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Case study

Relationship between damage and structural vulnerability in historical heritage: Case study of San Fernando de Bocachica Fort, Cartagena de Indias

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ABSTRACT

The restoration process for historical heritage is complex and consists of several steps. In the professional field, the evaluation of the structural vulnerability of a historical monument often does not include an evaluation of its previous damage. It is important to understand the degradation phenomena to properly interpret the results of structural analysis and make better decisions for the maintenance and structural reinforcement.

In the present study, a seismic vulnerability assessment with a linear dynamic analysis was carried out at San Fernando de Bocachica Fort. In addition, structural damage and deterioration types were categorized and mapped. Finally, a comparison between structure stress distribution and damage analysis is proposed. A marked relationship between structural overstress and structural decay is noted, which makes this methodology a valuable tool that could be implemented for the more effective maintenance of historical heritage around the world. The fort presents severe deterioration, highlighting the need for immediate intervention to preserve its aesthetic and structural stability.

1. Introduction

Historical monuments are invaluable and represent a relevant part of the history and culture of a country and of humanity as a whole [12,29,33]. Each historical structure requires a specific approach and a deep knowledge of its history, materials, construction phases, and restoration processes over time [36]. They are extremely vulnerable to seismic actions because, generally, the original construction techniques were mostly dedicated to preserving the balance against vertical gravitational loads. Additionally, these structures are constantly exposed to deterioration by weathering. For this reason, many interventions to preserve their integrity are often needed, which are not often off record [25].

Baraccani et al. [2] present a preliminary assessment of the structural “health” of the Cathedral of Modena (Italy) making use of a multi-disciplinary multi-analysis approach, capable of providing an *integrated knowledge* of the monument. Different analyses have

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Fig. 1. View of the San Fernando de Bocachica Fort.

Source: <http://www.dronestagr.am/san-fernando-de-bocachica-2/>.

been conducted on the global structure of the masonry fabric as well as on the local response of the single masonry walls and other significant structural elements, in order to identify the main static vulnerabilities. Construction phases, differential settlements and interaction with close structures are the key factors to be considered for the structural assessment, supported by the structural monitoring, as an essential component of the integrated studies when exploring the long-term performances.

As discussed by several authors, [23,25,4], the systematic process of observation, monitoring, and data recording over a period of time to characterize the health status of structures and detect any possible changes due to the occurrence of damage is known as structural health monitoring (SHM).

SHM represents a diagnostic and control tool and plays a fundamental role in the entire conservation process ([8,21]; M. G. [24,35,34]). Decay process studies on historical monuments in this direction are often found in the literature [13,30]. In the recent research on the two Towers of Bologna (Italy), Baraccani et al. [1] introduce an innovative time-domain approach for the analysis and interpretation of large amount of data from long-term static monitoring of historical masonry structures to analyze data from the SHM system. On the other hand, Makoond et al. [22] propose a static structural health monitoring and automated data analysis procedures applied to the diagnosis of a complex medieval masonry monastery. In both cases the usefulness of those approaches in a broader decision-making framework is highlighted.

However, most studies are limited to damage analysis or structural analysis, with different levels of complexity [31,37,7]. The study and monitoring of structural decay processes should be conducted in parallel with a structural analysis. The structural vulnerability of a structure can be observed to be reflected in the type of damage and distribution. Therefore, an accurate diagnosis of structure damage is crucial to the implementation of appropriate measures in their intervention in order to improve the efficiency of the restoration and conservation process [23].

Furthermore, in developing countries SHM often it is not possible due to lack of funds and logistic problems related to the safety of installed devices. Therefore, it is necessary to resort to alternative techniques.

This study focuses on Cartagena de Indias, Colombia. The city is characterized by a set of fortresses, bastions, monuments, and defensive walls, being part of the world heritage list according to the United Nations Educational, Scientific and Cultural Organization (UNESCO) since 1984 [40]. This due to the important historical, cultural, architectural, and military engineering value that it represents. In particular, San Fernando de Bocachica Fort is an important piece of that legacy, and it is located on the island of Tierra-bomba in front of the San José Battery (Fig. 1).

This fort is an 18th century Hispanic construction, with a purely defensive character, essential for the survival of the city in the period of the Spanish Crown in Colombia, [39]. Three centuries after its construction, it continues to be of great importance for the city of Cartagena de Indias from a historical-architectural point of view and as a tourist attraction, with tourism being the second source of income for the city after industry [28].

This structure over the years has shown some deterioration due to the tropical environment, high temperatures, humidity, salinity, the continuous effect of waves, and the lack of conservation and maintenance, among other factors. For this reason, the objective of this work was to identify the relationship among the decay process, damage, and structural behavior. This work aims to serve as a reference for the protection of Cartagena's heritage and similar cultural heritage.

2. Methodology

To facilitate the analysis, the fort was divided into 6 sectors whose names correspond to the letters from A to F. Furthermore, Sector

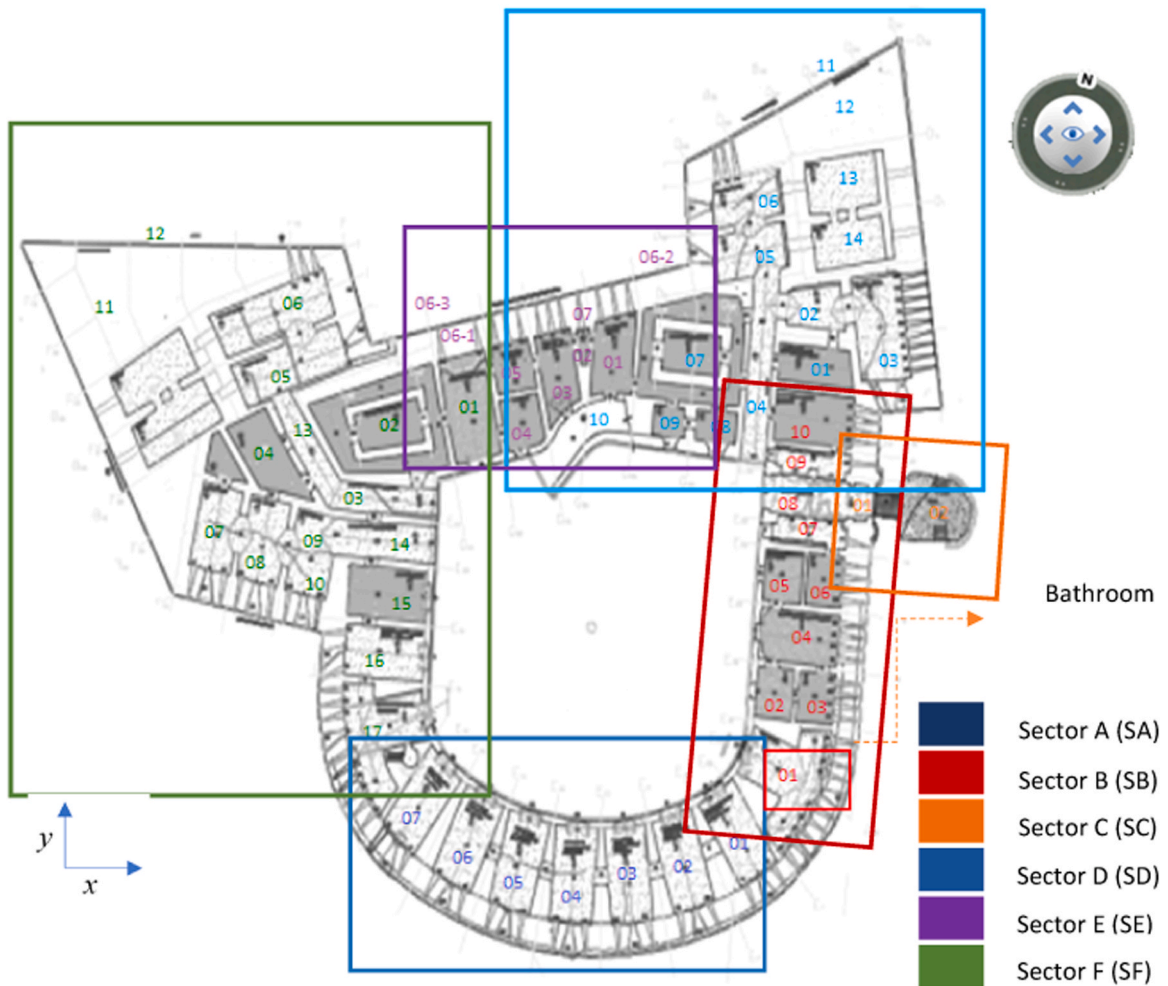


Fig. 2. Plan of San Fernando Fort divided by sectors.

A was divided into 5 parts, Sector B into 10 parts, Sector C into 2 parts, Sector D into 12 parts, Sector E into 7 parts, and, finally, Sector F into 12 parts (Fig. 2).

The decay phenomena and processes are described using the glossary proposed by the International Scientific Committee for Stone (ISCS) and the International Council on Monuments and Sites (ICOMOS) [17]. The ICOMOS-ISCS glossary was created in order to unify the terminology in studies on the alteration and conservation of stone, which is a crucial issue in the conservation of monuments. The glossary highlights 5 main groups: cracks and deformations, detachments, features induced by material loss, discoloration and deposit, and biological colonization, which are divided into sub-categories. Moisture content represents neither a decay phenomenon nor a process itself. However, as it triggers several deterioration processes, it was considered in the present study.

The list of the decay phenomena and processes found in the in-situ inspection was reported with 48 records, including the name of the fortification, divisions made, a plan view of the structure, and graphic-photographic evidence. After this, the decay condition of each evaluated part was qualitatively categorized as good, fair, poor, or bad, according to the in-situ visit, corresponding to the colors green, yellow, orange, and red, respectively.

The risk condition was evaluated according to the localization of considered area and the importance of its functionality to the local and overall structural stability. It was categorized as low, moderate, serious, or very serious, following the same chromatography as that described above.

Furthermore, each record reports on whether the decay identified affects the structure's stability or its aesthetic. Fig. 3 shows a completed record of an inspection of San Fernando as an example.

2.1. Materials

The materials found in San Fernando Fort were limestone, military brick, lime-based mortar, and what is locally called colonial concrete (mixed concrete). This is made up of fragments of limestone, coral, and pumice and fragments of tiles or bricks, bonded with

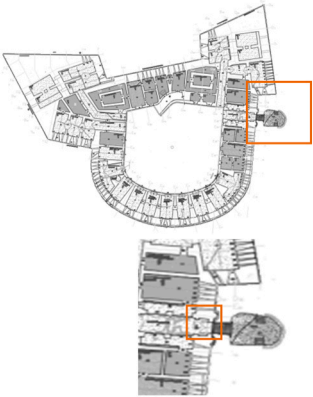

SAN FERNANDO DE BOCACHICA FORT			Date:	23/08/2020
Tipology:		Vault		
Location		Code:	Record N°	
		SC-01	0-14	
				
Damages:				
Damage group	Type of Damage	Damage Subtype		
Features induced by Material loss	Features induced by Material loss	Loss of Mortar		
Condition:				
Condition	Clasification	Color condition		
Fair	1			
Risk:				
Grade	Clasification	Sorting by color		
Moderate	1			
Affection:				
<input type="checkbox"/>	Safety	<input checked="" type="checkbox"/>	Aesthetics	
OBSERVATIONS: Loss of mortar in the joints (Top photo). Alveolization with cavities greater than 2 cm, disjunction of films, disintegration of the stone structure (Central photo). Fracturing and detachment of stone material from the structure is observed (Bottom photo).		POSSIBLE CAUSES: Runoff water and chemical factors that have disintegrated the mortar. Limestone corrosion, mechanical aggression, wind erosion and rainwater. Impact aggression and weathering.		

Fig. 3. Example of the San Fernando inspection record [3].



Fig. 4. Materials of San Fernando de Bocachica Fort. a) Limestone; b) brick; c) plastered limestone; d) mixed concrete.b).

Table 1

Material mechanical properties.

Material	Location in the structure	E (MPa)	Bulk density (kg/m ³)	Strength (MPa)		
				Rc	Rt	S
Limestone	Scarp	1956.0	2280.0	2.55	0.12–0.25	0.31
Mixed concrete	Internal, external walls and ramp	618.0	1535.0	0.82	0.04–0.08	0.17
Plastered limestone	Base vaults and low battery	10,981.9	2730.0	5.61	0.28–0.56	1.55
Military brick	Key to the vaults and ramp	2780.9	1685.14	3.70	0.18–0.37	1.27

E = elastic modulus; Rc = uniaxial compressive strength; Rc = uniaxial tensile strength; S = shear strength.

mortar (Fig. 4a–d).

For limestone, elastic modulus was obtained from cylinders extracted in situ, while the Poisson's ratio was obtained theoretically, according to title D 5.2 of the Colombian construction standard (NSR-10, for its initials in Spanish).

The military brick in San Fernando Fort is found in various proportions; the most common is the so-called Tolete brick measuring $0.30 \times 0.15 \times 0.05$ m, and it is of excellent quality in terms of clay and firing. This material was tested in non-destructive tests carried out by means of a smith's hammer following the NTC 4205 Colombian standard. The brick works were immersed within a matrix of mortar. Therefore, 23 brick walls were tested for compression and axial deformation to determine the elastic modulus, according to ASTM E111 standards. Poisson's ratio was obtained as mentioned above for limestone (Table 1).

Regarding the mixed concrete, five walls of $0.3 \times 0.20 \times 0.3$ m were extracted in buildings with similar construction techniques

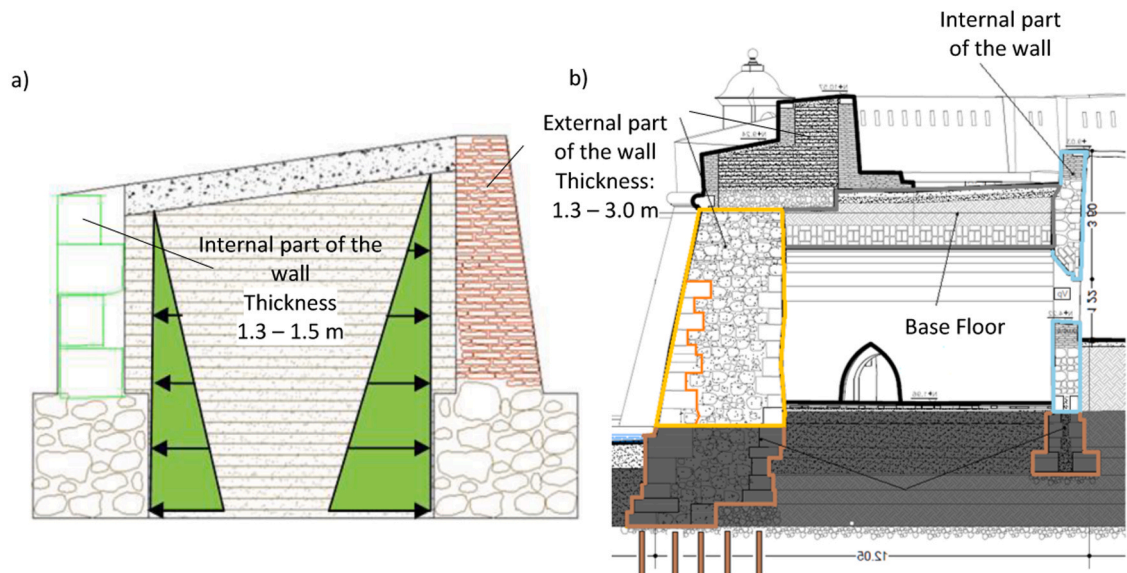


Fig. 5. a) Generic section of the Fort; b) Curtain and bastion construction system near to water bodies.

Table 2

Summary of load combination considered.

Foundation condition	Cases	Loads considered
1) Embedded structure	Case 1	Filling Thrust; Live Load, Dead Load, Sea Waves
	Case 2	Filling Thrust, Live Load, Dead Load, Sea Waves, Earthquake with Limited Security (Existing Structure)
	Case 3	Filling Thrust, Live Load, Dead Load, Sea Wave, Earthquake with Design Spectrum Equivalent to a New Structure
2) Soil-structure interaction	Case 4	Filling Thrust, Live Load, Dead Load, Sea Waves
	Case 5	Filling Thrust, Live Load, Dead Load, Sea Waves, Earthquake with Limited Security (Existing Structure)
	Case 6	Filling Thrust, Live Load, Dead Load, Sea Wave, Earthquake with Design Spectrum Equivalent to a New Structure

Case 1 loads are equivalent to Case 4 loads, similarly for Case 2 to case 5 and Case 3 to Case 6. The only difference is the Foundation Condition. "Earthquake with Limited Security (Existing Structure)" refers to Colombian construction standard earthquake for existing structures with a reduced acceleration peak. "Design Spectrum" refers to Colombian construction standard earthquake for new structures (Fig. 5).

and quality of materials to those of San Fernando Fort [6]. The average value of compressive strength was obtained according to title D of NSR-10. Indirect tests, such as measurements through a sclerometer and ultrasound, were also carried out; however, they were not considered in the analysis since the tendency is to overestimate the strength of the materials.

The bulk density value was obtained by means of the weight and volume relationship for walls extracted in the old building of the Government of Bolívar (Claustro de Francisco), while the elastic modulus and Poisson's ratio were theoretically obtained in accordance with NSR-10 section D.5.2 (Table 1).

The decay conditions were considered for each section applying two reduction coefficients, to the values in Table 1, to obtain the design mechanical properties. Design quality (Φ_{dc}) and material decay (Φ_{md}) reduction coefficients were evaluated during the in-situ inspection of the structure.

2.2. FEM analysis

Additionally, a dynamic linear analysis of the structural behavior was undertaken using the finite element method (FEM) through the SAP2000® software. For the modeling of the external wall of the structure, solid elements were used, which are eight-node objects that are used to model structural systems in 3D. Each solid has six quadrilateral faces with a hinge at each corner. The nodes can be chosen to form wedges, tetrahedra, and other irregular volumes. This element is capable of resisting plane deformation, bending, and handling shear loads. On the other hand, the internal wall was modeled using a shell-thick element, applying Mindlin-Reissner's thick plate theory, [14,18] and using a node isoperimetric planar quadrilateral element capable of supporting planar deformation, bending, and handling shear loads.

The structure was modeled as a whole, nevertheless, due to the computational load, stress and strain results were extracted by section. In Fig. 5a schematic representation of the fortification is reported.

The load combination was applied in accordance with section B.2.3 of NSR-10 (Table 2). Foundation conditions in this specific structure are unknown. Therefore, two different scenarios were considered, 1) embedded structure with an infinitely rigid floor and 2) with a soil-structure interaction. The reproduction of the soil-structure interaction is given by the allocation of springs in the supports

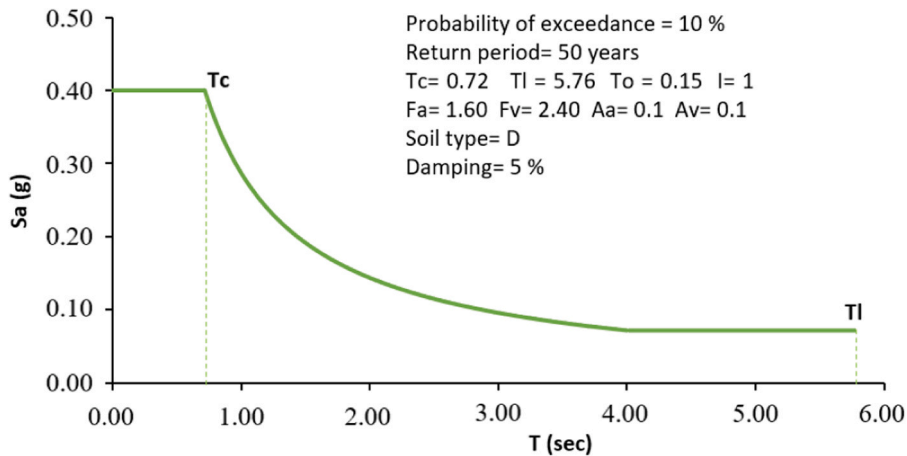


Fig. 6. Design spectrum for the NS according to NSR-10. I: coefficient of importance; Fa: amplification coefficient that affects the acceleration in the area of short periods; Fv: amplification coefficient that affects the acceleration in the area of intermediate periods.

Table 3
Applied Loads.

Applied Loads	Magnitude	Unit	Comments
Filling Thrust	7.42	t/m ²	Triangular load, with zero at the top h = 5.15
LL (Carga viva)	0.20	t/m ²	Due to Uniformly distributed load
Sea Waves (carga por oleaje)	0.61	t/m ²	Calculated pressures on the scarp
Earthquake with Limited Security (x and y Direction)	1567	t	
Earthquake Design Spectrum (x and y Direction)	3134	t	

All loads have to be considered horizontally applied to the wall façade; t = tons.

of the structure, whose stiffness characteristics are defined by the properties of the soil. Therefore, the theoretical determination of the stiffness of the soil becomes a fundamental part, since the reproduction of the real conditions to which the structures are subjected depends on it. For the purposes of this research, the stiffness values were established for the soil in the three directions. Stiffness constant in the z direction was assumed as 800 t/m³, while for y and x directions as one third (267 t/m³), according to previous research in the interested area, [11]. The foundations of the structures were modeled using a Shell element of SAP 2000 software V.16.1.1, this type of elements can only be added to the stiffness of the soil in terms of area - Surface spring [15,43].

Filling thrust, the thrust due the filling material behind the wall, was calculated applying the Rankine lateral thrust theory, (Fig. 5), [32,42]. For this, it was considered a specific weight value of 2.0 ton/m³, a coefficient of internal friction of $\phi = 30^\circ$, active thrust constant $K_a = 0.28$ and cohesion coefficient of 1 t/m², taken from previous research, [11]. Live load is the active thrust in the wall as a result of the occupancy loads according to the NSR-10. Dead load is the structure’s own weight (see Bulk density in Table 1). Sea waves represent the wave effect calculated according to George Sainflou’s theory [10,20,38]. The pressure of the waves on vertical walls is composed of the hydrostatic pressure, which varies as the wave rises and falls along the wall; and by the dynamic pressure generated by the movement of the water particles. Height, length and depth of the wave were respectively 0.5 m, 25.5 m and 0.5 m.

Therefore, the three equilibrium conditions, the horizontal component of the earth thrust, overturning and bearing capacity, for the retaining wall were verified [27].

The seismic load in the x and y direction was calculated according to the response spectra (NSR-10). The design spectra were first determined under the consideration of earthquakes for new structures (NS) and then for limited safety structures (LSS) or existing structures. The difference between both design considerations lies in the values of the effective peak acceleration and effective peak velocity (Fig. 6). Both cases have a fundamental period (T) lower than the short period Tc of the spectrum (T = 0.24 in x and T = 0.24 in y). Therefore, the design acceleration Sa (g) was calculated with Eq. (1) (NSR-10), and the values adopted in the analysis were 0.40 g for NS and 0.20 for LSS (Fig. 6).

$$S_a = 2.5A_a F_a I \tag{1}$$

The fundamental period of the structure is output data from the SAP2000 program after performing the modal analysis. It is necessary to consider that, according to NSR-10, all vibration modes that contribute in a significant way to the dynamic response of the structure must be included in the dynamic analysis, including at least 90% of the participating mass for x and y.

Applied loads are reported in Table 3.



Fig. 7. Deterioration of the plaster, green spots on the wall of the vault, and rising damp on the floor.

3. Results and discussion

The fort has been restored many times over the centuries, but no record of the specific interventions has been found. There are no flooded areas, and the overall structural behavior of the Fort is acceptable, despite some local criticalities to be considered.

The action of the waves on San Fernando de Bocachica Fort has generated the loss of a large part of the sandy material at the base of the structure, which probably caused differential settlements. Hidroconsultores [16] states that the loss of material in the San Fernando de Bocachica Fort occurs when the water tries to get out of the bay, producing water currents at the foot of the fort dragging the support material beneath the edge of the foundation.

The overall is-situ visit highlighted general widespread moisture content presence through walls and roofs, and inadequate drainage systems.

It is unknown the level of consolidation of the ground foundations and structure monitoring was not the objective of this study, although further studies are planned in this matter.

A summary of the parameters recorded in the decay evaluation sheets of San Fernando Fort is shown in Table 4. All sections of the fort and the types of damage (physical, mechanical, chemical, or biological) found in each one are reported. From Table 4, it can be noted that the fort has been mostly affected in terms of its aesthetic, while mechanical damage is observed in the fort sectors where structure stability is compromised.

Design quality coefficient (Φ_{dc}) were considered equal to 0.8 and uniformly applied to the measured mechanical properties to obtain the design parameters. On the other hand, material decay reduction coefficient (Φ_{md}) was considered according to the decay classification, 0.6 poor or bad, 0.8 fair and 1.0 good.

This fort has a series of vaults of different types, circular, lancet, depressed and even Ottoman Gothic, [26]. Among the most relevant damage reported are microkarstification and alveolarization with cavities greater than 2 cm, wall deterioration, and a loss of stone material. Additionally, the proliferation of fungi and efflorescence was identified, probably related to the high moisture content in the material, (Fig. 7). Salt crystallization, thermal stresses, differential water expansion, and biological growth likely play an important role in the decomposition of wall materials.

Only the most severe combination (Case 6 - Soil-structure interaction) was reported in the results, given that overstress rate (OR) related to the other cases are significantly lower than 1 (<0.3). OR were calculated according to Eqs. (1) and (2).

$$OC_R = \frac{AC_s}{UCS_{mb}} \quad (2)$$

$$OS_R = \frac{AS_s}{S_{ms}} \quad (3)$$

Where OC_R and OS_R are respectively the Compressive Overstress rate and the Shear Overstress rate (adim.), resulting from the applied stress divided by the resistant stress. Accordingly, AC_s is the Applied Compressive Stress (MPa), and AS_s is the Applied Shear Stress (MPa).

The masonry uniaxial compressive strength values due to bending (UCS_{mb} in MPa) and Shear Strength (S_{ms} in MPa), were calculated according to the NSR, 2010 (3). For further details see Saba et al. [37].

When the Overstress rate is lower than 1, the structure response is considered adequate to the combination load applied, otherwise, the structure will have criticalities and risk of collapse.

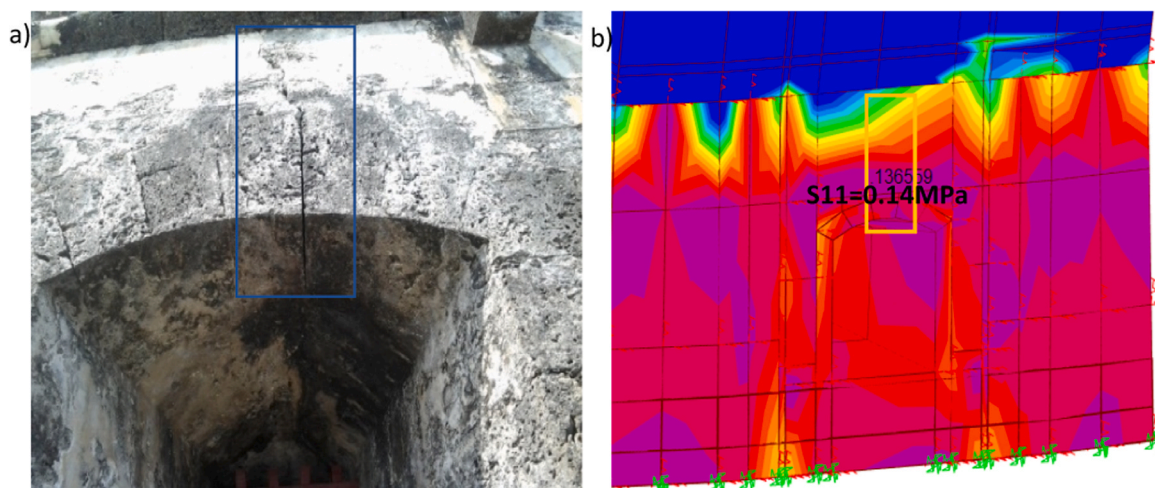


Fig. 8. a) Crack in the stone material in the upper part of a window, up to 2 cm thick in the real structure; b) state of stress resulting from numerical modeling with SAP2000.



Fig. 9. Scour and deterioration of the stone material in the external part of the bathroom.

Considering the worst scenario, as mentioned above, the structure has compression overstress indexes lower than 1. This means that all materials are performing well under these stresses. On the other hand, in the case of tensile and shear stresses, the overstress index diagrams show values greater than 1 in all materials, with the highest value being in the section where the material is military brick.

In general terms, it can be affirmed that tensile and shear stresses are producing the greatest stresses on the structure. Additionally, some severe cracks were observed, such as that shown in Fig. 8a, which was up to 2 cm thick. These are probably the consequence of tensile stresses generated in the vault keystone by movements of the ground. The arch in this case is made of limestone, while in its upper part, the material is mixed concrete. In the absence of experimental data, the literature indicates that the R_t of the materials is between 5% and 10% of R_c [37,41,5,9], (Table 1). Observing the numerical modeling, the stress distribution in the vault key of the arch indicates that there is relatively low tensile stress (0.14 MPa; Fig. 8b). However, this request is higher than the R_t range of mixed concrete, (Table 1), justifying the cracking. On the other hand, in limestone, the fracture is occurring in the vicinity of the joint mortar between blocks. In this case, the presence of overstrain in this material could have generated acceleration in its deterioration due to weathering.

As encountered in Saba et al. [37] in previous studies of the Cartagena's fortifications, the main failure mechanism highlighted in the analysis was a local overturning mechanism [19]. The out-of-plane actions, due to bending moments, are often prevalent and represent the most critical situations from a structural point of view. The connection between walls and horizontal elements is not clear, therefore, the typical box-type structural behavior is not effective to avoid the collapse for out-of-plane actions.

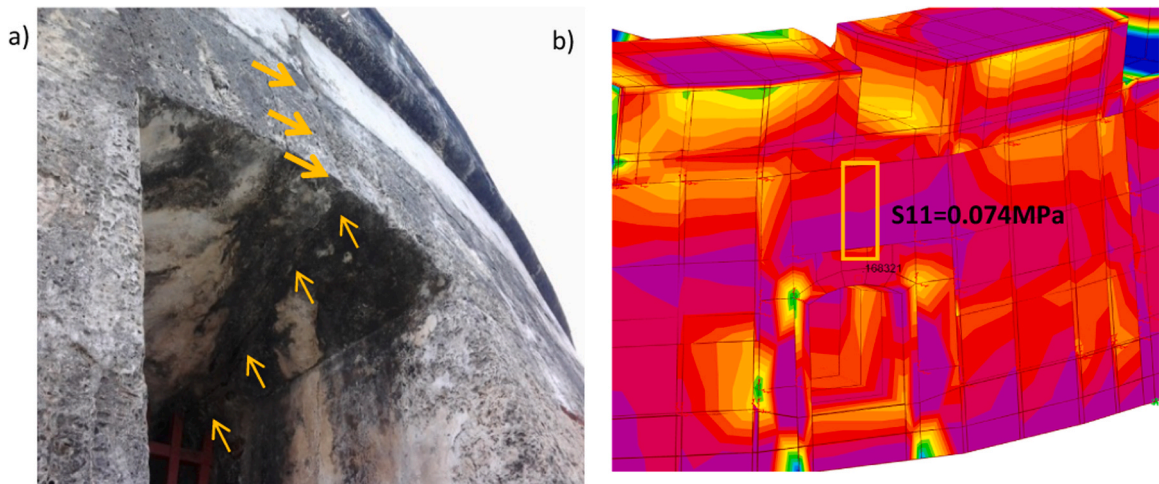


Fig. 10. a) Cracks in the key stone of the bathroom window; b) distribution of stresses according to the numerical simulation with SAP2000 in the same area.

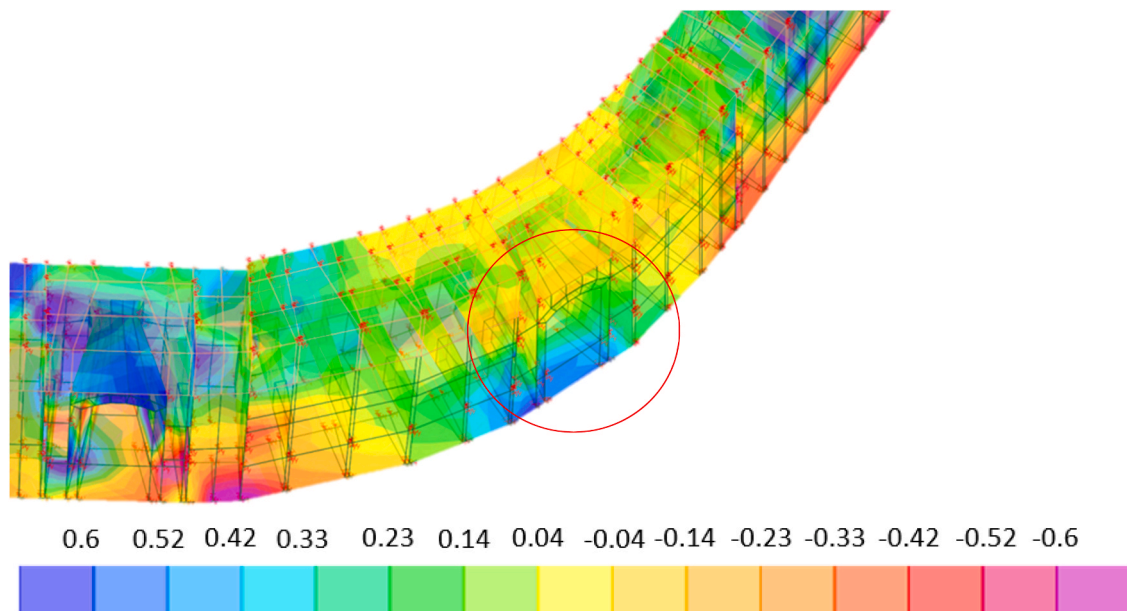


Fig. 11. Overstress diagrams showing the concentration of shear stresses in the lower part of the wall (MPa) [3]. Positive values: traction; negative values: compression.

One of the sectors of the fort where more serious damage was identified was the bathroom (Sector B O1, Fig. 2). It was observed a significant deterioration of the stone material at the base (Fig. 9). This is probably the product of mechanical aggression, the dissolution of salts, and the continuous impact of the sea waves. Cracks were also identified in the key of the bathroom window due to overstressing or settlements produced by the loss of supporting soil. The latter could be a consequence of the action of the sea waves (Fig. 10a,b), a behavior similar to that seen in Fig. 8.

The model shows that the causes of the cracks rely on several factors, including overstresses, scour by water, and the geometry of the vault. The vault does not work as a single compact section, and it has shapes designed for the bathroom drainage system's functionality. This design produced unsupported areas, and it allows for the appearance of cracks (Fig. 11).

Paradiso et al. [26] also report the pattern of cracks present in this sector. This is a large, deep opening that runs the entire length of the floor. The crack continues to the exterior wall and, seeking the weaker areas of masonry, breaks the right edge of the windowsill. Starting from the corner stone of the window arch, the crack resumes, breaking the window and thus causing an interior joint restriction, which continues up to the vaulted ceiling, (Fig. 12a,b).

In the escarpment, similar cracks are found (Fig. 13a,b), which are caused by tensile strength in the battery brick, probably as a

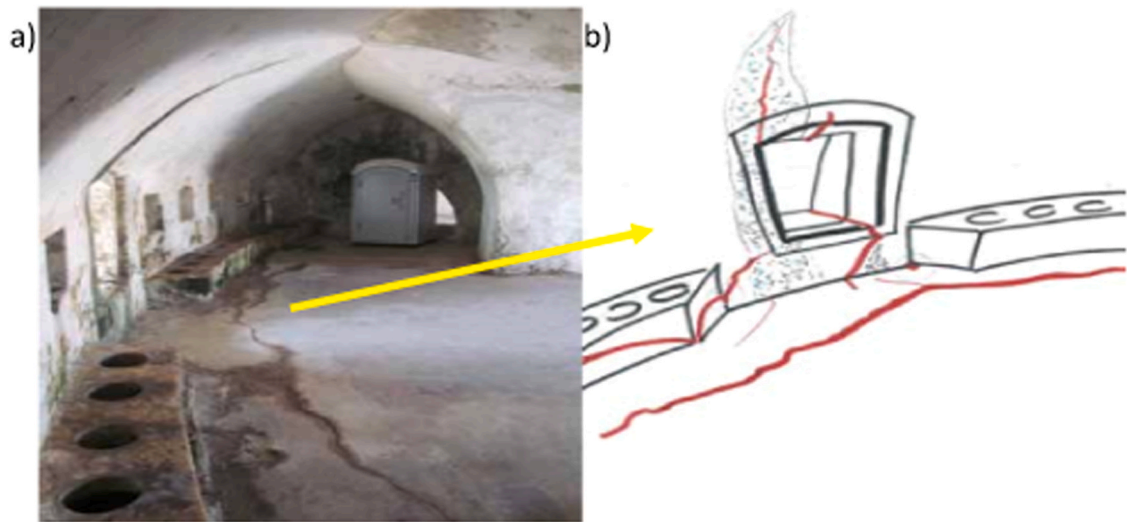


Fig. 12. a) Cracking pattern of the bathroom; b) side view of Fig. 11 a). Cracking chart sketch was recovered from Michele Paradiso et al. [26].

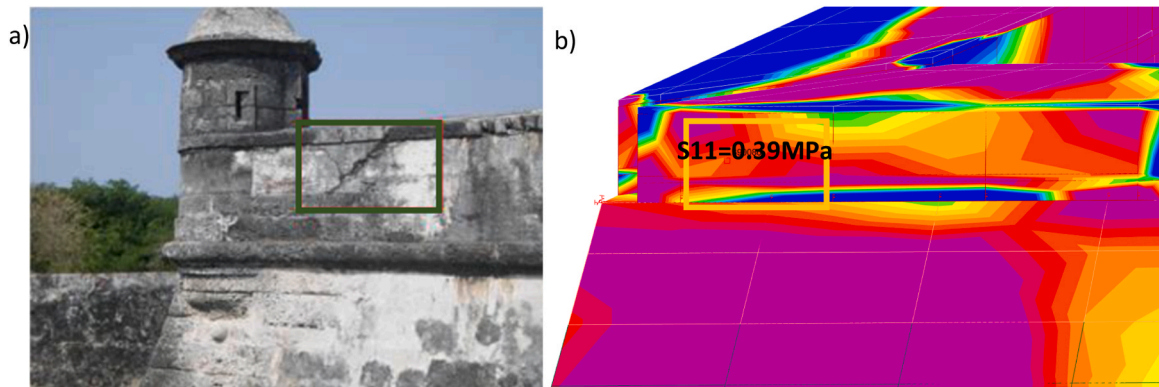


Fig. 13. a) Crack in the scarp with an incline of approximately 45 degrees; b) stresses found in the model in the same area.

consequence of differential settlements. In addition to the above damage, in general, the scarp in its different areas shows increased roughness, alveolarization and microkarstification, as well as partial loss of matrix.

The relationship between the overstress and cracks is evident when considering the fact that military brick R_t oscillates between 0.18 and 0.37 MPa (Table 1), showing compatibility between the model and the real structure.

Most of the studies in historical structures in developing countries are done with few tools as in the present case, so it is a typical case that can be considered as an example for other case studies when there is a lack of funds and logistics.

4. Conclusions

The objective of the present work was to identify the relationship between the decay process and damage in San Fernando de Bocachica Fort, Cartagena de Indias. The results show that, in most of the walls and vaults, there is a predominance of biological material (molds and fungi), probably as a consequence of the high moisture content propitiated by the proximity to the sea and the poor state of the rainwater drains. Among the damage processes identified, “features induced by loss of material” are prevalent, mainly highlighting the disjunction of films and the increase in roughness. However, the most severe damage is found in the bathrooms. The formation of cracks, among other things, can lead to the infiltration of moisture and pollutants, in turn favoring the formation of other decay processes and worsening the general condition of the structure. Additionally, a relationship was found between the largest cracks and the greatest stress distribution in specific points of the structure. This shows that, with a good level of knowledge of the properties of the materials and appropriate numerical simulation of the structural behavior, the most vulnerable points of the structure can be predicted where cracks and fissures are most likely to appear. Therefore, when competent authorities plan the maintenance and restoration of cultural heritage, they should consider both decay processes and structural behavior. Particularly, in the case of this study, it is considered urgent to take the appropriate actions throughout the entire fort to avoid collapses and the loss of invaluable

heritage. This approach is advisable to better preserve cultural heritage around the world.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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