1GeneCodis: interpreting gene lists through enrichment analysis and integration of2diverse biological information

- 3 Ruben Nogales^{1,*} (<u>ruben.nogales@fdi.ucm,es</u>), Pedro Carmona-Saez^{1,*} (<u>pcarmona@fis.ucm.es</u>), Miguel
- 4 Vazquez² (miguel.vazquez@fdi.ucm.es), Cesar Vicente¹ (cesar.vicente@fis.ucm.es), Xiaoyuan Yang (),
- 5 Francisco Tirado¹ (ptirado@dacya.ucm.es), Jose M. Carazo³ (carazo@cnb.csic.es) Alberto Pascual-
- 6 Montano¹ (pascual@fis.ucm.es)
- ⁷ Computer Architecture Department, ² Software Engineering Department, Complutense University.
- 8 Madrid. Spain. ³ Biocomputing Unit. National Center for Biotechnology. CNB-CSIC. Madrid. Spain.
- 9 * Both authors contributed equally to this work
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14 ABSTRACT

15 GeneCodis is a web server application for functional analysis of gene lists able to integrate annotations 16 from different sources and find modular patterns of interrelated annotations. This tool differs from other 17 approaches in the way the heterogeneous information is integrated to search for annotations that 18 frequently co-occur in a set of genes. This integrative approach has proved to be useful for the functional 19 interpretation of high-throughput experiments and, therefore, a new version of the system has been 20 developed to expand its functionality and scope. This new version GeneCodis not only provides 21 22 23 24 25 26 27 functional information but also regulatory patterns with the potential to integrate both sources of information in the same analysis. In addition, user-defined annotations can also be included. To cover most of the necessities of the research activity, traditional singular enrichment has been implemented and more organisms and gene identifiers are now supported. The application has been also re-engineered to improve performance, accessibility and scalability. In addition to the web browser, GeneCodis now can be accessed through a public web services interface, enabling users to launch jobs from their own scripts and workflows. The application is freely available at http://genecodis.dacya.ucm.es

28 INTRODUCTION

High-throughput experiments such as DNA microarrays or proteomics techniques have been widely used during the last decade and currently they are standard technologies in many research centers. Although these methodologies generate huge amounts of data, the challenge does not lies in the analysis or data processing, in which significant advances have been done by the bioinformatics community, but rather in interpreting such datasets to get biological knowledge and meaningful information to formulate new hypothesis.

An essential task in this context is to translate gene signatures into information that can assist in the understanding of the biological mechanisms. In the last few years several methods and tools have been developed to interpret large lists of genes or proteins using information available in biological databases. The common idea in most of these methods is to find functional descriptors that are significantly enriched in the gene signature with respect to the entire genome or other reference list. Annotations from different resources such as Gene Ontology (GO) (Ashburner, Ball et al. 2000) or KEGG (Kanehisa, Araki et al. 2008) are commonly used in this context.

The first type of methods that emerged in this field were focused on evaluating the frequency of individual annotations and apply an statistical test to determine what annotations are significantly enriched in a input list with respect to a reference list, usually the whole genome or all genes in the 45 microarray. Several tools were developed following this idea, and although each application introduces 46 variations such as different statistical tests, sources of annotations or supported organisms, they all 47 performed the same type analysis and offer slightly variations in the results. Good reviews of such 48 methods can be found in (Khatri and Draghici 2005; Dopazo 2006). A fresh line of research appeared 49 with the observation that the use of thresholds to select the significant genes could lead to underestimate 50 the effect of significant biological effects during the functional analysis. This derived in a new and 51 different analytical concept in which the distribution of annotations is evaluated in the whole list of genes, 52 sorted by their correlation with the phenotype. Different methods followed this approach after the pioneer 53 54 work of Tamayo and Subramayian published in 2007 (Subramanian, Kuehn et al. 2007), the gene set enrichment analysis (GSEA). However, both standard enrichment tools and GSEA methods evaluate each 55 annotation independently from the others without taking into account the potential relationships between 56 them. Nevertheless, most of the annotations in biological databases are interconnected because they are 57 associated to common genes. Patterns that contain these relationships among annotations can provide 58 invaluable information and extend our understanding of biologic events associated to the experimental 59 system. It is therefore highly desirable to incorporate these relationships among annotations in the 60 functional analysis of gene lists and there are new tools that attempt to extract this type of information 61 (see a review in (Huang da, Sherman et al. 2009))

62 In 2007 we introduced GeneCodis (Carmona-Saez, Chagoyen et al. 2007), a tool for modular enrichment 63 analysis oriented to integrate information from different sources and find enriched combinations of 64 annotations in large lists of genes or proteins. This approach represented a step forward in the functional 65 enrichment analysis because of its capacity in integrating heterogeneous annotations and discovering 66 significant combinations among them. Since its original publication, this tool has achieved more that 67 25,000 jobs submissions from all over the world and has been referenced in different works. Even a 68 mirror has also been recently set up at the Center for Bioinformatics in Peking University to facilitate the 69 access in the Asian region. In this work we present a new version of the software with an improved 70 functionality, performance, accessibility and extended scope. Firstly, we have expanded the type of 71 information that can be analyzed by the application incorporating new types of annotations such as 72 microRNAs and transcription factors. In this way, the new version of GeneCodis offers the possibility to 73 mine not only functional information but also regulatory patterns with the potential to integrate both 74 sources of annotations in the same analysis. Moreover, the application now allows researchers to submit 75 76 77 78 their own annotations and perform a joint analysis with the rest of annotations provided in the application. As in the first version, this release of the software finds concurrent annotations enriched in the input list, but we have also included the analysis of individual annotations (singular enrichment analysis). With this new feature GeneCodis covers more possible analytical scenarios than in the first version. We have also 79 increased the type of gene identifiers that are supported including commercial platforms such as 80 Affymetrix Probe Ids and new organisms.

From a technical point of view, GeneCodis has been completely reengineering making it faster, flexible and efficient. The algorithm to retrieve sets of concurrent annotations has been improved and implemented to run in a multi-grid environment that is more suitable to handle large number of jobs simultaneously, improving in this way the performance and the throughput of the system. The application now can be accessed in a programmatic way by Web Services. This allows researchers to include its functionalities in data analysis pipelines. Finally, the new friendly interface is designed to facilitate the use of the tool and now results include more useful information through different graphs and file formats.

88 FEATURES AND FUNCTIONALITY

89 GeneCodis has gone through a lot of changes since its first publication, but the tool works in a similar 90 way. In this web-based application the users have to select the organism and the biological annotations 91 that will be considered in the analysis and then load the gene list to be analyzed. The whole genome is 92 used by default as a reference set but users can also load their own reference list. No more information or 93 user's parameters are mandatory at this point. As advance options, it is possible to select the preferred 94 statistical test among three possible alternatives: hypergeometric test (default), chi-square test or both. 95 Annotations will be considered in the concurrent analysis only if they appear in at least a minimum 96 number of genes. This is known as minimum support and it is set to three by default. And finally users 97 can omit or select the multiple hypothesis correction method between two alternatives: false discovery 98 rate method (default) or permutation-based correction. In addition, a new feature of this version allows 99 users to submit a file with a list of user-defined annotations that can be considered in the analysis together 100 with the rest of selected annotations.

101 Functional Analysis In GeneCodis

102 As we have commented previously, the enrichment analysis of individual annotations was the first 103 method introduced for functional analysis of large lists of genes or proteins, being also the most popular 104 one. There is a large collection of tools that implements this type of enrichment analysis, most of them 105 focused on the analysis of Gene Ontology annotations. The arrival of GSEA methods turned the 106 enrichment analysis of individual annotations from a gene-centered to a gene-set based analysis. These 107 methods, although extremely useful for the interpretation of gene lists, do not exploit the inter-108 relationship that exists among gene annotations. In this context, tools such as GeneCodis provide a new 109 way to analyze functional information by taking into account the relationships among annotations 110 associated to common genes in the list. This analysis offers different advantages with respect to singular 111 enrichment methods. Joint terms may contain unique biological meaning for a given study, not held by 112 individual terms. For example the combination of two terms such as Apoptosis and Mitochondria may be 113 enriched in a gene list while the individual annotations are not significant if evaluated independently in 114 the same list of genes. This may indicates that mitochondria apoptotic pathways can be more significant 115 in the system than other apoptotic processes. But probably more interesting is the possibility to integrate 116 and jointly analyze information that covers different aspects of the biology of the genes.

GeneCodis is one of the few tools that offer these options for the functional analysis of gene lists by implementing an algorithm based on the extraction of frequent itemsets (see (Carmona-Saez, Chagoyen et al. 2006) for details). Nevertheless, one of the weak points of this type of analysis is that it can increase the complexity of the results because redundant patterns may be generated. Therefore, it would be beneficial for researchers to have a unified system that integrates both type of analysis, the evaluation of individual annotations and co-annotations for a better exploration of the high throughput experimental results.

124 In this new version of GeneCodis we have included the singular enrichment analysis precisely to take into 125 account these two types of analysis. As results, besides the combinations of annotations enriched in the 126 list of genes, in this new version GeneCodis also provides the analysis of individual annotations, showing 127 those from the selected categories that are enriched in the input list. For example, if two categories of 128 annotations are selected for the analysis, the results will include three different lists: one with the 129 concurrent analysis results and two with singular enrichment information of each one of the types of 130 annotations. Moreover, these results are provided in different formats: html tables, tabulated text files, 131 xml files, pie charts and bar graphs.

132 New Sources of Annotations: Integrating Functional and Regulatory Information

133 Among the multiple resources of information, Gene Ontology is by far the most popular one for 134 functional analysis of gene lists. This is reasonable due to the rich content of GO in terms that describe 135 the functional role of genes at a molecular level and the initiative of different consortiums to annotate 136 complete genomes with GO terms. Earlier enrichment tools were mainly based on the analysis of GO 137 terms although annotations from other sources such as KEGG or Biocarta (www.biocarta.org) were being 138 incorporated in more recent applications. Although GO covers three aspects of the biology of genes; 139 Biological Process, Cellular Component and Molecular Function, the former is the information in which 140 researchers have been mainly interested for the functional characterization of gene lists. This is in part 141 because biological process annotations provide explicit information to interpret the biological 142 mechanisms that may be associated to the experimental system. Indeed, pathway information contained in 143 KEGG or Biocarta covers similar aspects of the biology of genes than GO Biological Processes 144 annotations.

145 Nevertheless, beyond functional information there are other properties of genes and proteins that can be 146 also very useful to interpret biological systems, such as information related to transcriptional mechanisms. 147 There are different sources of regulatory information that have been incorporated to enrichment tools 148 (Dennis, Sherman et al. 2003; Al-Shahrour, Minguez et al. 2006; Abascal, Carmona-Saez et al. 2008, 149 Guruceaga, Segura et al. 2009)). In GeneCodis we have included annotations related to transcriptional 150 information from different sources. miRBase (Griffiths-Jones, Saini et al. 2008) contains putative targets 151 of microRNAs, molecules that in the last few years have been shown as key regulators in many biological 152 systems. From this database we have extracted the microRNAs associated to genes in different organisms; 153 B. taurus, C. elegans, D. rerio, D. melanogaster, G. gallus, H. sapiens, M. musculus and R. norvegicus.

Using information from TransFac we have annotated genes from *H. sapiens, M. musculus* and *R. norvegicus* with transcription factors that have biding motifs in their promoter sequences. In addition, we

156 have used results from chip-on-chip experiments (Carmona-Saez, Chagoyen et al. 2006) to annotate genes

157 in S. cerevisiae with transcription factors that bind to their promoter regions. This new annotations allow

158 users to perform enrichment analysis with regulatory information in addition to functional information.

159 Nevertheless, beyond the independent analysis of different properties of genes the integration of 160 heterogeneous sources of information can provide a more complete picture of the analyzed system. In this 161 sense, the new sources of information offer the possibility to mine gene lists to discover associations 162 among regulatory and functional information. This turns the potential of GeneCodis to integrate different 163 kinds of annotations in one of its most useful features. This is briefly illustrated in Figure 1 with a very 164 simple example. It shows a screenshot of the GeneCodis results from the analysis of the ribosomal gene 165 set in KEGG. Using also the information of transcription factors in the analysis we can see how co-166 annotations of functional and regulatory information are evaluated. Indeed, enriched annotations contain 167 transcription factors such as RAP1, FHL1 or SFP1 that are well known to play important roles in the 168 regulation of ribosomal genes.

169 Organisms and Identifiers Supported

170 To extend the scope of GeneCodis we have also increased the number of supported organisms and gene 171 identifiers. GeneCodis works with most of the model organisms in biological research. The whole list of 172 organisms supported includes Arabidopsis Thaliana, Bos Taurus, Caenorhabditis elegans, Candida 173 albicans, Danio rerio, Drosophila melanogaster, Escherichia coli, Gallus gallus, Homo sapiens, 174 Leishmania major, Mus musculus, Rattus norvegicus, Saccharomyces cerevisiae, Schizosaccharomyces 175 pombe and Vibrio cholerae. Alternatively, to facilitate the usability, we have extended the supported gene 176 identifiers to cover the most common ones used in gene microarrays studies, including proprietary Ids of 177 commercial platforms such as Affymetrix, Agilent, Codelink and Illumina. The backbone of the system 178 that is now based on Ensembl gene ids has been extracted through biomart (http://www.biomart.org).

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181 Figure 1. Example of the graphic generated in a typical GeneCodis analysis. Enriched combinations of significant annotations are represented in a pie and bar graphs, where the length of the bars and size of the slices are proportional to the number of genes supporting the significant combination of annotations.

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185 Interface

186 The design of a web tool is an important part to take into account in its development. The new friendly 187 interface has been designed to facilitate the usability of the tool. Now the application is more fresh and 188 intuitive. Results can be explored more dynamically and are presented in different formats including pie 189 charts and bar graphs images that allow users to explore and interpret the data in a quick look (see figure 190 1 for an example). XML files with structured results are also provided permitting its use in data process 191 pipelines. Finally, tabulated text files and html tables are also included in the report. These different file 192 formats would help researches to use GeneCodis results in other applications.

193 In another context, in this new release big efforts have been done to facilitate the access of GeneCodis 194 functionality by different ways. In addition to the classical web-server access, the tool can now be used 195 programmatically trough the Web Services technology. Using this technology, researchers can insert 196 functional analysis in their data mining pipelines or in other bioinformatics systems in a very 197 straightforward manner (see figure 2 for a very simple example). There are many advantages in the use of 198 Web Services, it is a platform-independent and language-independent technology and it is very adequate 199 for loosely coupled systems with different architectures working together. In this way it is guaranteed that 200 there is no any prerequisite imposed for its use. A complete tutorial can be found in the site including 201 example scripts.

> require 'soap/wsdlDriver' #### Test arguments ### org = "Sc" #Saccharomyces Cerevisiae algorithm = 1 # Concurrent analysis test = 0 # Hypergeometric p values correction = 1 # FDR method minsupport = 3 # Minimum support of 3 is considered in the analysis annotations = ["GO_Biological_Process", "GO_Molecular_Function"] reference_list = [] # whole genome #### Connecting to server ### WSDL_URL = "http://genecodis.dacya.ucm.es/static/wsdl/genecodisWS.wsdl" driver = SOAP::WSDLDriverFactory.new(WSDL_URL).create_rpc_driver ### Submit tha analysis ### job_id = driver.analyze(org,algorithm,test,correction,minsupport,input_list,annotations,reference_list) ### Check job state ### status = driver.status(job_id) while status == 1 puts "Waiting

```
status = driver.status(job_id)
end
# If error
if status < 0
error_message = driver.info(job_id)
raise "Finished with error #{ error_message }"
end
# Get results
results = driver.results(job_id)
puts results</pre>
```

sleep 2

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Figure 2: Example of a ruby client code to invoke the GeneCodis Web Service. The access only needs three main steps: submit the analysis, ask for the job status and get the results.

205 IMPLEMENTATION

206 The algorithmic core of GeneCodis has been reviewed and drastically improved. There is a new 207 implementation of the algorithm to extract closed itemsets based on more efficient and faster methods 208 (Zaki and Hsiao 2005). The performance of the system depends on the number of annotations related with 209 the genes in the input list. The new algorithm can deal with large sets of annotations in a faster and more 210 efficient way than the algorithm implemented in the first version of GeneCodis. This is especially evident 211 if multiple annotations are included in the same analysis and when the permutation-based test is used for 212 the multiple hypothesis correction, in which the computing time has decreased drastically with respect to 213 the previous version.

The throughput and performance of the entire system has also been improved by implementing the new algorithm and the Web Service technology in the context of a multi-grid computational environment. The new system is able to handle all submitted jobs simultaneously without queuing any of them for long periods of time. The current implementation takes advantage of one cluster with two Quad-Core Intel Xeon processors of 64 bits and two independent grid infrastructures (CyTED, http://www.cyted.org/, and EELA2, http://www.eu-eela.org/) integrated by the GridWay metascheduler (Huedo, Montero et al. 2005)

220 When users submit a query through the web site, the system launch one job for the concurrent analysis 221 and one job for each annotation's category selected for the singular enrichment analysis. All these jobs 222 will be executed in parallel. Users can also submit a query directly from a script using the Web Services. 223 In all cases the flow of the system is the same. A meta-scheduler determines, depending on the 224 computational load of the cluster and the grids, in which computational environment the jobs will be 225 executed. When the cluster is free, then the jobs are queued and executed in the cluster. Otherwise, the 226 jobs are sent to the less workloaded grid resource. This approach represents a cost-effective alternative to 227 improve the throughput of the application and to guarantee its real-time performance, which is a critical 228 step in every popular web-server application.

229 CONCLUSIONS AND DISCUSSION

230 High-throughput experimental techniques have demonstrated to be very useful allowing the study of 231 biological systems from a global perspective. In many cases, these techniques generate huge amounts of 232 data in the form of large gene or protein. An essential task in this context is to translate these lists to 233 functional information that aids researchers in the interpretation of the underlying biological processes. 234 But this interpretation is not always a trivial step; there is a lot of biological information distributed in 235 different databases that is necessary to extract the full meaning of the data. Methods based on the 236 enrichment analysis have proved to be very useful tools for the analysis and interpretation of such lists of 237 genes.

GeneCodis is a tool designed to expand the enrichment analysis of annotations by adding the possibility of extracting not only individual annotations, but also significant combinations of them. Since its creation, this tool has proved to be a useful resource for the research activity. This encourages us to improve it by

adding more functionality that expands its scope, performance and accessibility.

242 In summary, the new version of GeneCodis finds combinations of annotations but also includes in the 243 output the traditional singular enrichment analysis. New annotations have been included such as 244 microRNAs or transcription factors to extend the functionality of GeneCodis towards the analysis of 245 regulatory information. Moreover, GeneCodis allows researchers to jointly analyze regulatory and 246 functional information and to extract association patterns between both data sources. In addition, users 247 can submit their own annotations that can be considered in the analysis together with the rest of the 248 annotations provided in the application. The algorithm to retrieve sets of concurrent annotations has been 249 improved and implemented to run in a multi-grid environment that is able to handle all submitted jobs 250 simultaneously, improving in this way the performance and the throughput of the system. Finally, 251 GeneCodis now can be accessed in a programmatic way by a web services interface; which allows 252 researchers to include its functionalities in data analysis pipelines.

253 One of the disadvantages of the modular enrichment analysis used in GeneCodis is the intrinsic 254 redundancy of the combined functional annotations that are usually extracted. This is due to the 255 unavoidable natural redundancy of the information that is already known about genes and proteins and the nature of the frequent itemsets mining algorithm that extract all possible combinations. Several methods still need to be implemented to filter out intrinsic repetitions and constitute a necessary future functionality. Going in the direction of creating a more self-contained application, new future releases of GeneCodis will also include Gene Set Enrichment Analysis methods to allow users the selection of all possible flavours of functional analysis in the same environment.

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The new version has been running since August 2008. Extensive tests have been carried out using synthetic and real datasets for which the outcome of the software is known. The diverse functionalities supported by this tool have been fully tested by real users who have provided feedback on issues that have helped in improving the application. We hope the renewed GeneCodis will be of interest to the scientific community.

267 GENECODIS AVAILABILITY

This application can be freely accessed through its main site at <u>http://genecodis.dacya.ucm.es</u>. A mirror in the Asian region has also been recently set up at the Center for Bioinformatics in Peking University. The mirror is available at <u>http://genecodis.cbi.pku.edu.cn/</u>.

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