The Journey of Recovery: Caregivers' Perspectives From a Hip Fracture Telerehabilitation Clinical Trial

This is an Accepted Manuscript of an article published by *OXFORD UNIV PRESS INC* in *Physical Therapy & Rehabilitation Journal* on March 2021, available at: doi: 10.1093/ptj/pzaa220."

1	
2	Title: The Journey of Recovery – Caregivers' Perspectives from a Hip Fracture
3	Telerehabilitation Clinical Trial
4	
5	Authors
6	P. Ariza-Vega, PT, OT, PhD, Physical Medicine and Rehabilitation Service, Virgen de las
7	Nieves University Hospital, Biohealth Research Institute, and Department of Physiotherapy,
8	PA-HELP "Physical Activity for HEaLth Promotion" research group, University of Granada,
9	Granada, Spain
10	H. Castillo-Pérez, OT, Ciudad de Berja Nursing Home, Almería, Spain
11	M. Ortiz-Piña, OT, MSc, Department of Physiotherapy, PA-HELP "Physical Activity for
12	HEaLth Promotion" research group, University of Granada, Granada, Spain
13	L. Ziden, PT, PhD, Department of Health and Rehabilitation, The Sahlgrenska University
14	Hospital, and Institute of Neuroscience and Physiology, University of Gothenburg
15	Gothenburg, Sweden
16	J. Palomino-Vidal, OT, Department of Physiotherapy, University of Granada, Granada,
17	Spain
18	M.C. Ashe, PT, PhD, Department of Family Practice, University of British Columbia, and
19	Centre for Hip Health and Mobility, Vancouver, Canada
20	
21	Corresponding Author: P. Ariza-Vega, PT, OT, PhD, Department of Physiotherapy,
22	University of Granada, and Physical Medicine and Rehabilitation Service, Virgen de las
23	Nieves University Hospital, Granada, Spain. Avenida de la Investigación s/n, CP 18016,
24	Granada, Spain. Telephone: 0034 958021596, E-mail: pariza@ugr.es
25	
26	Ethics Approval
27	The study was approved by the Ethics Committee of the Research Center of Granada (cBI-
28	cni.Nana 2015/09/28), all participants signed a consent form. The study was conducted
29	according to guidelines established by the Helsinki Declaration and Law 14/2007 on
30	Biomedical Research.

31	The Journey of Recovery – Caregivers' Perspectives from a Hip Fracture
32	Telerehabilitation Clinical Trial
33	ABSTRACT
34	Objective: To explore family caregivers' perspectives of the recovery process of older
35	adults with hip fracture, and describe experiences from caregivers who (i) used the online
36	intervention or (ii) received home-based care provided by the Andalusian Public Health
37	Care System.
38	Methods: This was an exploratory secondary study with informal family caregivers who
39	had an older adult family member with hip fracture enrolled in a novel telerehabilitation
40	(telerehab) clinical trial. Forty-four caregivers of older adults with hip fracture were
41	interviewed at 6-9 months after their family member's hip fracture.
42	Results: Caregivers shared concerns of family members' survival and recovery; they
43	recounted increased stress and anxiety due to the uncertainty of new tasks associated with
44	providing care and the impact on their lifestyle. Although most caregivers were satisfied
45	with the health care received, they made suggestions for better organization of hospital
46	discharge, and requests for home support. The main reasons why caregivers and their
47	family member chose the telerehab program were to, enhance recovery after fracture, gain
48	knowledge for managing at home, and the convenience of completing the exercises at
49	home. There were more family caregivers in the control group who expressed a high level of
50	stress and anxiety, and they also requested more social and health services compared with
51	caregivers whose family member received telerehab.
52	Conclusions: Family caregivers are an essential component of recovery after hip fracture
53	by providing emotional and physical support. However, future clinical interventions should

evaluate person-centered interventions to mitigate possible stress and anxiety experienced 54 by family caregivers. 55 **Impact statement:** Family caregivers' perspectives are necessary in the co-design of 56 management strategies for older adults after hip fracture. 57 Word count: 58 Abstract: 271 words 59 Body of manuscript: 4490 60 61 **INTRODUCTION** 62 63 Older adults' loss of function after hip fracture is consistently reported in the literature¹. Much of the burden after hospital discharge is shouldered by informal 64 caregivers, such as family and friends, who support older adults to regain their ability to 65 complete activities of daily living. This is a global phenomenon that is observed, for 66 example, in southern Europe², Asia^{3,4}, South America⁵, and North America⁶. From the 67 caregivers' perspective, the unexpected and traumatic events associated with hip fracture, 68 and additional caregiving responsibilities, can lead to increased stress⁷ and burden², which 69 may negatively impact on caregivers' health⁸. 70 71 There is a priority to understand the lived experience of caregivers after hip fracture, especially during the transition between hospital and home^{9,10} and after returning home¹¹. 72 Caregivers' identified care gaps such as the lack of shared information, confusion about 73 their role as caregivers, and disorganized discharge planning^{10,11}. There is a call for a 74

75 focused research agenda to explore caregivers' needs, including their knowledge of, and

76 attitude toward, hip fracture management and recovery¹².

77	Information and communication technologies (ICT) could be used as a tool to
78	improve shared information between heath care professionals and caregivers ⁶ , and to
79	support caregivers and patients with hip fracture during the recovery process ⁹ through
80	telerehab (care delivered remotely). Nevertheless, telerehab post-hip fracture has limited
81	published evidence, as highlighted in a recent systematic review ¹³ , and only a few
82	technology-inclusive interventions have been perceived as acceptable by patients with hip
83	fracture and or caregivers: Fracture Recovery for Seniors at Home (FReSH) Start toolkit for
84	older adults and their families ¹⁴⁻¹⁶ , hospital-based caregiver knowledge and skill
85	development workshops during the acute hospital phase ² , and an online hip fracture
86	resource center for caregivers ¹⁷ . Further to our knowledge few studies (if any) aimed to test
87	telerehab post-hip fracture with the older adult and caregiver dyad.
88	We designed @ctivehip to (i) address the recommendation to include technology as
89	part of health care management ^{9,10} , and (ii) based on previous work ^{14,16} where caregivers
90	stated they wanted to play an active role in the rehabilitation (rehab) process after hip
91	fracture ² . In the main clinical trial ¹⁸ , the primary goal was to compare the telerehab
92	program versus home-based in-person rehabilitation on functional recovery of older adults
93	with hip fracture. In addition, the program aimed to provide caregivers knowledge and skill
94	development to support recovery and falls prevention for older adults with hip fracture via
95	video-based information and exercises delivered through the online platform, and
96	individual video-conferencing. Included in the online platform there was a specific section
97	focused on promoting wellness for caregivers' health. Furthermore, caregivers of all
98	patients (intervention and control groups) were invited to participate in a workshop on hip
99	fracture management at home that took place at the hospital (during older adults' acute

hospitalization phase). We provide a detailed description of the main clinical trial testing
telerehab, elsewhere¹⁸.

Family caregivers play an important role in the lives of older adults, thus, we were interested in caregivers' experience, perspectives, and suggestions for how best to enable person-centered healthcare interventions. The objective for the present study was to explore caregivers' perspectives, based on semi-structured interviews, of the recovery process and describe experiences from (i) caregivers who used the online intervention, and (ii) caregivers who received home-based care provided by the Andalusian Public Health Care System.

109

METHODS

110 Family caregivers of older adults with hip fracture who previously participated in a non-

111 randomized clinical trial on telerehab (Clinical Trials Registration: NCT02968589NCT)

were included in this exploratory study that was guided by the principles of Interpretive

113 **Description**¹⁹.

As part of the study design older adult patients and their caregivers were given the choice 114 of enrolling in the telerehab intervention or a home-based rehab program. Inclusion criteria 115 into the main clinical trial were: (i) sustained a surgically repaired hip fracture; (ii) aged 65 116 117 years and older; (iii) high functional ability one week prior to the fracture; (iv) were able to weight-bear on the fractured leg within 48 hours post-surgery; (v) community-dwelling 118 (own home or with relatives) post-hospital discharge; and (vi) have a family caregiver 119 120 willing to participate, and having access to the online intervention. Exclusion criteria were older adults with severe cognitive impairment, not expected to live beyond six months, or 121 122 with post-surgery complications.

123 During the acute hospital phase, we invited older adults with hip fracture and 124 caregivers and offered them the choice of (i) participating in a home-based telerehab program plus usual post-discharge care, or (ii) 5 - 15 home-based multi-disciplinary rehab 125 126 sessions and usual post-discharge care and. All family members were offered an 127 instructional workshop on hip fracture recovery during the acute hospital stay²⁰. Usual postoperative home-based rehab was delivered by the Andalusian Public Health Care System. 128 129 The study was approved by the Ethics Committee of the Research Center of Granada (cBI-130 cni.Nana 2015/09/28), all participants (older adults with hip fracture and caregivers) signed 131 consent forms (for the main study and interview study). The study was conducted according 132 to guidelines established by the Helsinki Declaration and Law 14/2007 on Biomedical 133 Research.

134 **Data collection**

Semi-structured interviews with family caregivers were conducted three to six 135 months after the end of their involvement in the main clinical trial (lasting 12 weeks), 136 137 between October 2017 and December 2018. Most interviews took place at three months, but we had some delays due to the limited availability of some caregivers over 138 summer. Nevertheless, their responses did not differ from caregivers who were 139 140 interviewed at 3 months. The timing of the interviews was chosen to better understand (i) caregivers' perceptions and experience with the recovery process, and (ii) the longer-term 141 experience participating in the telerehab program. The interviews were conducted by one 142 143 occupational therapist with clinical and graduate-level research experience in the management of patients with hip fracture; the occupational therapist was not involved in 144 the main clinical trial. 145

146 We created an interview guide to explore caregivers' perceptions and experience 147 with hip fracture, including one question regarding why they decided to join or decline the telerehab intervention arm of the study, and one final open question about anything else 148 149 that they would like to tell. During the interviews, participants were encouraged to talk freely, and the interviewer used follow-up questions and prompts, such as "Tell me more 150 about it' to let participants provide more details of their experience. Each interview 151 152 typically lasted 20 minutes (range 12 - 25 minutes). Please see Figure 1 for a list of the questions guiding the interview. 153

154 Seventy-one participants were enrolled in the main clinical trial. At the final 155 assessment (i.e., at 12 weeks when formal health care and study ended), caregivers from both the intervention and control groups were invited to participate in the semi-structured 156 interviews. Seventy family caregivers were invited to participate, representing 70 older 157 adults with hip fracture (one participant was lost to follow-up). Fifty-one caregivers agreed 158 to participate and signed the written informed consent for the interviews. Caregivers were 159 160 contacted via telephone three months later to confirm their participation in the study, and to organize the interview. At the follow-up call, three caregivers did not answer the telephone 161 after several attempts, and four caregivers withdrew from the study. Thus, in total, 44 162 163 caregivers were interviewed representing 21 older adults with hip fracture from the intervention group, and 23 older adults with hip fracture from the control group. 164 165 Interviews were conducted in Spanish, either in person or via telephone depending

on the preference of participants, or their physical location. Participants living within 30
kilometers of the hospital were offered either an in-person interview or a telephone
interview, while participants living beyond this radius were interviewed by telephone. Eight
interviews were conducted in-person, and 36 interviews were conducted by telephone. The

eight in-person interviews took place at the participants' preferred location (and not at a
hospital) in order to make the interview environment as comfortable as possible. We asked
about caregivers' basic sociodemographic information in the main clinical trial. All
interviews were recorded and transcribed verbatim, with some minor grammatical
corrections made to improve the understanding of quotes. The interviewer and one trained
assistant transcribed all recordings within the first two days following the session.

176 Data analysis

We conducted a content analysis following the recommendations described by
Graneheim and Lundman²¹ using a multi-step process to first determine the overall scope of
the findings, then examine data by creating meaning units, which are then condensed,
coded, and sorted into subcategories, categories, and themes. We chose this model of
content analysis because it originates from clinical research²¹, and has been used in studies
similar to our work²².

Data analysis was completed by the first two authors (Patrocinio Ariza-Vega and 183 184 Herminia Castillo-Pérez) and discussed during three meetings to reach consistency in understanding and interpretation. First, a coding framework using a deductive analytic 185 approach for each interview question was created. Second, one cycle of coding and two re-186 187 coding cycles were conducted, to increase the certainty of correctly classifying responses²³. Both authors independently read each transcript in Spanish several times to understand the 188 189 meaning. Thereafter both authors independently identified meaning units related to the 190 study aims. Following this step, the two authors condensed, abstracted, and coded the 191 meaning units, with the aim to preserve the intended meaning. The coded meaning units 192 were compared and sorted into subcategories. In the final step, three categories emerged for the recovery process and the telerehab program. The last author (Maureen C. Ashe) 193

reviewed the categories together with quotes (in English), and discussed them with the firstauthor.

We took several steps throughout this study to increase the trustworthiness of the 196 findings across the data collection and analysis phases²⁴. The interviews took place during a 197 period of 15 months, with caregivers of different ages, sex, and cultural contexts at 198 different locations (chosen by the caregivers). For data collection, the interviewer was an 199 200 occupational therapist (with graduate level research training) with experience working with 201 people with hip fracture. However, the interviewer did not know the study participants and 202 caregivers, and was not part of the main clinical trial. During the data collection phase, the 203 same interviewer conducted and transcribed (with one assistant) the interviews within two days after each interview, and kept field notes to reference during the analysis phase. 204 205 Furthermore, the interviewer used prompts to clarify and extend participant responses; they also confirmed the meaning and understanding of responses with participants during the 206 interview sessions. The last member check with participants (from both groups) was 207 based on the Synthesized Member Checking method²⁵. A summary from emerging 208 themes and quotes were sent to a representative subgroup of participants after 209 completing the content analysis. We contacted participants a few weeks later via 210 211 telephone to comment on the summary, and invited them to change or add 212 information. During data synthesis, the authors kept an audit trail to highlight the process 213 and analysis decisions. The investigator triangulation was carried out by two authors (both 214 graduate-level trained in research, and with related clinical experience) who worked together during data analysis. A third author (with related clinical and graduate-level 215 research experience) reviewed the final themes and quotes, and discussed them with the 216 first author. 217

218	All interviews were conducted in Spanish (participants' native language). The same
219	person did the transcription and collaborated in the content analysis together with the first
220	author (both are native Spanish speakers). Translation of the content analysis and quotes
221	was conducted by the first two authors and reviewed by the last author (native English
222	speaker). They had continual and lengthy communication to ensure translation considered
223	the cultural context. Finally, the description of the caregivers (Table 1) and older adult
224	study participants (inclusion/exclusion criteria) provides contextual information to
225	highlight possible transferability of findings. We used NVivo 10 (QSR International,
226	Doncaster, Australia) to assist with data management during analysis. Descriptive data
227	were presented as numbers with percentages for categorical variables and as median
228	(interquartile range) for age.
229	Role of the Funding Source
230	This study was supported by the Foundation for Progress and Health, Ministry of
231	Andalusia, Spain, Grant number: PI-0372- 2014. The funder played no role in the design,
232	conduct, or reporting of this study.
233	RESULTS
234	In total, 44 caregivers with a median age of 52 years, 31 women and 13 men,
235	participated in the present study. More than half of the caregivers were employed, working
236	either part-time or full-time. An overall description of the sociodemographic variables of
237	the participants are presented in Table 1.
238	We identified three categories during data analysis of caregivers' responses to the
239	hip fracture and recovery process: (i) concern about survival and recovery; (ii) uncertainty,
240	anxiety and stress; and (iii) communication and resources: Looking for answers (please see

Figure 2). Below, we describe the main categories, and additional supporting quotes from
caregivers are presented in Figure 3, a visual summary of the main findings

Concern about survival and recovery. The most common experience reported by 243 244 caregivers was dealing with concerns about the health of their family member during the 245 first week after hip fracture. Caregivers' were concerned if their family member would 246 survive, dealing with post-op delirium, and questions related to the return of physical 247 function. "...I thought my mother was dving. She was very sick, very bad..." (Daughter, 54 years old, control group). "...At the beginning he needed help getting up, going to the 248 249 bathroom, going to bed ... and we did not know if that would change or if it would be like 250 that forever" (Daughter, 44 years old, intervention group).

Caregivers' reflected on their early expectations for their family members' recovery 251 252 potential. During the first weeks after the patients sustained the hip fracture, many caregivers expected that the hip fracture would have worse consequences on their family 253 member's long term health. Some caregivers had expected that their family member would 254 recover to the level they had at the time of the interview (six to nine months' post-fracture), 255 while other caregivers expected that their family members would have a higher degree of 256 recovery at the time of the interview. "...I expected her [to recover] faster and better, but 257 she still limps and [she] complains of pain some days ... " (Daughter, 44 years old, 258 intervention group). 259

Uneasiness about the risk of falls remained well after the study ended for the
majority of caregivers. Although many caregivers stated that they did not overprotect their
family members later in the recovery process, their comments sometimes were at odds. "... *I was very afraid that she would fall when she was alone. Now I am afraid but I am not obsessed... I do not overprotect her, but she is very determined and I do not want her to fall*

again. I just tell her to be careful and not to climb on the ladder, to pick up things from the
closet, or not to go alone for shopping..." (Son, 48 years old, control group).

267 Uncertainty, anxiety, and stress. The second most common experience expressed 268 by caregivers included uncertainty during the first few weeks after the hip fracture, and the 269 lifestyle changes required to adapt to the new and unexpected situation. "...*At the hospital,*

staff told us that we should adapt the house but it was not just the house, we had to adapt

our lives to the new situation and we did not know how we were going to do it..."

272 (Daughter, 51 years old, control group).

Following hip fracture, caregivers also reported anxiety and stress due to the new situation; including temporary changes in residence for the first few weeks when they had to stay with the family member, adjusting work schedule to be able to care for a family member, and sleep disruptions. "...*It changes everything. I'm more uneasy thinking that something bad [will] happen to her. I cannot leave her alone and I have less time to do my*

278 *things... It is stressful...*" (Daughter, 53 years old, control group).

Some caregivers reported additional stress related to the challenges of having multiple family caregivers, sometimes with conflicting approaches to providing care. In spite of this, most caregivers reported feeling capable of taking care of their family member during the recovery process. A third of caregivers were concerned already during the hospital stay how they could manage the "new" normal at home, after hospital discharge. "…I did not see myself capable of taking care of her, but I had no other choice. The world came [crumbling down on] me... (Son 38 years old, intervention group).

Communication and resources: Looking for answers. Most caregivers were
 satisfied with the health care services received in hospital and home. However, some
 caregivers provided suggestions on how to improve the hospital care, such as more staff,

289 better coordination, and more rehab, while other caregivers requested better social services, 290 such as someone to help at home with everyday activities. The majority of caregivers described communication with health professionals as "very good" or "good". However, 291 292 almost a third of caregivers requested better communication with health professionals 293 during the acute hospital stay and after discharge. Furthermore, some caregivers requested more involvement in discharge planning at the hospital. "...We received very good 294 295 attention and the professionals were very kind... They clarified [our concerns], and told us 296 what exercises we could do. We bought a raised toilet seat before leaving the hospital 297 because they recommended it to us, and it was very useful..." (Daughter, 58 years old, control group); "...nobody asked us if we had everything ready at home to take care [my 298 mother] but the doctor signed the hospital discharge [anyway]...It was much too fast... We 299 300 would have liked to talk with them [the health professionals] to [ask for] more time to organize and prepare the house for her..." (Daughter, 51 years old, control group). 301

302

303 Choice of rehab intervention

304 The main reasons why caregivers and their family member chose the telerehab program

305 were: (i) to enhance recovery after fracture; (ii) gain knowledge for managing at home; and

306 (iii) the convenience of doing exercises at home. "...we would have lot of information

307 *about the fracture and what we had to do to care her.... It would be a good help for us and,*

308 *of course, for her.... it would be easier for us not to have to go to the hospital for the*

309 *rehabilitation and be able to do it at home...*" (Son, 50 years, intervention group). The

reasons for declining the telerehab program were: (i) perceived challenges with technology;

- 311 (ii) lack of time to support family member (with hip fracture) with technology, e.g.,
- navigating the website; (iii) caregivers' perception that family members would not want to

complete exercises at home; (iv) preference of in-person rehab, even if it had associated
costs; or (v) no expected need for the program. "...Because it seemed like a lot of trouble to
do it online. We thought that she would not understand the program and we would not
know how to do it... " (Son, 56 years, control group). "...We work and did not have time to
do the exercises with her. Also my mother is not a person with much will and it would be
very difficult to pay attention to exercises on the computer..." (Daughter, 54 years, control
group).

Overall, caregivers experienced concern for their family member's survival and 320 321 recovery. There were more caregivers whose family member had received usual care who 322 expressed a high level of stress and anxiety than among caregivers whose family member had received telerehab (15 and eight caregivers respectively) as the main change of their 323 324 lives after the hip fracture. Caregivers in the control group also requested more social and health services (e.g., assistance with basic ADLs, and rehab visits) compared with 325 326 caregivers' whose family member received the intervention. Of note, prior to the hip 327 fracture, more patients in the control group lived with a caregiver. However, after the hip fracture the groups were similar for living arrangements (Table 1). 328

329

DISCUSSION

In this study we present a detailed description of caregivers' perceptions and experience of providing care for an older family member with a recent hip fracture who were enrolled in a clinical trial. Caregivers provided rich contextual information on the recovery process in general, and the impact on their lives. They shared concerns of their family members' survival and recovery; as well as the uncertainty of how to manage care following discharge. Collectively, these events may partly explain caregivers' reported feelings of anxiety and stress, and their request to health care professionals for more

information and guidance on the recovery process. Overall, this study provided a valuable
description of hip fracture recovery from the caregiver lens that has implications for both
clinical management and practice-based research.

340 Caregivers in this study shared similar characteristics with participants from related studies such as. they were children of older adults with hip fracture^{5,26}, were typically 341 women⁴ at middle age^{2,27}, and had additional caregiving support from family and friends²⁸. 342 343 The majority of the caregivers were working, in contrast to other studies where most caregivers were unemployed^{2,26}. Furthermore, in this study, prior to the fracture many of 344 the older family members with hip fracture lived with the caregiver, and this proportion 345 346 increased following hospital discharge. Place of residence may be related to the social norms of the geographic region, as other studies have not reported similar characteristics²⁹. 347 348 In our study, participants expressed concern for their family members' health status and recovery. However, the underlying source of stress or uncertainty in the acute hospital 349 phase may have changed after discharge (e.g., at home) because of the different 350 environments and stage of recovery from hip fracture. A key motivator for caregivers to 351 enroll in the telerehab program was to optimize their family members' recovery, and to 352 gain knowledge and skills for caregiving. These reasons emphasize the recommendations to 353 use ICTs to support patients with hip fracture and their informal caregivers⁶, and are 354 consistent with other studies in which caregivers wanted to play a more active role in the 355 rehab process^{9,11}. 356

Another finding was that half of caregivers did not expect their family member to recover from the fracture. The implications of these misperceptions are not fully elucidated, but the **reason** may be related to frequently quoted statistics related to poor recovery after hip fracture, such as high mortality within the first year³⁰, and half of older adults not

regaining their pre-fracture mobility¹. The results of this study generate future research
questions on ways to effectively communicate with older adults and their family caregivers
to maximize the recovery process.

364 Hip fracture is an unexpected event without an opportunity to plan, thus caregivers' increased stress is understandable². For instance, it could result in a change in their already 365 busy daily routines⁵, or facing new responsibilities with limited time available for learning 366 and integration into their lives¹¹. Combined, these factors can increase feelings of stress and 367 anxiety, which can lead to negative health consequences 7,10,11 . In the present study, the 368 caregivers of patients who received the usual home care expressed higher stress levels and 369 370 requested more social support resources compared with caregivers of patients who used the telerehab program. However, caregivers of both groups identified similar concerns. 371

It is possible that caregivers who declined to participate in the telerehab program felt overwhelmed at the prospect of additional "burden" into their already busy lives. Thus we need to consult caregivers for how we deliver the program in the future, including the timing and frequency of sessions, or the development of more user-friendly training strategies for program delivery. It is also possible that the online program does not appeal to everyone providing care after hip fracture.

Similar to other studies, caregivers in our study were confused about their role^{10,11}, and concerned with their capacity to manage the recovery process after hip fracture. They expressed difficulties understanding how to support the older adult with hip fracture during hospital stay, and during the transition back home, as previously reported in other studies²⁷. Literature highlights that family caregivers of older adults with hip fracture want to be involved in decision making at the hospital, but that they sometimes feel excluded from this process^{9,27}. In this study, similar experiences were expressed by some caregivers, who had

not been involved in discharged planning, and consequently felt challenged to have
everything in place when their family member returned home. Despite these observations,
many of the caregivers expressed competence in providing care, a factor associated with
lower caregiving burden³¹. A difference between our study and other studies^{10,11} was the
inpatient workshops provided to all caregivers, which are described in detailed elsewhere¹⁹.

391 Limitations

We recognize several limitations with this study. First, the participants in this study do not 392 393 represent all people who fracture their hip, as we did not include older adults with cognitive 394 impairment. Thus, we cannot generalize the perceptions and experience to caregivers of all patients with hip fracture. Nonetheless, our work gives valuable information to extend 395 396 previous research on online resources for caregivers of older community-dwelling adults with hip fracture³². Second, the fact that most of the interviews were short and completed 397 via telephone could have affected the participants' descriptions. However, as telephone 398 interviews were preferred by the participants, we believe that using this mode did not 399 severely hamper the ability to explore the topic, rather we highlight new aspects of 400 caregivers' perceptions and experience with the recovery process after hip fracture. 401 402 Moreover, we conducted the interviews three to six months after the telerehab program had 403 finished, which could have influenced caregivers' memory. However, caregivers were given an opportunity to choose where, when and how the interviews should be conducted, 404 to create a relaxing environment for the interviews. 405

406

CONCLUSION

407 This study provides insight into informal caregivers' concerns and expectations regarding408 their family member's survival, health and mobility, including the uncertainty of the

409 outcome and possible required lifestyle changes after a hip fracture. Although they stated 410 confidence in their caregiving responsibilities, they wanted to be included in the care and 411 hospital discharge planning. Most caregivers were satisfied with the health care their family 412 members received, but they gave suggestions on care coordination in hospital. Furthermore, 413 they requested more rehab and hospital and home care staff to help older adults with 414 everyday activities after discharge from hospital. Finally, to our knowledge, this is the first 415 study to provide a detailed description of caregivers and older adults decisions for choosing 416 (or not) telerehab for hip fracture. Taken together, these findings provide rich insight into 417 recovery from the family caregivers' perspective to inform clinical practice and guide 418 future research for co-designing management strategies using ICTs for recovery after hip fracture. 419

420

421 Author Contributions and Acknowledgments

422 All authors made a substantial contribution to the concept or design of the work; or 423 acquisition, analysis or interpretation of data. Patrocinio Ariza-Vega and Maureen Celeste Ashe conceived the study, developed the content analysis, and drafted the manuscript. 424 425 Herminia Castillo-Pérez conducted the semi-structured interviews with caregivers and 426 participated in the transcription process and content analysis. Mariana Ortiz-Piña and 427 Jerónimo Palomino-Vidal recruited the caregivers and collaborated in the design and 428 transcription of interviews. Lena Ziden contributed to the design of the study and reviewed 429 the manuscript critically. All authors reviewed the manuscript critically for important 430 intellectual content, and approved the final version.

431

432 **Declaration of conflicting interests**

433 Conflict of Interest: none declared

434 **REFERENCES**

- Dyer SM, Crotty M, Fairhall N, Magaziner J, et al. A critical review of the long-term
 disability outcomes following hip fracture. BMC Geriatr. 2016;16:158.
- 437 2. Ariza-Vega P, Ortiz-Piña M, Kristensen MT, Castellote-Caballero Y, Jiménez-
- 438 Moleón JJ. High perceived caregiver burden for relatives of patients following hip
- 439 fracture surgery. Disabil Rehabil. 2017;41:311–318.
- 440 3. Shyu YIL, Chen MC, Wu CC, Cheng HS. Family caregivers' needs predict
- 441 functional recovery of older care recipients after hip fracture. J Adv Nurs.
- 442 2010;66:2450–9.
- 443 4. Liu H-Y, Yang C-T, Cheng H-S, Wu C-C, Chen C-Y, Shyu Y-IL. Family
- 444 caregivers' mental health is associated with postoperative recovery of elderly
- 445 patients with hip fracture: a sample in Taiwan. J Psychosom Res. 2015;78:452–458.
- 446 5. Rocha S, Avila M, Bocchi S. The influence of informal caregivers on the

rehabilitation of the elderly in the. Rev Gauch Enferm. 2016;37.

- 6. Glenny C, Stolee P, Sheiban L, Jaglal S. Communicating during care transitions for
- 449 older hip fracture patients: family caregiver and health care provider's perspectives.
- 450 Int J Integr Care. 2013;13.
- 451 7. Siddiqui MQ, Sim L, Koh J, Fook-Chong S, Tan C, Howe T Sen. Stress levels
- 452 amongst caregivers of patients with osteoporotic hip fractures a prospective cohort
 453 study. Ann Acad Med Singapore. 2010;39:38–42.
- 454 8. Shyu Y-IL, Chen M-C, Liang J, Tseng M-Y. Trends in health outcomes for family
- 455 caregivers of hip-fractured elders during the first 12 months after discharge. J Adv
 456 Nurs. 2012;68:658–66.
- 457 9. Elliot J, Forbes D, Chesworth B, Ceci C, Stolee P. Information sharing with rual

- 458 family caregivers during care transitions of hip fracture patients. Int J Integr Care.
 459 2014;14:1–9.
- 460 10. Asif M, Cadel L, Kuluski K, Everall AC, Guilcher SJT. Patient and caregiver
 461 experiences on care transitions for adults with a hip fracture: a scoping review.
- 462 Disabil Rehabil. 2019;12:1–10.
- Saletti-Cuesta L, Tutton E, Langstaff D, Willett K. Understanding informal carers'
 experiences of caring for older people with a hip fracture: a systematic review of
 qualitative studies. Disabil Rehabil. 2018;40:740–750.
- 466 12. Auais M, French SD, Beaupre L, Giangregorio L, Magaziner J. Identifying research
 467 priorities around psycho-cognitive and social factors for recovery from hip fractures:
- An international decision-making process. Injury. 2018;49:1466–72.
- 469 13. Centre for Hip Health and Mobility. Fresh Start toolkit. Fracture recovery for
- 470 Seniors at Home: a hip fracture recovery guide for patients and families. Vancouver,

471 British Columbia, Canada: Centre for Hip Health and Mobility.

- 472 14. Langford DP, Fleig L, Kristin C, et al. Back to the future feasibility of recruitment
- and retention to patient education and telephone follow-up after hip fracture : a pilot
- 474 randomized controlled trial. Patient Prefer Adherence. 2015;9:1343–51.
- 475 15. Tsui K, Fleig L, Langford DP, Guy P, MacDonald V, Ashe MC. Exploring older
- 476 adults' perceptions of a patient-centered education manual for hip fracture recovery:
- 477 "Everything in one place." Patient Prefer Adherence. 2015;9:1637–45.
- 16. Nahm ES, Resnick B, Orwig D, et al. A Theory-Based Online Hip Fracture Resource
 Center for Caregivers. Nurs Res. 2012;61:413–22.
- 480 17. Ashe CM, Ekegren CL, Chudyk AM, et al. Telerehabilitation for community-
- 481 dwelling middle-aged and older adults after musculoskeletal trauma: A systematic

- 482 review. AIMS Med Sci. 2018;5:316–336.
- 483 18. Ortiz-Piña M, Salas-Fariña Z, Mora-Traverso M, et al. A home-based tele-
- rehabilitation protocol for patients with hip fracture called @ctivehip. Res Nurs
 Heal. 2019;1:42:29–38.
- 486 19. Thorne S, Reimer Kirkham S, MacDonald-Emes J. Interpretive description: A non-
- 487 categorical qualitative alternative for developing nursing knowledge. Res Nurs
 488 Health. 1997;2:169-177.
- 489 20. Ariza-Vega P, Ortiz-Piña M, Mora-Traverso M, Martín-Martín L, Salazar-Graván S,
- 490 Ashe MC. Development and Evaluation of a Post–Hip Fracture Instructional
- 491 Workshop for Caregivers. J Geriatr Phys Ther. 2020;43:128-136.
- 492 21. Graneheim UH, Lundman B. Qualitative content analysis in nursing research:
- 493 Concepts, procedures and measures to achieve trustworthiness. Nurse Educ Today.
- 494 2004;24:105–112.
- 495 22. Bermejo-Caja CJ, Koatz D, Orrego C, et al. Acceptability and feasibility of a virtual
- 496 community of practice to primary care professionals regarding patient
- 497 empowerment: A qualitative pilot study. BMC Health Serv Res. 2019;19:1–10.
- 498 23. Saldana J. The coding manual for researchers: An introduction to codes and coding.
 499 2nd ed. London:SAGE; 2013.
- 500 24. Shenton AK. Strategies for ensuring trustworthiness in qualitative research projects.:
 501 University of Liverpool Library. Educ Inf. 2004;22:63–75.
- 502 25. Birt L, Scott S, Cavers D, Campbell C, Walter F. Member Checking: A Tool to
- 503 Enhance Trustworthiness or Merely a Nod to Validation?. Qual Health Res.
- 504 2016;26:1802-1811.
- 505 26. Martín-Martín LM, Valenza-Demet G, Ariza-Vega P, Valenza C, Castellote-

506		Caballero Y, Jiménez-Moleón JJ. Effectiveness of an occupational therapy
507		intervention in reducing emotional distress in informal caregivers of hip fracture
508		patients: A randomized controlled trial. Clin Rehabil. 2014;28:772-83.
509	27.	Giosa JL, Stolee P, Dupuis SL, Mock SE, Santi SM. An Examination of Family
510		Caregiver Experiences during Care Transitions of Older Adults. Can J Aging.
511		2014;33:137–53.
512	28.	Shen J, Hu F, Liu F, Tong P. Functional restriction for the fear of falling in family
513		caregivers. Med (Baltimore). 2015;94:e1090.
514	29.	Heikkinen T, Willig R, Hänninen A, et al. Hip fractures in Finland - A comparison
515		of patient characteristics and outcomes in six hospitals. Scand J Surg. 2004;93:234-
516		40.
517	30.	Ariza-Vega P, Kristensen MT, Martín-Martín L, Jiménez-Moleón JJ. Predictors of
518		long-term mortality in older people with hip fracture. Arch Phys Med Rehabil.
519		2015;96:1215–21.
520	31.	Lin PC, Lu CM. Psychosocial Factors Affecting Hip Fracture Elder's Burden of
521		Care in Taiwan. Orthop Nurs. 2007;26:155–161.
522	32.	Nahm ES, Resnick B, Plummer L, Park BK. Use of discussion boards in an online
523		hip fracture resource center for caregivers. Orthop Nurs. 2013;32:89–95.

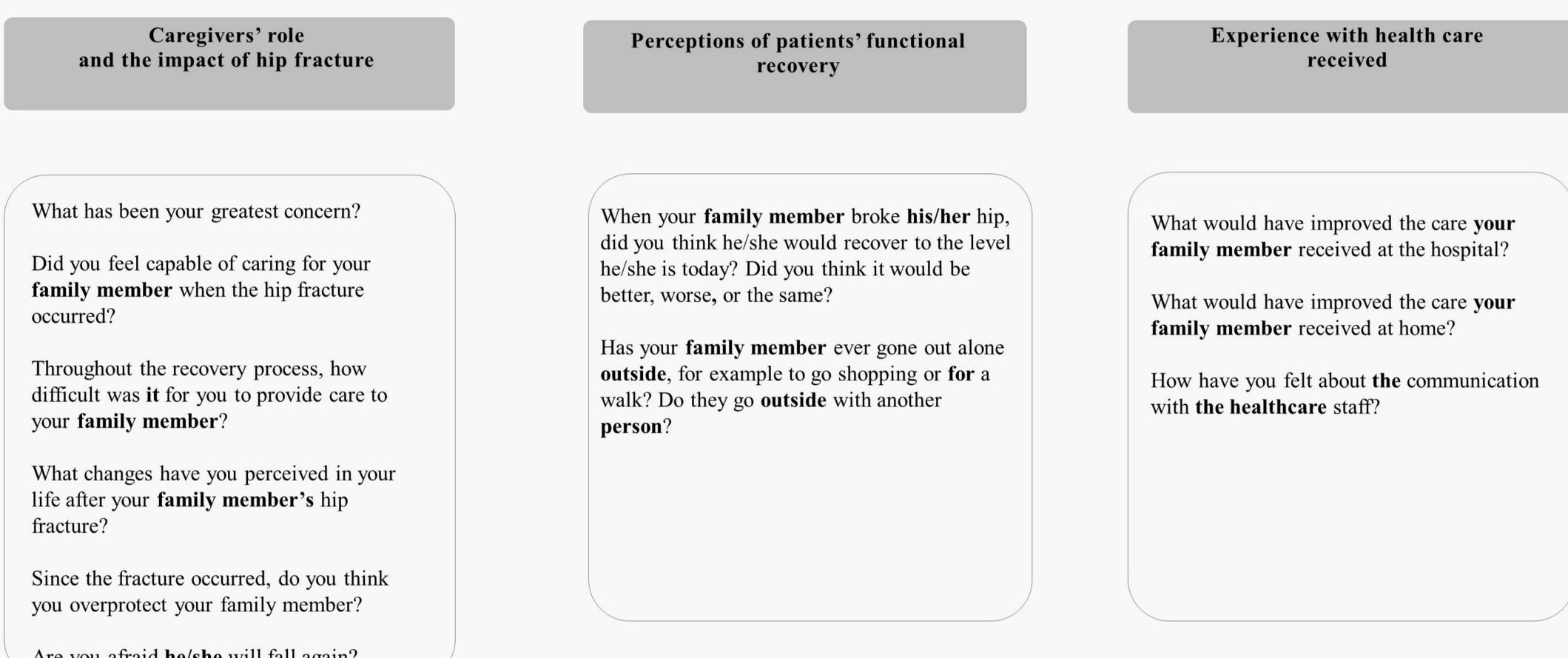
	All participants (n=44)	Tele- rehabilitation (n=21)	Usual care (n=23)
Age, years	52 (43.3-54.8);	50 (42.5-	54 (48-58);
Median (Q1-Q3); min-max	21-85	53.5); 38-64	21-85
Gender (n, %)			
Women	31 (70.5)	16 (76.2)	15 (65.2)
Men	13 (29.5)	5 (23.8)	8 (34.8)
Relationship (n, %)			
Partner/ spouse	4 (9.1)	1 (4.8)	3 (13)
Son/Daughter	34 (77.3)	18 (85.8)	16 (69.6)
Other relatives/friends	6 (13.7)	2 (9.5)	4 (17.3)
Employment (n, %)			
Full- time	15 (34)	8 (38.1)	7 (30.4)
Part-time	13 (29.5)	6 (28.6)	7 (30.4)
Unemployed	16 (36.4)	7 (33.3)	9 (39.1)
Support of other caregivers			
(n, %)			
Yes	31 (70.5)	15(714)	16(60.6)
No	13 (29.5)	15 (71.4)	16 (69.6)
		6 (28.6)	7 (30.4)
Living with the patients			
before fracture (n, %)			
Yes	25 (56.8)	9 (42.9)	16 (69.6)
No	19 (43.2)	12 (57.1)	7 (30.4)
Living with the patient after hip fracture (n, %)			
Yes	30 (68.2)	14 (66.7)	16 (69.6)
No	14 (31.8)	7 (33.3)	7 (30.4)
Age of patients, years	81 (75.3-83.8);	78 (72.5-82);	82 (79-86);
Median (Q1-Q3); min-max	67-89	67-87	67-89
Gender of patients (n, %) Women	32 (72.7)	16 (76.2)	16 (69.6)
Men	12 (27.3)	5 (23.8)	7 (30.4)

Table 1

Demographic characteristics of caregivers and patients

524 Figure legends

- **Figure 1**. Interview guide
- **Figure 2**. Caregivers' perceptions during hip fracture recovery
- **Figure 3.** Selected quotes from caregivers



Are you afraid he/she will fall again?

At the hospital, were you offered the use of a telerehabilitation program? Why did you decide to accept or decline the program?

Is there anything else I have not asked that you would like to tell me about taking care of your family member after hip fracture?

Figure 1. Interview guide

- Survival
- Delirium
- Expectations
- Fear of falling
- Overprotection
- Health
- Physical function
- Future falls

- Residence chan
- Changed work
- Sleep disruption
- Family conflict
- Feeling capable
- Lifestyle char
- Anxiety and

Survival and recovery Uncertainty, anxiety and stress

Figure 2. Caregivers' perceptions during hip fracture recovery

nge schedules ns ts e	 Improved communication and coordination More rehab, staff, and social services Involvement in discharge planning
nges stress	CommunicationSystem changes

Looking for answers

Concern about survival and recovery

"...In the hospital she was disoriented.... She behaved badly, she removed the [IV tubes] ... She insulted the nurses... We were afraid that she would not recover her common sense..." (Daughter, 65 years old, control group)

"...I thought she could not walk again without [mobility] aids... I listened [to] other people who had suffered a hip fracture and everyone said it was very bad and very serious... But my mother is very strong and she is the caregiver of my father... She followed all the instructions we gave her... she said that she had to be independent again to take care of my father..." (Daughter, 40 years old, intervention group)

Communication and resources: Looking for answers

... In the hospital there was a bit of chaos... There [were few] staff and it took a long time [for them] to come when we called them... They were overwhelmed ... " (Daughter, 40 years old, intervention group)

"When we got home, we contacted social services for a person to come home and help my mother with the bath and home tasks, but no one came... Only one nurse came [to her] home [once]..."

(Daughter, 54 years old, control group)

Figure 3. Selected quotes from caregivers

Uncertainty, anxiety, and stress

"It was a great stress for me ... I live in another city and I took days off at work to be able to come to my parents' place."

(Son, 43 years old, control group)

"...I was worried that he would not return to his normal life and need the help of his son and daughters for the rest of their live..."

(Daughter, 44 years old, intervention group)

Choice of rehab intervention

"...It was my son who was in the hospital when they explained the program to him and he considered it a good thing for his father and we decided to [try] ... We had nothing to lose ... "

(Wife, 53 years, intervention group)

"...Because I had no idea how to handle the situation and I thought it could be the help I needed..." (Son, 38 years, intervention group)

"...It would be easier to hire someone to come home to do the rehabilitation without having to be with the computer, and the *physiotherapist would know more than us...*" (Daughter, 58 years, control group)