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A combination of invasive and non-invasive techniques for the study of the palette and painting structure of a copy of Raphael's *Transfiguration of Christ*



Eloísa Manzano^{1*}, Rosario Blanc², J. Daniel Martin-Ramos³, Giacomo Chiari⁴, P. Sarrazin⁵ and Jose Luis Vilchez²

Abstract

The main objective of this study is to establish an appropriate method for the characterization of the pigments, materials and structure of the paint layers in a copy of the painting the Transfiguration of Christ by Raffaello Sanzio. A multi-technique approach that combines elemental, molecular and structural analyses and involves optical microscopy (OM), scanning electron microscopy and energy-dispersive X-ray spectroscopy (SEM–EDX), μ-Attenuated Total Reflection–Fourier Transform InfraRed (μATR-FTIR), μ-Raman spectrometry (μRS) and non-invasive portable diffractometer (pXRD) was used. Our results revealed that this copy of the Transfiguration was executed with a palette, which includes white lead (cerussite and hydrocerussite), lazurite from lapis lazuli pigment, red and yellow earths (goethite, hematite and lepidocrocite), lead tin yellow, cinnabar, red lake, smalt and bone black, and fillers such as calcite, baryte (an impurity associated to some pigments), and traces of colorless powdered glass. A secondary objective of this research was the application of non-invasive in situ pXRD measurements, which do not require painting sampling and helped to confirm some inconclusive results obtained with other techniques regarding the artist's palette. The results showed the crystalline nature of all the pigments identified, which were known from ancient times and available during the 16th and 17th. Lastly, the used of ¹⁴C accelerator mass spectrometry determined that the canvas date was 1451-1633 AD (with a 95% confidence level). Although the main focus of the work was to improve the analytical methodology to better understand the artist's palette, our results will further help us to explore the authorship of the copy or the school that executed it.

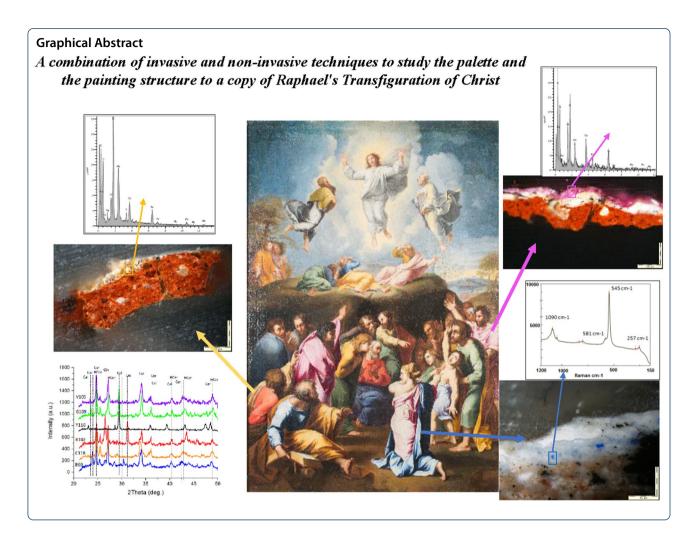
Keywords: Raphael Transfiguration copy, pXRD, μATR-FTIR, μRS, SEM–EDX, Pigments, Painting structure

¹ Department of Analytical Chemistry, University of Granada, Fuentenueva s/n, 18071 Granada, Spain Full list of author information is available at the end of the article



^{*}Correspondence: emanzano@ugr.es

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Introduction

Different analytical techniques can be used to gain an understanding of the materials and the painting techniques used by an artist. Some of these techniques include Visual and Optical Microscope observation, Attenuated Total Reflectance Fourier Transform Infrared (ATR-FTIR), Raman Spectroscopy (RS), Scanning Electron Microscopy and Transmission Microscopy (SEM/ TEM), X-ray Fluorescence Spectroscopy (XRF), X-ray Powder Diffraction (XRD), portable XRD-XRF, VIS/NIR hyperspectral imaging, and Gas Chromatography coupled to Mass Spectrometry (GC-MS), to mention some [1–10]. In Cultural Heritage studies, μ -RS has proven an essential tool to differentiate the various mineralogical phases and to map the distribution of pigments within the different paint layers. Both spectroscopic techniques μRS and μATR-FTIR provide useful information on molecular vibrations, but μRS is not suitable for determination in samples with high organic content (dyes and binders). The intense fluorescent signal generated when the sample is irradiated or in pigment mixtures because the background overlaps the Raman spectrum or even completely swamps the Raman signal [11]. In addition, portable XRD (p-XRD) is a non-invasive technique that represents a suitable alternative for the determination of crystalline materials, which are found in most pigments used in the creation of paintings [12–15]. However, in the study of works of art pXRD is not frequently used in comparison to the other most common techniques mentioned above [15, 16].

The main goal of this study was to characterize the painting structure and to identify the pigments used in copy of *The Transfiguration of Christ* whose authorship is unknown. A multi-method involving invasive and noninvasive analytical techniques such as pXRD, μ RS, μ ATR-FTIR spectroscopy, conventional optical microscopy (OM) and electronic microscopy (SEM) combined with energy dispersive X-ray (EDX) microanalysis was used for the characterization of the painting structure and identification of pigments. Radiocarbon (14 C) Accelerator Mass

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Spectrometry (AMS) was used for canvas dating. Paint cross-sections were first examined using conventional optical and electron microscopy in order to select the paint areas or particles that would undergo EDX analysis. Cross-section samples whose EDX analysis revealed the presence of all the paint layers underwent further μRS and $\mu ATR\text{-}FTIR$ analyses to obtain information regarding the pigments and the painting technique used by the artist. Lastly, pXRD was used for the identification and quantification of crystalline material mixtures. In addition, this non-invasive procedure does not require painting sampling and the portable instrument can be taken directly to the work of art.

The results of this study on the structure of the painting and the pigments used by the unknown artist would shed some light on a possible connection to Raphael in terms of the date of execution and authorship.

Materials and methods

The painting

The copy of the *Transfiguration of Christ* studied in this paper is an oil painting $(63.3 \times 93.2 \text{ cm})$ on canvas which was bought from an antique dealer in Brussels and belongs to a private collection. The painting is a copy of the *Transfiguration of Christ* of Raphael Sanzio (1518-1520) whose original, painted on a wood panel $(405 \times 278 \text{ cm})$, is in the Vatican Pinacoteca and was

commissioned by Cardinal Giulio de'Medici (1515), the later Pope Clement VII. Raphael worked on the *Transfig*uration until his death in 1520, but the masterpiece was left unfinished, and it is assumed that his pupil Giulio Romano finished it shortly after 1520. Examination of the Transfiguration revealed more than sixteen incomplete areas and *pentimenti*. The first engraved reproduction of this masterpiece was made in 1538 and it is sometimes identified with the manner of the engraver Agostino Veneziano [17]. To our knowledge there are three known copies of the Transfiguration in Spain. The Transfiguration of Christ (396 × 263 cm, oil on wood panel. Museo Nacional del Prado, Madrid, Spain) painted by Giovanni Francesco Penni, Raphael's pupil (1520-1528) [18], The Transfiguration of Christ (405 cm × 278 cm, oil on wood panel. Church of Santa Eufemia, Autillo de Campos, Palencia, Spain) of unknown authorship (16th Century) [19]. The third copy is *The Transfiguration of Christ* (233×162 cm, oil on canvas. Museo de la Real Academia de Bellas Artes de San Fernando, Madrid, Spain) by Domingo Álvarez Enciso (18th Century) [20]. The painting represents two consecutive events described in the Gospel according to Matthew: the upper half shows Christ's Transfiguration on Mount Tabor observed by his disciples Peter, James and John. The lower half depicts the remaining nine disciples and a boy possessed by an evil spirit (Fig. 1).





Fig. 1 The Transfiguration of Christ by Raphael in the Vatican Pinacoteca (left) and the copy of The Transfiguration studied in this paper (right)

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Micro-sampling and preparation of the cross-sections

Five representative micro-samples were obtained with the help of a scalpel. Micro-sampling sites are shown in Fig. 2a. Micro-samples were taken from non-restored areas to ensure representability and UV light was used to select the most appropriate sampling sites avoiding the dark areas, which correspond to most recent conservation interventions (Fig. 2b). Sampling was performed according to the principle of minimum invasiveness. The colored areas selected for micro-sampling were: brown from landscape at the bottom (RF-1 sample); blue from the woman's robe and sky (RF-2 and RF-5 samples, respectively); purple from the tunic of the figure on the right (RF-3 sample); and yellow from the robe of the male figure at the bottom left corner next (RF-4 sample). Each micro-sample containing the complete layer structure was embedded in Technovit resin, which was subsequently microtomed and polished with a Struer Tegrapol-15. Cross-sections were obtained for analysis.

For in situ pXRD measurements the following locations were examined: blue from the sky (69), red from the tunic of the figure on the left (102), purple from the tunic of the figure on the right (105), tunic green from the figure on the right (109), and flesh tone from the foot of the figure on the right at the bottom of the painting (118) and

yellow from the apostle's cape next to the demonized boy (115) (Fig. 2a).

Imaging and technical photography

Visible images were obtained using a Canon 5D Mark-3 camera and four 500-W halogen spotlights. UV photographs were obtained with a Nikon Z-7 camera with a 24–70 zoom lens under UV illumination provided by four lamps (50 Hz Model CLE 5 Amps, 6×36 Watts).

Optical microscopy and scanning electron microscopy coupled with energy dispersive X-ray analysis

Cross sections images were obtained using an Olympus BX60 Microscope equipped with an LM Plan Fl 20x/0.40 objective and a DP 70 12.5 Megapixel CCD Camera. Due to the thickness of the cross sections, reflected light was used in all cases.

The Scanning Electron Microscope was a ZeissSupra 40Vp (maximum resolution: 1.3 nm; acceleration voltage: 0.2–30 kV; software: SmartSEM) equipped with SE (InLens) and Back-scattered Electron Detector (BSE) detectors that provide morphological and chemical images, respectively. Lastly, an Aztec 2.2 EDX system equipped with an XMAX 50 mm2 silicon drift detector was also used.





Fig. 2 Copy of The Transfiguration of Christ. **a** Sampling sites for cross-section analysis (RF-1 to RF-5 samples); areas examined by non-invasive pXRD (118, 116, 109, 102, 105 and 69 areas) and sample taken from the canvas (RC); **b** UV photograph

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MicroRaman spectrometry

Raman spectra of the samples were obtained with a JASCO NRS-5100 spectrometer equipped with a Peltiercooled CCD detector coupled to an OLYMPUS confocal microscope. The 520.7 cm⁻¹ peak of a silicon standard was used for calibration. The spectrometer has two external diode lasers (solid-state source): a Nd:YAG laser with 532 nm wavelength (green) and a Starbright laser with 785 nm wavelength (red) (Torsana Laser Technologies) and 2 cm⁻¹ resolution. Most of the Raman determinations were done with the 785 nm diode laser as the excitation source because it provides good Raman spectra recording with minimal fluorescence from the organic matrix or from the pigments. The 785 nm laser was set at 24 mW power, with 50 s acquisition time and 50 scans. The spectra of the blue pigment were acquired with the 532 nm laser at 3.7 mW power, with 50 s acquisition time and 6 accumulations. A color digital camera attached to the microscope ($\times 5, \times 20$ and $\times 100$ magnification) allowed the visual examination of the samples and the selection of the sampling areas to collect the Raman spectra. Manager II software v. 2.08.04 was used for system control, data acquisition and data analysis. Baseline correction and slight smoothing were applied to reduce noise and facilitate data collection. The evaluation and interpretation of the Raman spectra was based on available databases of reference spectra [21, 22] including the Infrared and Raman Users Group (IRUG) database [23].

Micro-attenuated Total Reflectance Fourier Transform Infrared Spectroscopy

The FTIR spectra were acquired with a JASCO IRT-7100 microscope and a JASCO 6200 infrared spectrometer with a diamond micro-ATR accessory. SPECTRA MANAGER v2 software was used for data acquisition and analysis. The ATR spectra were recorded from 600 to $4000~\rm cm^{-1}$, with a resolution of $2~\rm cm^{-1}$ and $200~\rm scans$.

Portable diffractometer (p-XRD) and XPowderX software

The XRD data were collected directly on the painting surface using the Duetto portable diffractometer [14, 15], which does not involve any type of sample preparation. Duetto was the first commercial X-ray diffraction (XRD) and X-ray fluorescence (XRF) instrument specifically designed for Cultural Heritage. Duetto provides noninvasive in-situ XRD and XRF analysis of small areas or spots of an object of flat and convex surfaces allowing the identification of its mineralogical and chemical composition. Duetto is configured in reflection geometry and a detector collects the X-ray scattered and the energy discrimination enables simultaneous XRD and XRF measurements without invasive sampling. For good quality data, the instrument requires a sufficiently fine particles,

as is typically the case with pigments. Data were processed using the XPowderX software [24]. Duetto has a Cu microfocus X-ray tube operated at 30 kV and 333 uA (10 W). The angular coverage of the fixed CCD detector is 20–50 deg 2theta. The integration of 2D XRD patterns results in diffractograms with a 2theta step size of 0.05 deg. The angular resolution was about 0.3 deg 2theta FWHM at 30 deg.

Accelerator mass spectrometry (AMS)

The radiocarbon dating method was used for canvas age determination. This method depends on the decay to nitrogen of radiocarbon (carbon-14). AMS analyses were conducted at the *Centro Nacional de Aceleradores* (*CNA*) in the University of Seville (Spain). For the analysis approximately 0.5 cm² of canvas sample was obtained with a scalpel from the top right edge of the painting (RC sample), as shown in Fig. 2a. The RC sample was prepared in the CNA laboratory following the standard cleaning procedure using organic solvents, acid and bases, to obtain around 1 mg of pure carbon sample [25].

Results and discussion

Examination of the painting surface under visible and UV light revealed the good preservation of the Transfiguration copy as well as traces of subsequent interventions in some areas (see Fig. 2b). The painting structure as well as the chemical and mineralogical composition of the paint layers were determined by OM, SEM/EDX, µATR-FTIR and µRS. In addition, non-invasive pXRD help to confirm the crystallographic phases of some pigment and fillers whose identification using other techniques was inconclusive. As for the results of the determinations, the layer structure (ground and pictorial layers) will be first discussed and this will be followed by the analysis of the chemical nature of the pigments, minerals and fillers present in the blue, purple, yellow, brown, red and green colors. To avoid further damage to the painting, no samples were taken of the red and green colors used in the clothes of some figures located in the central areas of the painting. Nevertheless, these areas were analysed by noninvasive pXRD.

Painting layer structure

OM examination of the cross-sections showed a redbrown ground preparatory layer, an underpainting and the pictorial layer as well as an absence of varnish (first column of Table 1). The thickness of the underpainting varied between cross-sections ranging between 10 and 70 μ m, which means that it may have been applied only to localized areas of the painting rather than to the entire painting. The thickest underpainting layer is observed in the blue mantle of the woman (RF-2 sample) and the

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Table 1 Sample description. Color of the sampling area, estimated thickness of each layer (Layer1: ground; Layer2: underpainting; Layer3: pictorial layer); chemical elements revealed by the EDX microanalysis differentiating between major, minor and trace components, and the pigments suggested by the structural, morphological, molecular and elemental information

Sample/color	Layers (thickness)	SEM-EDX microanal	ysis (elements)	Pigments and fillers suggested by		
		Major components	Minor and trace (tr) components	OM, SEM–EDX, µRS, µATR-FTIR and pXRD		
Ground layer/red-brown	1: 30–1650 μm	Fe, C, Ca	Si,Pb,P(tr),K(tr),Mn(tr),S(tr),Al(tr),Ba(tr), Ti(tr),Co(tr)	Iron oxides (hematite, goethite), carbon black, gypsum, white lead (cerussite and hidrocerussite), bone black and traces of quartz, calcite, baryte, gypsum, dolomite, siderite, silicate minerals as feldspar (orthoclase, microcline) and lepidocrocite		
RF-1/brown area soil	3: pictorial layer (10–30 μm)	3: C, Pb	3: Ca,Mg,S(tr),Al(tr), Si(tr),P(tr),K(tr),Fe(tr),Na(tr)Ba(tr)	3: white lead (cerussite and hydroceru site, iron oxides, bone black, carbon black, calcite		
RF-2/blue robe	3: pictorial layer (30–60 μm) 2: underpainting (10–70 μm)	3: Al, Si, Na, K 2: C, Pb,	3: Fe,Pb,S,Co,Ca(tr), Ba(tr) 2: Si,Fe,Al(tr),K(tr), Ca(tr)	3: white lead (cerussite and hydrocerus site, lapis lazuli, smalt 2: white lead (cerussite and hydrocerus site), carbon black		
RF-3/purple tunic	3: pictorial layer (10–40 µm) 2: underpainting (20–70 µm)	3: C, Pb, Al, 2: C, Pb,	3: Fe,Ca,Si(tr),S(tr),K(tr), Ba(tr),Mn(tr) 2: Fe,Ca(tr),Si(tr),Al(tr)	3: red lake, white lead (cerussite and hydrocerussite) 2: white lead (cerussite and hydrocerus- site), carbon black		
RF-4/yellow robe	3: pictorial layer (10–50 μm) 2: underpainting (10–40 μm)	3: C,Pb, 2: C, Pb,	3: Ca,Fe,Si(tr),Al(tr) 2: Fe(tr)	3: white lead (cerussite and hydrocer- ussite), iron oxides (goethite), lead tin yellow 2: white lead (cerussite and hydrocerus site), carbon black		
RF-5/blue sky	3: pictorial layer (10–60 μm)	3: blue particle: Si, Al, 3: black area: C, Pb	3: blue particle: Co,Pb, Mg, Fe(tr),K(tr),Na(tr), S(tr),Zn(tr),Ca(tr) 3: black area: Ca,Si,P,Mg,Al(tr), Fe(tr),K(tr)	3: blue particle: lapis lazuli, smalt 3: black area: carbon black, bone black, white lead (cerussite and hydrocerus- site)		

purple tunic (RF-3 sample). In contrast, the underpainting is very thin in the sample from the yellow robe (RF-4 sample) and its color seems to be impregnated with the red-brown color used in the ground painted below. In samples RF-1 and RF-5, collected from the very edge of the painting, where the underlayer is very fine. In the pictorial layer, blue, black and red pigment particles were mixed with a white color to provide the lighter coloration and tone observed in blue and purple areas, respectively. The color of the sampling area estimated thickness of each layer, elemental composition and crystallographic phases are listed in Table 1. The last column shows the pigments suggested by the structural, morphological, molecular and elemental information provided by the multi-technique approach (OM, SEM-EDX, µRS, µATR-FTIR and pXRD).

Ground layer

The combination of the morphological observations and the results from the EDX, μ RS, μ ATR-FTIR and pXRD analyses showed that the red-brown ground was very

similar in composition in all the areas sampled. This redbrown ground can be found in works of art executed in Europe during the sixteenth-eighteenth centuries [26]. The first row in Table 1, shows the results obtained for the ground layer. Its thickness varied between 30 and 1650 µm depending on the area analyzed. The EDX microanalysis revealed the presence of Fe associated with Si, Al and traces of other elements, which indicates the presence of earth pigments (red and yellow pigments). Raman bands at 221 vs, 289 vs, 406 m, 490 w and 603 w cm⁻¹ are consistent with the presence of iron oxides (goethite (α-FeOOH), hematite (α-Fe₂O₃ and lepidocrocite y-FeO(OH)), and traces of clay minerals suggest the presence of aluminosilicate minerals. Calcite (1086 cm^{-1}) , white lead $(2PbCO_3 \cdot Pb(OH)_2)$ (1049 cm^{-1}) and carbon black (1311 and 1580 cm⁻¹) were also identified. Iron oxides and clay minerals provide the ground layer with the red-brown color, whose variations are related to the amount and nature of the iron oxide chromophore (yellow goethite and red hematite). In addition, traces of baryte (BaSO₄) were suggested by the

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distribution map of Ba, distribution map of S (Fig. 3b) and the elemental EDX spectrum in the spot indicated (Fig. 3c). Traces of gypsum (CaSO₄·2H₂O) and transparent glass particles with traces of Mn were also detected in the ground layer (Fig. 3f). According to the literature [30–34], in the Italian Renaissance ground layers were often enriched with colorless glass particles with traces of Mn as an additive to speed up the drying of the oil paint.

Fine particles of black pigment were observed in the cross-section microphotographs of both the pictorial layer and the ground layer (Figs. 3a, 4a). The presence of C is supported by the elemental EDX microanalysis and Raman spectrum whose bands (1311 and 1580 cm $^{-1}$) correspond with those available in databases for standard pigments [22, 23] (Fig. 4d). However, these techniques cannot differentiate between different black pigments, most of which are organic compounds. An exception is bone black, (Ca₅(OH)(PO₄)₃ and C, whose presence is supported by the detection of Ca and traces of P by EDX

microanalysis of black particles in the ground layer. The EDX microanalysis was carried out in the black box area in Fig. 4a. The elemental distribution EDX map (Fig. 4b) and the EDX spectrum (Fig. 4c) from the sky area show the simultaneous presence of P, Ca and C in a dark particle. Ca probably comes from calcium phosphate and from the traces of calcium carbonate detected. This finding suggests the use of an amorphous carbon black mixed with some bone black pigment [Ca₅(OH)(PO₄)₃] in the ground area of the painting. The use of bone black pigment cannot be confirmed by Raman analyses due to the absence of a band around 965 cm⁻¹, caused by the phosphate stretching mode (hydroxyapatite). As expected, since the amounts of phosphate are usually at the trace level, no significant FTIR bands that could be assigned to phosphate were found.

Diffraction data were collected directly from the painting surface using the Duetto portable diffractometer. Table 2 shows the primary pigments found in the selected

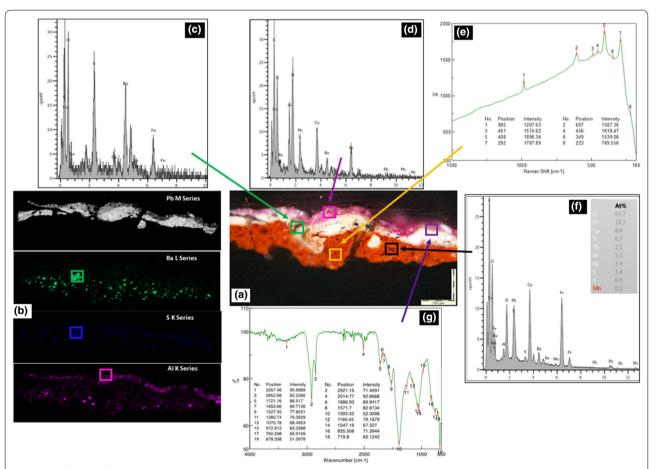


Fig. 3 Identification of pigments in the RF-3 sample. Purple color. **a** Microphotograph of the cross section under visible light; **b** Elemental distribution map for Pb Mα-line, Ba Lα-line, S Kα-line, Al Kα-line; **c** SEM-EDX spectrum from the white underpainting (Ba, Pb, Al); **d** SEM-EDX spectrum from the purple paint layer; **e** Raman spectrum from the ground layer; **f** SEM-EDX spectrum from the ground layer (Mn); **g** μ ATR-FTIR spectrum from the pictorial and underpainting layers

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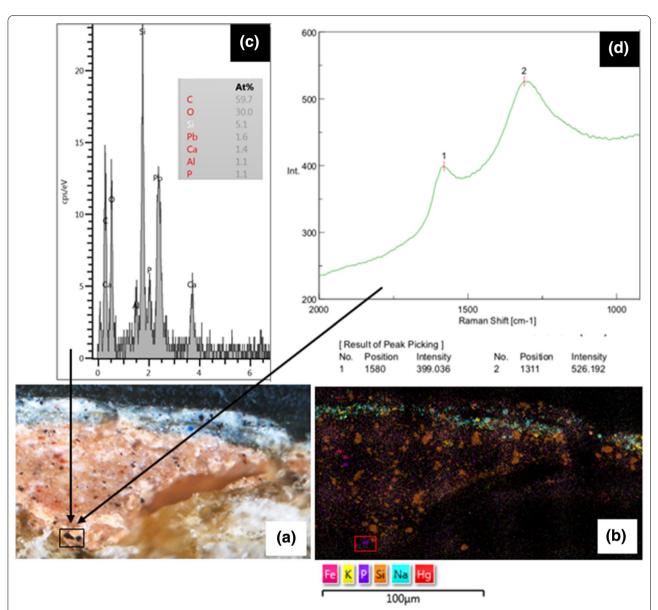


Fig. 4 Identification of pigments in the RF-5 sample. Blue color. **a** Microphotograph of the cross section under visible light; **b** Elemental distribution EDX map; **c** SEM-EDX spectrum from the black box area; **d** Raman spectrum from the black particle

 Table 2
 % Relative Pattern Intensity Ratio (PIR) of pigments in selected areas

Sample	Cerussite	Hydrocerussite	Calcite	Lead Tin Yellow	Lazurite	Lepidocrocite	Cinnabar	Graphite
V105	36.8 ± 2.8	30.2 ± 5.3	=	_	=	15,2 ± 2.9	17.8±6.8	
Y111	15.7 ± 5.0	10.5 ± 5.4	8.8 ± 4.9	38.6 ± 1.5	_	10.9 ± 2.9	10.5 ± 5.3	5.1 ± 5.4
G109	21.5 ± 3.0	19.5 ± 3.4	22.0 ± 1.7	21.9 ± 3.3	_	9.0 ± 4.7	_	_
R102	19.8 ± 5.4	20.3 ± 4.8	_	_	_	14.0 ± 3.2	32.6 ± 2.9	13.3 ± 4.8
C104	46.0 ± 1 ,	44.6 ± 2.4	_	_	_		3.3 ± 2.6	6.1 ± 4.9
C118	46.5 ± 2.6	32.8 ± 4.5	_	_	_	20.3 ± 4.3	3.4 ± 2.5	_
B69	36.7 ± 3.7	44.5 ± 2.6	=	-	18.8 ± 5.4	_	=	=

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areas analyzed by pXRD. Thus, in the blue areas, lapis lazuli ($18.8\pm5.4\%$) is clearly observed. In the yellow, tin and lead yellow ($38.6\pm1.5\%$). In the green tin and lead yellow ($21.9\pm3.3\%$) and in the red cinnabar ($32.6\pm2.9\%$).

All the diffractograms as well as the stratigraphic cross sections of the preparation layer reveal the presence of cerussite and hydrocerussite. Since the XRD analysis can not determine if these lead carbonates are part of the preparation layers or were by the artist to achieve light colors, their presence in the preparation layer has been verified by the different techniques. The mineralogical phases identified are interpreted together with stratigraphic observations, clearly visible in both OM and BSE cross-sectional images. XRD analysis revealed the presence of calcite, cerussite and hydrocerussite as well as traces of gypsum, quartz, dolomite, siderite, silicate minerals such as feldspar (orthoclase, microcline) and lepidocrocite, found in all the samples collected from the ground areas. Nevertheless, the analyses are strongly determined by the top layer composition.

Underpainting

Conventional OM examination revealed a white or light underpainting that was used to lighten the blue, purple and yellow colors in samples RF-2, RF-3 and RF-4, respectively. In the RF-4 sample, the underpainting is very thin, and the coloration is similar to that of the ground layer but more yellowish. The presence of a band at $1055~\rm cm^{-1}$ (v1 $\rm CO_3^{2-}$ symmetric stretching vibrations) in the RS analysis confirms an abundance of lead carbonate in the underpainting layer.

Pictorial layer: brown area (RF-1 sample)

Conventional OM analysis revealed a dark ochre pictorial layer in the red-brown ground area at the bottom of the painting that is hardly distinguishable from the fine light ochre underpainting and ground layer on which it was applied. The pictorial layer in the RF-1 sample shows abundant Pb, from white lead pigment, and C (Table 1). The fluorescence interference observed in the Raman spectra obtained from this area suggests the presence of a high content of organic compounds used as binder which could overlap the presence of C. Raman spectra allowed the identification of white lead (1050 cm⁻¹), calcite (1087 cm⁻¹) and some characteristic Raman bands of iron oxide/hydroxide mixtures such as red earths and hematite (228 s, 293vs, 409 m, 608 m, 1298w cm⁻¹) in some red particles probably coming from the ground layer beneath.

Pictorial layer: blue area (RF-2 and RF-5 samples)

Samples of blue color from two different areas of the painting were taken from the sky (RF-5 sample) and

from the robe of the woman at the bottom of the painting (RF-2 sample). Two layers over the ground layer can be clearly observed in RF-2 sample cross section (Fig. 5a). The artist applied a white-yellowish underpainting over the red-brown ground layer which shows abundant black particles and some blue particles, clearly visible in both OM and BSE cross-sectional images. Nevertheless, the blue color from the sky area (RF-5 sample) was applied on a very thin underpainting layer on the red-brown ground. Many blue particles of different shapes were distributed throughout the thickness of the outer blue layer in both RF-2 and RF-5 samples. Some of those particles show the typical conchoidal shape, occasionally concave edges with a size (20-70 µm) and morphology characteristic of smalt (cobalt-based blue pigment)) [27-29, 34] The distribution of Si, Co, K and S in the EDX spectra (Table 1) is consistent with the presence of smalt particles in the blue layer from the RF-2 sample. A selected EDX spectrum is shown in Fig. 5b. In addition, the SEM-EDX analysis revealed the presence of Pb in the white particles and Na, Al, and Si in other blue particles seen in the pictorial layer of the RF-2 sample, which may correspond to white lead and lapis lazuli (sulphur-containing aluminum silicate, Na₈[A1₆Si₆O₂₄]Sn) (Fig. 5c) [35]. The amount of backscattered electrons of the Pb-containing pigment is higher than that of Si, Na and Al, which are also observed in the EDX spectra. Consequently, C and Pb are the main elements of the blue pictorial layer in the RF-2 sample.

To confirm the presence of lapis lazuli the 530 nm wavelength was finally used to obtain the Raman spectra of the blue particles because of its better band discrimination. Figure 6d shows a selected Raman spectrum from the blue pictorial layer of the woman's robe (RF-2 sample) acquired at 150 and 1.200 cm⁻¹, with 258, 548, 822 and 1090 cm⁻¹ bands. Although the bands at 258 cm⁻¹ and 822 cm⁻¹, characteristic of lapis lazuli, are scarcely reported in the literature, our results showed one band at 258 cm⁻¹ and a weak but wide band at 800 cm⁻¹. XRD results support lazurite from Lapis Lazuli pigment found on the sky area (B-69 site) (Table 2) in addition to cerussite, hydrocerussite and calcite that most likely come from the ground layer.

Pictorial layer. Purple area (RF-3 sample)

The OM and BSE images from the RF-3 sample crosssection revealed that the artist also applied a broad white underpainting over the ground layer in the purplecolored area (Fig. 3a). The EDX microanalysis (Al, Ca, C and Pb) (Fig. 3d) and the Pb and Al distribution maps (Fig. 3b) showed that a red lake with an aluminum-based substrate [36] was applied over the pure white lead underpainting to obtain the purple color in the tunic (RF-3). Varying proportions of red lake and some blue Manzano et al. Herit Sci (2021) 9:150 Page 10 of 14

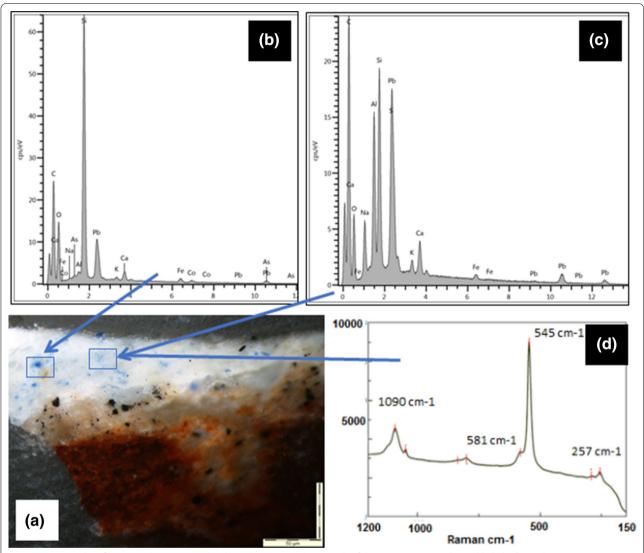


Fig. 5 Pigment identification in the RF-2 sample. Blue color. **a** Microphotograph of the cross section under visible light; **b** Smalt SEM–EDX spectrum **c** Lapis lazuli SEM–EDX spectrum; **d** Raman spectrum of blue particle (lapis lazuli)

particles mixed with white fillers (calcite, barium sulphate) and white lead and carbon-based black pigments used for the purple color were often used by Raphael according to the literature [27]. In addition, trace levels of Mn and C in the dark areas indicate the presence of additional compounds that were probably used for shadowing the purple and blue robes of some figures. The XRD analysis revealed the presence of traces of lead tin yellow pigment in the purple color despite Sn was not revealed by the EDX microanalysis in this area [16]. For this reason, this might be an impurity from the painting tools in the purple color. Consequently black, red, blue and yellow particles also mixed with calcite or white lead are responsible of the purple color.

In contrast, no Raman spectra from the purple tunic was obtained with any of the excitation lines used (780 nm and 530 nm) because the intense fluorescence generated by the organic red lake and organic binders swamp the Raman signal [11]. In some spots from the purple area, was used to identify the characteristic IR bands of red. The results of μATR -FTIR can be difficult to interpret due to numerous vibrational bands in the 1750–1150 cm $^{-1}$ range and to the overlapping bands from organic binders (1730–1600 cm $^{-1}$) and from white lead pigment (1389 cm $^{-1}$) [37, 38]. Thus, the μATR -IR spectra from the outer layer exhibited the v(CH $_2$) and v(CH $_3$) stretching bands at 2921 and 2852 cm $^{-1}$ related to the presence of hydrocarbon chains (C–H) from the

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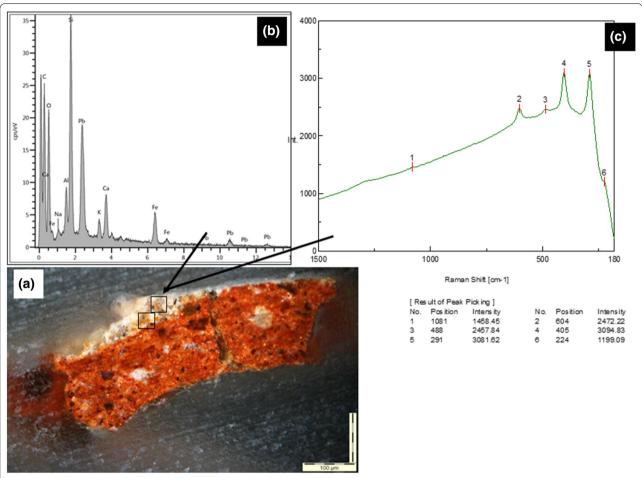


Fig. 6 Pigment identification in the RF-4 sample. Yellow color. **a** Microphotograph of the cross section under visible light; **b** SEM-EDX spectrum from the yellow layer; **c** Raman spectrum from the yellow layer

oil binding medium and the organic dyes. In addition, the C=O stretch at 1721 cm $^{-1}$ and at 1686, 1653, 1571 and 1527 cm $^{-1}$ suggest some type of organic dye. Nevertheless, the identification of red lakes by $\mu ATR\text{-}FTIR$ analysis was inconclusive.

Pictorial layer. Yellow area (RF-4)

The OM image from the cross-section of RF-4 sample revealed a thin yellowish layer that is hard to differentiate from the fine light ochre underpainting on the redbrown ground layer on which it was applied (Fig. 6a). The SEM–EDX microanalysis revealed the presence of abundant Pb and less abundant Fe and Ca (Fig. 6b). Raman spectra showed bands characteristic of white lead, red iron oxides and oxyhydroxides with other minerals such as calcite and traces of clay minerals (221sh cm⁻¹, 288vs cm⁻¹, 402vs cm⁻¹, 489w cm⁻¹, 602 s cm⁻¹, 1085w cm⁻¹). Figure 6c shows a Raman spectrum from the yellow area. The SEM–EDX analysis showed the presence of yellow earth, carbon black and some particles of smalt and lapis

lazuli, all mixed with abundant white lead. The elemental mapping supported the presence of abundant Fe in the pictorial layer which is consistent with the use of goethite as a pigment. Lead tin yellow was identified by noninvasive XRD analysis in the yellow area rich in calcite (Table 2). Lead tin yellow was widely used by Renaissance artists. [27].

Pictorial layer: red, green and flesh tone areas (R-102, G-109 and C-104 sites)

In order to minimize damage to the painting, no samples were taken from the central areas. Consequently, the composition of the crystalline pigments in the red, green and flesh tone areas was obtained through pXRD measurements directly taken from the painting surface. Since vermilion was not detected by EDX or μRS on the red draperies (R-102), pXRD measurement allowed to identify the red pigment mercury sulfide ($\alpha\textsc{-HgS}$), or cinnabar in its natural form (Table 2). On the flesh tones of the figure (C-118) cinnabar was found mixed with cerussite,

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azurite and lazurite. The green tones from the robe of the figure on the right (G-109) consist of mixtures of cerussite, hydrocerussite, lazurite and an unknown yellow pigment that provides the green color. This yellow pigment might be the same lead tin yellow pigment used in the yellow areas, but it has not been confirmed.

Canvas radiocarbon dating

AMS measurement were used for canvas dating [39]. The results of the 14C dating are as follows: CNA5281.2.1, Radiocarbon Age: 360 ± 25 BP, $\delta13C=-26.0\pm1.5$ per mil. Radiocarbon age was calibrated (2 σ) giving:1451–1526 AD (55.3%) and 1555–1633 AD (44.7%). In order to simplify the discussion, both ranges can be combined which suggests the canvas can be dated to 1451–1633 AD with a 95% confidence level.

Conclusion and future work

Several analytical methods including OM, SEM-EDX, μRS, μATR-FTIR and pXRD were employed to shed light on the pigments, materials and structure of the paint layers of this copy of Raphael's Transfiguration. OM observation of the cross sections revealed the presence of a red-brown ground layer and different pictorial layers as well as an absence of varnish. The thickness of the underpainting and the pictorial layers varies between the different colors applied. In this respect, a thick underpainting layer mainly composed of white lead pigment is observed in the drapery of some figures as the artist searched for specific coloring (light colors) and saturation effects. The underpainting in the rest of the samples from the brown and blue-sky areas is difficult to distinguish. The combination of complementary techniques confirmed the presence of iron oxides (hematite and goethite), cerussite, hydrocerussite with traces of clay minerals and aluminosilicate mineral, gypsum or anhydrite, calcite and baryte on the ground layer. This red-brown ground was usually used by artists in Europe during the sixteenth-eighteenth centuries, including painting. Mn and C black pigments were also found in the painting, which were usually aimed at darkening the tones of the drapery as well as the colorless powdered glass. The literature available has also reported on the presence of powdered glass used to speed up the drying of the paint in some paintings by Raphael and other European artists from the fifteenth-seventeenth centuries. All the pigments and fillers identified (cerussite, hydrocerussite, goethite, hematite, lepidocrocite, red lake, cinnabar, lapis lazuli, smalt, lead tin yellow, carbon and traces of bone blacks were available in the sixteenth-seventeenth centuries in Europe. The ¹⁴C AMS revealed that the canvas sample had a radiocarbon data consistent with the second half of the fifteenth century and the first quarter of the seventeenth century (with a 95% confidence level).

Although the focus of the present study was to improve the analytical methodology in the Cultural Heritage field to better understand the artist's palette, the structural and analytical results will provide us with information to explore the authorship of the copy or the school that executed it.

Abbreviations

OM: Optical microscopy; SEM–EDX: Scanning electron microscopy and Energy-dispersive X-ray spectroscopy; µATR-FTIR: Micro-attenuated Total Reflectance Fourier Transform Infrared Spectroscopy; µ-RS: MicroRaman spectrometry; XRF: X-ray fluorescence spectroscopy; XRD: X-ray Powder Diffraction; ASM: Accelerator Mass Spectrometry.

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Authors' contributions

All the authors belong to the research team Unit of Non-Invasive Analytical Techniques Unit (University of Granada, Spain) funded by EQC2018-004952-P and A-HUM-164-UGR18 Projects, currently active. All the authors read and approved the final manuscript. EM: Professor of Analytical Chemistry applied under Cultural heritage at the Department of Analytical chemistry of the University of Granada, Spain. The author contributed to the examination of the Transfiguration of Christ, using photography, SEM-EDX, and performed the µRS and µATR-FTIR analyses; and contributed to the interpretation of the datasets, contextualization of data, and writing of the manuscript. RB: Full Professor of Analytical Chemistry at the Department of Analytical chemistry of the University of Granada, Spain, The author contributed to the examination of the painting, sampling, contextualization of the painting, elaboration of tables and figures, contextualization of data, and participated in the interpretation of the datasets. The author read and approved the final manuscript. JDM-R: Professor of Mineralogy at the Department of Mineralogy and Petrology of the University of Granada, Spain. The author provided the interpretation of the mineralogical data. GCh: Professor of Mineralogy Applied under Cultural Heritage at the Department of Earth Sciences of the University of Torino, Italy, Chief Scientist at the Getty Conservation Institute in Los Angeles, CA (director of the Science department for 11 years). The author works now as a freelance consultant in the field of Conservation of Cultural heritage. The author read and approved the final manuscript. PS: Scientist at the SETI Institute and founder of eXaminart LLC. The author develops miniature X-ray analytical instruments for Space exploration ((e.g. the NASA CheMin XRD instrument inside the Curiosity Mars rover) and portable instruments dedicated to cultural heritage. The author contributed to the examination of the Transfiguration of Christ, using pXRD. The author read and approved the final manuscript. JLV: Full Professor of Analytical Chemistry at the Department of Analytical chemistry of the University of Granada, Spain. The author contributed to the *Transfiguration* of Christ, using photography, SEM-EDX; contributed to the interpretation of the datasets, the contextualization and revision of data. All authors read and approved the final manuscript.

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Availability of data and materials

The datasets used and/or analyzed during the current study are available from the authors upon request.

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Declarations

Competing interests

The authors declare that they have no competing interests.

Author details

¹Department of Analytical Chemistry, University of Granada, Fuentenueva s/n, 18071 Granada, Spain. ²Department of Analytical Chemistry, University of Granada, Fuentenueva s/n, 18071 Granada, Spain. ³Department of Mineralogy and Petrology, University of Granada, Fuentenueva s/n, 18071 Granada, Spain. ⁴Getty Conservation Institute, (retired) Via S. Tommaso 29, 10121 Torino, Italy. ⁵eXaminArt LLC, Mountain View, CA, USA.

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