

1 **Pharmacological inhibition of NOS activates ASK1/JNK pathway augmenting docetaxel-**
2 **mediated apoptosis in triple negative breast cancer**

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21 **Running title:** NOS inhibition enhances docetaxel-mediated apoptosis

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30 **Translation relevance**

31 Inducible nitric oxide synthase (iNOS) upregulation is associated with chemotherapy resistance in
32 TNBC patients. In the present study, we describe how the addition of a pan-NOS inhibitor, NG-
33 Monomethyl-L-arginine (L-NMMA), can improve docetaxel response by redirecting cell fate from
34 a pro-survival state, driven by endoplasmic reticulum (EnR) stress response, to an apoptotic state
35 via activation of ASK1/JNK pathway. Coupling chemotherapy with NOS inhibition therapy may
36 represent an effective therapeutic alternative for patient with TNBC who have failed conventional
37 therapy.

38

39 **Abstract**

40 **Purpose:** Chemoresistance in triple negative breast cancer (TNBC) is associated with the
41 activation of a survival mechanism orchestrated by the endoplasmic reticulum (EnR) stress
42 response and by inducible nitric oxide synthase (iNOS). Our aim was to determine the effects of
43 pharmacological NOS-inhibition on TNBC.

44 **Experimental Design:** TNBC cell lines, SUM-159PT, MDA-MB-436, and MDA-MB-468, were
45 treated with docetaxel and NOS-inhibitor (L-NMMA) for 24, 48 and 72 hours. Apoptosis was
46 assessed by flow cytometry using Annexin-V and propidium iodide. Western Blot was used to
47 assess ER-stress and apoptosis; rtPCR, to evaluate s-XBP1. TNBC patient derived xenografts
48 (PDXs) were treated either with vehicle, docetaxel, or combination therapy (NOS-inhibition +
49 docetaxel). Mouse weight and tumor volumes were recorded twice weekly. Docetaxel
50 concentration was determined using mass spectrometry. To quantify proliferation and apoptosis
51 PDX tumor samples were stained using Ki67 and TUNEL assay.

52 **Results:** *In-vitro*, L-NMMA ameliorated the iNOS upregulation associated with docetaxel.
53 Apoptosis increased when TNBC cells were treated with combination therapy. In TNBC PDXs,
54 combination therapy significantly reduced tumor volume growth and increased survival
55 proportions. In the BCM-5998 PDX model, intratumoral docetaxel concentration was higher in
56 mice receiving combination therapy. Coupling docetaxel with NOS-inhibition increased EnR-
57 stress response via co-activation of ATF4 and CHOP, which triggered pASK1/JNK proapoptotic
58 pathway, promoting cleavage of caspases 3 and 9.

59 **Conclusion:** iNOS is a critical target for docetaxel resistance in TNBC. Pharmacological
60 inhibition of NOS enhanced chemotherapy response in TNBC PDX models. Combination therapy
61 may improve prognosis and prevent relapse in TNBC patients who have failed conventional
62 chemotherapy.

63 Introduction

64 Approximately 40,000 women with metastatic breast cancer die in United States every
65 year, due to treatment failure or treatment resistance (1). Triple negative breast cancer (TNBC)
66 comprises 15% of all breast cancers and patients have higher recurrence, more distant metastases,
67 and worse mortality rates than other breast cancer types (2). TNBC is characterized by the lack of
68 estrogen, progesterone, and HER2 receptor expression. TNBC is a heterogeneous disease without
69 an FDA-approved targeted therapy. Therefore, it is important to differentiate TNBC subtypes and
70 to identify therapeutic targets when treating specific patient subpopulations (3,4). Most TNBC are
71 sensitive to systemic chemotherapies such as taxanes, anthracyclines, and platinum derivatives,
72 yet local and systemic relapses rates are high (2,5).

73 Resistance to conventional chemotherapies has been correlated with the presence of
74 subpopulations of breast cancer cells with stem-like properties (6,7). Our group described a
75 treatment-resistant signature of 477 genes derived out of TNBC biopsies from chemotherapy
76 treated patients (7). The top genes were analyzed and knockdowns of Ribosomal protein L39
77 (RPL39) and Myeloid Leukemia Factor 2 (MLF2) were associated with a decrease in nitric oxide
78 (NO) signaling (8), in particular inducible nitric oxide synthase (iNOS, NOS2). RPL39 is a
79 structural protein of the ribosome at its polypeptide exit tunnel, a protein-sensitive channel that
80 regulates translation through recognition of specific sequences (9,10). MLF2 is involved in
81 chromosomal arm 12p aberrations associated with acute leukemias of lymphoid and myeloid
82 lineage (11). NO and reactive NO-derived species have been implicated in the modulation of
83 carcinogenesis and as a critical determinant of oxidative stress in cells (12,13). In TNBC, increased
84 iNOS expression is related to tumor grade, aggressiveness, and poor prognosis (14-16). *In vitro*,
85 iNOS inhibition diminished cell proliferation, cancer stem cell self-renewal, and cell migration in
86 TNBC cell lines. These effects have been replicated in corresponding cell lines in *in vivo*
87 xenografts (16). Additionally, mutations in RPL39 (A14V) and MLF2 (R158W) have been shown
88 to enhance migration in *in vitro* experiments (17). Breast cancer patients harboring RPL39 (A14V)
89 and MLF2 (R158W) mutations demonstrate a shorter median time to relapse with lung metastases,
90 compared to those without these mutations (17). In metaplastic breast cancer, a subtype mutation
91 in RPL39 (A14V) has been correlated with higher levels of iNOS, increased metastatic relapse in
92 the lung, and worse overall survival (18).

93 NO is a common denominator of the adaptive endoplasmic reticulum (EnR) stress response
94 pathway that results in treatment resistance (19). EnR stress response activates different pathways
95 that promote cell survival under stressful conditions. When cells are unable to overcome these
96 conditions, an apoptotic response is then initiated (19,20). Apoptosis signal-regulating kinase 1
97 (ASK1) is a member of the mitogen-activated protein kinase kinase kinase (MAP3K) family.
98 ASK1 activates c-Jun N-terminal kinase (JNK) in response to a variety of stress stimuli (21). ASK1
99 serves as a central signaling hub that mediates EnR stress response and apoptosis (22). Therefore,
100 ASK1's activity is tightly regulated via phosphorylation sites (22). For instance, phosphorylation
101 of Thr845 ASK1 is essential for ASK1 activation, which promotes apoptotic cell death (23). Ser83
102 remains phosphorylated under low-stress conditions, keeping ASK1 inactive (24,25). Ser967
103 serves as a sensor that mediates the physical interaction of 14-3-3 with ASK1, which suppresses
104 ASK1 mediated apoptosis (22,26). Because of this, ASK1 has been described as a mediator of the
105 apoptotic cell death resulting from chemotherapy (27).

106 The aim of this work was to examine whether pharmacological inhibition of NOS signaling
107 could help overcome treatment resistance in TNBC. First, we detected an increase in antitumor
108 activity when docetaxel was combined with a pharmacologic NOS inhibitor, L-NMMA in three
109 TNBC cell lines and five different TNBC patient derived xenografts (PDXs). Then, we examined
110 the cross-talk between NO and EnR stress pathways; NOS inhibition affected the expression of
111 EnR stress-related markers IRE1 α , CHOP, and ATF4, causing an increase in apoptosis, identified
112 by activation of caspases 3 and 9, and the ASK1/JNK pathway. Combining chemotherapy with
113 NOS inhibition represents a promising therapeutic opportunity for patients with TNBC, especially
114 for patients with high levels of intratumoral iNOS expression due alterations on MLF2 and RPL39.

115

116 **Materials and Methods**

117 **Reagents**

118 For *in vitro* and *in vivo* experiments, N^G-nitro-L-arginine Acetate (L-N-monomethyl arginine) (L-
119 NMMA) pan-NOS inhibitor was purchased from Santa Cruz Biotechnology (Dallas, TX, USA)
120 and diluted in DPBS. For *in vitro* experiments, docetaxel was obtained from Sigma Aldrich and
121 diluted in dimethyl sulfoxide. For *in vivo* studies, docetaxel and amlodipine were purchased

122 through Houston Methodist Hospital pharmacy and dissolved on DPBS. iNOS (N-20), CREB-
123 2/ATF4 (C-20), CHOP/GADD 153 (B-3), and pASK1 (Thr 845) antibodies were obtained from
124 Santa Cruz Biotechnology (Dallas, Tx). Antibodies IRE1 α (14C10), cleaved-caspase 3 (D315),
125 cleaved-caspase 9 (D175), Phospho-SAPK/JNK (Thr183/Tyr185) (81E11), SAPK/JNK, ASK1,
126 Phospho-ASK1 (Ser83), Phospho-ASK1 (Ser967), β -Actin (13E5), anti-rabbit, and anti-mouse
127 IgG were purchased from Cell Signaling Technology (Danvers, MA, USA). IRE1 α (phospho
128 S724) and Ki67 were bought from Abcam (Cambridge, MA).

129 ***In vitro* experiments**

130 Triple negative breast cancer cell lines MDA-MB-468 and MDA-MB-436 were purchased
131 from American Type Culture Collection (Manassas, VA, USA), while SUM-159PT was obtained
132 from Asterand Bioscience (Detroit, MI, USA); no authentication of the cell lines was performed
133 by the authors. All cells were maintained in DMEM (Invitrogen, Grand Island, NY) supplemented
134 with 10% FBS (Thermo Scientific Hyclone, Rockford, IL) and 1% antibiotic-antimycotic in a
135 humidified incubator at 37°C with 5% CO₂. Unless otherwise specified, cells were treated with
136 docetaxel 5nM on day 1, and daily with L-NMMA 4mM.

137 **Western blot analysis**

138 Whole cell lysates were prepared in 1X lysis buffer (Cell Signaling Technology) with 1X
139 protease inhibitor (GenDepot) and 1X phosphatase inhibitor (GenDepot). Samples (30 μ g protein)
140 were boiled in LDS sample buffer (Thermo Fisher Scientific) containing 1x Sample Reducing
141 Agent (Thermo Fisher Scientific) and subjected to SDS-PAGE electrophoresis in 4% to 12%
142 gradient polyacrylamide gels (Thermo Fisher Scientific). Proteins were transferred onto
143 nitrocellulose membranes (Bio-Rad). Membranes were incubated overnight at 4°C with primary
144 antibodies (1:1,000) followed by incubation with appropriate secondary antibodies for 1 hour
145 (1:2,000). Protein bands were developed in autoradiography films (Denville Scientific Inc., South
146 Plainfield, NJ, USA).

147 **Flow cytometry analysis**

148 Triple negative breast cancer cell lines MDA-MB-436, SUM-159PT and MDA-MB-436
149 were treated with docetaxel 5nM on day 1, and daily with L-NMMA 4mM for 48 and 72 hours.

150 Cells were washed, detached, and stained with Annexin V Apoptosis Detection Kit FITC
151 (Ebioscience) according to manufacturer instructions. Flow analysis was performed at the Houston
152 Methodist Research Institute Flow Cytometry Core, using BD FACS Fortessa for acquisition of
153 data and FACS Diva (BD Biosciences) for analysis.

154 ***In vivo* experiments**

155 All animal procedures have been approved by the Houston Methodist Hospital Research
156 Institute Animal Care and Use Review Office. *In vivo* experiments were conducted in five different
157 human triple negative (estrogen receptor/progesterone receptor/HER2 negative) breast cancer
158 patient-derived xenografts (PDXs) including BCM-2147, BCM-5998, BCM-3107, and BCM-
159 4664. PDXs were derived from primary human breast cancers were transplanted into the cleared
160 mammary fat pad of SCID Beige mice (Envigo, Indianapolis, IN). PDX HM-3818 was derived
161 from an ascites biopsy of a patient with TNBC and expanded by transplantation into the mammary
162 fat pad of SCID Beige mice. When the tumors reached an average tumor volume between 150–
163 250 mm³, mice were randomized and divided into groups. Mouse weight was recorded and tumor
164 volumes were measured and calculated ($0.5 \times (\text{long dimension}) \times (\text{short dimension})^2$) twice
165 weekly. Tumor volume fold change was calculated by dividing the average of the last measurement
166 by the initial tumor volume average. Regimen treatment design followed three, two-weeks cycles
167 of docetaxel [20 mg/kg or 33 mg/kg intraperitoneal on day 1] and NOS inhibition therapy from
168 days 2-6 and 9-13 [L-NMMA (400 mg/kg oral gavage on day 2 and 9, 200mg/kg on days3-6 and
169 10-13) + amlodipine (10 mg/kg intraperitoneal injection on days 2-6 and 9-13)]. A Ca⁺ channel
170 blocker, amlodipine, was administrated to counteract the effects of NOS inhibition on blood
171 pressure as previously described (16).

172 **Mutation analysis**

173 Droplet Digital PCR (ddPCR) was performed using a standard protocol with custom
174 RPL39 (A14V) and MLF2 (R158W) ddPCR probes and primers (Bio-Rad Laboratories, Hercules,
175 CA) as previously described (18).

176 **Docetaxel liquid chromatography-tandem mass spectrometry analysis**

177 Blood and tumor tissue were collected from PDX BCM-5998 after 40 days of treatment.
178 Analysis of docetaxel in plasma and tissues was performed using a chromatography-tandem mass
179 spectrometry method based on a previously established method (28,29).

180 **One step RT-PCR analysis of spliced XBP1**

181 cDNA was synthesized from total RNA and subsequently amplified using MyTaq™ One-Step RT-
182 PCR Kit (Bio-line). The primers were s-XBP1 (5'- CCTGGTTGCTGAAGAGGAGG-3' and 5'-
183 CCATGGGGAGATGTTCTGGAG3') and β -Actin (RT² qPCR Primer Assay for Human ACTB:
184 PPH00073G, from QIAGEN). RT-PCR conditions were 1 cycle at 45°C for 30 minutes, 1 cycle
185 of 95°C for 1 minute, and 40 cycles of 10 seconds at 95°C, 10 seconds at 60°C, and 30 seconds at
186 72°C, followed by 1 cycle at 4°C for 1 hour. cDNA amplicons were resolved in 2% agarose.

187 **Ki67 and apoptotic index**

188 Tumor tissue collected from PDX BCM-5998 after 40 days of treatment was fixed in
189 formaldehyde overnight and then transferred to 70% ethanol. Tumor tissues were processed and
190 embedded in paraffin. After antigen retrieval (Tris-Cl, pH 9.0), paraffin-embedded sections of
191 xenograft tumors were incubated for 1 hour at room temperature with Ki67 (1:100) antibody
192 (Abcam). Paraffin-embedded sections were stained with Click-iT™ Plus TUNEL Assay for *In Situ*
193 Apoptosis Detection, Alexa Fluor™ 647 dye (Thermo Fisher) according to the manufacturer
194 protocol. DAPI (Thermo Fisher) was used as a counter stain. To quantify the apoptotic index, from
195 each sample, 10 different 20x fields were photographed using Nikon Eclipse 90i microscope
196 system, fluorescent intensity was measured with Nikon Elements software, and the apoptotic index
197 was calculated as the average of the sum intensity of each field recorded.

198

199 **Statistical Analysis**

200 Two-tailed Student's t-test was performed for comparisons between two groups. One-way
201 ANOVA was performed for multiple group comparisons. Two-way ANOVA was used for all
202 animal experiments. To account for multiple comparisons, Tukey's multiple comparison tests for
203 one-way ANOVA and Bonferroni post tests for two-way ANOVA were performed with

204 Graphpad Prism 5.0 (Graphpad Software Inc., La Jolla, CA, USA). All data were tested for normal
205 distribution, all results represent the mean \pm SEM of at least five replicate experiments, unless
206 otherwise specified. In all cases, a two-tailed p values < 0.05 were considered statistically
207 significant.

208

209 **Results**

210 **Coupled effects of chemotherapy and NOS inhibition**

211 iNOS derived NO has been shown to be related to taxane resistance (30). To further
212 describe this effect, we examined the expression of iNOS and eNOS in the presence of docetaxel
213 over a period of 72 hours in three different TNBC cell lines (SUM-159PT, MDA-MD 436 and
214 MDA-MB 468). Docetaxel induced iNOS in a bell-shaped distribution; the highest expression was
215 noted around 12-24 hours and by 72 hours the levels were similar to basal expression
216 (Supplemental Figure 1). eNOS expression in MDA-MB 436 and MDA-MB 468 remained similar
217 over the course of the treatment; SUM-159PT showed a minimal increase at 48 hours
218 (Supplemental Figure 1). These results suggest that iNOS may be the main NOS upregulated in
219 TNBC, in response to docetaxel. L-NMMA has been previously used in TNBC cell lines to
220 decrease iNOS production of total nitrites (16,31). We evaluated the effect of L-NMMA on
221 docetaxel-induced iNOS. TNBC cell lines were exposed to docetaxel with and without the
222 presence of L-NMMA, then the expression of iNOS was determined by western blot. Similar to
223 previous observations, administration of docetaxel increased the levels of iNOS after 24 and 48
224 hours in MDA-MB 436 and SUM-159PT (Fig.1A-B), respectively. While L-NMMA alone had
225 minimal effect on iNOS levels, the combination treatment (docetaxel + L-NMMA) blocked
226 docetaxel-induced increases in iNOS expression and contributed to a reduction in iNOS levels in
227 both cell lines (Fig 1A-B). In MDA-MB 468 cells, a decrease in iNOS was seen at 72 hours in
228 lysates from cells exposed to L-NMMA. Notably, the combination treatment resulted in lower
229 levels of iNOS, compared to the docetaxel treated cells. No changes in eNOS expression were
230 detected following any of the treatments (Supplemental Fig. 1B). Drug-induced cell death was
231 evaluated by flow cytometry. Using Annexin V/PI, L-NMMA alone had moderate effect on cell
232 death, notably after 72h of exposure (Fig. 1C-D). Although solo docetaxel promoted cell death,

233 these effects were significantly enhanced by the co-administration of L-NMMA after 72 hours of
234 treatment (Fig. 1C-D and Supplemental Fig. 2B). Together, these results suggest that docetaxel +
235 L-NMMA can help reduce docetaxel-induced increases in NOS in TNBC cell lines, an effect that
236 may have potential for therapeutic benefit.

237 **Enhanced efficacy of chemotherapy by therapeutic NOS inhibition in TNBC patient-**
238 **derived xenografts (PDXs).**

239 The effects of pharmacological NOS inhibition in combination with docetaxel were further
240 evaluated using TNBC PDX models. The PDX models were selected based on their different tumor
241 growth rate and response to docetaxel. BCM-5998 has the MLF2 R158W mutation, while the
242 RPL39 A14V mutation is present in BCM-4664. The dose of docetaxel was adjusted (higher dose,
243 33 mg/kg compared to 20 mg/kg) to treat animals harboring the BCM-4664 xenografts due to its
244 chemoresistance at conventional doses, as previously published (32). Patient's characteristics from
245 the PDX models used have been previously reported (32) and summarized in Table 1. The L-
246 NMMA dose used in these studies is comparable to that previously published and now in clinical
247 trials (clinicaltrials.gov NCT02834403), where the hypertensive effect of L-NMMA was reversed
248 with the addition of amlodipine (16,33,34). Administration of L-NMMA, amlodipine, or NOS
249 inhibition therapy (L-NMMA + amlodipine) had no effect on growth in BCM-2147 PDX
250 (Supplemental Fig. 3A). We previously showed decreased on tumor volume growth by inhibition
251 of iNOS with L-NMMA in PDX model BCM-4664(18). We then evaluated the effect of these
252 drugs by the addition of chemotherapy on PDX BCM-2147. Consistent with the results observed
253 in cell lines, tumor growth was significantly decreased by docetaxel and, when compared to the
254 vehicle arm (Fig. 2), anticancer activity was not modified by amlodipine. The combination of
255 docetaxel with L-NMMA or NOS inhibition therapy (L-NMMA + amlodipine) showed a
256 significant enhancement in docetaxel cytotoxic effect, no difference was detected between these
257 two arms (Supplementary Fig. 3B). BCM-3107 and BCM-4664 responded to docetaxel, (Fig. 2B-
258 D), while no effect was observed in BCM-5998 PDX (Fig 2A). Importantly, docetaxel + NOS
259 inhibition therapy significantly reduced tumor volume average volume fold change in all four
260 TNBC PDXs tested: BCM-3107, 1 ± 0.1 vs 0.5 ± 0.05 ; BCM-4664, 1 ± 0.3 vs 0.2 ± 0.1 ; BCM-
261 2147, 2.9 ± 0.2 vs 1.6 ± 0.1 ; BCM-5998, 3.9 ± 0.3 vs 1.9 ± 0.4 (average volume fold change \pm
262 SEM) (Fig. 2A-D). In agreement with these observations, docetaxel + NOS inhibition therapy

263 dramatically improved the survival rate compared to vehicle and docetaxel alone arms (Fig 2 E-
264 F).

265 To identify potential mechanisms involved in the interaction of docetaxel and
266 pharmacological NOS inhibition, levels of docetaxel were evaluated in both tumor tissue and blood
267 (plasma) samples from BCM-5998 PDXs collected at the end of the third cycle. We found that the
268 intra-tumoral concentration of docetaxel was 5.3-fold higher in mice receiving docetaxel + NOS
269 inhibition therapy than in those treated with solo chemotherapy (175.9 ± 26.01 ng/ml vs. $26.38 \pm$
270 7.285 ng/ml, respectively, $n=5$, $p < 0.001$), while no detectable plasma docetaxel was found in
271 either group. Importantly, there were no differences in body weights between both groups in any
272 of the treated PDX models (Supplemental Fig 3).

273 **NOS blockade enhances docetaxel-induced apoptosis by augmentation of EnR stress** 274 **response**

275 Taxane-derived therapies have been linked to activation of the EnR stress response (35,36).
276 To investigate whether interactions between the NOS inhibitor L-NMMA and chemotherapy may
277 have altered this pathway, western blot analysis of SUM-159PT and MDA-MB 436 cell lysates
278 were performed. As shown in Fig. 3, a survival stress response was activated by docetaxel as
279 evidenced by increased expression of pIRE1 α at 48 and 72 hours. Chemotherapy coupled with
280 NOS inhibition also elevated CHOP and ATF4 expression, compared to the docetaxel treated cells.
281 Increased levels of CHOP have been correlated with activation of EnR stress response (19,20)
282 while ATF4 is usually related to autophagy survival pathways. However, ATF4-related autophagy
283 is switched to apoptosis by subsequent CHOP upregulation (37). Our data suggests that L-NMMA
284 enhanced the lethality of docetaxel (Fig. 1C-D) as, in the presence of docetaxel + L-NMMA, a
285 marked increase in CHOP was observed.

286 Furthermore, since ASK1 activation, as a cell death mediator, is a downstream target of
287 pIRE1 α (38,39), we evaluated its potential involvement in docetaxel + L-NMMA-induced cell
288 lethality. As shown in Figure 3, docetaxel induced pASK1Ser967 pro-survival upregulation.
289 However, docetaxel + L-NMMA increased phosphorylation of pro-apoptotic site Thr845 on ASK1
290 while decreasing phosphorylation of inhibitory and pro-survival sites Ser967 and Ser83. Pro-
291 apoptotic activation of ASK1 was associated with increased levels of pJNK and cleaved caspases
292 3 and 9, compared to those in the docetaxel treated cells (Fig 3 and Supplemental Fig 3). s-XBP1,

293 another target of pIRE1 α , has been shown to be upregulated by chemotherapy (40). We were able
294 to detect a docetaxel-dependent increase in s-XBP1, however its levels remained the same when
295 L-NMMA was added (Supplemental Fig. 4).

296 Similarly, we analyzed tumor lysate from BCM-5998 PDX that had been treated for 40
297 days. Importantly, the presence of the NOS inhibition therapy, together with docetaxel, reduced
298 the levels of iNOS (Fig. 5A) and resulted in increased CHOP. Docetaxel + NOS inhibition therapy
299 also activated pro-apoptotic JNK (pJNK); some between-replicate variation was observed in-
300 between the groups, probably due to change in hypoxia levels due to different tumor size. A
301 densitometrical analysis was performed to evaluate the changes on phosphorylation of JNK,
302 IRE1 α , and ASK1. No differences were observed on pIRE1 α . Importantly, pJNK was significantly
303 increased in the combination group when compared to docetaxel, and pASK1 Thr845 were higher
304 in the docetaxel + L-NMMA group, compared to vehicle; both inhibitory sites pASK1 Ser967 and
305 Ser83 were also up-regulated, probably as a negative feedback to control apoptosis (Supplemental
306 Fig. 5). We also evaluated Ki67 and apoptotic index, as shown in Fig. 4 B and Supplemental Fig.
307 5. Docetaxel increased the levels of Ki67. However docetaxel + L-NMMA display similar levels
308 of Ki67, compared to the vehicle group. However, the apoptotic index was significantly increased
309 by the combination treatment (docetaxel + L-NMMA). In TNBC patients, an increase in Ki67
310 post-chemotherapy has been correlated with a worse prognosis (41); here we show combination
311 of docetaxel and pharmacological NOS inhibition can increase docetaxel-related apoptotic
312 activity. Based on these findings, NOS inhibition may cause a switch from a EnR stress pro-
313 survival pathway (induced in response to docetaxel) to a pro-apoptotic course (mediated by co-
314 activating CHOP and ATF4), resulting in an increased phosphorylation of pASK1pThr845 by
315 pIRE1 α , and in an induction of pJNK-mediated cell death, as shown by increased levels of cleaved
316 (active) caspases 3 and 9 (represented in Fig 3 B).

317 **Therapeutic NOS inhibition response on a PDX with MLF2 and RPL39 Mutation**

318 In previous studies, we identified the novel genes RPL39 and MLF2, and demonstrated
319 their association with stem cell self-renewal, treatment resistance, and lung metastasis in TNBC
320 (8). Moreover, we found that both MLF2 and RPL39 increased iNOS-mediated NO production
321 (8). Mechanistically relevant to these effects were the RPL39 A14V and MLF2 R158W mutations
322 (8). Furthermore, in metaplastic breast cancer, a highly chemotherapy-resistant form of breast

323 cancer, we determined that the RPL39 A14V mutation and iNOS expression are both associated
324 with reduced patient overall survival (18). To test the efficacy of combining docetaxel and L-
325 NMMA, we used the HM-3818 PDX, which was derived from an ascites sample from a patient
326 with metastatic TNBC (Table 1). Mutations in RPL39 (A14V) and MLF2 (R158W) were identified
327 by ddPCR in the patient's plasma and ascites fluid, and also confirmed in the PDX TNBC-3818.
328 HM-3818 displayed a very aggressive, fast growing phenotype so it was treated with a docetaxel
329 dose of 33 mg/kg. While NOS inhibition therapy alone showed a statically significant reduction in
330 tumor volumes by day 8, tumor continued to grow at a rate comparable to the vehicle-treated
331 control tumors (Fig. 5A). Docetaxel, on the other hand, decelerated tumor growth when compared
332 to the vehicle arm, although no significant difference was observed within their survival
333 proportions (Fig. 5B-C). In contrast, the response of HM-3818 PDX model to docetaxel + NOS
334 inhibition therapy showed a significant improvement over docetaxel- and vehicle-treated groups,
335 which resulted in a significantly better survival rate (Fig 5C). These findings suggests that adding
336 NOS inhibition to chemotherapy-based regimens may prove beneficial for patients, especially in
337 tumors harboring enhanced activation of the NOS pathway as a result of alterations on MLF2 and
338 RPL39 function.

339

340 **Discussion**

341 Resistance to chemotherapy is a major obstacle in patients with TNBC, due to activation of
342 survival mechanisms related to EnR stress (30,40). RPL39 and MLF2 belong to a set of genes that
343 are upregulated in TNBC in response to chemotherapy (7) and both correlate with iNOS regulation
344 (8). iNOS is an inflammatory mediator (42) capable of promoting the survival and proliferation of
345 different cancer types such as melanoma (43-45), liver (46), colon, head and neck (44,47) and
346 glioblastoma (48). In TNBC and metaplastic breast cancer, iNOS expression levels are correlated
347 with aggressiveness, poor survival, and treatment resistance (8,14,16,18,30). In the present study,
348 we demonstrate that the pan-NOS inhibitor L-NMMA interacts with docetaxel-based
349 chemotherapy to overcome treatment resistance through a mechanism that redirects cell fate
350 towards apoptosis (as opposed to survival) through activation of pro-cell death ASK1/JNK
351 pathway.

352 Our results are similar to other *in vitro* and *in vivo* studies showing the feasibility of
353 pharmacological NOS inhibition combined with cytotoxic chemotherapy as a treatment for cancer
354 (43,49,50). In this study, we describe the crosstalk between treatment resistance and EnR stress,
355 and targeting NOS signaling may overcome this resistance. Cellular regulation of NOS depends
356 on conditions associated to the tumor microenvironment (51,52). In TNBC cell lines, cytokines,
357 hypoxia, nutrient deprivation, and other metabolic factors establish a feed-forward regulatory loop
358 orchestrated by NOS (30,53). EnR stress occurs in response to nutrient deprivation, hypoxia, and
359 alterations in protein glycosylation, resulting in accumulation of unfolded and/or misfolded
360 proteins in the EnR lumen (19,20). The unfolded protein response (UPR) is one of the cellular
361 defense mechanisms triggered in response to chemotherapy (35,36,54). HIF1 α hypoxia related
362 response has also been described as a possible mechanism of resistance activated by chemotherapy
363 (40,54). Human melanoma cells under EnR stress acquire resistance to microtubule-targeting
364 drugs through XBP-1-mediated activation of Akt (55). XBP1 is a substrate for IRE1 α and
365 maintains a HIF1 α /driven hypoxic response (40), IRE1 α promotes unconventional splicing of
366 XBP1 mRNA allowing translation of a functional transcription factor, thereby up-regulating ER
367 chaperones(56). Previously, we showed that iNOS inhibition decreased sXBP1 levels (16). In this
368 study, we also identified how docetaxel produced an increase in pIRE1 α and s-XBP1. However,
369 coupling docetaxel with NOS inhibition therapy only resulted in further activation of pIRE1 and
370 IRE-1 serves as adaptor protein for TRAF2 and ASK1 promoting ASK1/JNK apoptotic activity
371 (57,58). Importantly, NO produced by NOS has been shown to bind and nitrosylates ASK1 and
372 JNK, inactivating their apoptotic cascade (59,60). Another mechanism of drug resistance that may
373 be involved is PIM1 activation, which has been shown to be activated by docetaxel (61). PIM1
374 promotes cell survival by phosphorylating the inhibitory site Ser83 on ASK1 (25) and PIM1 has
375 been correlated with tumor aggressiveness and poor survival in TNBC (62). Combining NOS
376 inhibition therapy with docetaxel may prevent activation of PIM1, resulting in a decrease of
377 pASK1 Ser83 levels, and an increase in pASK1 Thr845 levels, which results in activation of
378 effector proteins JNK and cleaved caspases 3 and 9 (Fig 4 B).

379 L-NMMA is a pan-NOS inhibitor, affecting NO production from all 3 NOS isoforms (63). The
380 rationale of this study was to block NO production thus attenuating the effect of docetaxel-related
381 up-regulation of iNOS. However, L-NMMA may also inhibit eNOS and nNOS activity. eNOS
382 gene polymorphisms are related with the development of breast cancer (64) and nNOS is related

383 to the increase of cancer-associated fibroblasts in breast cancer (65). Using a pan-NOS inhibitor
384 may help to overcome NO related carcinogenic effects. iNOS inhibitors, namely ASP9853, have
385 been evaluated in clinical trials (66). However ASP9853 was discontinued due to neutropenia. L-
386 NMMA was proven safety in different clinical trials as a hemodynamic modulator (63), and its
387 currently in trial in combination with docetaxel in metastatic triple negative breast cancer (67).
388 Another limitation of our findings is the use of amlodipine, a Ca²⁺ channel inhibitor, to control
389 high blood pressure related to NOS inhibition. Intracellular Ca²⁺ homeostasis is associated with
390 tumor progression (68). Importantly, the expression of high voltage-activated Ca²⁺ channels in
391 non-excitabile cells is limited, and even if these channels are expressed, they depend depolarization
392 to be activated (69). Amlodipine was shown to be effective on a HT-39 breast cancer line xenograft
393 (70), and to induce apoptosis *in-vitro* in TNBC cell line MDA-231 (71). Its interaction may be
394 related to the activity in other channels, rather than voltage-gated Ca²⁺ (72). In the TNBC PDXs
395 treated for this study, no amlodipine-mediated effects on tumor growth inhibition were observed.
396 That said, a thorough appraisal of the effects and interaction of amlodipine in this setting are out
397 of scope of this study.

398 Preliminary data in chemotherapy-resistant PDX models support our working hypothesis
399 that inhibition of NO signaling with the pan-NOS inhibitor L-NMMA may effectively reverse the
400 malignant course of therapy-resistant cells, significantly improving treatment outcomes in patients
401 with TNBC. The identification of gain-of-function mutations RPL39 (A14V) and MLF2 (R158W)
402 may serve as screening tools and/or biomarkers that inform researcher and clinicians as to whether
403 they should treat patients who have TNBC with a cytotoxic agent and NOS inhibition therapy.
404 However additional studies are required to validate this proposal. While the role of NOS role in
405 other cancer types has been well characterized, knowledge is very limited regarding the role MLF2
406 and RPL39 mutations or overexpression. Analyzing their repercussions on other types of cancer
407 that are resistant to chemotherapy may open the possibility for basket clinical trials. In conclusion,
408 coupling chemotherapy with NOS inhibition therapy may represent an effective therapeutic
409 alternative for patient with TNBC who have failed conventional therapy.

410

411 **Disclosure of Potential Conflicts of Interest**

412 The authors declare no competing interests.

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628 **Table 1. PDX's patient characteristics**

Xenograft	Age	Patient Ethnicity	Tumor Source	ER	PR	HER2	K19	b53	CK5/6	EGFR	Patient Clinical Treatment(s)	Patient Clinical Response	Patient Tumor Type	Pam50 Intrinsic Subtype	Pietenpol TNBC Subtype ¹	RPL39 mutation ²	MLF2 mutation ²
BCM-2147	33	African American	Pre P.Br	-	-	-	+	-	+	-	AC	Res	IDC	Basal	BL1	-	-
BCM-3107	58	Caucasian	Post P.Br	-	-	-	+	+	+	+	Doc	Sen	IDC	Basal	M	-	-
BCM-4664	nr	African American	Pre P.Br	-	-	-	+	-	-	+	Das + Doc	Res	IDC	Basal	IM	+	-
BCM-5998	nr	Caucasian	Pre CWR	-	-	-	+	-	+	-	AC	Res	IDC	Basal	nd	-	+
HM-3818	58	Hispanic	Met Asc	-	-	-	+	-	+	-	AC/Doc/Pac	Res	IDC	nd	nd	+	+

629 Abbreviations: Pre, pre-treatment; Post, post-treatment; P.Br, primary breast; CWR, chest wall
 630 recurrence; IDC, invasive ductal carcinoma; Asc, Ascities; Met, metastatic disease; AC,
 631 doxorubicin (Adriamycin) and cyclophosphamide (Cytosan) ; Doc, Docetaxel; Pac, Paclitaxel ;
 632 Sen, ≥ 30% response; Res, < 30% response; nd, not determined; nr, not reported

633 ¹ Pietenpol Classification: BL1, basal-like 1; M, Mesenchymal-like; IM, immunomodulatory; nd,
 634 not determined;

635 ² RPL39 (A14V) and MLF2 (R158W) mutation were evaluated by ddPCR

636 **LEGENDS TO FIGURES**

637 **Figure 1. L-NMMA prevents iNOS up-regulation by docetaxel.** (A-B) MDA-MB 436 and
638 SUM-159PT were treated with L-NMMA (4 mM daily) and/or docetaxel (5 nM on day 1) for 24,
639 48 and 72 hours, iNOS expression was assessed by western blot. (C-D) MDA-MB 436 and SUM-
640 159PT were treated with L-NMMA (4 mM daily) and/or docetaxel (5 nM on day 1) for 48 and 72
641 hours, cells death was evaluated by flow cytometry using Annexin V and PI staining. (* $p < 0.05$,
642 ** $p < 0.01$, *** $p < 0.001$).

643 **Figure 2. Combination therapy improved docetaxel antitumor effect.** (A-D) Mice growing
644 orthotopic tumors BCM-5998 (n=10 per arm), BCM-3107 (n=5 per arm), BCM-4664 (n=10 per
645 arm) and BCM-2147 (n=7 per arm) were randomized and treated with vehicle, docetaxel (20
646 mg/kg or 33 mg/kg), or combination therapy (docetaxel + NOS inhibition therapy [L-
647 NMMA+Amlodipine]). Tumor volumes were measured twice weekly. Average tumor volumes
648 ($0.5 \times (\text{mm long dimension}) \times (\text{mm short dimension})^2$). Data are mean tumor volume \pm SEM (E-
649 F) Kaplan-Meier survival curves of models BCM-4664 and BCM-2147 treated with vehicle,
650 docetaxel, NOS inhibition therapy (L-NMMA + Amlodipine) and Combination therapy
651 (Docetaxel+L-NMMA+Amlodipine). An event was score when a tumor reached 1200 mm³.
652 Combination therapy increased survival proportions compared to chemotherapy alone. (* $p < 0.05$,
653 ** $p < 0.01$, *** $p < 0.001$)

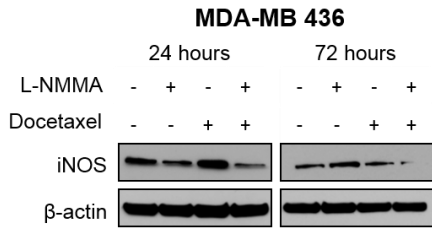
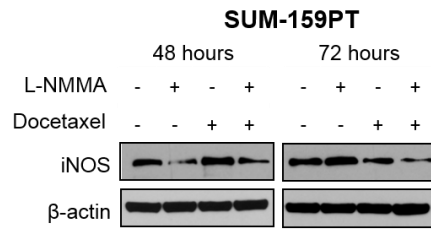
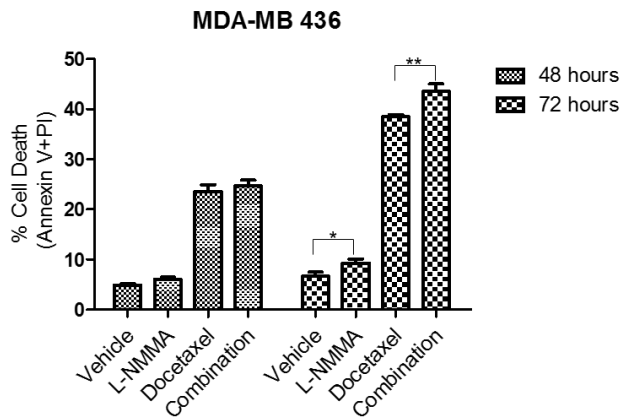
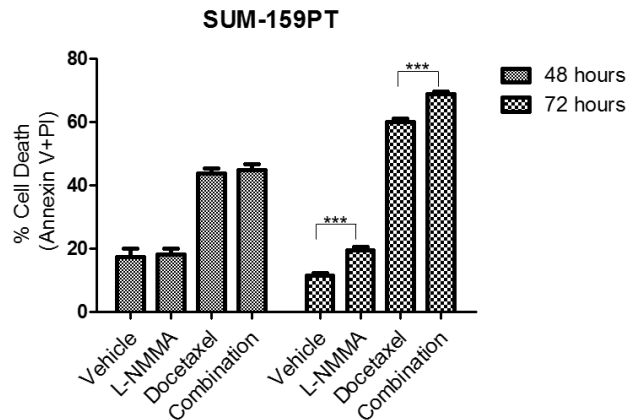
654 **Figure 3. NOS inhibition prevents pro-survival pathways activated by docetaxel.** SUM-
655 159PT and MDA-MB 436 were treated with L-NMMA (4mM) and/or docetaxel (5nM) for 48 and
656 72 hours, cell were collected and protein extracted, EnR stress and apoptosis markers were

657 evaluated with western blot. **(B)** Schematic pathway of the EnR stress and apoptotic response
658 enhanced by addition of NOS inhibition.

659 **Figure 4. NOS inhibition therapy enhances apoptotic response.** **(A)** Mice growing orthotopic
660 tumors BCM-5998 (n=5) were randomized and treated with vehicle, docetaxel, or combination
661 therapy (Docetaxel + NOS inhibition therapy), after 40 days of treatment tumors were collected
662 and processed, target engagement, EnR stress and apoptosis markers were evaluated by western
663 blot. **(B)**. Tumor slides were stained with Ki67 antibody, a representative picture was selected to
664 show differences between treatments, Amplification: 20x; counterstain: hematoxylin. **(B)**. TUNEL
665 assay was performed on paraffin embedded tumor slides, 10 different 20x field were capture per
666 sample, the sum of the intensity was measurement, and the average of each treatment was
667 calculated. (* $p < 0.05$)

668 **Figure 5. HM-3818 Response to NOS inhibition and combination therapy.** **(A)** Mice growing
669 orthotopic tumors HM-3818 (n=5 per arm) were treated with vehicle or NOS inhibition therapy,
670 tumor volumes were measured twice weekly. Average tumor volumes ($0.5 \times (\text{mm long dimension})$
671 $\times (\text{mm short dimension})^2$). Data are mean tumor volume \pm SEM. **(B)** Mice growing orthotopic
672 tumors HM-3818 were sorted and treated with Vehicle (n=4), docetaxel (n=6) and combination
673 therapy (docetaxel + NOS inhibition therapy) (n=6 per arm), tumor growth was assessed as
674 previously noted. **(C)** Kaplan-Meier survival curves of model HM-3818. An event was score when
675 a tumor reached 20000 mm³. Combination therapy increased survival proportions compared to
676 chemotherapy alone. (* $p < 0.05$, *** $p < 0.001$)

677

A**B****C****D****Figure 1**

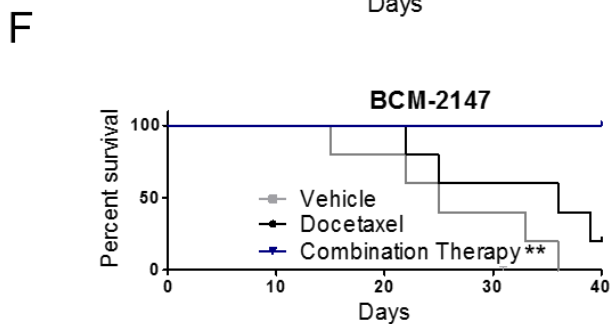
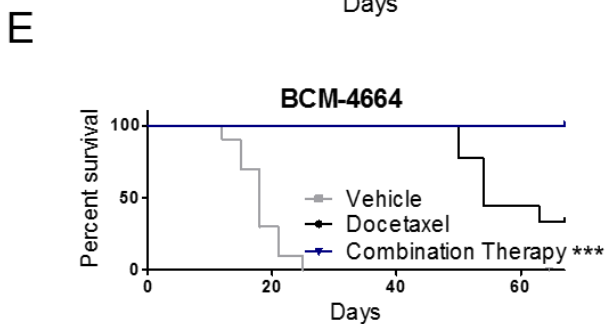
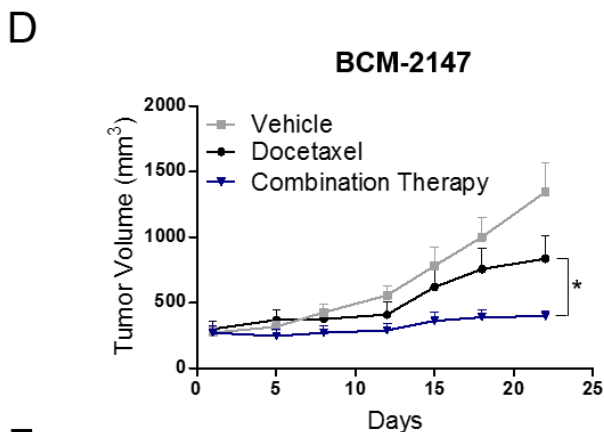
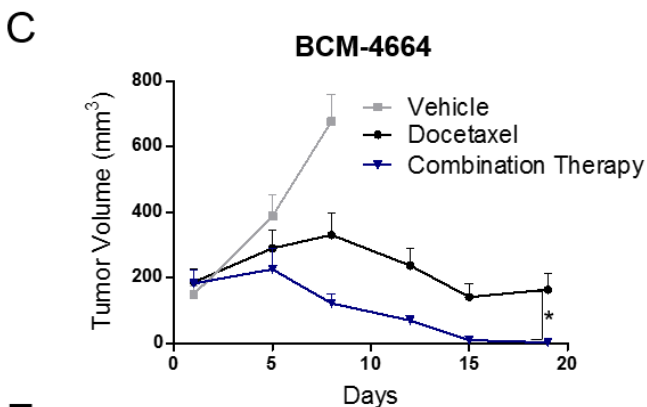
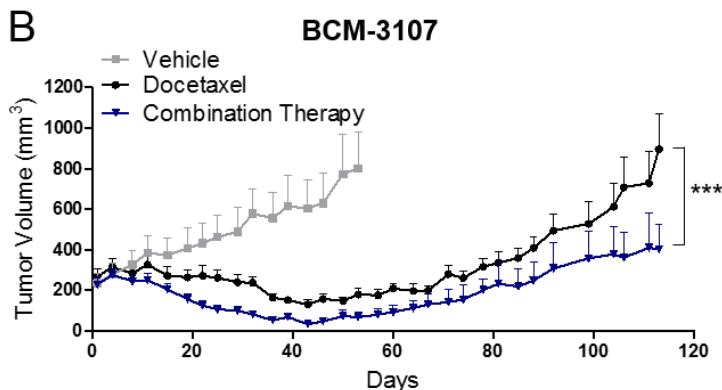
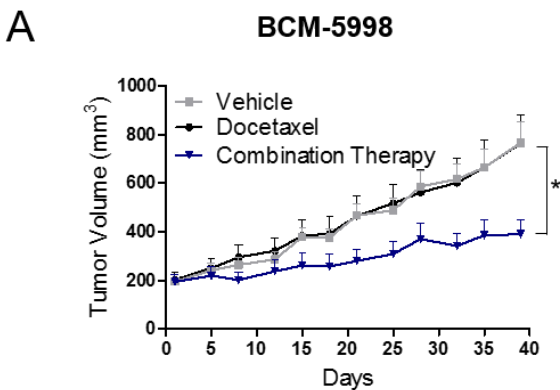


Figure 2

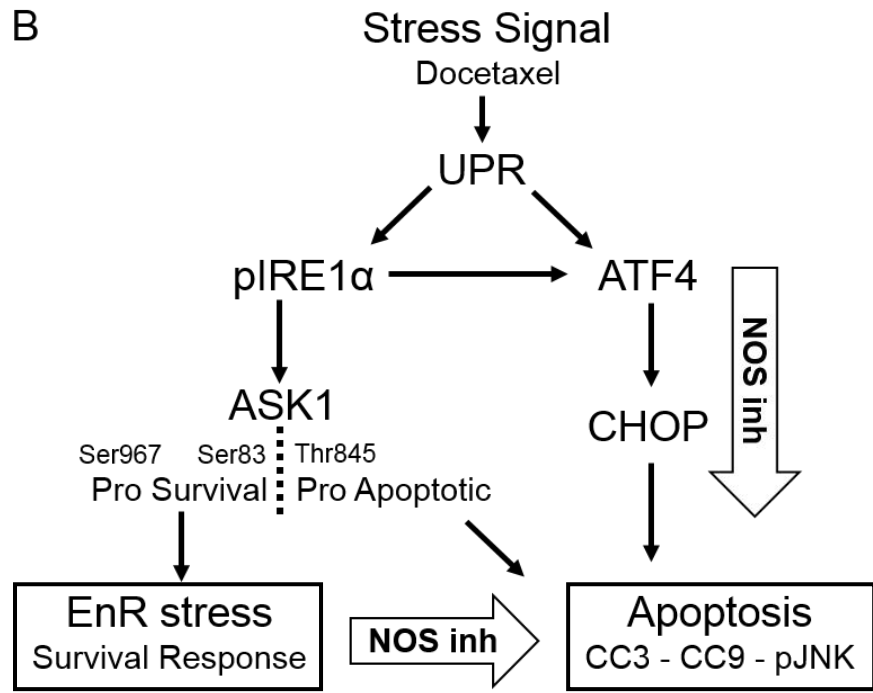
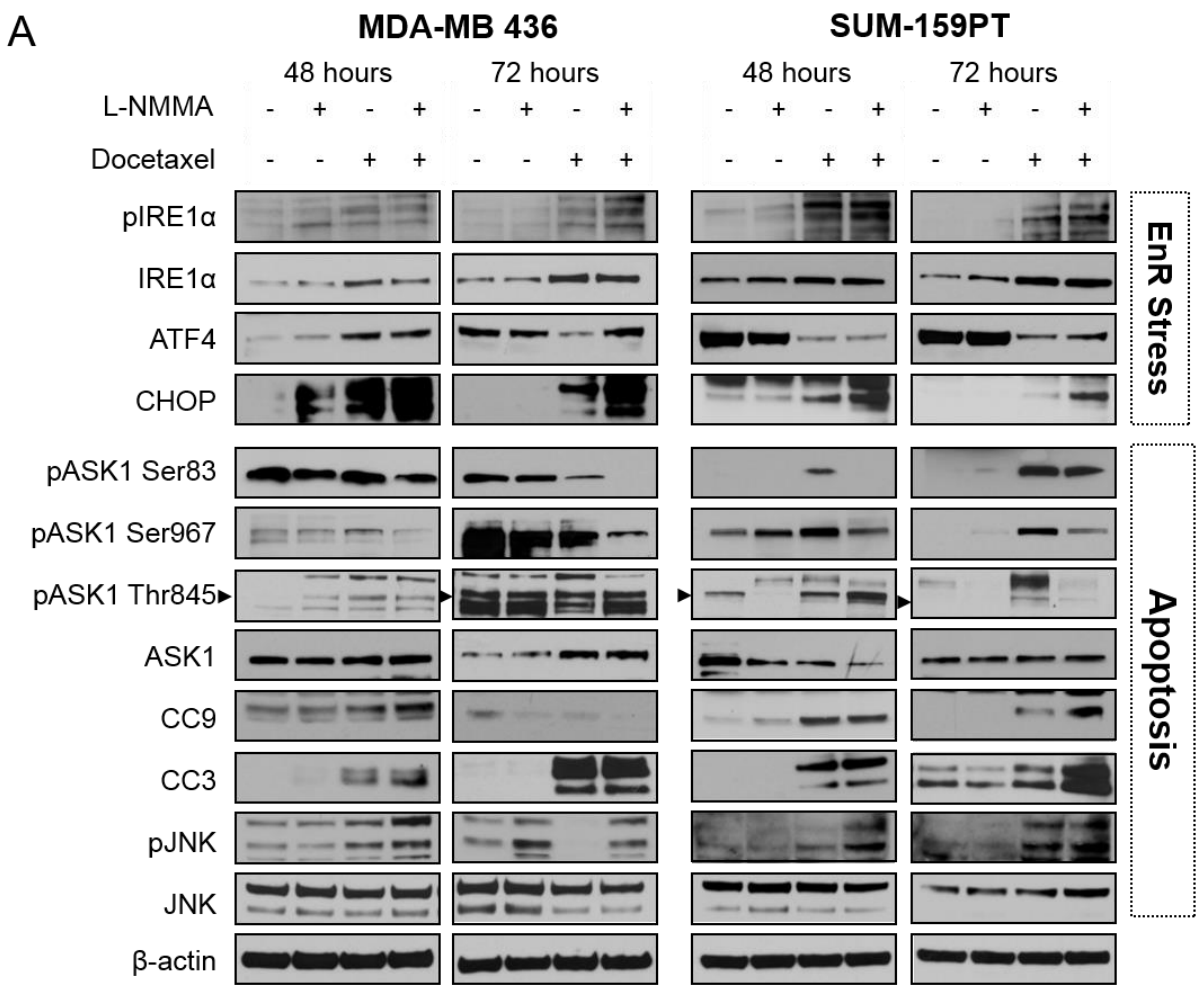
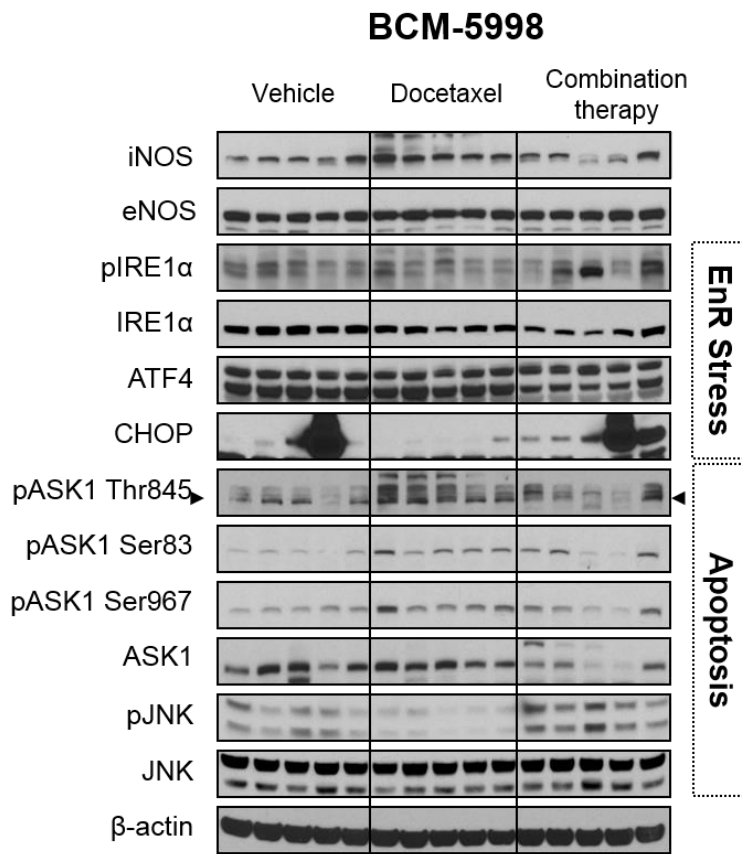
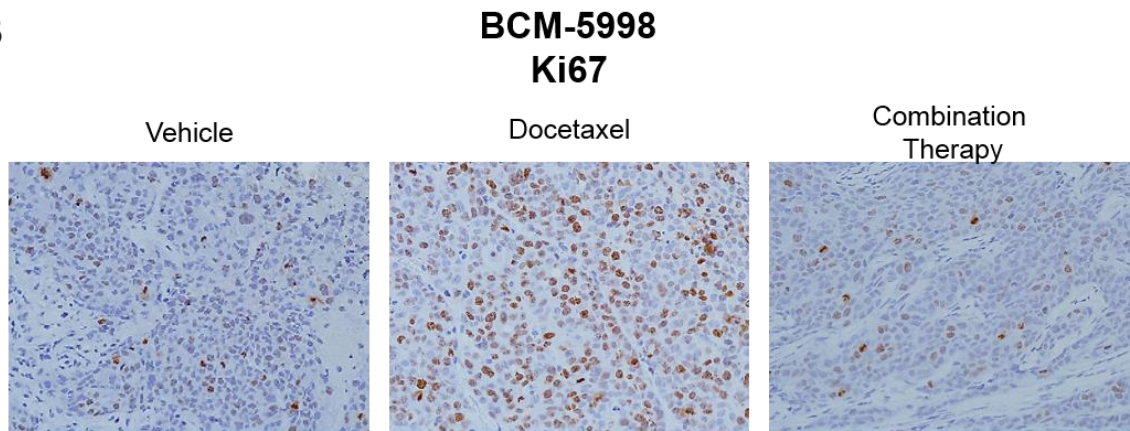


Figure 3

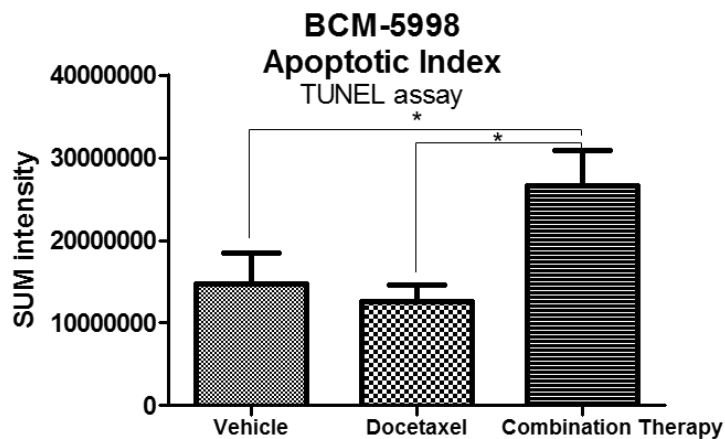
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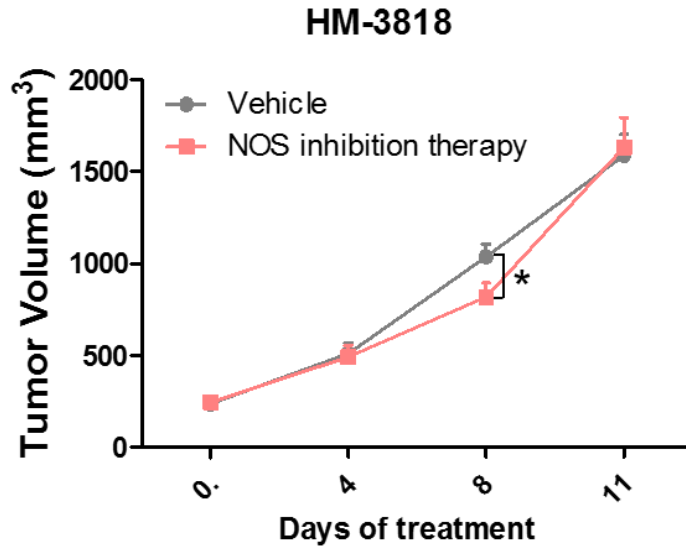
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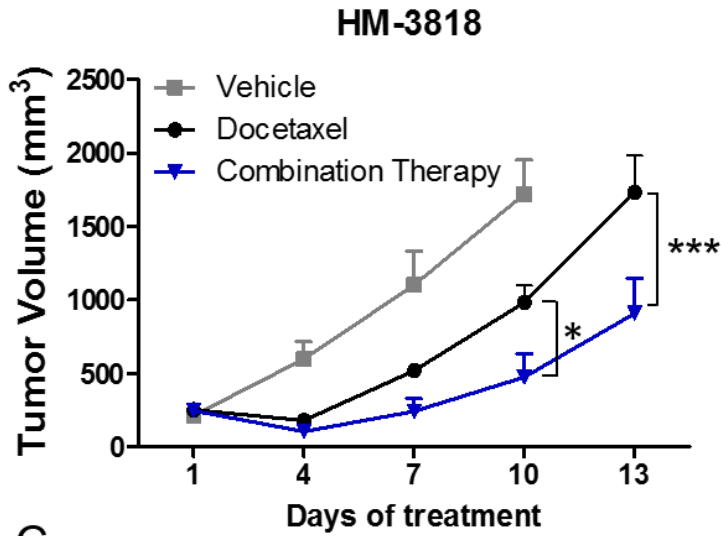
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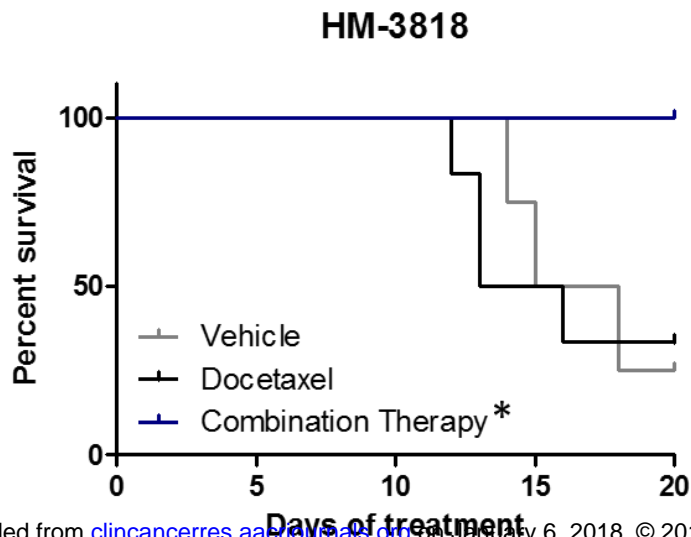
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Clinical Cancer Research

Pharmacological inhibition of NOS activates ASK1/JNK pathway augmenting docetaxel-mediated apoptosis in triple negative breast cancer

Daniel Dávila-González, Dong Soon Choi, Roberto R Rosato, et al.

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