteases although some aspartyl- or serine-57 type proteases have also been described. Nematode cathepsins are involved in most of the 58 functions performed by the proteases of parasites, including penetration of host tissues, immune response evasión, virulence, digestion, embryogenesis, moulting, and, particularly, 59 60 intracellular digestion as a result of their lysosomic origin (Dalton et al., 1996; Hashmi et al., 61 2002; Guiliano et al., 2004; Robinson et al., 2008; Malagón et al., 2010, 2011, 2013). However, 62 the cathepsins of parasites have not been sufficiently studied despite their being the key to the 63 development of new chemotherapeutic treatments against parasitic nematodes or those

64 causing plant diseases, and also useful for diagnosis and development of vaccines (Britton and

65 Murray, 2002; Sajid and McKerrow, 2002; Caffrey *et al.*, 2013).

As cathepsins B- and L-like are those most frequently described in nematodes, the aim of the present study was to detect and partially characterize these two types in the two species of *Anisakis* which are the most common etiologic agents of anisakiasis, while determining differential characteristics associated with each species.

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### 71 MATERIAL AND METHODS

72 Sample collection and culture in vitro

73 Anisakis L3 type I were collected from blue whiting (Micromesistius poutassou) from Spanish 74 ports located on the Cantabrian Sea (Ondarroa, Bay of Biscay) and the western Mediterranean 75 Sea (Villajoyosa and Gandía, eastern Spanish coast). The fish were transported and immediately 76 processed to collect the Anisakis larvae (see Molina-Fernández et al., 2018). Briefly, the larvae 77 were collected at that time for the L3 sample from fish (L3-0h) and frozen at -20 °C until use. 78 Meanwhile, new L3 batches were prepared and cultured as described by Iglesias et al. (1997, 79 2001). The larvae were removed from the culture at different development times: at 24 h (L3-80 24h); at 24 h after moulting to L4 (L4-24h) and after 14 days of culture (L4-14d, 10 days after 81 moulting to L4). After harvesting they were frozen at -20 °C until use.

To obtain a sufficient sample of each of the species investigated, the fish were collected from two different geographical areas with predominance of one or other species, according to previous studies (Martín-Sánchez *et al.*, 2005; Mattiucci *et al.*, 2018; Molina-Fernández *et al.*, 2018). Thus, fish from Ondarroa (northern Spain, zone FAO VIIIc) were used for the collection of larvae of *A. simplex s.s.* and those from Villajoyosa and Gandía (eastern Spain, zone FAO 37.1.1) for larvae of *A. pegreffii*.

88 Genetic identification

89 Genetic identification was carried out as described by Molina-Fernández et al. (2015, 2018). 90 After larval DNA extraction, a polymerase chain reaction-restriction fragment length 91 polymorphism (PCR-RFLP) of the rDNA region ITS1-5.8S-ITS2 was performed using the primers 92 NC5 (forward) and NC2 (reverse) described by Zhu et al. (1998). RFLP was performed 93 independently with two restriction enzymes, TaqI and HinfI Fast Digest (Thermo Scientific). The 94 band pattern generated was visualized by 3% agarose gel electrophoresis and compared to a 95 control for each species to be identified according to D'Amelio et al. (2000) and Abollo et al. (2003). The larvae that showed a mixed banding pattern between A. simplex s.s. and A. pegreffii 96 97 with one or other restriction enzyme were considered as L3 type I recombinant genotype larvae.

98 *Preparation of protein extracts* 

<sup>99</sup> Larval extracts were prepared extemporaneously in tris-HCl buffer at pH 7.8, with 20% glycerin <sup>100</sup> w/v to stabilize the proteins and prevent their degradation. The larvae were homogenized by <sup>101</sup> mechanical means and immersion in liquid nitrogen. Once homogenized, the crude extract was <sup>102</sup> centrifuged at 4 °C and 19,000 × g for 20 min (Malagón *et al.*, 2010, 2011). The resulting <sup>103</sup> supernatant was transferred to an Eppendorf and kept on ice until its use as a source of <sup>104</sup> enzymatic activity, its protein concentration being determined (Bradford, 1976).

105 Cathepsin assays

For the determination of the cathepsin L- and B-like activity of the soluble extract, the procedure of Malagón *et al.* (2010) was followed. The activity was tested in the pH range of 4.0 to 8.5 with increments of 0.5. To achieve a pH-activity profile, it was decided to use a single buffer for the entire pH range. This buffer was tris-maleic 0.2 M, adjusting the pH with HCl or NaOH depending on the case, with 2 mM dithiothreitol (DTT). The maximum ionic strength of the buffer was equivalent to 0.6 M, so NaCl was added to equalize the ionic strength at the different pHs, when necessary.

113Fluorogenic substrates Z-FR-AMC (N- $\alpha$ -benzyloxycarbonyl-L-phenylalanyl-L-arginine-7-amido-4-114methyl-coumarin), to determine cathepsin B- and L-like activity, and Z-RR-AMC (N- $\alpha$ -

115 benzyloxycarbonyl-L-arginyl-L-arginine-7-amido-4-methyl-coumarin) to determine cathepsin B-116 like activity were used. These substrates show fluorescence when AMC becomes free as a 117 consequence of the hydrolysis of the Arg-AMC bond. The emitted fluorescence was detected 118 with a fluorometer with  $\lambda_{ex}$ =355 nm and  $\lambda_{em}$ =460 nm. Prior to use, the substrates were dissolved 119 in DMSO (dimethylsulfoxide) at 10 mM and frozen at -20 ° C. The final concentration of DMSO 120 in well was 1% in all the assays. The measurements were made in black microplates and each 121 well contained a volume (final concentration) of 100 µl of tris-maleic buffer (100 mM) with DTT 122 (1 mM), 10  $\mu$ l of extract (10  $\mu$ g of protein), 30  $\mu$ l of substrate (15  $\mu$ M), and bidistilled water to 123 complete a final volume of 200  $\mu$ l. The reaction was initiated by the addition of the substrate. 124 The measurements were made every 60 seconds for 60 min and, to standardize the process, the 125 most stable zone of the curve was selected for each test, corresponding to 10-30 min. For the 126 inhibition assays, 0.01 mM E64 [L-trans-epoxysuccinyl-leucylamido-(4-guanidino)-butane], an 127 irreversible inhibitor of cysteine proteases, was added to the reaction mixture. When this did 128 not inhibit 100% activity, pepstatin A (0.02mM) for aspartic proteases, 1,10-phenanthroline 129 (2mM) for metalloproteases and 4-(2-aminoethyl) benzenesulfonyl fluoride hydrochloride 130 (AEBSF, 2 mM) for serine proteases were also employed as class-specific inhibitors. Enzyme 131 activity was expressed as a variation ( $\Delta$ ) of fluorescence relative units (FRU) x min<sup>-1</sup> x mg<sup>-1</sup> 132 protein.

133 Statistical analysis

The software SPSS 22.0 for Windows was used for the study. As the residuals of the dependent variable did not follow a normal distribution in any case using the Shapiro-Wilk test, the nonparametric Kruskal-Wallis test was performed. The slope of activity for each substrate was used as a dependent quantitative variable, and the variables "larval stage", "species" and "pH" were independent. Since it is a weak method, multiple *post hoc* comparisons were made by pairs when p<0.1, using the Mann-Whitney *U* test with the Bonferroni correction. The significance level was set at 0.05.

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#### 142 **RESULTS AND DISCUSSION**

143 Genetic identification

144 A total of 101 larvae of Anisakis type I from blue whiting from the port of Ondarroa (northern 145 Spain) were analyzed using the PCR-RFLP technique, identifying 89.1% as A. simplex s.s., 3.0% as 146 A. pegreffii and 7.9% as recombinants of the two species. A further 55 larvae of Anisakis type I 147 from the Mediterranean ports of Villajoyosa and Gandía (eastern Spain) were identified as 90.9% 148 A. pegreffii, 1.8% A. simplex s.s. and 7.3% as recombinants. These data coincide with the known 149 distribution of these species, A. pegreffii being more prevalent in the western Mediterranean 150 and A. simplex s.s. in the northeastern Atlantic, with sympatry to the south and west of the 151 Iberian Peninsula, although these zones were not sampled in this study (Martín-Sánchez et al., 152 2005; Mattiucci et al., 2018; Molina-Fernández et al., 2018, 2019).

## 153 Enzymatic activity

The superfamily of papain-like cysteine proteases, to which cathepsins B and L belong, is the best-described group of proteases and are regulated during helminth development (Robinson *et al.*, 2008). Although mainly lysosomic, they have also been detected in the nucleus and cytosol and are secreted into the extracellular medium (Kirschke, 2013).

In the present study it was observed that the pH ranges in which activity of the extracts of *A*. *simplex s.s.* and *A. pegreffii* was detected did not overlap when a profile of activity-pH was carried out with the two substrates employed. With substrate Z-FR-AMC, which is optimal for cleaving by cathepsins L, although it may also be cleaved by cathepsins B (Robinson *et al.*, 2013), greater activity was observed at acidic pH, with statistically significant differences between pH values (p<0.001), with pH 5.0 the most favourable (Fig. 1).

164 The cleaving of substrate Z-FR-AMC occurred between pHs 4.0-6.0 (maximum at 4.5-5.5) and 165 was almost undetectable at higher pH, always being inhibited by E64, a specific cysteine

166 protease inhibitor. When considered together with the observed lack of fluorescence with 167 substrate Z-RR-AMC (or very low levels not inhibited by E64) at this pH range, it must be 168 supposed that cathepsin B activity is not measured with Z-FR-AMC – as reported by Dalton et al. 169 (1996) for S. mansoni and by Malagón et al. (2010) for H. aduncum-, suggesting that all the 170 fluorescence detected in this pH range results from cathepsin L-like activity in the species of 171 Anisakis studied. The evolution of the activity during development varied according to species. 172 In A. simplex s.s. maximum activity was detected in L3-0h, decreasing gradually in each 173 developmental stage (p<0.006). In A. pegreffii, although showing the same trend, there was an 174 upsurge in activity in L4-24h which then decreased dramatically to a value 14 times lower in L4-175 14d (Figs. 1 and 2). In spite of this differential behaviour, comparison of the different 176 developmental stages between the two different species only showed significant differences 177 between the most developed stage L4-14d (p<0.005), although, overall, A. simplex activity was 178 greater than that of A. pegreffii (p=0.06). The highest activity in infective L3-0h may be related 179 to the greater virulence observed in A. simplex s.s. when involved in the processes taking place 180 during host tissue invasion, as occurs in other helminths (Stack et al., 2008; Xue et al., 2019), 181 since, in this stage, the larva is prepared to invade either another paratenic host or its definitive 182 host. However, it may also be related to intracellular digestion since, as several authors have 183 suggested, L3 of Anisakis, unlike L4, are not able to ingest food via the digestive system but must 184 obtain nutrients from the extracellular medium through the cuticle (Yasuraoka et al., 1967; 185 Sommerville and Davey, 1976; Iglesias et al., 1997; Dávila et al., 2006). Recently moulted L4 186 clearly showed greater activity than those which had undergone a longer development time (Fig. 187 2), which may be related either to the remodelling of the cuticle, as observed in the filarial 188 nematode Brugia pahangi (Guiliano et al., 2004) or to adaptation to a new acidic habitat in the 189 stomach chambers of cetaceans (definitive hosts).

190 Maximum activity for cathepsins L with substrate Z-FR-AMC was at around pH 5 for the two 191 species studied, as in other nematodes from the same superfamily Ascaridoidea such as the

192 infective larva of Toxocara canis (Loukas et al., 1998) and H. aduncum (pH 5-5.5), at least in L3, 193 L4 and adults (Malagón et al., 2010). As these cathepsins are usually of lysosomic origin, their 194 optimal pH is generally 4.5-6.0 (Sajid and McKerrow, 2002; Malagón et al., 2010) although, as 195 mentioned previously, they are also usually active and stable at neutral and even alkaline pH 196 values, in contrast to those of mammals. In this case, their exclusively acidic range of activity 197 would imply their involvement in digestive processes (intracellular and/or intestinal), as 198 reported for H. aduncum (Malagón et al., 2010), and perhaps also in processes related to 199 attachment and moulting in an acidic medium associated with the gastric wall of the definitive 200 host. Their relationship with moulting in nematodes has already been established and is 201 considered a conserved function due to the high level of homology within this type of cathepsin 202 (Britton, 2013). In addition, Xue et al. (2019) have linked the differential expression of cathepsin 203 L genes with the development and pathogenicity of the nematode Bursaphelenchus xylophilus.

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205 With substrate Z-RR-AMC, which is specifically cleaved by cathepsins B-like, activity was 206 detected in both species within the range pH 5.0-8.5, with this activity concentrated within pH 207 7.0-8.5. Figures 3 and 4 show a good view of the effect of substrate for cathepsin B-like activity 208 results in both species, being very low particularly in A. pegreffii. However, note that L4s showed 209 notably higher activity (p<0.05) with a maximum at pH 8.0 (Figs. 3 and 4). This appears to 210 coincide with H. aduncum, in which a cathepsin B-like has been found with an optimum pH of 211 7.5 for cleaving Z-RR-AMC (Malagón et al., 2010). However, when the inhibition tests were 212 carried out to determine the activity type of the Anisakis extracts, there was only a slight 213 inhibition (<15%) with E64 under our experimental conditions, which shows that only a 214 minimum part of this activity should be of a cysteine protease and, therefore, mostly it is not 215 cathepsin B-like.

Assays with other class-specific inhibitors revealed inhibition of up to 90% with AESBF and 40-45% with 1,10-phenanthroline, showing that the activity detected was mainly due to serine

218 proteases, with some participation by metalloproteases. Serine protease activity has been 219 detected and identified in the excretory-secretory products of L3 of A. simplex with optimum pH 220 of 7.5 (Matthews, 1982, 1984; Sakanari and McKerrow, 1990). Later, Morris and Sakanari (1994) 221 isolated, purified and characterized it as a trypsin-like serine protease, 89% homologous with 222 pig trypsin, and able to cleave both Z-RR-AMC and Z-FR-AMC, the former more efficiently, which 223 would explain the detection of activity with the former substrate and not with the latter. These 224 authors reported that CaCl<sub>2</sub> was necessary for the enzyme's stability but did not improve its 225 activity. In the present study, the addition of CaCl<sub>2</sub> 20 mM, reduced activity by 60-90% (results 226 not shown). Although the cleaving of Z-RR-AMC may be at least partially due to this enzyme, the 227 very low activity of L3 makes this idea questionable. This enzyme may have been preferentially 228 secreted during the L3 stage, possibly to carry out extracorporeal digestion (Buzzell and 229 Sommerville, 1985), which has been observed in nematodes (Feng et al., 2007), and later 230 incorporate the resulting end products of digestion through the cuticle. This could explain the low level of activity detected in the somatic extracts from this stage. However, as L4 are now 231 232 able to ingest food orally it would not need to secrete the enzyme and it could be accumulated 233 for use in intestinal digestion. Of course, it may be another different serine-protease which 234 appears to express itself differentially in the L4 stage of A. simplex s.s. and is almost undetectable 235 under our experimental conditions in A. pegreffii. Morris and Sakanari (1994) succeeded in 236 partially characterizing a second serine protease in the somatic extracts of L3 of A. simplex which 237 was 85% homologous to a bacterial capable of degrading tissues. It should also be noted that 238 Molina-Fernández et al. (2019) found a significantly greater proteolytic activity by serine 239 proteases in all stages of A. simplex s.s., developed at 37 °C, the same temperature as in the 240 definitive host, than in A. pegreffii, although the opposite occurred in L3 collected from the 241 intermediate/paratenic fish poikilotherm host. In addition, Cavallero et al. (2018) reported a 242 greater presence of trypsin-like serine protease transcripts in A. simplex s.s. than in A. pegreffii, 243 albeit with the proviso that the procedure followed may have been more efficient in the former

244 than in the latter. Furthermore, Jasmer *et al.* (2015) reported the low expression of cathepsin B-245 like cysteine peptidases among the peptidases in the intestine of adult females of Ascaris suum, 246 suggesting their possibly scant contribution to nutrient digestion. On the other hand, the lack of 247 activity against the substrate Z-RR-AMC, used for the detection of cathepsins B-like, is not 248 uncommon in nematodes, as in Dirofilaria immitis (Richer et al., 1992) or Ancylostoma caninum 249 (Dalton et al., 1994). In C. elegans, CPR-6, a cathepsin B-like is almost not expressed in the larval 250 stages and overexpressed in adults, showing 70% identity with that of A. suum (Britton, 2013). 251 Consequently, this type of activity cannot be discounted in *Anisakis*. 252 Proteases in general and cathepsins in particular can be regarded as potential therapeutic 253 targets in helminths due to their role in development, survival and pathogenicity for the host 254 (Xue et al., 2019). In fact, some proteases, including cathepsins, are currently being studied with 255 a view to their use in experimental vaccines against trematodes such as Fasciola hepatica or

256 Schistosoma mansoni, or gastrointestinal nematodes such as Haemonchus contortus or

257 Ostertagia ostertagi in animals and against the hookworms in humans, with encouraging results

258 so far (Knox, 2012; Hotez *et al.*, 2013; Figueiredo *et al.*, 2015).

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#### 260 CONCLUSIONS

A cathepsin L-like activity has been detected in the two sibling species of the complex *A. simplex s.l.*. The activity of the L3 of *A. simplex s.s.* is higher than *A. pegreffii* L3, which could be related to the higher pathogenicity of the former, and it seems also be involved in the digestion of nutrients. Also, a cathepsin B-like specific substrate is mostly processed by serine protease activity, which has been detected to be significantly higher in *A. simplex s.s.* than in *A. pegreffii*, it could be related to the higher pathogenicity of the former.

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Figure 1.- Profile of cathepsin L-like activity measured by Z-FR-AMC cleavage in *Anisakis* spp. during its development in vitro, depending on pH. Each point is the mean of two or three experiments in triplicate. Upper panel: *A. simplex s.s.* Bottom panel: *A. pegreffii*.



Figure 2.- Profile of cathepsin L-like activity as measured by cleavage of substrate Z-FR-AMC at pH 5.0 in *Anisakis simplex s.s.* (•) and in *A. pegreffii* (•) during its in vitro development. Each point is the mean  $\pm$  standard deviation of three experiments in triplicate.





Figure 3.- Profile of enzymatic activity measured by cleavage of Z-RR-AMC in *Anisakis* spp. during its development in vitro, depending on pH. Each point is the mean of two or three experiments in triplicate. Upper panel: *A. simplex s.s.* Bottom panel: *A. pegreffii*.



Figure 4.- Profile of enzymatic activity measured by cleavage of substrate Z-RR-AMC at pH 8.0 in *Anisakis simplex s.s.* (•) and in *A. pegreffii* ( $\blacksquare$ ) during its in vitro development. Each point is the mean  $\pm$  standard deviation of three experiments in triplicate.