

# Automated operational modal analysis and ambient noise deconvolution interferometry for the full structural identification of historic towers: A case study of the Sciri Tower in Perugia, Italy

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## Abstract

Structural Health Monitoring (SHM) based upon Operational Modal Analysis (OMA) constitutes an increasingly mature and widespread technology in the conservation of historic structures. Such techniques, while proved effective for assessing the global integrity of structures, may fail at detecting local damage with little influence on the modal features of the system. In this context, the analysis of propagating waves throughout the structure features a synergistic approach to OMA with superior capabilities for data-driven damage identification. Although some promising results have been reported in the literature on the application of Seismic Interferometry to reinforced-concrete structures, works concerning the continuous monitoring of ambient vibrations in historic structures are virtually non-existent. In this light, this paper proposes the coupled application of automated OMA and Ambient Noise Deconvolution Interferometry for the full structural system identification of historic structures, and evaluates the advantages of this technology through a validation case study of the Sciri Tower in Perugia, Italy. A continuous vibration-based monitoring system deployed in the tower during three weeks allows us to assess the effectiveness of the proposed approach. The reported results demonstrate the robustness of the monitoring system for identifying environmental effects on the spatial distribution of wave velocities, and shed light into the dispersion relation of the tower.

*Keywords:* Ambient vibration testing, Automated Operational Modal Analysis, Seismic Interferometry, Structural Health Monitoring, Wave propagation

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## 1. Introduction

Structural Health Monitoring based on output-only or OMA has become a mature and ubiquitous technology in the preventive maintenance of structures. These techniques exploit ambient acceleration records to extract the modal properties of the structure as damage sensitive features [1–3]. Given that these systems work under operational conditions, the degree of invasiveness and impact on the monitored structure are minimal [4–7], so their implementation in Cultural Heritage (CH) structures has become particularly popular (see e.g. [8–12]). Due to the sensitivity of the modal properties to environmental factors, such as temperature or humidity [13, 14], damage is often masked by daily modal fluctuations, so long-term monitoring schemes are essential to fully exploit the potential of modal-based damage detection techniques. In this wise, automated OMA techniques allow the continuous and remote assessment of the structural health, maximizing their capability for early damage detection through novelty analysis and improving their usefulness for decision-making in maintenance and rehabilitation activities. Nevertheless, while proved highly effective for interrogating the global integrity of structures, these techniques may be inefficient in detecting local damage with little influence on the modal features of the system. Moreover, the localization of local structural pathologies usually requires the inverse calibration of a numerical model which, in the case of historical buildings, may be computationally demanding and incompatible with continuous monitoring systems. In this context, seismic interferometric techniques represent a synergistic approach to OMA with superior capabilities for data-driven damage identification. Nonetheless, while a few promising results have been reported in the literature on its application for earthquake-induced damage detection of reinforced-concrete structures, works coping with the use of Seismic Interferometry for the continuous monitoring of structures under ambient conditions are very scarce, and practically non-existent in the realm of historic buildings.

Seismic interferometry conceives the response of a dynamic system as a superposition of propagating waves, and exploits the wave velocities between pairs of sensors as damage sensitive features [15–17]. The fundamentals

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23 of this approach lie in the fact that scattering and attenuation of propagating pulses depend upon the constitu-  
24 tive properties of the medium and, therefore, the identification of wave velocities provides an indirect evaluation  
25 of the intrinsic stiffness of the system [18]. To do so, travelling waveforms can be described by means of im-  
26 pulse response functions (IRFs) computed at different monitored locations throughout the structure. Specifically,  
27 IRFs obtained by deconvolution interferometry have proven well-suited for the monitoring of mono-dimensional  
28 structures such as buildings or towers [19, 20]. Recent research works report promising advantages of seismic  
29 interferometric techniques compared to OMA-based approaches. In the first place, damage identification based  
30 upon Seismic Interferometry is local in essence, since damage-induced stiffness deterioration leads to localized  
31 increases in the pulse travel times across the damaged part of the structure [21–23]. Most interestingly, damage  
32 identification (detection, localization and, to some extent, quantification) can be performed in a fully data-driven  
33 way simply by peak-picking analysis of IRFs [24]. A second distinctive feature of these techniques regards the  
34 possibility of investigating soil-structure interaction (SSI) properties through the analysis of the dispersion of seis-  
35 mic waves [25–27]. Dispersive media are characterized by the variation of phase velocities with frequency. It  
36 has been reported that the contribution of bending deformation to the dynamic response of the structure (as it is  
37 usually the case of high-rise buildings), as well as SSI effects, increase the dispersion of seismic waves [27, 28].  
38 Nevertheless, as demonstrated by the work of Rahmani et al. [27], wave velocities estimated from broader band  
39 IRFs (including at least two modes of vibration) show almost no sensitivity to the SSI, and primarily provide  
40 information about the specific condition of the building irrespective of the boundary conditions at the foundation.

41 The broad majority of research on the application of Seismic Interferometry to structural system identifica-  
42 tion has focused on reinforced-concrete (RC) buildings under seismic actions. The assessment of wave travel  
43 times using IRFs was first proposed by Snieder and Şafak [17], who studied the wave propagation properties  
44 of the 9-storey RC Millikan Library in Pasadena (Los Angeles, US) during the Yorba Linda  $M_w$  4.3 earthquake  
45 in 2002. Their results showed that the IRFs reflect well the propagation mechanisms of seismic waves across  
46 the building, reporting acausal upgoing and downgoing (reflected) pulses when the deconvolution is referenced  
47 to the roof level, and only causal pulses propagating upward when referenced to the base. A similar methodol-  
48 ogy was applied by Todorovska and Trifunac [29] for the analysis of the Van Nuys 7-story hotel under different  
49 earthquakes. Specifically, their results demonstrated considerable wave delays (decrease in stiffness) during the  
50 1994 Northridge and 1971 San Fernando earthquakes, which agree well with the observed damage by separate  
51 inspections. Those authors also investigated the effects of the Imperial Valley Earthquake of 1979 on the wave  
52 propagation properties of a 6-storey RC building in El Centro (California, US) [22], and their results reported  
53 good agreements between the wave delays obtained by peak-picking of IRFs and the actual earthquake-induced  
54 damage. Rahmani and Todorovska [30] proposed an SHM system based on the fitting of wave velocity profiles  
55 by the inverse calibration of an equivalent layered shear beam model. Their results showed that, given that the  
56 calibration essentially involves phase differences between motions at different floors of the building, the identified  
57 velocity profile is minimally affected by SSI and, therefore, provides a superior damage-sensitive feature compared  
58 to OMA-based approaches. Nonetheless, although most of the mechanisms underlying the propagation of seismic  
59 waves in multi-storey buildings could be explained, the shear beam model failed to reproduce the observed disper-  
60 sion effects. In order to address this issue, Ebrahimian and Todorovska [28, 31] developed a layered Timoshenko  
61 beam (TB) model accounting for both shear and bending deformation effects for wave propagation analysis. Their  
62 results demonstrated the contribution of bending deformation to the dispersion of travelling waves. The resulting  
63 dispersion relation (phase velocity versus frequency) was proved monotonically increasing with frequency, with  
64 largest velocity variations at low frequencies and stable values at high frequencies. Interestingly, those authors  
65 also reported the existence of two propagating modes with different phase velocities in high-rise buildings. Below  
66 a certain critical frequency depending on the material properties and geometry of the building, one single mode  
67 defines the wave propagation, while both modes determine the waveforms above this cut-off frequency generating  
68 complex interference patterns. Recently, the authors [32] extended the TB formulation to investigate the appli-  
69 cation of acceleration- and strain-based wave propagation analysis for damage identification in masonry towers  
70 under seismic actions. Using pseudo-experimental records generated by a non-linear 3D numerical model, the re-  
71 ported results demonstrated that the inverse calibration of the TB model allows relating identified damage-induced  
72 wave delays to local stiffness losses in the structure.

73 The number of works on the use of Deconvolution Interferometry for the system identification of structures  
74 under ambient vibrations is considerably lower. Among them, a noteworthy contribution is the work by Prieto *et*  
75 *al.* [33] who applied Ambient Noise Deconvolution Interferometry (ANDI) for the system identification of the 17-  
76 storey steel moment-frame UCLA Factor building located at the University of California. In that work, IRFs were  
77 generated from ambient noise by means of a deconvolution approach with temporal averaging. A similar technique  
78 was also used by Nakata and Snieder [34] for the monitoring of an 8-storey building in Japan. Interestingly, their  
79 results showed that ambient noise IRFs are characterized by causal and acausal pulses when deconvolution is  
80 referenced to both the base and the roof levels. Such a behaviour, unlike the case of seismic excitation, is due

81 to the presence of several excitation sources throughout the building (e.g. micro-tremors, human actions, wind  
82 loadings). Bindi *et al.* [35] conducted ambient vibration tests (AVTs) on an 8-storey RC hospital in Thessaloniki  
83 (Greece), and explored the simultaneous application of ANDI and Frequency Domain Decomposition (FDD).  
84 Their results suggested the possibility of developing SHM systems based upon the synergistic application of OMA  
85 and ANDI for full system identification. In this regard, Lacanna *et al.* [36] conducted a pioneering application  
86 of continuous OMA and ANDI for structural assessment of the Giotto's bell-tower in Florence, Italy. Despite  
87 reporting some limitations for the identification of environmental effects on the wave velocities due to insufficient  
88 sampling frequency and short monitoring time, their results evidenced the superior capabilities of SHM systems  
89 based on automated OMA/ANDI for damage detection, localization, and quantification. Recently, the authors  
90 reported in reference [37] the synergistic application of OMA and ANDI for the full dynamic identification of  
91 three different architectural heritage structures using AVTs, including the Sciri Tower in Perugia, the Consoli  
92 Palace in Gubbio, and the bell-tower of the Basilica of San Pietro in Perugia. While promising, the reported results  
93 highlighted the existence of substantial environmental effects on the identified wave velocities, and thereby discrete  
94 AVTs are often ineffective to extract damage sensitive features. In these cases, the continuous monitoring of  
95 structures and the characterization of environmental effects become imperative for performing pattern recognition  
96 and effective damage identification, as addressed in this work.

97 In light of the previous state-of-the-art review, this paper proposes the coupled application of automated OMA  
98 and ANDI for the full structural system identification of historic structures, and evaluates the advantages of this  
99 technology through a validation case study of the Sciri Tower in Perugia, Italy. This case study represents a stan-  
100 dard example of a masonry tower inserted into a building aggregate, and a continuous vibration-based monitoring  
101 system installed in the tower during three weeks allows us to assess the effectiveness of the proposed approach.  
102 The reported results evaluate the robustness of the monitoring system for identifying environmental effects on the  
103 spatial distribution of wave velocities, and shed some light into the dispersion relation of the tower.

104 The remaining of this paper is organised as follows. Section 2 describes the Sciri Tower and the monitoring  
105 system installed in the structure. Section 3 presents the system identification results obtained by automated OMA.  
106 Section 4 reports the results obtained by ANDI, and investigates the environmental effects of the wave velocity  
107 profiles in two orthogonal directions of the tower and, finally, Section 5 concludes this work.

## 108 2. Description of the Sciri Tower and testing set-up

109 The Sciri Tower (*Torre degli Sciri*) is a 41 m high civic tower located in the historical centre of Perugia in Italy.  
110 Its construction dates back to the late 13<sup>th</sup> century and, nowadays, the Sciri Tower is the only one preserved intact  
111 among the numerous towers erected during the medieval period of the city. The tower was owned by the noble  
112 family of Oddi until 1488, when it was transferred to the Sciri family (who gave it its current name) after violent  
113 disputes between noble clans that forced the Oddi family into exile. In 1680, the tower and the adjoining building  
114 were gifted to the Franciscan Third Order until 2011, when the ensemble became property of the Municipality of  
115 Perugia. Important conservative restoration works were conducted in the building ensemble by the municipality  
116 in 2015, although neither the building aggregate nor the tower experienced significant structural modifications.

117 The Sciri Tower is inserted into a building ensemble with approximate plan dimensions of  $22 \times 25$  m. The  
118 tower is made of homogeneous squared white limestone blocks and has a hollow rectangular cross-section of  
119  $7.15 \times 7.35$  m, with three façades connected to the adjacent masonry buildings up to a height of 17 m, and a  
120 fourth one remaining unconstrained all along its height. The tower can be ideally split into two structural portions  
121 beneath and above the height level of 8.4 m. The lower part has wall thicknesses between 1.68 m and 2.1 m, and  
122 culminates with a stone masonry vaulted slab standing over an old chapel. On the other hand, the upper part has  
123 slender continuous walls (with thickness varying in height from 1.6 m to 1.4 m), and houses a metal staircase  
124 resting on four 1.5 m wide masonry vaulted slabs at different heights. Finally, a brick masonry ceiling vault  
125 completes the tower, and a 0.5 m thick parapet along the edges of a panoramic terrace rises up to a total height of  
126 41 m.

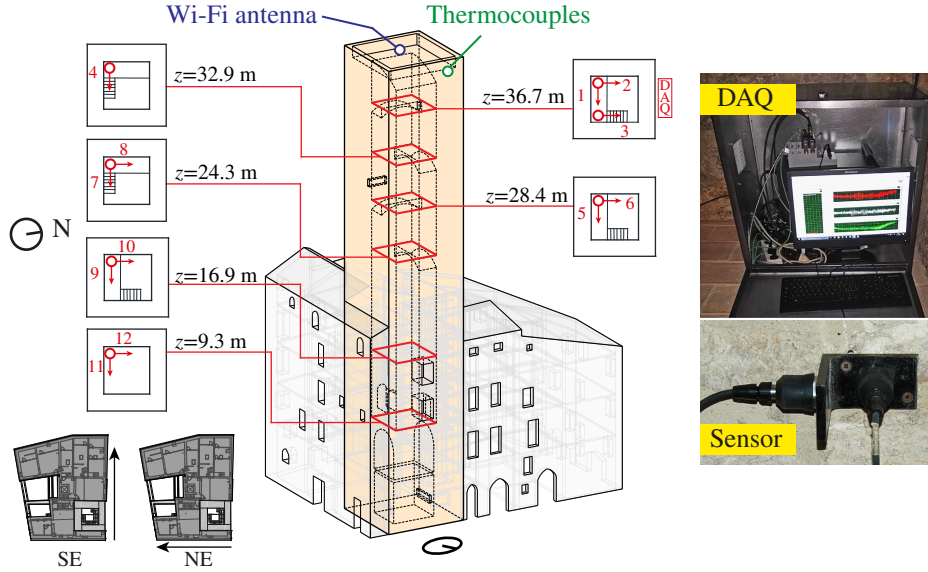


Figure 1: Layout of the dynamic monitoring system installed in the Sciri Tower with sensors positions (labelled from 1 to 12).

127 With the aim of identifying the modal features and wave propagation properties of the Sciri Tower, a continu-  
 128 ous ambient vibration testing was performed for three weeks, from February 13<sup>th</sup> until March 10<sup>th</sup> 2019. To this  
 129 end, a total of 12 high sensitivity (10 V/g) uniaxial PCB 393B12 accelerometers were installed at four different  
 130 heights of the tower, namely  $z = 40.5$  m,  $z = 33.5$  m,  $z = 24.0$  m and  $z = 8.4$  m, as shown in Figure 1. Ambient  
 131 vibrations were recorded at three different sampling frequencies to evaluate the robustness of the wave identifica-  
 132 tion, including 200 Hz, 1000 Hz, and 5000 Hz. In addition, two K-type thermocouples were also installed at the  
 133 level  $z = 40.5$  m (indoor and outdoor) and temperature was recorded at a sampling frequency of 0.4 Hz. Field data  
 134 were acquired using a multi-channel data acquisition system (DAQ) model NI CompactDAQ-9184 located at the  
 135 level  $z = 36.7$  m, equipped with NI 9234 data acquisition modules for accelerometers (24-bit resolution, 102 dB  
 136 dynamic range and anti-aliasing filters) and NI 9219 modules for thermocouples (24-bit resolution,  $\pm 60$  V range,  
 137 100 S/s). A LabView toolkit was implemented for data acquisition and preliminary real-time processing, includ-  
 138 ing amplitude and spectral plots for quality-control inspections. Data were recorded in separate files containing  
 139 30-min long acceleration and temperature time series, and transferred in real-time through Wi-Fi connection to  
 140 the Laboratory of Structural Dynamics of the University of Perugia, 2.5 km far from the tower. Here, data were  
 141 stored and processed with the purpose of extracting the dynamic characteristics of the tower, including its modal  
 142 properties and wave propagation velocities. Figure 2 shows a flowchart of the automated OMA and ANDI system  
 143 implemented in the Sciri Tower, the details of which are described hereafter.

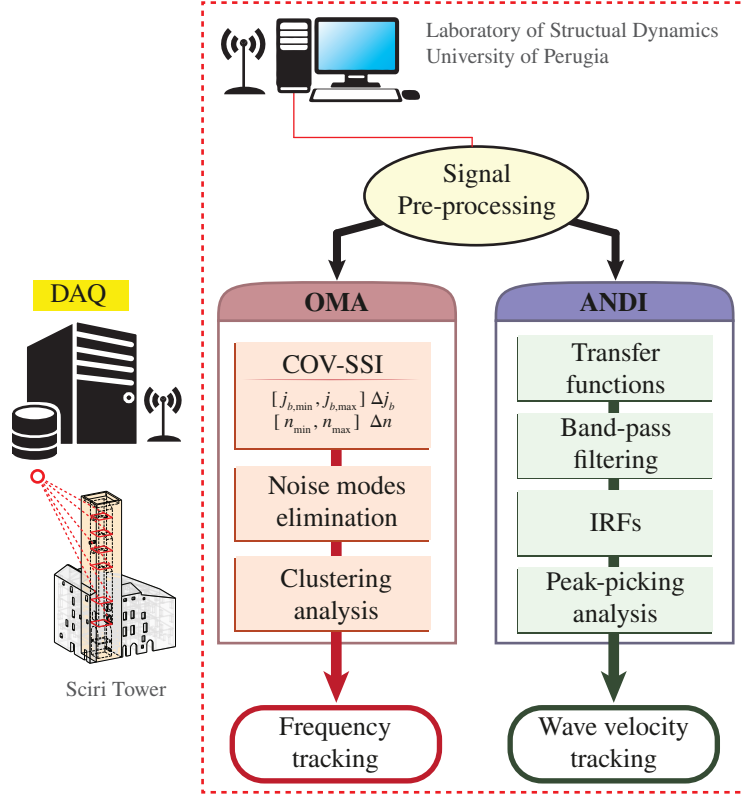


Figure 2: Flowchart of the automated OMA and ANDI system implemented in the Sciri Tower.

### 3. Automated Operational Modal Analysis

#### 3.1. Automated OMA algorithm

The Covariance-driven Stochastic Subspace Identification (COV-SSI) method [38] has been used to perform the online OMA of the Sciri Tower. In particular, an in-house fully automated OMA code has been implemented in MATLAB environment following an automation approach equivalent to the one proposed by Ubertini *et al.* [39]. This consists of three consecutive steps as sketched in Fig. 2, including iterative modal identification (i), noise modes elimination (ii), and clustering analysis (iii). The first step consists of performing the modal identification considering an interval  $[j_{b,min}, j_{b,max}]$  with steps of size  $\Delta j_b$  of the number of blocks of the Toeplitz matrix in the COV-SSI method, as well as an interval of model orders  $[n_{min}, n_{max}]$  with steps of size  $\Delta n$ . This procedure results in a set of  $M$  poles, whose modal information can be organized in matrix form as:

$$\begin{aligned} \mathbf{f} &= [f_1 \ f_2 \ \dots \ f_M]^T, \\ \boldsymbol{\zeta} &= [\zeta_1 \ \zeta_2 \ \dots \ \zeta_M]^T, \\ \boldsymbol{\Theta} &= [\boldsymbol{\Theta}_1 \ \boldsymbol{\Theta}_2 \ \dots \ \boldsymbol{\Theta}_M], \end{aligned} \quad (1)$$

where  $f_m$ ,  $\zeta_m$ , and  $\boldsymbol{\Theta}_m$  denote the frequency, damping, and mode shape vector of an arbitrary  $m$ -th mode,  $m = 1, 2, \dots, M$ . Afterwards, a noise modes elimination algorithm is implemented in order to automate the analysis of the multiple resulting stabilization diagrams. This algorithm discerns between noise modes and physical ones by assessing the frequency of appearance of the system poles over all the identification analyses. To do so, a vector  $\mathbf{c} = [c_1 \ c_2 \ \dots \ c_M]^T$  is constructed, whose components  $c_m$ ,  $m = 1, 2, \dots, M$ , are given by:

$$c_m = \begin{cases} -1 + \sum_{l=1}^M \delta_{lm}, & \text{if } \zeta_m \in [0 \ \zeta_{max}] \\ 0, & \text{if } \zeta_m \notin [0 \ \zeta_{max}] \end{cases} \quad (2)$$

with

$$\delta_{lm} = \begin{cases} 1, & \text{if } \Delta f_{lm} \leq \epsilon_f, \Delta \zeta_{lm} \leq \epsilon_\zeta, 1 - MAC_{lm} \leq \epsilon_{MAC} \\ 0, & \text{otherwise} \end{cases} \quad (3)$$

$$\Delta f_{lm} = \frac{|f_l - f_m|}{f_m}, \Delta \zeta_{lm} = \frac{|\zeta_l - \zeta_m|}{\zeta_m}, MAC_{lm} = MAC(\Theta_l, \Theta_m),$$

160 where  $\zeta_{max}$  is the maximum admissible value for the damping ratio of the physical modes,  $MAC(\Theta_l, \Theta_m)$  is the  
 161 Modal Assurance Criterion (MAC) value between modes  $\Theta_l$  and  $\Theta_m$ , and  $\epsilon_f$ ,  $\epsilon_\zeta$ , and  $\epsilon_{MAC}$  are user-defined tol-  
 162 erances. A generic component,  $c_m$ , indicates the number of modes with frequencies, damping ratios, and mode  
 163 shapes similar to those of the  $m$ -th mode among all the  $M$  identified ones. Therefore, the  $m$ -th mode is said to be  
 164 stable when its frequency of appearance given by  $c_m$  is larger than a certain fraction  $s$  of the total number of modal  
 165 identification analyses  $N$ , i.e.  $c_m \geq sN$ . Then, the number of stable poles can be readily obtained computing a  
 166 vector  $\mathbf{S}$ :

$$\mathbf{S} = [S_1 S_2 \dots S_M]^T,$$

$$S_m = \begin{cases} 1, & \text{if } c_m \geq sN = s \left( \frac{j_b^{max} - j_b^{min}}{\Delta j_b} + 1 \right) \left( \frac{n_{max} - n_{min}}{\Delta n} + 1 \right) \\ 0, & \text{otherwise} \end{cases} \quad (4)$$

167 whose components  $S_m$  assign 0 and 1 to unstable and stable modes, respectively. Consequently, the total number  
 168 of stable modes,  $P$ , simply reads  $P = \sum_{l=1}^M S_l$ . The vectors of stable frequencies  $\mathbf{f}^s$  and damping ratios  $\zeta^s$ , and the  
 169 matrix of stable mode shapes  $\Theta^s$  can be extracted as:

$$\mathbf{f}^s = \mathbf{HE} \mathbf{f} = [f_1 f_2 \dots f_P]^T,$$

$$\zeta^s = \mathbf{HE} \zeta = [\zeta_1 \zeta_2 \dots \zeta_P]^T, \quad (5)$$

$$\Theta^s = (\mathbf{HE} \Theta^T)^T = [\Theta_1 \Theta_2 \dots \Theta_P],$$

170 where  $\mathbf{HE}$  is a  $P \times M$  matrix whose non-zero components are  $HE_{p,\pi_p} = 1$ ,  $p = 1, 2, \dots, P$ , with  $\pi_1, \pi_2, \dots, \pi_P$   
 171 being the positions of the non-zero terms of vector  $\mathbf{S}$ .

172 Finally, an agglomerate hierarchical clustering algorithm is implemented to group the previously extracted  $P$   
 173 stable modes into a set of homogeneous data clusters pertaining to the same structural mode. Interested readers  
 174 may refer to reference [38] for further details on the implemented clustering analysis procedure.

### 175 3.2. Initial ambient vibration test

176 The identification results of the first 30-min long vibration records, taken on February 13<sup>th</sup> 2019 at 2:00 pm  
 177 and down-sampled to 40 Hz, are presented in Fig. 3 and Table 2. The raw data were initially pre-processed by  
 178 subtracting the temporal mean and applying time-domain Hanning filtering to eliminate undesired noise sources  
 179 such as spikes related to electrical interferences. For validation purposes, Table 2 collects the identified natural  
 180 frequencies and damping ratios obtained by four different OMA methods, namely the COV-SSI, Eigensystem Re-  
 181 alization Algorithm (ERA), poly-reference Least Squares Complex Frequency-domain (p-LSCF), and Enhanced  
 182 Frequency Domain Decomposition (EFDD) methods [38]. The input parameters used in the considered identifica-  
 183 tion methods are collected in Table 1. The ERA method has been implemented following an automated procedure  
 184 identical to the one previously reported in Section 3.1, with  $j_b$  denoting in this case the number of block rows  
 185 and columns of the Hankel matrix of the cross-correlation functions. Readers are referred to the Supplementary  
 186 Material for further details on the OMA of the Sciri Tower.

187 Seven vibration modes have been identified in the frequency range between 0 and 12 Hz, including two flexu-  
 188 ral modes in the NE direction (Fx1 and Fx2), two flexural modes in the SE direction (Fy1 and Fy2), one torsional  
 189 mode (Tz1), and two higher order flexural modes, (Fx3 and Fy3). It is noted in Table 2 that all the identification  
 190 methods yield very close estimates of the resonant frequencies with relative differences below 2%. Nevertheless,  
 191 considerable discrepancies can be observed in terms of damping ratios between the time-domain (COV-SSI and  
 192 ERA) and frequency-domain (p-LSCF and EFDD) identification methods. The frequency-domain methods report  
 193 considerably smaller damping ratios, with values even below 0.2% which are assumed as unidentified damping  
 194 values. In particular, the p-LSCF method failed to identify the damping ratios of modes Fx3 and Fy3. It is well-  
 195 known in the literature that, while very clear stabilization diagrams are obtained with the p-LSCF method, this  
 196 technique tends to underestimate the damping parameters of low-excited modes with high noise levels [40], as it

197 is the case of most historic masonry structures. Advanced system identification methods such as the combination  
 198 of the maximum likelihood estimator and the p-LSCF method (ML-pLSCF, see [41]) have been reported to al-  
 199 leviate such limitations. Finally, it is noted that the EFDD method yields unrealistically low damping ratios for  
 200 modes Fy2, Tz1, Fx3 and Fy3, which is due to a poor representation of their single mode bell functions and the  
 201 corresponding correlation functions. This issue is also conceivably due to an insufficient excitation levels for these  
 202 modes.

203 In light of the previous discussion, the COV-SSI method is used in this work in all the subsequent analyses.  
 204 The corresponding mode shapes obtained by COV-SSI are depicted in Fig. 3. In this figure, complexity plots of  
 205 the identified mode shapes are also shown, where each arrow represents a component of the mode shape vectors.  
 206 The more collinear the components are the more the system is classically (proportionally) damped in that mode.  
 207 Conversely, scatters in the complexity plot may indicate that the system is non-classically damped in that mode, or  
 208 may evidence the presence of limiting factors in the identification, such as low signal-to-noise ratios, estimation  
 209 or modelling errors. It is noted in Fig. 3 that modes Fx1, Fy1, Tz1, Fx3 and Fy3 are identified as almost perfectly  
 210 classically damped, while some scatter can be observed in the remaining modes, particularly in mode Fy2.

Table 1: Input parameters of the modal identification methods used in the Sciri Tower.

<b>COV-SSI</b>
$[n_{min}, n_{max}] = [40, 80], \Delta n = 1$
$[j_{b,min}, j_{b,max}] = [140, 200], \Delta j_b = 10$
$\zeta_{max} = 10\%, s = 5\%$
$\epsilon_f = 0.05, \epsilon_\zeta = 0.01, \text{ and } \epsilon_{MAC} = 0.01$
<b>ERA</b>
$[n_{min}, n_{max}] = [40, 80], \Delta n = 1$
$[j_{b,min}, j_{b,max}] = [100, 160], \Delta j_b = 10$
$\zeta_{max} = 10\%, s = 5\%$
$\epsilon_f = 0.05, \epsilon_\zeta = 0.01, \text{ and } \epsilon_{MAC} = 0.01$
<b>p-LSCF</b>
$[n_{min}, n_{max}] = [200, 300], \Delta n = 1$
Cross half-spectra by the Welch's method:
$2^{13}$ data points with 50% overlap
<b>EFDD</b>
Spectral density matrix by the Welch's method:
$2^{11}$ data points with 50% overlap
Single-Input-Single-Output (SISO) version of the Ibrahim Time Domain (ITD) method

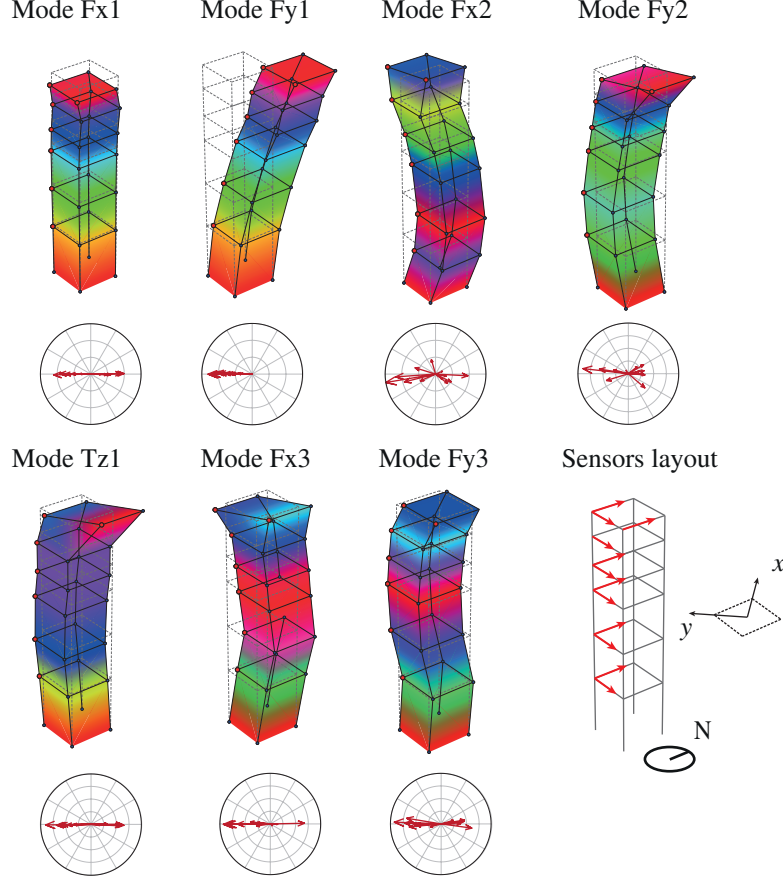


Figure 3: Experimentally identified mode shapes estimated through COV-SSI on February 13<sup>th</sup> 2019 at 14:00 UTC.

Table 2: Experimentally identified natural frequencies,  $f_i$ , and damping ratios,  $\zeta_i$ , using the COV-SSI, ERA, p-LSCF and EFDD methods on February 13<sup>th</sup> 2019 at 2:00 pm.

Mode	COV-SSI		ERA		p-LSCF		EFDD	
	$f_i^{\text{exp}}$ [Hz]	$\zeta_i$ [%]	$f_i^{\text{exp}}$ [Hz]	$\zeta_i$ [%]	$f_i^{\text{exp}}$ [Hz]	$\zeta_i$ [%]	$f_i^{\text{exp}}$ [Hz]	$\zeta_i$ [%]
Fx1	1.692	0.921	1.691	0.838	1.691	0.622	1.691	0.819
Fy1	1.891	0.767	1.890	0.791	1.891	0.559	1.888	0.751
Fx2	5.447	5.002	5.440	5.547	5.531	0.749	5.476	0.605
Fy2	5.819	2.044	5.822	2.114	5.846	0.211	5.810	-
Tz1	8.206	1.787	8.212	1.938	8.190	0.725	8.159	-
Fx3	9.789	1.333	9.789	1.446	9.796	-	9.740	-
Fy3	10.824	3.134	10.858	3.410	10.770	-	-	-

### 211 3.3. Continuous OMA of the Sciri Tower

212 The vibrational modes of the Sciri Tower previously presented in Fig. 3 and Table 2 have been continuously  
213 identified and tracked by the COV-SSI method throughout the monitoring period. To do so, the 30-min long vibra-  
214 tion records have been down-sampled to 40 Hz, and the modal features have been extracted using the automated  
215 OMA procedure previously introduced in Section 3.1. Figure 4 shows the time histories of the natural frequencies  
216 of the first seven modes of the tower continuously identified and tracked throughout the monitoring period since  
217 February 13<sup>th</sup> until March 10<sup>th</sup> 2019. In this figure, the temperature time series recorded by the two thermocouples  
218 (indoor and outdoor) are also shown. Clear day-night oscillations can be found in all the natural frequencies, with  
219 increases during daytime and decreases during night-time. Figure 5 further investigates the effects of environ-  
220 mental temperature on the resonant frequencies of the tower. It is noted that there is a positive correlation of all  
221 the frequencies with temperature, that is, increasing temperatures yield increasing natural frequencies and vice  
222 versa. Such a behaviour is often found in historic structures, where the thermal expansion of masonry originates  
223 the closure of superficial cracks or micro-cracks, as well as minor discontinuities in the structure [42]. Finally, it is



224 observed that the thermal sensitivity of the resonant frequencies, given by the slope of the linear fittings included in  
 225 in Fig. 5, is larger for higher-order modes.

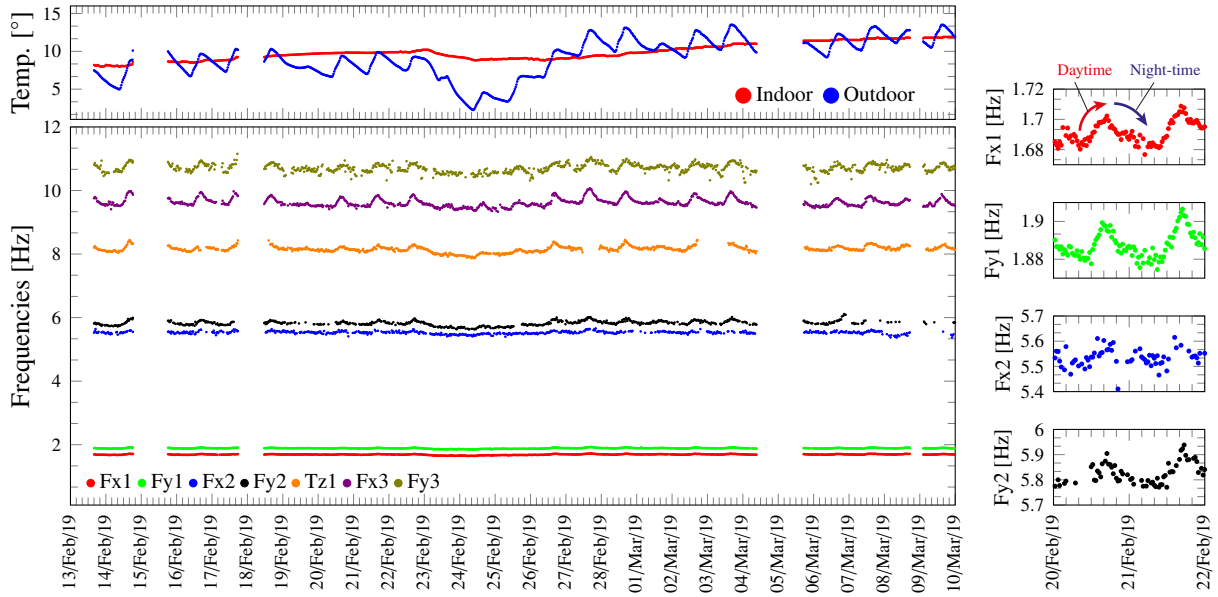


Figure 4: Temperature time series and frequency tracking in the Sciri Tower since February 13<sup>th</sup> until March 10<sup>th</sup> 2019.

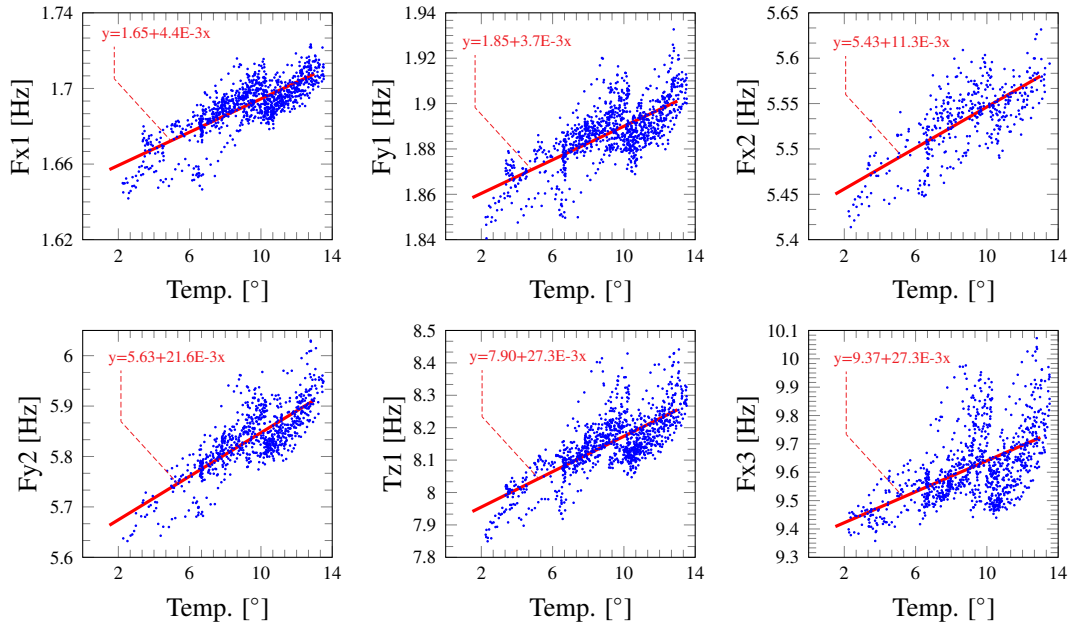


Figure 5: Identified natural frequencies versus outdoor temperature.

#### 226 4. Automated Ambient Noise Deconvolution Interferometry

227 This section reports the results of the wave propagation analyses conducted in the Sciri Tower by ANDI. Seis-  
 228 mic interferometry is based upon the assessment of travelling pulses and the spatial distribution of their velocity by  
 229 means of the analysis of transfer functions (TFs). A transfer function  $\hat{h}(z, \omega)$  can be defined as the deconvolution  
 230 of a reference input signal  $u(z_{ref}, \omega)$  recorded at a reference station  $z_{ref}$  with an output signal  $u(z, \omega)$  recorded at  
 231 an arbitrary station  $z$ , and is typically computed in the angular frequency domain  $\omega$  as [20, 22, 43]:

$$\hat{h}(z, \omega) = \frac{u(z, \omega) \overline{u(z_{ref}, \omega)}}{|u(z_{ref}, \omega)|^2 + \epsilon}, \quad (6)$$

232 where the bar indicates complex conjugate, and  $\epsilon$  denotes a regularization parameter used to avoid numerical  
 233 instability due to division by small numbers. In this work,  $\epsilon$  has been set to 10% of the average power spectrum  
 234 of the reference input signal. According to Eq. (6),  $u(z, \omega)$  represents the Fourier transform of the time domain  
 235 signal,  $U(z, t)$ , that may for instance represent a displacement, velocity or acceleration component along a certain  
 236 direction at height. The transformation of  $\widehat{h}(z, \omega)$  to the time domain  $t$  represents the IRF,  $h(z, t)$ , between the  
 237 output and input signals. The IRF constitutes the Green's function of the system and characterizes the propagation  
 238 of a Dirac Delta impulse applied at the reference station. Given that the signals are discretely sampled at a certain  
 239 sampling frequency  $F_s$ , the IRFs can be computed by taking the inverse Fourier transform of the corresponding  
 240 TFs as follows:

$$h(z, t) = \frac{1}{2\pi} \int_{-\omega_{max}}^{+\omega_{max}} \widehat{h}(z, \omega) e^{-i\omega t} d\omega, \quad (7)$$

241 with  $\omega_{max} = (F_s/2)/2\pi$  and  $i$  being the imaginary unit. These functions provide a representation of the propa-  
 242 gating waveforms in the building, and their velocity distribution can be obtained by simple peak-picking analysis  
 243 of IRFs computed at different heights. To this end, the time-lag  $\tau_i$  between the motions recorded at two different  
 244 levels  $z_{i+1}$  and  $z_i$  is obtained by peak-picking the maxima of the IRFs  $h(z_{i+1}, t)$  and  $h(z_i, t)$  along an identified ray  
 245 path [20]. Then, the velocity of the pulses can be computed as  $v_i = l_i/\tau_i$ , with  $l_i$  being the separation between the  
 246 stations  $l_i = z_{i+1} - z_i$ . Note that the number of IRFs that can be computed in a building monitored at  $N$  different  
 247 levels equals  $N$  and, therefore, the resolution of the shear wave distribution is  $N - 1$ . The integration of ANDI  
 248 alongside the automated OMA of the Sciri tower is sketched in Fig. 2.

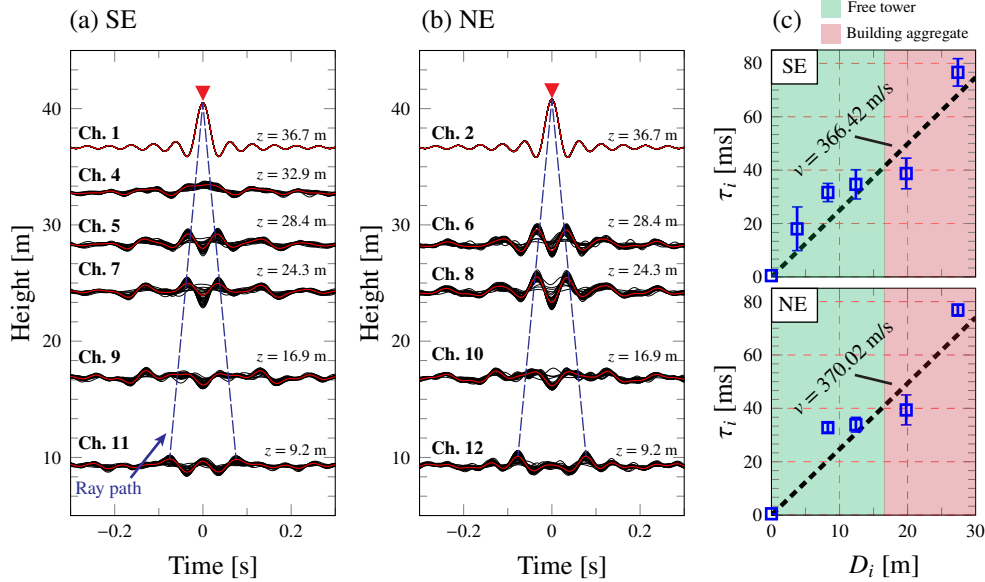


Figure 6: Staking waveforms over 30-min intervals of the IRFs for the first 48 hours and filtered in the broad-band frequency of 0.1-20 Hz, (a) NE component, and (b) SE component. The red lines indicate the staked IRFs over the first 48 hours. (c) Travel times at different heights versus distance to the roof. The error bars denote the standard deviations of the travel times obtained for every 30-min long records, and the global velocity of the waves crossing the whole structure is denoted with black dashed lines and is computed using a least squares fit ( $F_s=200$  Hz).

Table 3: Mean  $\bar{\tau}_i$  and standard deviation values of the wave arrival times obtained by peak-picking analysis of the IRFs staked over 30-min intervals throughout the first 48 hours (0.1-20 Hz, 10-min-long time windows with 50% overlap).

Direction: SE (Channels 1, 4, 5, 7, 9, and 11)										
$z$ [m]	$D_i$ [m]	$F_s=200$ Hz			$F_s=1000$ Hz			$F_s=5000$ Hz		
		$\bar{\tau}_i$ [ms]	$\sigma_\tau$ [ms]	$v$ [m/s]	$\bar{\tau}_i$ [ms]	$\sigma_\tau$ [ms]	$v$ [m/s]	$\bar{\tau}_i$ [ms]	$\sigma_\tau$ [ms]	$v$ [m/s]
36.70	0.00	0.500	0.000		1.502	0.000		0.100	0.000	
32.90	3.80	18.021	8.189		20.634	7.499		24.987	6.696	
28.40	8.30	31.608	3.416		32.151	2.239		32.600	1.534	
24.30	12.40	34.671	5.487	301.144	33.405	3.640	294.651	32.993	5.067	294.779
16.90	19.80	38.750	5.736		38.048	7.429		35.154	6.672	
9.30	27.40	76.634	5.138	388.785	73.419	3.788	404.363	73.974	3.305	405.171

Direction: NE (Channels 2, 6, 8, 10 and 12)										
$z$ [m]	$D_i$ [m]	$F_s=200$ Hz			$F_s=1000$ Hz			$F_s=5000$ Hz		
		$\bar{\tau}_i$ [ms]	$\sigma_\tau$ [ms]	$v$ [m/s]	$\bar{\tau}_i$ [ms]	$\sigma_\tau$ [ms]	$v$ [m/s]	$\bar{\tau}_i$ [ms]	$\sigma_\tau$ [ms]	$v$ [m/s]
36.70	0.00	0.500	0.000		1.502	0.000		0.100	0.000	
28.40	8.30	32.779	1.725		32.414	2.087		33.409	1.537	
24.30	12.40	33.877	2.737	311.442	33.420	2.159	314.978	33.953	2.021	307.762
16.90	19.80	39.420	5.611		39.534	6.551		38.936	5.983	
9.30	27.40	76.706	2.153	387.518	76.824	2.131	386.844	77.544	2.022	384.594

249 Based upon the previously outlined theoretical framework, ANDI has been applied to every 30-min ambient  
250 vibration records, and the arrival times of the travelling pulses have been automatically identified and tracked. In  
251 order to minimize the variance of the estimates of the wave velocities, the IRFs have been computed considering  
252 10-min-long windows with 50% overlap and staked (averaged) over every 30-min long vibration record. In addition,  
253 virtual sources have been considered at the roof level ( $z_{ref} = 36.70$  m), and the resulting waveforms have  
254 been filtered to the frequency band 0.1-20 Hz. Figures 6 (a) and (b) show the IRFs in the SE (Channels 1, 4, 5, 7,  
255 9, and 11) and NE (Channels 2, 6, 8, 10 and 12) directions, respectively, obtained for every 30-min-long ambient  
256 vibration recorded during the first 48 hours and sampled at  $F_s=200$  Hz. It is noted in these figures that two quasi-  
257 symmetric pulses can be clearly identified (with ray paths denoted by blue dashed lines), so that wave velocities  
258 have been computed as the average of the upward and downward pulses. By means of the peak-picking analysis  
259 of these IRFs, Fig. 6 (c) depicts the computed wave travel times  $\tau_i$  versus the distance  $D_i$  from the reference level  
260  $z_{ref} = 36.7$  m. The error bars in the graph represent the standard deviations of the computed wave arrival times,  
261 and the global wave velocities (velocity of the waves to cross the whole tower) are represented with black dashed  
262 lines. These are computed using a least squares fitting of the arrival times obtained from the staked IRFs through-  
263 out the first 48 hours. In order to deepen into this analysis, Table 3 collects the mean  $\bar{\tau}_i$  and standard deviation  
264 values  $\sigma_\tau$  of the wave arrival times obtained by peak-picking analysis of the IRFs staked over 30-min intervals  
265 throughout the first 48 hours, considering sampling frequencies of  $F_s = 200$  Hz,  $F_s = 1000$  Hz, and  $F_s = 5000$   
266 Hz. Moreover, shear S-wave velocities in the heights of 24.3-36.70 m and 9.3-24.3 m computed by least squares  
267 fitting of the mean arrival times are also reported, representing the velocities of the sections of the structure of free  
268 tower and constrained by the adjoining building, respectively. Firstly, it is noted that the wave velocity is larger in  
269 all the cases in the bottom part of the tower because of the contribution of the building aggregate. Furthermore, the  
270 velocity of the bottom part is lower in the NE direction where one of the façades of the tower remains unrestrained  
271 (see Fig. 1). Conversely, the velocity of the uppermost part of the tower is always larger in the NE direction, where  
272 so is its inertia and thus its stiffness. Therefore, these results evidence the potential of ANDI to represent well  
273 the physics underlying the dynamic response of structures. The study of the effects of the sampling frequency is  
274 completed in the analyses reported hereafter.

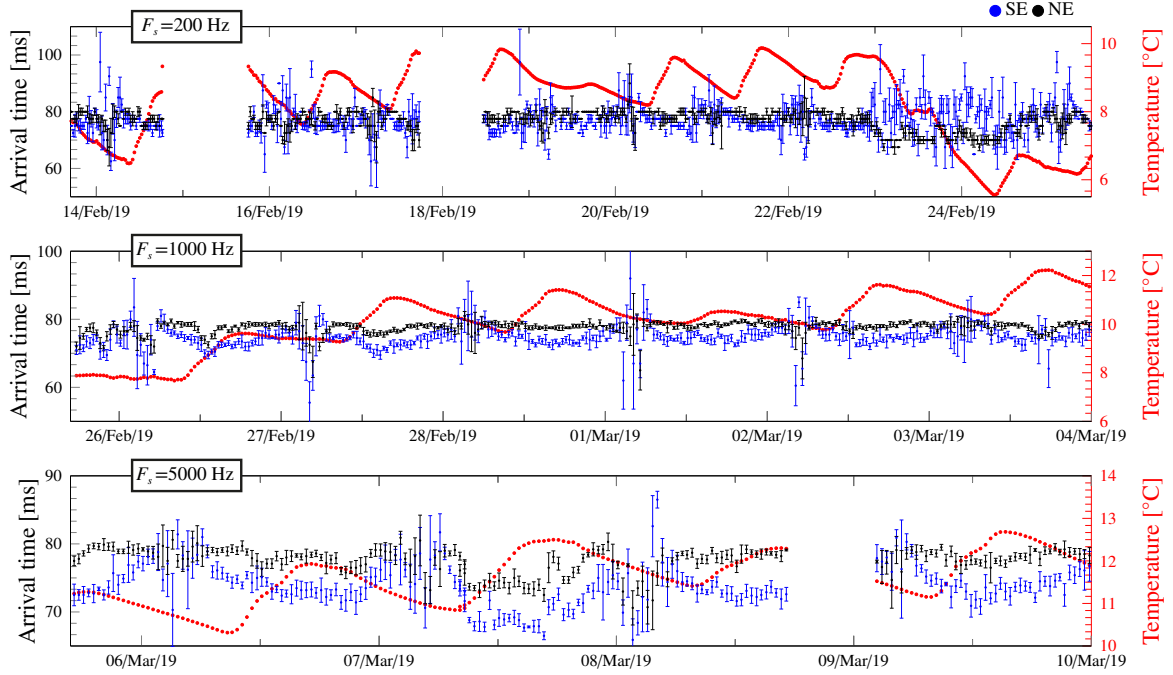


Figure 7: Time series of wave arrival times in the NE and SE directions of the Sciri Tower since February 13<sup>th</sup> until March 10<sup>th</sup> 2019. Error bars indicate the standard deviation of the identified arrival times obtained by every 10-min-long windows stacked over every 30-min vibration record.

275 Figure 7 shows the time series of the identified wave arrival times in the NE and SE directions of the Sciri  
 276 Tower considering sampling frequencies of 200 Hz (Feb. 13<sup>th</sup> - Feb. 25<sup>th</sup> 2019), 1000 Hz (Feb. 24<sup>th</sup> - Mar. 4<sup>th</sup> 2019),  
 277 and 5000 Hz (Mar. 4<sup>th</sup> - Mar. 10<sup>th</sup> 2019). Error bars indicate the standard deviation of the arrival times obtained  
 278 from every 10-min-long window stacked over every 30-min vibration record. It is first noted that, while some  
 279 day-night fluctuations are effectively captured, a considerable scatter is found in the wave arrival times obtained  
 280 with a sampling frequency of 200 Hz. This fact raises one of the most challenging issues of this technology,  
 281 that is the need for high sampling frequencies for an accurate assessment of the velocity of propagating waves.  
 282 As evidenced by the results of Lacanna *et al.* [36], the high velocity of travelling pulses, along with the limited  
 283 separation between sensors that is typically possible in historic buildings, make the detection of environmental  
 284 effects require high sampling frequencies. In addition, the effects of early-stage damage are usually lower than  
 285 environmental effects (see e.g. [14, 38]), thereby high-sampling frequencies are critical for early damage detection  
 286 in ANDI-based SHM systems. In particular, for a given wave velocity  $c$  and separation  $l$  between sensors, i.e. a  
 287 wave lag  $\tau = l/c$ , a rough estimate of the relationship between the minimum observable reductions in the wave  
 288 velocity  $\delta c$  and the sampling frequency  $F_s$  reads:

$$\delta c = -\frac{l}{F_s \tau^2 + \tau}. \quad (8)$$

289 Considering a wave velocity of 366.42 m/s, as obtained in Fig. 6 (c) in the SE direction, and a maximum  
 290 sensor separation of 27.4 m, the maximum observable reduction in the wave velocity considering a sampling fre-  
 291 quency of 200 Hz ( $\Delta t = 5$  ms) is 23 m/s. On the other hand, the minimum observable velocity variations are 5  
 292 m/s and 1 m/s for sampling frequencies of 1000 Hz ( $\Delta t = 1$  ms) and 5000 Hz ( $\Delta t = 0.2$  ms), respectively. The  
 293 resolution of the identified wave velocities is inversely proportional to the separation of the sensors as shown in  
 294 Eq. (8), therefore the need for high sampling frequencies increases for the assessment of local wave velocities  
 295 and their profile along the tower. In this regard, a clearer representation of day/night fluctuations can be noted  
 296 for increasing sampling frequencies in Fig. 6. Specifically, it is observed in all the cases that wave arrival times  
 297 decrease for increasing environmental temperature. Alternatively, wave velocities (i.e. stiffness) increase for in-  
 298 creasing temperature. These results agree with the previously reported results on the day/night fluctuations of the  
 299 resonant frequencies in Fig. 4 as a result of temperature-induced closure of cracks. With regard to the uncertainty  
 300 in the tracking of the wave arrival times, it is noted in Fig. 7 that the standard deviations increase systematically  
 301 between 1:00 and 5:00 a.m. This fact indicates limitations in the identification stemming from low signal-to-noise  
 302 ratio since ambient excitation due to traffic and human activities is minimum during this time lapse. For more  
 303 comprehensive information on the limitations and implications of the input parameters in the wave identification

304 of slender structures with beam-like dynamic behaviour (e.g. towers, and high-rise buildings), readers may refer  
 305 to reference [37].

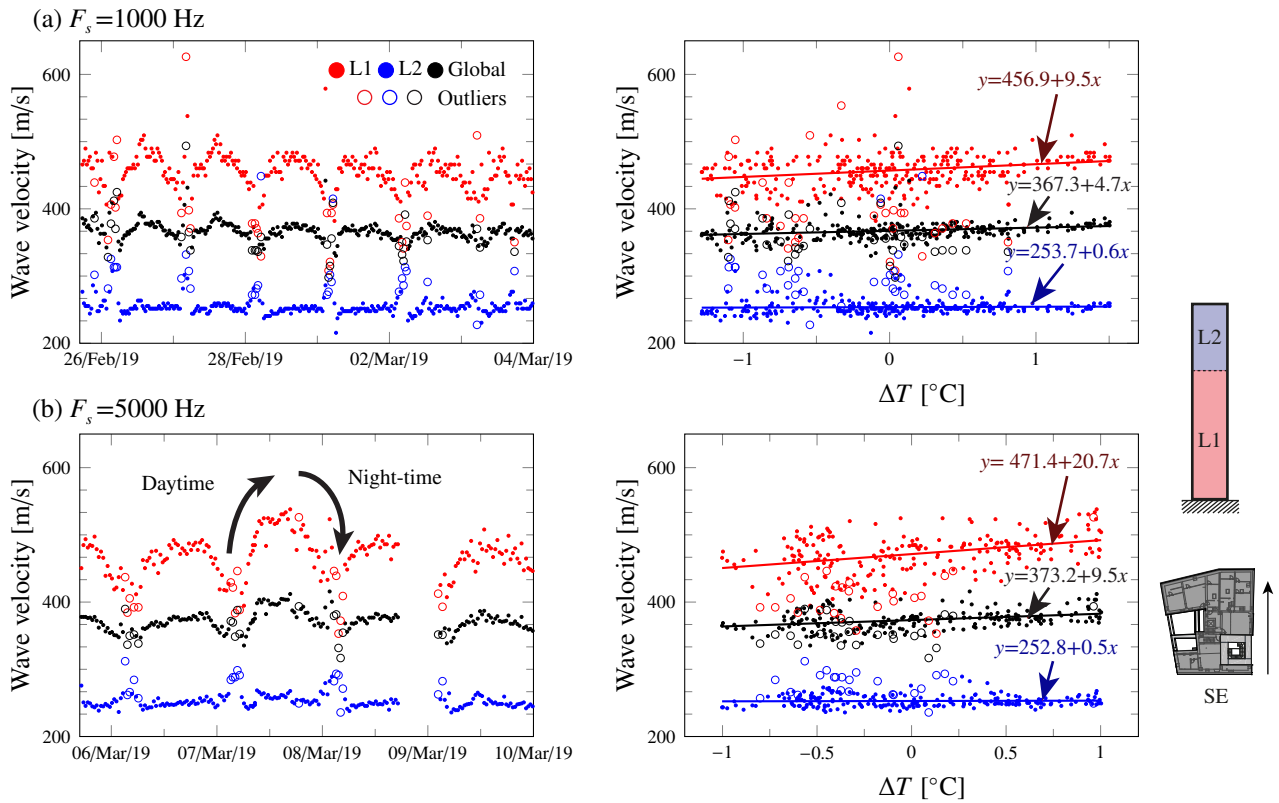


Figure 8: Wave velocity tracking in the SE direction of the Sciri tower considering two layers, L1 ( $9.3 \text{ m} < z < 28.4 \text{ m}$ ), and L2 ( $28.4 \text{ m} < z < 36.7 \text{ m}$ ), and the whole tower ( $9.3 \text{ m} < z < 36.7 \text{ m}$ ), with sampling frequencies of  $F_s=1000 \text{ Hz}$  and  $5000 \text{ Hz}$ .

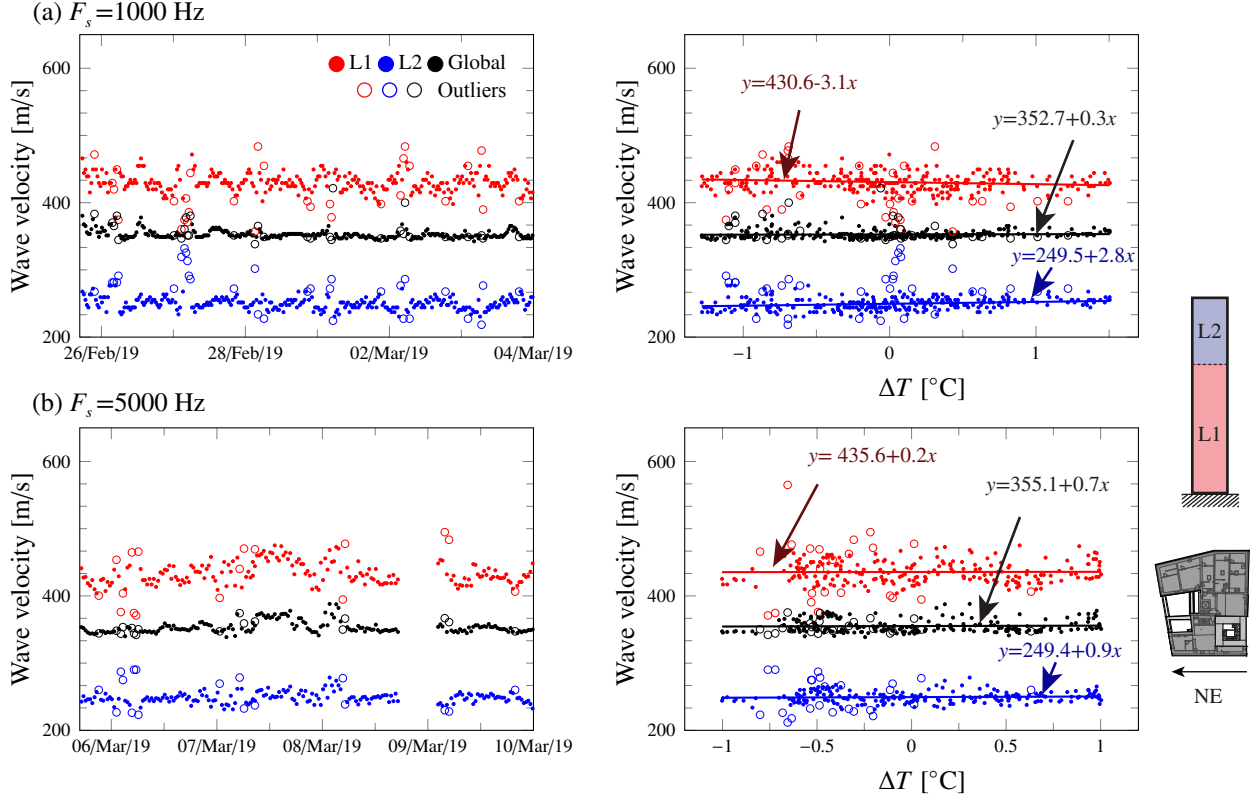


Figure 9: Wave velocity tracking in the NE direction of the Sciri tower considering two layers, L1 (9.3 m <  $z$  < 28.4 m), and L2 (28.4 m <  $z$  < 36.7 m), and the whole tower (9.3 m <  $z$  < 36.7 m), with sampling frequencies of  $F_s=1000$  Hz and 5000 Hz.

306 Larger temperature sensitivities in the SE direction of the Sciri Tower can be visually observed in Fig. 7. In  
 307 order to further investigate these effects, Figs. 8 and 9 depict the identified wave velocities in the SE and NE  
 308 directions versus environmental temperature, respectively. Furthermore, in order to assess the potential of this  
 309 approach for identifying local wave velocities, two different layers are considered, namely L1 (9.3 m <  $z$  < 28.4  
 310 m), and L2 (28.4 m <  $z$  < 36.7 m). In these analyses, only the results obtained for sampling frequencies of 1000 Hz  
 311 and 5000 Hz are presented, since the accuracy of the identification performed with 200 Hz has proved insufficient  
 312 to capture temperature-induced daily fluctuations. In order to extract robust correlations between wave velocities  
 313 and environmental temperature, the corrupted wave identification results during the early morning hours due to  
 314 low excitation levels have been filtered out using the Minimum Covariance Determinant (MCD) method [44]. The  
 315 MCD method seeks a sample subset within a multivariate dataset (in this work the tracked wave velocities in L1,  
 316 L2, and the whole tower) that minimize the covariance matrix. Specifically, we have sought a subset of  $\approx 0.9n_p$   
 317 samples, with  $n_p$  being the number of data points in the time series of identified wave velocities. Then, the 10%  
 318 of the samples in the time series of velocities with the largest Mahalanobis distances with respect to the previously  
 319 defined sample subset are selected as outliers. On this basis, the correlations indicated in Figs. 8 and 9 have been  
 320 obtained disregarding the identified outliers (data points denoted with empty circle markers).

321 In general, it can be concluded from Figs. 8 and 9 that the relation between wave velocities and environmental  
 322 temperature can be approximately defined as linear. It is observed that the accuracy of the identification consid-  
 323 erably improves with the sampling frequency of 5000 Hz, while many outliers are present in the results obtained  
 324 for  $F_s = 1000$  Hz due to poor sampling limitations in the peak-picking analysis. It is also interesting to note that,  
 325 in both cases, wave velocities are larger in the bottom layer L1 where the contribution of the building aggregate is  
 326 localized. With regard to the effects of environmental temperature, it is noted that global velocities exhibit positive  
 327 correlations with temperature, and the sensitivity in the SE direction ( $F_s = 5000$  Hz, 9.5 m/s/C $^\circ$ ) is substantially  
 328 larger than in the NE direction ( $F_s = 5000$  Hz, 0.7 m/s/C $^\circ$ ). Considering the plan distribution of the building  
 329 ensemble, such a behaviour is reasonable given that the horizontal constraint imposed by the aggregate is stronger  
 330 in the SE direction. In terms of local velocities, some differences can be noted in the SE and NE directions. In the  
 331 SE direction, a large positive correlation ( $F_s = 5000$  Hz, 20.7 m/s/C $^\circ$ ) between wave velocity and environmental  
 332 temperature is found in the bottom layer L1. This behaviour is ascribed to larger temperature-induced crack clo-  
 333 sure effects in the section of the tower constrained by the building aggregate, where thermal expansion is more  
 334 constrained and the heterogeneity degree of the material is larger. Conversely, a small correlation ( $F_s = 5000$  Hz,

335 0.5 m/s/C°) is found in the top section of the tower (L2) where thermal expansion is minimally constrained. In the  
 336 NE direction, small temperature sensitivities are found in both layers, and with opposite sign to those obtained in  
 337 the SE direction. These results evidence the key role of the building aggregate into the effects of environmental  
 338 temperature on the stiffness distribution of the Sciri Tower.

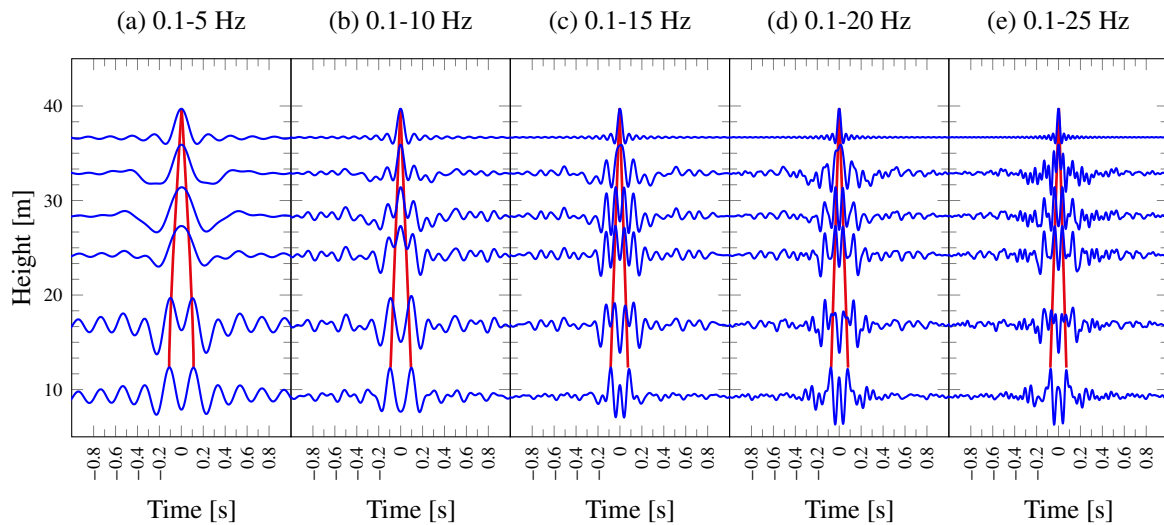


Figure 10: Band-pass filtered ( $f_1 - f_2$ ) IRFs stacked over the first 48 hours in the SE direction of the Sciri Tower considering different frequency bands ( $F_s = 200$  Hz).

Table 4: Wave velocities obtained by peak-picking analysis of band-pass filtered ( $f_1 - f_2$ ) IRFs stacked over the first 48 hours in the SE direction of the Sciri Tower considering different frequency bands ( $f_1 = 0.1$  Hz,  $F_s = 200$  Hz).

$f_2$ [Hz]	$v$ [m/s]	$\Delta v/\Delta f_2$ [m/s/Hz]
5	185.57	
10	212.22	26.65
15	228.37	16.16
20	282.86	54.48
25	304.62	21.76

339 As stated earlier in the introduction, high-rise buildings such as towers have been reported in the literature  
 340 to often exhibit a dispersive behaviour [28]. This is characterized by the variation of the wavenumber  $k$  or,  
 341 alternatively, the velocity of the propagating waves, as a function of frequency  $\omega$  according to a certain dispersion  
 342 relation. More specifically, wavefronts can be defined by phase and group velocities as  $c^{ph} = \omega/k$  and  $c^{gr} =$   
 343  $\partial\omega/\partial k$ , respectively. The phase velocity determines the velocity of propagation of the pulses, while the group  
 344 velocity defines the velocity of the envelopes of the waveforms. When the system is not dispersive, the phase and  
 345 group velocities coincide, i.e.  $c^{ph} = c^{gr}$ . It follows that, when the dynamic structural behaviour is dispersive, the  
 346 characterization of the dispersion curves offers a more convenient way of detecting structural pathologies since  
 347 they cover the main range of operating frequencies, instead of simply assessing discrete frequency ranges where  
 348 damage-induced structural changes may go unnoticed. In order to investigate the dispersion properties of the Sciri  
 349 Tower, ANDI has been performed considering different frequency bands with higher cut-off frequencies, namely  
 350 5 Hz, 10 Hz, 15 Hz, 20 Hz and 25 Hz, and the IRFs have been stacked over the first 48 hours. The resulting IRFs  
 351 are shown in Fig. 10, and the wave velocities obtained by peak-picking analysis are collected in Table 4. It is noted  
 352 that, effectively, the wave velocities increase for higher frequencies, which evidences a dispersive-type behaviour.  
 353 In order to further analyse the variation of wave velocities with frequency, the relative variations of the identified  
 354 wave velocities with the upper cut-off frequency ( $\Delta v/\Delta f_2$ ) are also reported in Table 4. It is interesting to note that  
 355 the variation rates experience a large increase between cut-off frequencies of 15 and 20 Hz.

356 The analysis of dispersion has been deepened by means of the multi-channel analysis of surface waves  
 357 (MASW) method developed by Park et al. [45]. The MASW method conceives the IRF traces  $h(z, \omega)$  as the  
 358 multiplication of two separate terms:

$$h(z, \omega) = e^{-ikz} A(z, \omega), \quad (9)$$

359 where  $A(z, \omega)$  is an amplitude spectrum and contains the information about attenuation, spherical divergence and  
 360 source spectrum characteristics. Since the amplitude does not contain any information linked to the phase velocity,  
 361 the following integral transformation is applied to  $h(z, \omega)$ :

$$V(\omega, \phi) = \int e^{i\phi z} [h(z, \omega) / |h(z, \omega)|] dz = \int e^{-i(k-\phi)z} [A(z, \omega) / |A(z, \omega)|] dz. \quad (10)$$

362 Such an integral transform can be understood as the sum over offset of wavefields of a frequency after applying  
 363 offset-dependent phase shifts defined by assuming a phase velocity  $c^{ph} = \omega / \phi$ . Therefore, for a given frequency  
 364  $\omega$ ,  $V(\omega, \phi)$  presents a maximum if  $\phi = k$ . Dispersion images can be extracted by considering a discrete sampling  
 365 of the frequency range of interest, as well as of the search space of phase velocities, and mapping the values  
 366 of  $V(\omega, \phi)$  in a 2D format (i.e., phase velocity  $c^{ph} = \omega / \phi$  versus frequency  $\omega$ ). In this bi-dimensional graph,  
 367 dispersion curves can be traced by following the peaks along the frequency axis.

368 The previously outlined MASW method has been applied to the ambient vibrations recorded in the Sciri Tower  
 369 along the SE direction and the results are shown in Fig. 11. To do so, wave velocities between 50 and 2000 m/s  
 370 have been scanned with a velocity step of 1 m/s, and frequencies between 1 and 50 Hz have been sampled every  
 371 0.33 Hz. The analysis has been performed considering 3 s long IRFs ( $-1.5 < t < 1.5$  s) obtained with a sampling  
 372 frequency of 200 Hz and staked over the first 48 hours. In order to take into account the inherent limitations  
 373 of the sensor array to characterize the dispersion properties, theoretical bounds have been included in Fig. 11  
 374 (yellow dashed lines). According to the work of Cornou et al. [46], these correspond to the range of acceptable  
 375 wavelengths  $\lambda$  given by  $\lambda_{min} \leq \lambda \leq \lambda_{max}$ , with  $\lambda_{min} = 2d$  being the spatial aliasing limit, and  $\lambda_{max} = 3D$  the  
 376 maximum capability of the sensor array to separate two waves propagating at closely spaced wavenumbers, and  
 377  $d = 3.8$  m and  $D = 27.4$  m the minimum and maximum inter-station distances, respectively. Additionally, the  
 378 wave velocities previously computed by peak-picking analysis in Table 4 have been also included herein. It is  
 379 noted that the wave velocities estimated by peak-picking analysis follow the first region of peaks of  $V(\omega, c^{ph})$ .  
 380 Nevertheless, there is a second region of large  $V(\omega, c^{ph})$  values for frequency values above approximately 17 Hz  
 381 that cannot be explained by peak-picking analysis, what may evidence the presence of a second wave propagation  
 382 mode. In fact, the change in the variation rates of the wave velocities previously reported in Table 4 between 15  
 383 and 20 Hz may be indicative of a bias towards this second propagation mode.

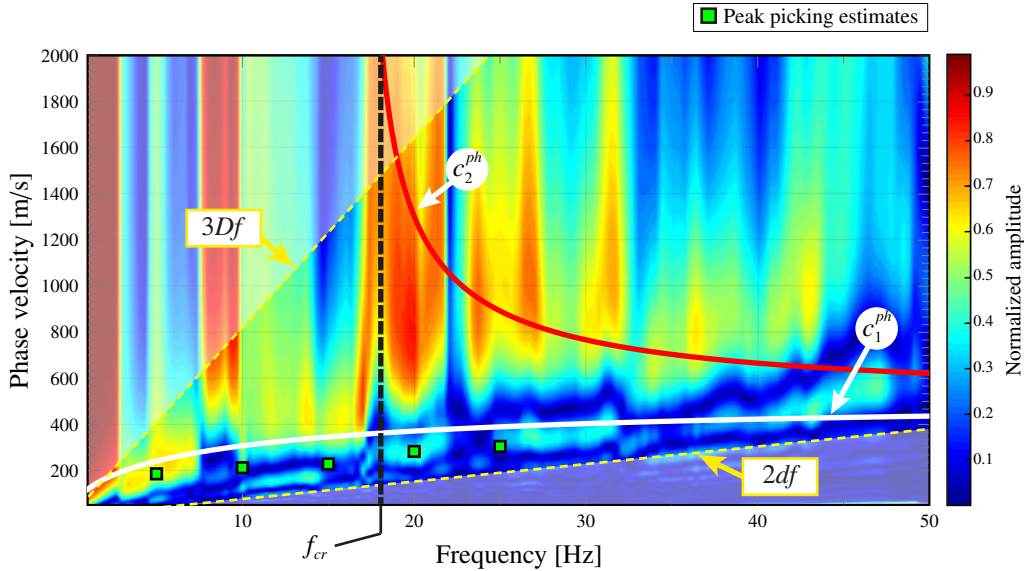


Figure 11: Frequency-phase velocity image of the Sciri Tower in the SE direction ( $F_s = 200$  Hz).

384 In order to gain a better understanding of the dispersion image previously shown in Fig. 11, the equivalent  
 385 Timoshenko beam model derived by Ebrahimiyan and Todorovska [28] is adopted herein. Assuming a building  
 386 with elastic Young's modulus  $E$ , shear modulus  $G$ , radius of gyration  $r_g$ , shear correction factor  $k_s$ , and mass  
 387 density  $\rho$ , those authors demonstrated that waves propagate according to two different propagation modes with  
 388 phase velocities:



$$c_1^{ph} = c_S \sqrt{2} \left[ \left( \frac{1}{k_s} + R \right) + \sqrt{\left( \frac{1}{k_s} - R \right)^2 + \frac{4R}{\Omega^2}} \right]^{-1/2}, \quad (11)$$

$$c_2^{ph} = c_S \sqrt{2} \left[ \left( \frac{1}{k_s} + R \right) - \sqrt{\left( \frac{1}{k_s} - R \right)^2 + \frac{4R}{\Omega^2}} \right]^{-1/2}, \quad (12)$$

where  $\Omega = \omega r_g / c_S$  and  $R = G/E$  are non-dimensional parameters, and  $c_L = \sqrt{E/\rho}$  and  $c_S = \sqrt{G/\rho}$  are the longitudinal and shear wave velocities in the material, respectively. A closer inspection of Eqs. (11) and (12) reveals that  $c_1^{ph}$  is real-valued for all  $\omega$ , while  $c_2^{ph}$  only becomes real when  $\omega > \omega_{cr}$ , with  $\omega_{cr}$  being a cut-off frequency for the second wave propagation mode or critical frequency given by [28]:

$$\omega_{cr} = c_S \sqrt{k_s / r_g}. \quad (13)$$

When  $\omega < \omega_{cr}$ ,  $c_2^{ph}$  is complex-valued, and the second propagation mode only defines exponentially attenuated non-propagating waves or evanescent waves. Additionally, an asymptotic analysis of Eqs. (11) and (12) shows that when  $R \leq 1/k_s$ , as it is typically the case,  $\lim_{\omega \rightarrow \infty} c_1^{ph} = c_S \sqrt{k_s}$  and  $\lim_{\omega \rightarrow \infty} c_2^{ph} = c_S / \sqrt{R}$ . Therefore, the theoretical dispersion curves of the two propagation modes can be obtained by considering a critical frequency value of  $f_{cr} = \omega_{cr} / 2\pi = 17$  Hz, and the limits  $\lim_{\omega \rightarrow \infty} c_1^{ph} = 500$  m/s and  $\lim_{\omega \rightarrow \infty} c_2^{ph} = 510$  m/s, according to the image dispersion shown in Fig. 11. Moreover, a shear correction factor  $k_s = 0.43$  has been also assumed, corresponding to a tower with thin-walled hollow square cross-section according to Cowper's formulae (with Poisson's ratio  $\nu = 0.25$ ) [47]. These assumptions completely define the dispersion curves from Eqs. (11) and (12), and the results have been included in Fig. 11. It is interesting to note that, effectively, the curve corresponding to the second wave propagation mode  $c_2^{ph}$  explains the second trend of maximum  $V(\omega, c^{ph})$  values. Likewise, the coexistence of these two wave propagation modes above the critical frequency  $f_{cr}$  originates complex interference patterns, what explains the complex wavefronts previously reported in Figs. (10) (d) and (e). Finally, it should be noted that some differences can be found between the experimental and theoretical dispersion at high frequencies. While the analytical solution for  $c_2^{ph}$  reports monotonically decreasing values, the experimental dispersion image yields peaks with increasing phase velocities for increasing frequencies. This fact may evidence modelling limitations of the TB model developed by Ebrahimiyan and Todorovska [28] for this case study, and the contribution of the building aggregate may require more sophisticated modelling approaches for explaining the dispersion behaviour of the Sciri Tower for high frequency bands.

## 5. Conclusions

This paper has proposed the coupled application of automated OMA and ANDI for the full structural system identification of historic structures. The Sciri Tower in Perugia (Italy) has been presented as a validation case study to evaluate the effectiveness of the proposed methodology for identifying environmental effects. To do so, a vibration-based monitoring system consisting of twelve accelerometers deployed at different heights of the tower have been installed, and ambient vibrations have been recorded since February 13<sup>th</sup> until March 10<sup>th</sup> 2019. The presented results report the identification and tracking of the modal properties and wave propagation properties of the Sciri Tower throughout the monitoring time. In order to assess the robustness of the identification of ambient noise waves, three different sampling frequencies have been used in the monitoring, including  $F_s = 200$  Hz, 1000 Hz, and 5000 Hz. The results have highlighted the importance of high sampling frequencies for detecting the influence of environmental temperature and, as a result, for SHM systems for early damage detection. In addition, ANDI has proved to represent a complementary technique to OMA, and its capability for providing local information on the intrinsic stiffness properties of the Sciri Tower has been shown. Finally, the reported results have shed some light into the dispersion effects on the wave propagation properties of the tower.

The main key findings of this research can be summarised as follows:

- High sampling frequencies have been shown crucial for detecting environmental effects on wave velocities. Specifically, a positive correlation between wave velocities and environmental temperature has been found by using sampling frequencies of 1000 Hz and 5000 Hz. This behaviour has been ascribed to temperature-induced closure of cracks and discontinuities, and is consistent with the observed positive correlation between resonant frequencies and environmental temperature of seven vibration modes identified and tracked in the frequency interval 0-12 Hz.

- 433 • Wave velocities obtained by peak-picking analysis of IRFs have proved to provide valuable information  
434 about the stiffness distribution of the Sciri Tower. In particular, increasing wave velocities have been found  
435 in height, and local analyses have allowed us to identify the constraints imposed by the adjoining building  
436 in two orthogonal directions.
- 437 • The presented results have shown that, in the SE direction (direction of maximum constraint by the adjoining  
438 building), the bottom part of the tower is highly sensitive to temperature fluctuations. Conversely, motions  
439 in the NE direction (direction with one of the façades of the tower unconstrained by the building aggregate)  
440 are minimally affected by temperature. This behaviour is conceivably associated to the circumstance that  
441 temperature-induced deformation is more constrained in the lower part of the tower.
- 442 • Peak-picking analyses of band-pass filtered IRFs have evidenced the dispersion-type behaviour of the Sciri  
443 tower. Additionally, dispersion imaging techniques have been applied and compared to theoretical results  
444 reported by an equivalent Timoshenko beam model. The results have evidenced the presence of two different  
445 wave propagation modes above a critical frequency of  $f_{cr} \approx 17$  Hz. Therefore, in application to masonry  
446 towers, it is recommended to filter the IRFs obtained by ANDI below  $f_{cr}$  to avoid complex interference  
447 patterns between two propagating modes, with  $f_{cr}$  being possibly estimated as in Eq. (13).

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