Published for SISSA by 🖄 Springer

RECEIVED: August 4, 2020 REVISED: August 14, 2021 ACCEPTED: September 3, 2021 PUBLISHED: September 23, 2021

# Holographic Fermi surfaces in charge density wave from D2-D8

# Subir Mukhopadhyay<sup>a</sup> and Nishal Rai<sup>b,c</sup>

- <sup>a</sup>Department of Physics, Sikkim University, 6th Mile, Gangtok 737102, India
- <sup>b</sup>Departamento de Física Atómica, Molecular y Nuclear, Universidad de Granada, 18071-Granada, Spain
- <sup>c</sup>Department of Physics, SRM University Sikkim, 5th Mile, Tadong, Gangtok 737102, India

*E-mail:* subirkm@gmail.com, nishalrai10@gmail.com

ABSTRACT: D2-D8 model admits a numerical solution that corresponds to a charge density wave and a spin density wave. Considering that as the background, we numerically solve the Dirac equation for probe fermions. From the solution, we obtain the Green's function and study the behaviour of the spectral density. We begin with generic fermions and have studied the formation of the Fermi surface and where it develops a gap. In addition, we have incorporated an ionic lattice and study its effect on the Fermi surface. Then we analysed the worldvolume fermions. In this particular model we do not find Fermi surface for the dual operators.

KEYWORDS: Gauge-gravity correspondence, Holography and condensed matter physics (AdS/CMT)

ARXIV EPRINT: 2008.00432





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# 1 Introduction

There are certain strongly correlated electron systems, such as, strange metals in high  $T_c$  superconductors and heavy fermion systems [1–3], which admit Fermi surfaces but the excitations are not long-lived and thus live outside the regime of the Fermi liquid theory. Techniques of holography [4–7] have been proved to be quite successful in unravelling various features of such non-Fermi liquids. Especially measurements of Angle Resolved Photoemission Spectroscopy (ARPES) or Scanning Tunneling Microscopy (STM) can be compared with holographic spectral functions leading to a test of holographic applications. Substantial studies of holographic Fermi surfaces have been conducted both in bottom-up [8–15] and top-down [16–29] approaches (see [30] for a review) over the recent past. These works mostly deal with the homogeneous states respecting translational invariance, while on the other hand, there exist real materials, whose ground states are characterised by spontaneously broken translational symmetry and in particular, there are spatially modulated states featured by charge and spin density waves, such as pseudogap regime of cuprate superconductors that breaks translational symmetry and some or all the symmetries of the underlying lattice [31], which calls for similar studies for such kinds of systems.

Studies of holographic models, simulating the effect of breaking of translational symmetry in homogeneous models appear in a number of works. Gravitational solutions with homogeneity are often inflicted with instabilities leading to spatially inhomogeneous solutions as demonstrated in various models in bottom-up [32–50] as well as in top-down approach [51–54]. In particular, [41] considered a bottom-up model with two U(1) gauge fields that leads to a pair density wave with coexistence of a superconducting phase and

a charge density wave. Similar inhomogeneous solutions are also obtained for Einstein-Maxwell-dilaton model [43], axionic system [44], higher derivative gravity model with complex scalar and gauge field [45, 46] and a checkerboard solution, which breaks translation symmetry spontaneously in two directions [47]. In the top-down approach, a D3-D7 model has been found to develop instabilities [51] leading to a charge density and a spin density waves [52]. Instabilities of a similar D2-D8 model have been analysed in [53, 54] and found to lead to a combination of spin and charge density waves.

The Fermi surfaces of such spatially modulated solutions obtained from a holographic perspective, have been analysed and several works have appeared on this score [55–61]. Periodic lattices were introduced by considering perturbatively small periodic modulation of the chemical potential in [55], which was further extended by incorporating the back reaction on the gravity [56]. These lead to anisotropic Fermi surface as well as a band gap at the boundary of the Brillouin zone, though the lattice periodicity is introduced manually and is irrelevant in the infrared. Fermi surfaces have been studied for striped solution obtained in a bottom-up approach with co-existing charge density wave and superconducting phases [57]. In addition, they introduced a lattice by periodic modulation of the chemical potential and studied the Fermi surface. They find when the Fermi surface is large enough and cross the Brillouin zone boundary, it develops a gap, which increases as the strength of the lattice increases.

The aim of the present work is to study the Fermi surface of the spatially modulated solution obtained in a D2-D8 model. As mentioned above, instability in a D2-D8 model [53, 54] leads to a combination of a charge density wave (CDW) and a spin density wave (SDW), which was obtained numerically in [62]. As has been shown there that this solution is thermodynamically stable with respect to the homogeneous solutions and other instabilities within a domain of the parameter space.

To begin with, we have manually introduced a generic probe fermion in this background coupled to the gauge field in the fundamental. This spatially modulated solution exhibits spontaneous breaking of the translational symmetry. In addition, in order to consider the effect of explicit breaking of the translational symmetry, we have also manually introduced an ionic lattice simulated by choosing a periodically varying chemical potential.

In the case of generic fermions, we find that the dual theory admits Fermi surface once the charge is sufficiently large and as the fermionic charge increases, the Fermi surface crosses the boundary of the Brillouin zone. At the point where two Fermi surfaces intersect, a gap develops due to Umklapp scattering leading to Fermi pockets (inner part of the Fermi surface). In the presence of an ionic lattice, the gap widens and study of the spectral density function at the inner part indicates that a sufficiently large value of the strength of the ionic lattice will lead to disappearance of Fermi pockets (inner part of the Fermi surface).

Subsequently we study the worldvolume fermions, which couple to the fermionic operators living in the dual (2+1)-dimensional Yang-Mills theory. A similar study of top-down fermions appeared in [16] in a linearised approximation. We have studied the spectral function associated with the fermionic operators dual to the worldvolume fermions. However, it does not show a Fermi surface. We have organised the paper in the following manner. Section 2 consists of a brief review of the charge and spin density wave solution obtained from the D2-D8 model in [62]. The third section comprises of a discussion of the Dirac equation for the generic fermions and the numerical techniques employed. In the fourth section we present the results for the generic fermions. We discuss the worldvolume fermions in the fifth section and the sixth section consists of the conclusion.

#### 2 D2-D8 model

The model consists of a configuration of a probe D8 brane along with N D2 branes in the background, which due to instability [51, 54] leads to a spatially modulated solution. In [62] a numerical solution ensuing from this instability was obtained, which is characterised by a charge density and a spin density wave and in this section we will review it. If we consider N to be large and the 't Hooft coupling also to be large,  $g_s N \gg 1$ , this gravity theory is holographically dual to a super Yang-Mills (SYM) theory in (2 + 1) dimensions. In addition, the dual theory also involves charged fermions that follows from the low energy degrees of freedom of bi-fundamental strings. We will also introduce effect of an underlying lattice by choosing a chemical potential, which is periodic in the x direction, in which the translational symmetry is explicitly broken.

The ten-dimensional metric and other fields representing the solution associated with the N D2 branes are given by [51, 54] as follows:

$$ds^{2} = L_{0}^{2} \left[ r^{5/2} (-f(r)dt^{2} + dx^{2} + dy^{2}) + r^{-5/2} \left( \frac{dr^{2}}{f(r)} + r^{2} dS_{6}^{2} \right) \right],$$
  

$$dS_{6}^{2} = d\psi^{2} + \sin^{2}\psi (d\theta_{1}^{2} + \sin^{2}\theta_{1}d\phi_{1}^{2}) + \cos^{2}\psi (d\xi^{2} + \sin^{2}\xi d\theta_{2}^{2} + \sin^{2}\xi \sin^{2}\theta_{2}d\phi_{2}^{2}).$$
 (2.1)  

$$f(r) = 1 - \left( \frac{r_{T}}{r} \right)^{5}, \quad \text{dilaton:} \quad e^{\phi} = g_{s} \left( \frac{r}{L_{0}} \right)^{-5/4},$$
  
five-form potential  $C^{(5)} = c(\psi) L_{0}^{5} d\Sigma_{2} \wedge d\Sigma_{3}$ 

where  $d\Sigma_2$  and  $d\Sigma_3$  are the volume forms on the  $S^2$  and  $S^3$  respectively,  $\psi$  takes values from 0 to  $\pi/2$ ,  $\xi$ ,  $\theta_1$  and  $\theta_2$  take values from 0 to  $\pi$  and  $\phi_1$ ,  $\phi_2$  take values from 0 to  $2\pi$ .  $c(\psi)$  is given by,

$$c(\psi) = \frac{5}{8} \left( \sin \psi - \frac{1}{6} \sin(3\psi) - \frac{1}{10} \sin 5\psi \right), \tag{2.2}$$

 $L_0$  is given by  $L_0^5 = 6\pi^2 g_s N l_s^5$ . The temperature associated with this solution is given by  $T = \frac{5}{4\pi L_0} r_T^{3/2}$ . One may observe that it is not an asymptotically AdS space. For convenience we set  $L_0 = 1$  in what follows.

In this background, we introduce a probe D8 brane along the directions  $t, x, y, r, \theta_1$ ,  $\phi_1, \xi, \theta_2, \phi_2$ . Its position along  $\psi$  direction varies over r and x and the function  $\psi(r, x)$ represents the embedding. A magnetic field on  $S^2$  given by

$$2\pi\alpha' F_{\theta_1\phi_1} = L_0^2 b\sin\theta_1 \tag{2.3}$$

makes this configuration stable. There is a gauge field  $a_{\mu}$ , with  $\mu = (t, x, y, r)$ , which depends on the radial direction and x and with a choice of a radial gauge one sets  $a_r = 0$ . One can consider a constant magnetic field h along the xy direction,  $2\pi \alpha' F_{xy} = h$  which we choose to be zero for present discussion.

The action is given in the following expression,

$$S = S_1 + S_2,$$
  
=  $-T_8 \int d^9 x \ e^{-\phi} \sqrt{-\det(g_{\mu\nu} + 2\pi\alpha' F_{\mu\nu})} - \frac{T_8}{2} (2\pi\alpha')^2 \int C^{(5)} \wedge F \wedge F,$  (2.4)

where the first term represents DBI action, while the second one is a Chern-Simons term.

For convenience, we trade u for r where  $u = \frac{r_T}{r}$ . We also scale the worldvolume coordinates  $x_{\mu} = \hat{x}_{\mu} r_T^{-3/2}$ , the gauge field  $a_{\mu} = r_T \hat{a}_{\mu}$  and the parameter b,  $\hat{b} = b\sqrt{r_T}$ . In terms of u and the rescaled coordinates, the action reduces to

$$S = -Nr_T^2 \int du \ d\hat{x} \ \frac{1}{u^2} \sqrt{D(D_1 + D_2 + D_3)} - Nr_T^2 \int du \ d\hat{x} \ c(\psi)(\hat{a}_{0u}\hat{a}_{\hat{y}\hat{x}} - \hat{a}_{0\hat{x}}\hat{a}_{\hat{y}u}),$$
  
$$= -Nr_T^2 \int du \ d\hat{x} \ \frac{1}{u^2} [\sqrt{D(D_1 + D_2 + D_3)} + u^2 c(\psi)(\hat{a}_{0u}\hat{a}_{\hat{y}\hat{x}} - \hat{a}_{0\hat{x}}\hat{a}_{\hat{y}u})],$$
  
(2.5)

where in the first and second lines the two terms refer to the DBI action and the Chern-Simons term respectively and  $N = 8\pi^3 T_8 V_{1,1}$ ,  $V_{1,1}$  is the volume of spacetime in t and y direction.  $D, D_1, D_2$  and  $D_3$  are defined as follows:

$$D = \cos^{6}\psi\left(\sin^{4}\psi + \frac{\hat{b}^{2}}{u}\right),$$

$$D_{1} = \frac{1}{u^{5}}[1 + u^{2}f\psi_{u}^{2} - u^{4}\hat{a}_{0u}^{2} + u^{4}f\hat{a}_{\hat{y}u}^{2} + fu^{4}\hat{a}_{\hat{x}u}^{2}],$$

$$D_{2} = \frac{\psi_{\hat{x}}^{2}}{u^{2}} - \frac{\hat{a}_{0\hat{x}}^{2}}{f} + \hat{a}_{\hat{y}\hat{x}}^{2},$$

$$D_{3} = -u^{2}\hat{a}_{0u}^{2}\psi_{\hat{x}}^{2} - u^{2}\hat{a}_{0\hat{x}}^{2}\psi_{u}^{2} + u^{2}f\hat{a}_{\hat{y}u}^{2}\psi_{\hat{x}}^{2} - u^{4}\hat{a}_{0\hat{x}}^{2}\hat{a}_{\hat{y}u}^{2} + u^{2}f\hat{a}_{\hat{y}x}^{2}\psi_{u}^{2} - u^{4}\hat{a}_{0u}^{2}\hat{a}_{\hat{y}\hat{x}}^{2} + 2u^{2}\hat{a}_{0u}\hat{a}_{0\hat{x}}\hat{\psi}_{u}\psi_{\hat{x}} + 2u^{4}\hat{a}_{0u}\hat{a}_{0\hat{x}}\hat{a}_{\hat{y}u}\hat{a}_{\hat{y}\hat{x}} - 2u^{2}f\hat{a}_{\hat{y}u}\hat{a}_{\hat{y}\hat{x}}\psi_{u}\psi_{\hat{x}},$$

$$(2.6)$$

$$(2.6)$$

where  $\psi_u = \frac{\partial \psi}{\partial u}$ ,  $\hat{a}_{0\hat{x}} = \frac{\partial \hat{a}_0}{\partial \hat{x}}$ , etc. Since  $\hat{a}_{\hat{x}}$  decouples from the rest of the system we have dropped it.

The equations of motion ensuing from the above action are nonlinear. Nevertheless, these partial differential equations in  $\hat{x}$  and u can be solved using numerical techniques. These equations have the following symmetries:  $\hat{x} \to -\hat{x}$ ,  $\hat{x} \to \frac{L}{2} - \hat{x}$  and a reflection symmetry for h = 0.

With a chemical potential  $\mu(\hat{x})$  the boundary conditions at the ultraviolet limit, u = 0 are,

$$\psi(\hat{x},0) = \psi_{\infty}, \qquad \partial_u \psi(\hat{x},0) = m_{\psi},$$
  

$$\hat{a}_0(\hat{x},0) = \mu(\hat{x}), \qquad \hat{a}_y(x,0) = 0,$$
(2.7)

 $m_{\psi}$  represents mass of the fermion, which we have chosen to be non-zero to avoid instabilities arising from tachyons.  $\psi_{\infty}$  is the asymptotic value of the field  $\psi$  at  $u \to 0$ , which we have chosen to be constant. In order to simulate the effect of a periodic lattice in the  $\hat{x}$  direction, following [57] we have introduced a periodic variation of the chemical potential

$$\mu(\widehat{x}) = \mu(1 + a_i \cos p\widehat{x}), \qquad (2.8)$$

where  $a_i$  represents the relative strength of the one-dimensional lattice and p represents the wavevector associated with the lattice. In the present case, we also have an instrinsic wave vector  $K = \frac{2\pi}{L}$ . In general, they may be different, but once their values are sufficiently close there will be a commensurate lock-in, which may lead to greater stability [61]. In the present discussion we have chosen them to be equal.

A periodic boundary condition is imposed along  $\hat{x}$  direction, so that  $\psi$ ,  $a_0$  and  $a_y$  are periodic along  $\hat{x}$  with periodicity L.

At the asymptotic boundary the zeroeth component of the gauge field can be expanded as,

$$a_0(\hat{x}, u) = \mu(\hat{x}) + d(\hat{x})u^2 + \dots,$$
 (2.9)

where  $d(\hat{x})$  represents the charge density function in the boundary field theory [51, 54]. The average of the charge density over the period is given by

$$\langle d \rangle = \frac{1}{L} \int_{0}^{L} d(\hat{x}) \, d\hat{x}.$$
 (2.10)

while the amplitude is  $Max(d(\hat{x}) - \langle d \rangle)$ .

Similarly,  $\psi$  has the following expansion

$$\psi(\widehat{x}, u) = \psi_{\infty} + m_{\psi}u - c_{\psi}(\widehat{x})u^3 + \dots$$
(2.11)

with  $c_{\psi}(\hat{x})$  representing the fermion bilinear in the dual field theory [51, 54].  $\langle c_{\psi} \rangle$  and  $\operatorname{Max}(c_{\psi}(\hat{x}) - \langle c_{\psi} \rangle)$  represents the average and the amplitude of the spin density wave in the boundary field theory.

As one may observe, the term  $\frac{(\partial_x \hat{a}_0)^2}{f}$  in  $D_2$  diverges at the horizon, since f vanishes there. So we set

$$\hat{a}_0(\hat{x}, 1) = 0.$$
 (2.12)

to have  $\hat{a}$  to be a well-defined one-form at the horizon.

In order to numerically solve the partial differential equations following from the action one can use the pseudospectral method [63]. One consider expansion of  $\psi$ ,  $a_0$  and  $a_y$  along u and  $\hat{x}$  direction in terms of suitable functions. Along u and  $\hat{x}$  one chooses Chebyshev polynomial and Fourier series respectively.

$$\psi = \sum_{m=0}^{N_1-1} \sum_{n=0}^{N_2-1} \psi[m,n] T_m(2u-1) \cos \frac{2\pi n \hat{x}}{L},$$
  

$$\hat{a}_0 = \sum_{m=0}^{N_1-1} \sum_{n=0}^{N_2-1} a_0[m,n] T_m(2u-1) \cos \frac{2\pi n \hat{x}}{L},$$
  

$$\hat{a}_y = \sum_{m=0}^{N_1-1} \sum_{n=0}^{N_2-1} a_y[m,n] T_m(2u-1) \sin \frac{2\pi n \hat{x}}{L}.$$
(2.13)

The collocation points along  $\hat{x}$  direction are distributed uniformly and the points from u = 0 to u = 1 form the Gauss-Lobatto grid. The ultraviolet boundary conditions (2.7) reduces the number of the coefficients. Substitution of these expansions in the equations of motion and evaluating them at collocation points leads to a set of algebraic equations, which can be solved using Newton-Rhapson method. The choice in [62] is  $N_2 = 9$  and  $N_1 = 11$ .

The values for the various parameters are determined as follows. As shown in [51, 54], the asymptotic value of  $\psi$  at the boundary u = 0 should be  $\psi_{\infty} = 0$ . If at a finite value of  $u, \psi(u)$  vanishes with finite  $\psi'$ , self-intersection of D8 brane leads to a conical singularity leading to tachyonic mode, which can be avoided by choosing non-zero  $m_{\psi}$  [54]. In [62],  $m_{\psi}$  and b are chosen as  $m_{\psi} = 0.5 \ b = 1$ .

As explained in [62], with this choice of parameters, one can numerically solve the equations and evaluate the free energy for different values of the chemical potential and periodicity. For a given value of the chemical potential, the periodicity corresponds to the minimum of the free energy. Examining the charge density and the spin density these solutions are found to be characterised by charge density wave and spin density wave. There is a domain in the parameter space over which these spatially modulated charge density wave solutions are thermodynamically stable in comparison to a homogeneous solution.

In what follows, we have set the magnetic field h to be zero and the chemical potential  $\mu$  deep inside the region of stability. The periodicity L follows from the minimum of the free energy. With this solution as the background, we will introduce fermions in the gravity theory and consider the Dirac equation in the following section. Solving the Dirac equation numerically we will obtain the spectral density function and study its behaviour. We will consider the generic fermions as well as the worldvolume fermions in the probe approximation. We note that due to scaling discussed after (2.4), we will use a scaled chemical potential. So for a small but non-zero temperature we can scale the chemical potential appropriately and we expect the approximation to remain valid down to small temperature.

#### 3 The Dirac equation

Our objective is to study the spectral functions associated with the fermionic operators in the dual theory. In this section we will introduce generic fermions in the gravity theory and study the Dirac equation. The Dirac equation with the generic fermions is given by

$$[\Gamma^{\mu}(\nabla_{\mu} - iqa_{\mu}) - m]\chi = 0, \qquad (3.1)$$

where the gamma matrices are given by  $\Gamma^{\mu} = e_a^{\ \mu}\Gamma^a$ , the covariant derivatives are given by  $\nabla_{\mu}\chi = [\partial_{\mu} + \frac{1}{4}(\omega_{\mu})_{ab}\Gamma^{ab}]\chi$ , q is the charge of the fermions,  $a_{\mu}$  is the background gauge field and m is the mass of the Dirac fermions. We will drop the hats in what follows to avoid the cluttering. The expressions for the vielbeins  $e_a^{\ \mu}$  follows from the background metric and for our purpose they are given by

$$e_{\bar{t}} = \frac{r_T^{1/4} u^{5/4}}{\sqrt{f}} \partial_t, \quad e_{\bar{x}} = \frac{r_T^{1/4} u^{5/4}}{\sqrt{1 + u^3 \psi_x^2}} \partial_x, \quad e_{\bar{y}} = r_T^{1/4} u^{5/4} \partial_y,$$

$$e_{\bar{u}} = r_T^{1/4} u^{3/4} \sqrt{f} \sqrt{\frac{1 + u^3 \psi_x^2}{1 + u^3 \psi_x^2 + u^2 f \psi_u^2}} \left[ \partial_u - \frac{u^3 \psi_x \psi_u}{1 + u^3 \psi_x^2} \partial_x \right],$$
(3.2)

where we have used  $\bar{t}, \bar{x}, \bar{y}, \bar{u}$  for the tangent space coordinates,  $\psi_u = \frac{\partial \psi}{\partial u}, \psi_x = \frac{\partial \psi}{\partial x}$ .

We have chosen the following gamma matrices [55], and are written in terms of the Pauli spin matrices as,

$$\Gamma^{\bar{t}} = \begin{pmatrix} i\sigma^{1} & 0\\ 0 & i\sigma^{1} \end{pmatrix}, \qquad \Gamma^{\bar{x}} = \begin{pmatrix} -\sigma^{2} & 0\\ 0 & \sigma^{2} \end{pmatrix}, 
\Gamma^{\bar{y}} = \begin{pmatrix} 0 & -i\sigma^{2}\\ i\sigma^{2} & 0 \end{pmatrix}, \qquad \Gamma^{\bar{u}} = \begin{pmatrix} -\sigma^{3} & 0\\ 0 & -\sigma^{3} \end{pmatrix}.$$
(3.3)

The spin connection  $(\omega_{\mu})_{ab}$  can be absorbed by making the following redefinition of the spinors,

$$\chi = \frac{r_T^{3/8} u^{5/8}}{(1+u^3 \psi_x^2)^{1/4}} \begin{pmatrix} \Psi_1 \\ \Psi_2 \end{pmatrix},$$
(3.4)

where  $\Psi_{\alpha}, \alpha = 1, 2$  is a two-component spinor. Since the background is spatially modulated in the *x* direction with a period fixed by Umklapp wavevector  $K = \frac{2\pi}{L}$ , the momentum modes that differ by a lattice vector are not independent. In accordance with the Bloch theorem, we consider the following expansion,

$$\Psi_{\alpha} = \int \frac{d\omega dk_x dk_y}{2\pi} \sum_{l \in \mathbb{Z}} \mathcal{F}_{\alpha}^{(l)}(u, \omega, k_x, k_y) e^{-i\omega t + i(k_x + lK)x + ik_y y}, \quad \alpha = 1, 2.$$
(3.5)

Here l refers to the different momentum level and  $k_x$  is restricted to the first Brillouin zone. We write

$$\mathcal{F}_{\alpha}(u, x, \omega, k_y) = \sum_{l \in \mathbb{Z}} \mathcal{F}_{\alpha}^{(l)}(u, \omega, k_x, k_y) e^{ilKx}, \qquad (3.6)$$

where  $\mathcal{F}_{\alpha}$  satisfy

$$\mathcal{F}_{\alpha}(u, x, \omega, k_y) = \mathcal{F}_{\alpha}\left(u, x + \frac{2\pi}{K}, \omega, k_y\right)$$
(3.7)

Since  $\Psi_{\alpha}$  is a two component spinor, we further split  $\mathcal{F}_{\alpha}(u, x)$  as

$$\mathcal{F}_{\alpha}(u,x) = \begin{pmatrix} \mathcal{A}_{\alpha}(u,x) \\ \mathcal{B}_{\alpha}(u,x) \end{pmatrix}, \quad \alpha = 1, 2.$$
(3.8)

(3.9)

With these splitting, the Dirac equation can be written as,

$$\begin{split} &\partial_{u} \begin{pmatrix} \mathcal{A}_{1} \\ \mathcal{B}_{1} \end{pmatrix} - \frac{\sqrt{u}}{f} \sqrt{\frac{1+u^{3}\psi_{x}^{2}+u^{2}f\psi_{u}^{2}}{1+u^{3}\psi_{x}^{2}}} (\omega+qa_{0}) \begin{pmatrix} \mathcal{B}_{1} \\ -\mathcal{A}_{1} \end{pmatrix} - \frac{u^{3}\psi_{x}\psi_{u}}{1+u^{3}\psi_{x}^{2}} (\partial_{x}+ik_{x}) \begin{pmatrix} \mathcal{A}_{1} \\ \mathcal{B}_{1} \end{pmatrix} \\ &-i\sqrt{\frac{u}{f}} \frac{\sqrt{1+u^{3}\psi_{x}^{2}+u^{2}f\psi_{u}^{2}}}{1+u^{3}\psi_{x}^{2}} (\partial_{x}+ik_{x}) \begin{pmatrix} \mathcal{B}_{1} \\ \mathcal{A}_{1} \end{pmatrix} + \frac{\tilde{m}}{u^{3/4}\sqrt{f}} \sqrt{\frac{1+u^{3}\psi_{x}^{2}+u^{2}f\psi_{u}^{2}}{1+u^{3}\psi_{x}^{2}}} \begin{pmatrix} \mathcal{A}_{1} \\ -\mathcal{B}_{1} \end{pmatrix} \\ &+i\sqrt{\frac{u}{f}} \sqrt{\frac{1+u^{3}\psi_{x}^{2}+u^{2}f\psi_{u}^{2}}{1+u^{3}\psi_{x}^{2}}} (k_{y}-qa_{y}) \begin{pmatrix} \mathcal{B}_{2} \\ \mathcal{A}_{2} \end{pmatrix} = 0, \\ &\partial_{u} \begin{pmatrix} \mathcal{A}_{2} \\ \mathcal{B}_{2} \end{pmatrix} - \frac{\sqrt{u}}{f} \sqrt{\frac{1+u^{3}\psi_{x}^{2}+u^{2}f\psi_{u}^{2}}{1+u^{3}\psi_{x}^{2}}} (\omega+qa_{t}) \begin{pmatrix} \mathcal{B}_{2} \\ -\mathcal{A}_{2} \end{pmatrix} - \frac{u^{3}\psi_{x}\psi_{u}}{1+u^{3}\psi_{x}^{2}} (\partial_{x}+ik_{x}) \begin{pmatrix} \mathcal{A}_{2} \\ \mathcal{B}_{2} \end{pmatrix} \\ &+i\sqrt{\frac{u}{f}} \frac{\sqrt{1+u^{3}\psi_{x}^{2}+u^{2}f\psi_{u}^{2}}}{1+u^{3}\psi_{x}^{2}} (\partial_{x}+ik_{x}) \begin{pmatrix} \mathcal{B}_{2} \\ \mathcal{A}_{2} \end{pmatrix} + \frac{\tilde{m}}{u^{3/4}\sqrt{f}} \sqrt{\frac{1+u^{3}\psi_{x}^{2}+u^{2}f\psi_{u}^{2}}{1+u^{3}\psi_{x}^{2}}} \begin{pmatrix} \mathcal{A}_{2} \\ -\mathcal{B}_{2} \end{pmatrix} \\ &-i\sqrt{\frac{u}{f}} \sqrt{\frac{1+u^{3}\psi_{x}^{2}+u^{2}f\psi_{u}^{2}}{1+u^{3}\psi_{x}^{2}}} (k_{y}-qa_{y}) \begin{pmatrix} \mathcal{B}_{1} \\ \mathcal{A}_{1} \end{pmatrix} = 0, \end{split}$$

where we use 
$$\tilde{m} = \frac{m}{r_T^{1/4}}$$
. The momentum mode expansion of the different functions  $\mathcal{A}_{\alpha}$  and  $\mathcal{B}_{\alpha}$  are given by

$$\mathcal{A}_{\alpha} = \sum_{l \in \mathbb{Z}} \mathcal{A}_{\alpha}^{(l)} e^{ilKx}, \quad \mathcal{B}_{\alpha} = \sum_{l \in \mathbb{Z}} \mathcal{B}_{\alpha}^{(l)} e^{ilKx}.$$
(3.10)

The boundary condition for solving these first order linear differential equations can be obtained from the near horizon limit  $u \to 1$ . After substituting the expressions for the background metric and gauge fields and choosing the near horizon limit one finds that the different momentum modes are satisfying the following conditions in the leading order,

$$\mathcal{A}_{\alpha}^{(l)} \sim (1-u)^{\pm \frac{i\omega}{5}} a_{\alpha 0}^{(l)}, \quad \mathcal{B}_{\alpha}^{(l)} \sim (1-u)^{\pm \frac{i\omega}{5}} b_{\alpha 0}^{(l)}.$$
 (3.11)

We have chosen the minus sign and impose the in-falling boundary conditions [64] as that is the correct choice for holographic computation of retarded Green's function of the dual theory living at the boundary. Furthermore, the equations at the near horizon limit also implies

$$b_{\alpha 0}^{(l)} = -ia_{\alpha 0}^{(l)}.\tag{3.12}$$

In the asymptotic limit near the boundary  $u \to 0$  the Dirac equations (3.9) reduces to up to the leading order,

$$\partial_u \begin{pmatrix} \mathcal{A}_\alpha \\ \mathcal{B}_\alpha \end{pmatrix} + \tilde{m} u^{-3/4} \begin{pmatrix} \mathcal{A}_\alpha \\ -\mathcal{B}_\alpha \end{pmatrix} = 0, \qquad (3.13)$$

which implies the asymptotic behaviour of the fermions are given by

$$\mathcal{F}_{\alpha} = \begin{pmatrix} \mathcal{A}_{\alpha} \\ \mathcal{B}_{\alpha} \end{pmatrix} \sim a_{\alpha} e^{4\tilde{m}u^{1/4}} \begin{pmatrix} 1 \\ 0 \end{pmatrix} + b_{\alpha} e^{-4\tilde{m}u^{1/4}} \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$
(3.14)

This asymptotic behaviour in terms of the momentum level function becomes

$$\mathcal{F}_{\alpha}^{(l)} = \begin{pmatrix} \mathcal{A}_{\alpha}^{(l)} \\ \mathcal{B}_{\alpha}^{(l)} \end{pmatrix} \sim a_{\alpha}^{(l)} e^{4\tilde{m}u^{1/4}} \begin{pmatrix} 1 \\ 0 \end{pmatrix} + b_{\alpha}^{(l)} e^{-4\tilde{m}u^{1/4}} \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$
(3.15)

The retarded Green's function can be obtained from the relation between  $a_{\alpha}^{(l)}$  and  $b_{\alpha}^{(l)}$  as

$$a_{\alpha}^{(l)}(\omega, k_x, k_y) = \sum_{\alpha', l'} G_{\alpha, l; \alpha', l'}^R(\omega, k_x, k_y) b_{\alpha'}^{(l')}(\omega, k_x, k_y) \,. \tag{3.16}$$

The Green's function is considered in the momentum basis as in the experiments such as ARPES, the photoelectrons are in the definite states of momentum. Following [57] we assume that the dominant response will be in the diagonal momentum channel, though there will be a mixing with other momentum modes. With this assumption the spectral density function can be written as

$$A(\omega, k_x, k_y) = \sum_{l \in \mathbb{Z}} Im(Tr(G^R_{\alpha, l; \alpha', l}(\omega, k_x, k_y))), \qquad (3.17)$$

where  $-\frac{K}{2} \leq k_x \leq \frac{K}{2}$  is chosen to be within the first Brillouin zone and 1 denotes the momentum level or Brillouin zone.

In order to compute the Green's function, we will follow the method explained in [55]. We denote the boundary conditions to be  $(\alpha, l)$  by imposing in-falling boundary condition on the spinor component  $\Psi_{\alpha}^{(l)}$  and setting all other spinor components to be zero. We will write the solution for  $a_{\alpha}^{(l)}$  with  $(\beta, k)$  boundary condition as  $a(\alpha, l; \beta, k)$  and in this notation the expression for Green's function (3.16) can be written as

$$a(\alpha, l; \beta, k) = \sum_{\alpha', l'} G^R(\alpha, l; \alpha', l') b(\alpha', l'; \beta, k).$$
(3.18)

Writing  $a(\alpha, l; \beta, k)$  and  $b(\alpha', l'; \beta, k)$  as matrices **a** and **b**, the relation becomes

$$\mathbf{a} = G^R \cdot \mathbf{b}, \qquad G^R = \mathbf{a} \cdot \mathbf{b}^{-1} \tag{3.19}$$

Then the spectral weight follows from the Green's function  $G^R$ .

We would like to study the spectral function associated with the generic fermions for the charge density and spin density wave background, which was derived in [62]. The study of the generic fermions will give us more flexibility and in order to keep the analysis simple we will further restrict ourselves, to the case of massless fermions. Since we do not have an analytic solution for that we will employ a numerical procedure to solve the Dirac equations for different in-falling boundary conditions and from that we will get the spectral density function in the next section. We will consider the fermions in the probe limit and will not consider the back reaction on the gravity.

#### 4 Results

In this section we will consider the spectral function obtained for various parameters in order to study the Fermi surface. At zero temperature, the Fermi surface appears as a pole of spectral density function. In the present case, we will assume that the Fermi surface will reveal itself through peaks of the spectral density function [27].

Following [27] we assume the plot of the spectral density function vs. momentum at  $\omega \to 0$  will show a peak at around that value of the momentum  $\mathbf{k_0}$ , which corresponds to a Fermi surface. The width of the peak should be of the order of the temperature or less. And finally, at that value of the momentum  $\mathbf{k} = \mathbf{k_0}$ , plotting spectral density vs. frequency  $\omega$  will show a peak near  $\omega = 0$ .

With the above criteria, we study the existence and the features of the Fermi surface in the charge and spin density wave solution that we obtained in the present case of D2-D8 brane. We will begin with the solution in absence of any lattice. Due to spontaneous breakdown of the translational symmetry the solutions are characterised with a natural length scale associated with the periodicity of the charge density wave. Given a chemical potential, the free energy of the solution varies with the periodicity and the latter is determined by the minimum of the free energy. In the present case, we have chosen the value of the chemical potential to be  $\mu = 1.6445$ , which is deep inside the region of stability of the charge density wave [62]. The value of the period, at that chemical potential for  $a_i = 0$ , it turns out to be L = 0.3725, implying the Bloch wave vector is given by K = 16.8676. So the first Brilluoin zone lies between  $\pm 8.331$ . We have also considered non-zero values of the strength of the lattice and keeping the chemical potential same the periodicity turns out to be L = 0.3155 for  $a_i = 0.2$ . Since the chemical potential remains fixed throughout the discussion, the variables can be measured in that unit, which will lead to a rescaling.

To begin with we consider how the Fermi surface depends on the charges of the fermions. We choose a specific value of  $k_x$  to be  $k_x = 0$ , a small value of the frequency  $\omega$  to be  $\omega = .001$  and plotted the spectral function A vs.  $k_y$  for five different values of charges. The plots are given in the figure 1(a) in absence of the ionic lattice  $(a_i = 0)$  and in the figure 1(b) in presence of the ionic lattice  $(a_i = 0.2)$ .

As one can see from the figures, with the increase of the fermionic charge the peaks are getting sharper and the heights are increasing. This is a general feature of the holographic fermions which holds here as well. With charge q sufficiently less, the peak will become quite shallow and broad indicating absence of the Fermi surface. As the charge increases, position of the peak in  $k_y$  moves to the right, in the positive direction. Since  $k_x=0$  it can be associated with the fact that the size of the Fermi circle gets bigger with the increasing charges.

One important topic of interest of the present work is to examine the existence and behaviour of the Fermi surface. We will begin with the model in absence of the ionic lattice. For the given periodicity we have chosen the fermionic charge to be q = 9 so that the Fermi surface occurs near the boundary of the Brillouin zone. We have given a density plot in the figure 2, where we have plotted the spectral function vs.  $k_x$  and  $k_y$ . Since the height of the peaks varies considerably over the region we have given a logarithmic plot of the spectral function. We have numerically computed the values for the first Brillouin zone  $-\frac{\pi}{K} \leq k_x \leq \frac{\pi}{K}$  and extended it periodically over  $k_x$ .



Figure 1. Plot of spectral function A vs.  $k_y$  with  $k_x = 0$ . The peak shifts from right to left with decreasing charges. We have plotted for q=9 (blue), 8.5 (red), 8 (magenta), 7.5 (brown), 7 (green). (a)  $a_i = 0$  (b)  $a_i=0.2$ . The height of the plot for q = 9 is truncated so as to get all the peaks visible in the figure.

As one can observe that the Fermi surface consists of intersecting circles with a small eccentricity. At the edge of the Brillouin zone, due to eigenvalue repulsion of degenerate eigenvalues, it opens up a gap in the spectral density. A careful observation would reveal small gaps at the points where the circles intersect as a consequence of the broken translational invariance. The density plot also shows a circle around the origin deep inside the Brillouin zone. With the charge q = 9, the height and sharpness of the associated peaks do not qualify to be a Fermi surface. However, with increasing charge it will lead to another Fermi surface. Such nested Fermi surface has been shown in [25].

Since the gaps are not very pronounced in the density plot given in the figure 2, we have plotted the spectral function vs.  $k_y$  at the boundary of the Brillouin zone at  $k_x = \frac{\pi}{L}$  in the figure 3. In order to see the variation of the gap we have computed them for several values of charges as shown in the figure 3. For larger fermionic charges at the boundary, it shows two adjacent peaks in the value of A. As the charge decreases, the heights of the two adjacent peaks decreases and the depth of the intermediate region decreases. In the green plot in figure 3, the intermediate region between the two peaks become quite shallow and thus the gap is blurred, which does not qualify for the Fermi surface. On the other hand, for  $k_x = 0$  also the heights are decreasing as the charge decreases, but those are sharp enough to be associated with Fermi surface. This seems to indicate that for sufficiently small charge the Fermi surface will be depleted near the boundary of the Brillouin zone.

Next we have turned on the ionic lattice and numerically computed the spectral function, which has been given in a density plot in the figure 4. We have chosen q = 9 once again, and set the strength of the ionic lattice to be  $a_i = 0.2$ . Once again we have obtained intersecting circular Fermi surfaces, which intersects near the boundary of the Brillouin zone. But this time the gaps are wider and It shows small elliptical shaped Fermi pockets near the boundary of the Brillouin zone, which are gapped due to the Umklapp scattering. The gap increases with increasing strength of the ionic lattice, making the Fermi pockets smaller.



**Figure 2.** Density Plot of logarithm of the spectral function A over  $(k_x, k_y)$ -plane in absence of ionic lattice.



Figure 3. Plot of spectral function A vs.  $k_y$  with  $k_x = \frac{\pi}{L}$  at the boundary of the Brillouin zone in absence of ionic lattice with different fermionic charges. q = 8.7 (blue), 8.5 (red), 8.2 (magenta), 8 (brown), 7.7 (Green). The height of some of the plots are truncated so as to get all the peaks visible in the figure.

We have further plotted the spectral function A vs.  $k_x$  at  $k_y = 0$  in the figure 5 for increasing values of the strength of the ionic lattice from 0 to 0.2. We have chosen  $k_y = 0$ as it corresponds to substantial peak associated with the Fermi pockets. It may be noted that since we have kept the chemical potential fixed, the period L at which the free energy reaches the minimum changes with variation of  $a_i$ . As we vary  $a_i$  from 0 to 0.2, L varies from L = 0.3725 to L = 0.3155 and so the boundary of the Brillouin zone gets shifted. The pairs of peaks that we observe in figure 5 for different strengths of the ionic lattice are symmetrical around the respective boundary of the Brillouin zones. One can observe that the height of the spectral function is decreasing with the increasing strength of ionic lattice. This indicates that for a sufficiently large value of  $a_i$ , the strength of the ionic lattice, the Fermi pocket will disappear.



**Figure 4.** Density Plot of logarithm of the spectral function A over  $(k_x, k_y)$ -plane in presence of the ionic lattice with  $a_i = 0.2$ .



Figure 5. Plot of spectral function A vs.  $k_x$  with  $k_y = 0$  with different strengths of ionic lattices  $a_i = 0$  (blue), 0.1 (red), 0.2 (brown).

In order to study the behaviour of the energy distribution function, we have plotted the spectral function with variation of the frequency,  $(A \text{ vs. } \omega)$  in the figure 6. The figure 6(a) and 6(b) correspond to the plots of spectral function outside and inside the Fermi surface near the boundary. As one can see the peak in A lies at  $\omega \ge 0$  and  $\omega \le 0$ , respectively, while exactly at the Fermi surface position of the peak coincides with  $\omega = 0$ , as expected from the criterion of the Fermi surface. Figure 6(c) describes the behaviour of the spectral function deep inside the Brillouin zone and far from the Fermi surface. Figure 6(d) is plotted at the boundary of the Brillouin zone on the Fermi surface.



Figure 6. Plot of spectral function A vs. w with q = 9 in absence of ionic lattice; (a)  $k_x = 9.8, k_y = 3.8$  (blue), (b)  $k_x = 9.8, k_y = 0$  (red), (c)  $k_x = 0, k_y = 0$  (magenta), (d)  $k_x = 8.43381, k_y = 6.287$  (brown).

## 5 The worldvolume fermion

In the last two sections we have analysed the fermionic responses to an inhomogenous solution of charge density wave obtained from a D2-D8 system. The inhomogeneous solution has a periodicity and using a generic fermion in that background we find that it exhibits the Fermi surface near the boundary of the Brillouin zone. In addition, as mentioned in the last section, the density plot also shows a circle near the origin of the k-plane deep inside the Brillouin zone, which with large enough charge may lead to another Fermi surface.

However, in order to address the response of the fermionic operators in the dual (2+1) dimensional field theory in the present set up, it is necessary that, instead of considering the generic fermions, we consider the fermionic fields that arises in the worldvolume theories of the D8 brane. That will be our concern in the present section and we will be using the same methodology that we have described for the generic fermions. The worldvolume fermionic fields couple to the fermionic opertors living in the dual gauge theory and by studying the behaviour of these fermionic fields we can explore the dynamics of the fermionic operators.

#### 5.1 The Green's function

We will begin with the action of the worldvolume fermions of the D8 brane. The general expression for the action for the worldvolume fermions in (p + 1)-dimensions has been discussed in [65–67]. The action is given by

$$S_F = \frac{T_p}{2} \int d^{p+1} \xi e^{-\phi} \sqrt{-\det(g+\mathcal{F})} \bar{\Psi} (1-\Gamma_{D_p}) [(\tilde{M}^{-1})^{\alpha\beta} \Gamma_\beta D_\alpha - \Delta] \Psi$$
(5.1)

where  $\mathcal{F} = dA$  represents the worldvolume field strength,  $T_p^{-1} = (2\pi)^p (\alpha')^{\frac{p+1}{2}} g_s$  is the brane tension,  $\Gamma_{\alpha}$  is the pullback of the spacetime gamma matrices  $\Gamma_m$ , given by  $\Gamma_{\alpha} = \Gamma_{\bar{m}} e_m^{\bar{m}} \partial_{\alpha} x^m$ and for our purpose  $\Psi$  is a ten dimensional Majorana spinor in type IIA theory.

The matrix  $\tilde{M}_{\alpha\beta}$  introduces coupling to the metric tensor and the electromagnetic field strength and for the type IIA theory is given by

$$\tilde{M}_{\alpha\beta} = g_{\alpha\beta} + \Gamma_{11} \mathcal{F}_{\alpha\beta} \tag{5.2}$$

where  $g_{\alpha\beta}$  represents the pull back of the spacetime metric on the worldvolume. The general expressions for  $D_m$  and  $\triangle$  are discussed in [65]. In the present case, we do not have any three-form field strength or two-form field strength in the background. We only need to consider the dilation and the four-form field strength in the background and so the expressions simplify into the following.

$$D_m = \nabla_m - \frac{1}{8 \cdot 4!} F_{npqr} \Gamma^{npqr} \Gamma_m,$$
  

$$\triangle = \frac{1}{2} \Gamma^m \partial_m \phi - \frac{1}{8 \cdot 4!} e^{\phi} F_{mnpq} \Gamma^{mnpq}$$
  

$$\nabla_m = \partial_m - \frac{i}{4} (\omega_m)_{\bar{a}\bar{b}} \Gamma^{\bar{a}\bar{b}}$$
(5.3)

This gives rise to the Dirac equation

$$[(\tilde{M}^{-1})^{\alpha\beta}\Gamma_{\beta}D_{\alpha} - \Delta]\Psi = 0.$$
(5.4)

In order to analyze the Dirac equation we will segregate the coordinates in the following manner. The D8 brane is wrapped on a product of four dimensional space (t, x, y, r), an  $S^2$  and an  $S^3$  and it is transverse to the direction  $\psi$ . We will use  $x^{\mu}$ ,  $\zeta^i$  and  $\eta^a$  to denote coordinates along the four dimensional space, the  $S^2$  and the  $S^3$  respectively.

$$\begin{aligned} x^{\mu} &= t, x, y, r, \qquad \mu = 0, 1, 2, 3\\ \zeta^{i} &= (\theta_{1}, \phi_{1}), \qquad i = 1, 2\\ \eta^{a} &= (\xi, \theta_{2}, \phi_{2}), \qquad a = 1, 2, 3. \end{aligned}$$
(5.5)

We choose the ten dimensional gamma matrices in the following manner.

$$\Gamma^{\bar{\mu}} = \sigma_2 \otimes 1_2 \otimes 1_2 \otimes \gamma^{\bar{\mu}}, \qquad \bar{\mu} = 0, 1, 2, 3$$

$$\Gamma^{\bar{\psi}} = \sigma_2 \otimes 1_2 \otimes 1_2 \otimes \gamma_5$$

$$\Gamma^{\bar{i}} = \sigma_1 \otimes \sigma_{\bar{i}} \otimes 1_2 \otimes 1_4 \qquad \bar{i} = 1, 2$$

$$\Gamma^{\bar{a}} = \sigma_1 \otimes \sigma_3 \otimes \sigma_a \otimes 1_4$$

$$\Gamma_{11} = \sigma_3 \otimes 1_2 \otimes 1_2 \otimes 1_4, \qquad \bar{a} = 1, 2, 3.$$
(5.6)

where the  $\bar{\mu}, \bar{\psi}, \bar{i}$  and  $\bar{a}$  represents the respective tangent space directions.

The ten dimensional background metric is given in (2.1) and according to that the Dirac equation (5.4) can be splitted as

$$\left( \left[ (\tilde{M}^{-1})^{\mu\nu}\Gamma_{\nu}D_{\mu} + (\tilde{M}^{-1})^{ij}\Gamma_{j}D_{i} + (\tilde{M}^{-1})^{ab}\Gamma_{b}D_{a} \right] - \frac{e^{\phi}}{8} \left[ (\tilde{M}^{-1})^{\mu\nu}\Gamma_{\nu} \not F_{4}\Gamma_{\mu} + (\tilde{M}^{-1})^{ij}\Gamma_{j} \not F_{4}\Gamma_{i} + (\tilde{M}^{-1})^{ab}\Gamma_{b} \not F_{4}\Gamma_{a} - \not F_{4} \right] - \frac{1}{2}\Gamma^{m}\partial_{m}\phi \right) \Psi = 0$$

$$(5.7)$$

where we have used  $F_4 = \frac{1}{4!} \Gamma^{npqr} F_{npqr}$ . For the present background solution the totally antisymmetric four-form field strength can be written as

$$(F_4)_{\bar{t}\bar{x}\bar{y}\bar{u}} = -5\frac{r_T^{3/2}}{u^{3/2}}.$$
(5.8)

The contributons arising from the two-sphere and the three-sphere can be further simplified and are written as follows. Since on the three-sphere there is no worldvolume flux, we have

$$(\tilde{M}^{-1})^{ab}\Gamma_b D_a = \frac{r_T^{1/4}}{u^{1/4}\cos\psi}\sigma_1 \otimes \sigma_3 \otimes \not\!\!\!D_{S^3} \otimes 1_4,$$
  
$$(\tilde{M}^{-1})^{ab}\Gamma_b \not\!\!\!F_4\Gamma_a = 3 \not\!\!\!\!F_4,$$
(5.9)

where  $D_{S^3}$  is the Dirac operator on the  $S^3$  with the round metric.

On the two-sphere there is a magnetic field as given in (2.3) introduced for the stabilty and we obtain

where in order to make the expressions compact we have introduced p, given by,

$$p = \frac{b}{2\pi\alpha' u^{1/2} \sin^2 \psi},$$
 (5.11)

and  $\mathcal{P}_{S^2}$  is the Dirac operator on the  $S^2$  with the round metric. Substituting the expression of the four-form field strength (5.8) and the gamma matrices (5.6) we obtain

$$F_4 = i\kappa 1_2 \otimes 1_2 \otimes 1_2 \otimes \gamma_5, \quad \kappa = \frac{5r_T^{3/2}}{u^{3/2}}.$$
 (5.12)

Substituting all these expressions the Dirac equation reduces to

$$\left[ (g^{\mu}_{\lambda} - \mathcal{F}^{\mu}_{\lambda}\sigma_{3} \otimes 1_{2} \otimes 1_{2} \otimes 1_{4}) [(g - \mathcal{F}.g^{-1}.\mathcal{F})^{-1}]^{\lambda\nu}\sigma_{2} \otimes 1_{2} \otimes 1_{2} \otimes \gamma_{\nu}D_{\mu} \right. \\ \left. + \frac{r_{T}^{1/4}}{u^{1/4}} \left[ \frac{(1 + ip\sigma_{3} \otimes \sigma_{3} \otimes 1_{2} \otimes 1_{4})}{\sin\psi(1 + p^{2})} \sigma_{1} \otimes \mathcal{P}_{S^{2}} \otimes 1_{2} \otimes 1_{4} + \frac{1}{\cos\psi}\sigma_{1} \otimes \sigma_{3} \otimes \mathcal{P}_{S^{3}} \otimes 1_{4} \right] \right. \\ \left. - i\frac{\kappa}{8}e^{\phi} \left[ - (g^{\mu}_{\lambda} - \mathcal{F}^{\mu}_{\lambda}1_{2} \otimes 1_{2} \otimes 1_{2} \otimes \gamma_{\nu}\gamma_{\mu}\gamma_{5}) [(g - \mathcal{F}.g^{-1}.\mathcal{F})^{-1}]^{\lambda\nu}1_{2} \otimes 1_{2} \otimes 1_{2} \otimes \gamma_{\nu}\gamma_{\mu} \right. \\ \left. + 2\frac{(1 + ip\sigma_{3} \otimes \sigma_{3} \otimes 1_{2} \otimes 1_{4})}{1 + p^{2}} ) 1_{2} \otimes 1_{2} \otimes \gamma_{\nu}\gamma_{\mu}\gamma_{5} \right] \right] \Psi = 0,$$

$$(5.13)$$

We introduce the two component spinor for the first  $2 \times 2$  matrices in the expressions of the gamma matrices as

$$\lambda^0 = \begin{pmatrix} 1\\0 \end{pmatrix} \quad \lambda^1 = \begin{pmatrix} 0\\1 \end{pmatrix} \tag{5.14}$$

which are eigenvectors of  $\sigma_3$ .

Since the Dirac operator involves  $\mathcal{P}_{S^3}$  and  $\mathcal{P}_{S^2}$ , it will be convenient to expand the spinor in terms of the eigenfunctions of those operators. Eigenfunctions of the Dirac operators on general spheres have been discussed in [68] and for our purpose we only need the eigenvalues for  $S^2$  and  $S^3$ . For our convenience we choose a slightly different notation and for the two-sphere we write

$$\mathcal{D}_{S^2}\xi_l^{(s)} = i(-1)^s (l+1)\xi_l^{(s)}, \quad s = 0, 1, \quad l = 0, 1, 2, \dots,$$
  
$$\sigma_3\xi_l^{(s)} = (-1)^s \xi_l^{(1-s)}.$$
(5.15)

Similarly for the Dirac spinors on the three-sphere we write

$$\mathbb{D}_{S^3}\eta_m^{(t)} = i(-1)^t (m+3/2)\eta_m^{(t)}, \quad t = 0, 1, \quad m = 0, 1, 2, \dots$$
(5.16)

We expand the ten dimensional spinor as

$$\Psi = \lambda^{\alpha} \otimes \xi_l^s \otimes \eta_m^t \otimes \chi[\alpha, s, t, l, m]$$
(5.17)

where in this notation,  $\alpha, s, t = 0, 1$ , while  $l, m = 0, 1, 2, \ldots$  and each  $\chi[\alpha, s, t, l, m]$  represents a four-dimensional spinor. We substitute this expression in the Dirac equation and setting the coefficient of each  $\lambda^{\alpha} \otimes \xi_l^s \otimes \eta_m^t$  equal to zero we obtain the following equation for  $\chi_{l,m}^{\alpha,s,t}$ .

$$\begin{split} &iN_{1}^{\mu\bar{\nu}}\gamma_{\bar{\nu}}D_{\mu}\chi[1-\alpha,s,t,l,m] \\ &+i\frac{r_{T}^{1/4}}{u^{1/4}} \bigg\{ \frac{(-1)^{s}(l+1)}{\sin\psi(1+p^{2})} \Big(\chi[1-\alpha,s,t,l,m]+i(-1)^{\alpha}p\chi[1-\alpha,1-s,t,l,m]\Big) \\ &+\frac{(-1)^{t}(m+3/2)}{\cos\psi}\chi[1-\alpha,1-s,t,l,m] \bigg\} \\ &-i\frac{5g_{s}r_{T}^{1/4}}{8u^{1/4}} \bigg\{ -N_{2}^{\bar{\mu}\bar{\nu}}\gamma_{\bar{\nu}}\gamma_{\bar{\mu}}\gamma_{5}\chi[\alpha,s,t,l,m]+2\bigg(1+\frac{1}{1+p^{2}}\bigg)\gamma_{5}\chi[\alpha,s,t,l,m] \\ &+\frac{2ip}{1+p^{2}}(-1)^{\alpha}\gamma_{5}\chi[\alpha,1-s,t,l,m] \bigg\} \\ &-i\frac{5r_{T}^{1/4}}{8u^{1/4}}\sqrt{f} \bigg[1+\frac{u^{3}\psi_{x}^{2}}{1+u^{3}\psi_{x}^{2}+u^{2}f\psi_{u}^{2}}\bigg]^{1/2}(-1)^{\alpha}\gamma^{\bar{u}}\chi[1-\alpha,s,t,l,m]=0 \end{split}$$
(5.18)

where, in the above equation we have used

$$N_{1}^{\mu\bar{\nu}} = (g^{-1})^{\mu\kappa} [(-1)^{\alpha}g + \mathcal{F}]_{\kappa\lambda} [(g - \mathcal{F}.g^{-1}.\mathcal{F})^{-1}]^{\lambda\nu} e_{\nu}^{\ \bar{\nu}},$$
  

$$N_{2}^{\bar{\mu}\bar{\nu}} = e^{\bar{\mu}}_{\ \mu} (g^{-1})^{\mu\kappa} [g - (-1)^{\alpha}\mathcal{F}]_{\kappa\lambda} [(g - \mathcal{F}.g^{-1}.\mathcal{F})^{-1}]^{\lambda\nu} e_{\nu}^{\ \bar{\nu}},$$
(5.19)

In order to obtain the spectral density of the fermionic operators living on the boundary field theory we need to solve these equations with appropriate boundary conditions and study the asymptotic behaviours. In terms of u the horizon is located at u = 1 and the boundary is located at u = 0.

The momentum mode expansion of the different spinor components  $\chi[1-\alpha, s, t, l, m]$ are given by

$$\chi[\alpha, s, t, l, m] = \sum_{n \in \mathbb{Z}} \chi[\alpha, s, t, l, m]^{(n)} e^{inKx}.$$
(5.20)

In the following discussion, we will keep the momentum modes implicit.

• Near horizon behaviour. The necessary boundary conditions follow from the near horizon behaviour. Taking the near horizon limit  $u \to 1$  of the above equations and keeping only the leading order terms, we obtain

$$\left(\partial_u - \frac{i\omega}{5(1-u)}\gamma_{\bar{t}}\gamma_{\bar{u}}\right)\chi[\alpha, s, t, l, m] = 0.$$
(5.21)

For the four dimensional gamma matrices  $\gamma^{\mu}$  we have adopted the following choice [42] as given below in  $2 \times 2$  block form using the Pauli spin matrices.

$$\gamma^{\bar{t}} = \begin{pmatrix} i\sigma_1 & 0\\ 0 & i\sigma_1 \end{pmatrix}, \qquad \gamma^{\bar{u}} = \begin{pmatrix} -\sigma_3 & 0\\ 0 & -\sigma_3 \end{pmatrix}, \qquad \gamma^{\bar{x}} = \begin{pmatrix} -\sigma_2 & 0\\ 0 & \sigma_2 \end{pmatrix},$$
  
$$\gamma^{\bar{y}} = \begin{pmatrix} 0 & \sigma_2\\ \sigma_2 & 0 \end{pmatrix}, \qquad \gamma_5 = i\gamma^{\bar{t}}\gamma^{\bar{u}}\gamma^{\bar{x}}\gamma^{\bar{y}} = \begin{pmatrix} 0 & i\sigma_2\\ -i\sigma_2 & 0 \end{pmatrix}.$$
(5.22)

After substituting the expressions for the background metric and gauge fields and choosing the near horizon limit we obtain,

$$\chi[\alpha, s, t, l, m]_1 \sim A[1, \alpha, s, t, l, m](1-u)^{\pm \frac{i\omega}{5}}, \quad \chi[\alpha, s, t, l, m]_2 \sim B[1, \alpha, s, t, l, m](1-u)^{\pm \frac{i\omega}{5}},$$
  

$$\chi[\alpha, s, t, l, m]_3 \sim A[2, \alpha, s, t, l, m](1-u)^{\pm \frac{i\omega}{5}}, \quad \chi[\alpha, s, t, l, m]_4 \sim B[2, \alpha, s, t, l, m](1-u)^{\pm \frac{i\omega}{5}},$$
  
(5.23)

We have chosen the minus sign and impose the in-falling boundary conditions [64] as that is the correct choice for holographic computation of retarded Green's function of the dual theory living at the boundary. Furthermore, the equations at the near horizon limit also implies

$$B[r,\alpha,s,t,l,m] = -iA[r,\alpha,s,t,l,m].$$
(5.24)

• Asymptotic behaviour. We can obtain the asymptotic behaviour of the fermions by considering the  $u \to 0$  limit of the equations that we obtain above in (5.18) and keeping only the leading order terms. In this limit the equations get simplified considerably and assume the following form,

$$i(-1)^{\alpha} \left( u \nabla_{u} - \frac{5}{8} \right) \gamma^{\bar{u}} \chi[1 - \alpha, s, t, l, m] + i(-1)^{t} (m + 3/2) \chi[1 - \alpha, 1 - s, t, l, m]$$
  
+  $i \frac{5g_{s}}{4} \gamma_{5} \chi[\alpha, s, t, l, m] = 0.$  (5.25)

These equations are first order coupled differential equations and can be disentangled by making the following linear combinations of verious components of the spionors.

$$\eta_{A}[\alpha, t, a, b] = \frac{1}{4} [(\chi_{1}[1 - \alpha, s, t] + (-1)^{a}\chi_{1}[1 - \alpha, 1 - s, t]) \\ + (-1)^{b}(\chi_{4}[\alpha, s, t] + (-1)^{a}\chi_{4}[\alpha, 1 - s, t])],$$

$$\eta_{B}[\alpha, t, a, b] = \frac{1}{4} [(\chi_{3}[1 - \alpha, s, t] + (-1)^{a}\chi_{3}[1 - \alpha, 1 - s, t]) \\ + (-1)^{b}(\chi_{2}[\alpha, s, t] + (-1)^{a}\chi_{2}[\alpha, 1 - s, t])].$$
(5.26)

In terms of the new functions  $\eta_A[\alpha, a, b, t]$ ,  $\eta_B[\alpha, a, b, t]$  the asymptotic behaviour can be written as

$$\eta_A[\alpha, t, a, b] \sim u^{\left[(-1)^{(t+\alpha+a)} \left(m+\frac{3}{2}\right) + (-1)^{b+\alpha} \frac{5g_s}{4} + \frac{5}{8}\right]},$$
  
$$\eta_B[\alpha, t, a, b] \sim u^{\left[(-1)^{(t+\alpha+a)} \left(m+\frac{3}{2}\right) - (-1)^{b+\alpha} \frac{5g_s}{4} + \frac{5}{8}\right]}.$$
(5.27)

Expressing the spinor components at the asymptotic limits in terms of linear combinations of  $\eta_A[\alpha, t, a, b]$  and  $\eta_B[\alpha, t, a, b]$  we can identify the asymptotic behaviours of the spinor components. In contrast to the earlier case, where the asymptotic limits of the spinor components are characterised with two different powers of u, here it will involve four different powers of u in general.

For the convenience of the numerical computations, we will further introduce the notation that

$$\chi_1[\alpha, s, t] = \chi_1[1, \alpha, s, t], \quad \chi_3[\alpha, s, t] = \chi_1[2, \alpha, s, t]$$
  

$$\chi_2[\alpha, s, t] = \chi_2[1, \alpha, s, t], \quad \chi_4[\alpha, s, t] = \chi_2[2, \alpha, s, t]$$
(5.28)

We will follow the same method adopted in the last section to compute the Green's function. Here the boundary conditions can be denoted by  $(r, \alpha, s, t)$ , which corresponds to the in-falling boundary condition on the spinor component  $\chi_1[r, \alpha, s, t]$  and  $\chi_2[r, \alpha, s, t]$  and setting all other spinor components to be zero. We will write the coefficient of the having highest negative (positive) power of u in the asymptotic expression of  $\chi_2[r, \alpha, s, t]$   $(\chi_1[r, \alpha, s, t])$  as  $b[r, \alpha, s, t]$   $(a[r, \alpha, s, t])$  with  $(r', \alpha', s', t')$  boundary condition as

 $b(r, \alpha, s, t; r', \alpha', s', t')$   $(a(r, \alpha, s, t; r', \alpha', s', t'))$  and in this notation the expression for Green's function (3.18) can be written as

$$a(r,\alpha,s,t;r',\alpha',s',t') = \sum_{r'',\alpha'',s'',t''} G^R(r,\alpha,s,t;r'',\alpha'',s'',t'') b(r'',\alpha'',s'',t'';r',\alpha',s',t').$$
(5.29)

Writing  $a(r, \alpha, s, t; r', \alpha', s', t')$  and  $b(r'', \alpha'', s'', t''; r', \alpha', s', t')$  as matrices **a** and **b**, the relation becomes

$$\mathbf{a} = G^R \cdot \mathbf{b}, \qquad G^R = \mathbf{a} \cdot \mathbf{b}^{-1} \tag{5.30}$$

The different components corresponding to different values of r, which essentially corresponds to the upper and lower 2-component spinors are getting entangled through the equation of motion (5.18). A similar mixing occurs in the case of generic fermions discussed in the earlier section. Compared to the generic fermions, the world volume fermions



Figure 7. Plot of spectral function A vs.  $k_x$  with  $k_y = 0$  for worldvolume fermions. From bottom to top:  $g_s = 0$  (red), 0.01 (orange), 0.02 (green), .025 (blue), .03 (magenta), .05 (brown).

are characterised by additional mode indices,  $\alpha, s, t, l$  and m. As is evident from the (5.18), the spinors corresponding to different values of l and m will not get mixed up. If we further set  $g_s = 0$ , there will be no mixing among the modes associated with  $\alpha = 0, 1$ . However the modes corresponding s = 0, 1 will get mixed up due to the presence of the flux as well as the spinor modes coming from  $S^3$ . In that case, the Green's function will be diagonal in  $\alpha, t, l$  and m indices and will be a matrix in r, s indices. As we turn on the  $g_s$  the Green's function will have off-diagonal terms in  $\alpha$  indices and the different modes will mix up. In order to evaluate the spectral function we have chosen the trace of the Green's function in  $r, \alpha, t$  and s indices.

As in section 3, we will assume that the diagonal momentum mode dominates and furthermore we will keep only the n = 0 modes. Then the spectral functions follow from the Green's function  $G^R$ .

We have solved the Dirac equations numerically and plotted the spectral functions obtained in the present case in figure 7 for several values of  $g_s$  keeping l = m = 0. As one can observe, for small value of  $g_s$ , it will be similar to  $g_s = 0$  and it shows a maximum around  $k_x = 0$  and for the entire range the height is quite small. However, the peak is quite flat and it does not qualify as a Fermi surface. As  $g_s$  increases, the maxima at  $k_x = 0$ disappears and a pair of maxima develop located symmetrically on both sides of  $k_x = 0$ . The overall value of the spectral function also increases with increase of  $g_s$ .

The eigenvalues of the Dirac operators along  $S^2$  and  $S^3$  introduces running mass-like terms in the Dirac equation. In the case of usual Dirac operators, as we have seen in the last section where generic fermions were discussed, the asymptotic behaviour of the Dirac equation is determined by the mass terms. In the present case, however, though the eigenvalues of the Dirac operator along  $S^3$  contributes to the asymptotic limit of the Dirac equation, the one associated with the  $S^2$  does not contribute. We have plotted the spectral function for several values of  $g_s$  in figure 8 for (a) l = 1, m = 0 and (b) l = 0, m = 1. For l = 1 it shows a maximum around  $k_x = 0$  and qualitatively it remains the same for other values of  $g_s$ . For m = 1 once again it shows a maximum at  $k_x = 0$  for small  $g_s$ , which turns



Figure 8. Plot of spectral density A vs. w with  $g_s = 0$  (red), 0.01 (orange), 0.02 (green), .05 (brown) (a) l = 1, m = 0, (b) l = 0, m = 1.

into a local minimum with increase of  $g_s$ . Though there are maxima, they are too broad to be qualified as a Fermi surface.

Since the worldvolume fermions of the D8-brane couple to the worldvolume gauge fields in adjoint representation and since we have a U(1) gauge field the worldvolume fermions are not charged with respect to the gauge fields. As we have seen in the discussion of generic fermion for zero charge we cannot expect a Fermi surface. However, there we observed small and broad peak around the origin and as we mentioned over there, for adequate value of the charge it may lead to a Fermi surface. Similarly one can expect that once the fermions have adequate charge, it may lead to a Fermi surface. Since increasing  $g_s$  can be considered as including the effect of  $\frac{1}{N}$  terms in the field theory living on the boundary, if the same behaviour persists, it indicates that the  $\frac{1}{N}$  effects will modify the structure of the Fermi surface. In order to study it we need to consider at least two D8 branes, so that it can lead to a gauge group SU(2) to which the worldvolume fermions couple with non-zero charge.

## 5.2 The dual operators

In the present subsection we will make an attempt to identify the operators dual to the fermionic modes of the world volume fermions following [16, 69–71] by comparing the representations of the components of the world volume fermions and the fields in the dual theory.

We will begin with the background geometry consisting of N D2 branes on top of one another extended in  $x^0, x^1, x^2$  direction. As given in [72], various massless modes of D2-D2 open strings give rise to a U(N) Yang-Mills theory in (2+1) dimensions. The field contents are: a gauge field  $A_{\mu}$ , seven scalar fields  $X^I$  transforming as a vector under SO(7) R-symmetry group and eight two-component spinors  $\lambda$  in (2+1)-dimension transforming as an 8-component spinors of SO(7). All the fields transform in the adjoint representation under the gauge group U(N).

Field	Spin	$SU(2)_1$	$SU(2)_2$	$SU(2)_3$	U(1)	U(N)
$X^i$	0	1	0	0	0	adjoint
$Y^j$	0	0	$\frac{1}{2}$	$\frac{1}{2}$	0	adjoint
$\lambda^+$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	0	0	adjoint
$\lambda^{-}$	$\frac{1}{2}$	$\frac{1}{2}$	0	$\frac{1}{2}$	0	adjoint
$\psi$	$\frac{1}{2}$	0	0	0	0	fundamental

Table 1. Representations of the fields in the dual theory.

Once we add a probe D8 brane that gives rise to additional massless modes arising from the D2-D8 and D8-D8 open strings. The lowest modes of the open strings connecting a Dp-Dq brane in general can be obtained from the zero point energy of the R sector and NS sector of open string [73, 74], which are given by

$$a^{\rm R} = 0, \quad a^{\rm NS} = \frac{\# ND - 4}{8},$$
 (5.31)

where #ND is the number of spatial coordinates of open strings which have the Neumann boundary condition on one end and the Dirichlet boundary condition on the other end. In the present case #ND = 8 - 2 = 6 and therefore the lowest modes from the NS sector are all massive and decouple at low energy. Only massless fermions coming from the R-sector remain. We denote them as  $\psi$  which are two-component spinors in (2+1)dimension, transforming in the fundamental representation under U(N) as well as under U(1) associated with the gauge symmetry of the D2 and D8 branes respectively. In the probe limit the U(1) associated with the D8 brane will be treated as a global symmetry and identified with the Baryon number.

In presence of the probe D8 brane the supersymmetry will be completely broken. Further, since we have considered the D8 brane to be wrapped on an  $S^2 \times S^3$  embedded in the  $S^6$  transverse to the D2 brane the SO(7) symmetry will get modified. Since the isometry group of  $S^2$  and  $S^3$  are SO(3)  $\simeq$  SU(2) and SO(4)  $\simeq$  SU(2)  $\times$  SU(2) respectively, we expect the symmetry group will be broken down to SO(7)  $\rightarrow$  SU(2)<sub>1</sub>  $\times$  SU(2)<sub>2</sub>  $\times$  SU(2)<sub>3</sub>, where the first SU(2) refers to the 2-sphere and the latter two refers to the three-sphere. The seven scalar fields  $X^I$  transforming as a vector under SO(7) can be reorganised into two groups:  $X^i$ , i = 3, 4, 5 that transform as a vector under SU(2)<sub>1</sub> and is a singlet under SU(2)<sub>2</sub>  $\times$  SU(2)<sub>3</sub>;  $Y^j$ , j = 6, 7, 8, 9 transforming as a singlet under SU(2)<sub>1</sub> and is a vector under SU(2)<sub>2</sub>  $\times$  SU(2)<sub>3</sub>. Similarly, the fermion  $\lambda$  will split into  $\lambda^+$  and  $\lambda^-$ .  $\lambda^+$  ( $\lambda^-$ ) transforms as spinor under both of the SU(2)<sub>1</sub> and SU(2)<sub>2</sub> (SU(2)<sub>3</sub>) and singlet under the rest. The representations of the field content are summarised in the table 1. For convenience we have also introduced  $\tilde{\lambda}^{\pm,a}_{\beta} = (\sigma_3)_{\beta\gamma}\lambda^{\pm a}_{\gamma}$ , where  $\beta, \gamma$  refer to the SU(2)<sub>1</sub> spinor index.

We have expanded the fermionic fields in the world volume theory of the D8 brane in terms of the eigenspinors of the Dirac operators on  $S^2$  and  $S^3$ . For  $S^2$ ,  $\xi_l^{(s)}$  transforms in the representation  $(l + \frac{1}{2})$  under the SU(2)<sub>1</sub> and as singlet under SU(2)<sub>2</sub> × SU(2)<sub>3</sub>. For

 $S^3$ ,  $\eta_m^{(t)}$  transforms in the representation  $(0, \frac{m}{2}, \frac{m+1}{2})$  and  $(0, \frac{m+1}{2}, \frac{m}{2})$  respectively under the group  $SU(2)_1 \times SU(2)_2 \times SU(2)_3$ , for t = 0, 1. Following [16, 71] we can construct fermionic operators in the dual field theory with appropriate representations. They are represented by

$$\mathcal{G}^{+}[0,0,l,m] = \bar{\psi}\lambda^{+}X^{l}Y^{m}\psi, \quad \mathcal{G}^{-}[0,1,l,m] = \bar{\psi}\lambda^{-}X^{l}Y^{m}\psi, \\
\mathcal{G}^{+}[1,0,l,m] = \bar{\psi}\tilde{\lambda}^{+}X^{l}Y^{m}\psi, \quad \mathcal{G}^{-}[1,1,l,m] = \bar{\psi}\tilde{\lambda}^{-}X^{l}Y^{m}\psi,$$
(5.32)

where  $X^{l} = X^{i_{1}...i_{l}}$  is a symmetrized product of l X operators,  $Y^{j_{1}...j_{m}}$  is a symmetrized product of m Y operators. The first two arguments of the operators refer to s and trespectively. Referring to the table 1 one can see that under  $SU(2)_{1} \times SU(2)_{2} \times SU(2)_{3}$ ,  $\mathcal{G}^{+}$ and  $\mathcal{G}^{-}$  transform in the representations  $(l + \frac{1}{2}, \frac{m}{2}, \frac{m+1}{2})$  and  $(l + \frac{1}{2}, \frac{m+1}{2}, \frac{m}{2})$  respectively. However, under the U(1) associated with the world volume gauge group of D8 brane they are singlets, which is consistent with the fact that the world volume fermionic fields on the D8 brane does not couple to the U(1).

These operators will correspond to certain linear combination of the world volume fermionic fields with  $\alpha = 0$  and 1, according to the  $\kappa$ -symmetric projection  $(1 - \Gamma_{D_8})\Psi$ . There is one difference compared to the identification of the operators in [16]. In their case, they considered wrapping the Dp-brane on a single sphere. Expanding the fermions in terms of the eigenspinors, for each mode they had two components, denoted by  $\pm$ . On the dual field theory side, there are two sets of operators.  $\mathcal{F}$ , which has operators sandwiched between  $(q, \psi)$  (q and  $\psi$  being the massless modes coming from NS and R sectors respectively of the Dp-Dq strings) and  $\mathcal{G}$ , which has operators sandwiched between  $(\psi, \psi)$ . In the present case the bosonic field analogous to q is massive, supersymmetry is broken and we have only  $\mathcal{G}$ -type operators. It seems for the identification of the two components coming from the two-sphere (s=0,1) (5.32) is the only option.

A check for the identification between operators and the fields could be comparison of the masses of the fermionic fields on the D8 brane and the weights of the dual fermionic operators following [16, 71, 75]. The geometry of the present model is not asymptotically AdS and in fact it is similar to hyperscaling violating Lifshitz geometry. Studying along the line of [13, 25, 28, 76], one can consider the near horizon limit where it may lead to an AdS<sub>2</sub> geometry. Setting  $\omega = 0$  and considering the contribution of the subleading terms in the Dirac equation at that limit one can compute the weights of the dual operators [13]. In the present case, since it is quite an involved task due to presence of the flux, we are postponing it for future work.

Let us end this section with a few clarifying remarks. As mentioned in [51, 54] the dual theory of the D2-D8 brane configurations consists of a fermionic field  $\psi$  which transforms in the fundamental representation of U(N). In general, the dual theory of the Dp-Dq branes are characterised with fermionic fields transforming in the fundamental representation of U(N) [16, 69–71]. The case of the D2-D8 brane is different in the sense that the supersymmetry is broken and there is no massless bosonic field in the fundamental. However, when we consider the fermionic operators that are dual to the worldvolume fermion they are singlet under the U(N) as well as under the U(1), the latter is associated with the worldvolume gauge theory in the bulk, which appear as a global symmetry in the dual field theory living on the boundary. In particular, the worldvolume fields are not dual to the operators in the fundamental of U(N), namely  $\psi$ .

We would also like to emphasize that the absence of the Fermi surface associated with the dual fermionic operators can be attributed to the fact that the worldvolume fermions (as well as the operators dual to them) are not charged with respect to the worldvolume gauge group U(1). If we consider, more than one D8 brane it may lead to the Fermi surface. For example if we consider two D8 branes, the worldvolume gauge group will be U(2)  $\simeq$  U(1)  $\times$  SU(2) and we can obtain components of the worldvolume fermion (as well as the operators dual to them) which are charged under U(1)  $\subset$  SU(2) and there is a possibility that it may exhibit the Fermi surface. We hope to extend the present work along this direction in future.

### 6 Discussion

In this work we have considered fermionic response of a spatially modulated solution obtained in a top-down approach. Intersecting D2-D8 brane system develops instability and for a particular region of the parameter space leads to a solution which consists of a charge density wave and a spin density wave. We set the chemical potential to be inside the region of stability and consider that gravitational solution as the background. In this background we introduce fermions and numerically solve the Dirac equations. From the asymptotic behaviour of the solutions, we obtain the spectral density associated with the fermionic operators in the dual theory living on the boundary.

We begin with introducing generic fermions assuming they will couple to the world volume gauge field, keeping the charges flexible. In order to study the Fermi surfaces we look for appropriate peak of spectral density function. Due to spontaneous breaking of the translational symmetry, the background solutions are characterised with a periodicity determined by the minimum of the free energy, which in turn depsends on the chemical potential. On top of this solution we have also considered simulating an ionic lattice by introducing periodicity in the chemical potential by hand, which leads to an explicit breaking of translation symmetry. We find as the fermionic charge increases, the height of the peak increases. It shows once the fermionic charge is large enough the Fermi surface materializes. In the present model, plotting the spectral density over momentum plane we obtain the Fermi surface to be a series of circles distributed over the Brillouin zones. As the charge increases further, the circular surfaces get bigger, cross the boundary of the Brillouin zone and intersect. We find at the points of the intersections, they develop gap leading to the Fermi pockets (inner Fermi surface). In presence of the ionic lattice, the gap becomes more pronounced leading to a wider separation. The height of the spectral density plot is also keep on diminishing with the increasing strength of the ionic lattice. This indicates that for a larger value of the strength of the ionic lattice, the Fermi pockets (inner Fermi surface) will disappear. Similar study of Fermi surfaces for a charge density model has appeared in the bottom-up approach [42]. They also obtained similar behaviour of Fermi surfaces suggesting these are generic rather than model dependent features of the charge density wave solutions.

Next we consider the worldvolume fermions in the same background. Since worldvolume fermions transform under the adjoint representation, charge of the usual couping with the gauge field is zero in this case. Nevertheless, due the structure of the action, the electromagnetic flux enters the Dirac equation. We studied the plot of spectral function and find that it shows a maximum around the origin. We also observe that with the increase of  $g_s$  the maximum of spectral function, separates into a pair of maxima, moving away from the  $k_x = 0$ . If we consider that the increasing values of  $g_s$  would correspond to 1/N effects in the dual field theory this may hint at the possible effects of 1/N correction. However, the maximum does not qualify as a Fermi surface, which is due to the fact that the charge of the fermions coupling to the gauge field is zero. Nevertheless, one can expect a similar behaviour will persist for adequate charge. We have also discussed the operators in the dual field theory.

A natural extension of the present work is to consider the worldvolume fermions in the D2-D8 intersecting brane model with a gauge group of higher rank, such as SU(2) and examine the behaviours of the fermions as has been done in [16] in a linearised approximation. Being a top-down approach it is possible to explicitly consider the exact field theory model dual to it living on the boundary. It will be quite interesting to understand these features in a field theory set-up in the dual model. It will shed light on the formation of the Fermi surfaces from the perspective of a field theoretic understanding. The behaviour of the spectral density function with the variation of the frequency also merits a field theoretic study.

We can also extend the analysis for the Majorana fermions. Supergravity models often introduce Pauli coupling, which in holographic models introduces gaps in the Fermi surface. It may be interesting to study the implications of the Pauli couplings for the generic fermions in the present model and how it modifies the Fermi surface. Lastly, it may be mentioned that the spatially modulated solution that we have considered here is obtained in a probe approximation. One can obtain the full solution by considering the gravitational back reaction, which may provide a more complete picture of the Fermi surface in the present context.

## Acknowledgments

SM thankfully acknowledges the financial assistance received from Science and Engineering Research Board (SERB), India (project file no. CRG/2019/002167).

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