

REGULARITY OF LIPSCHITZ BOUNDARIES WITH PRESCRIBED SUB-FINSLER MEAN CURVATURE IN THE HEISENBERG GROUP \mathbb{H}^1

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ABSTRACT. For a strictly convex set $K \subset \mathbb{R}^2$ of class C^2 we consider its associated sub-Finsler K -perimeter $|\partial E|_K$ in \mathbb{H}^1 and the prescribed mean curvature functional $|\partial E|_K - \int_E f$ associated to a function f . Given a critical set for this functional with Euclidean Lipschitz and intrinsic regular boundary, we prove that their characteristic curves are of class C^2 and that this regularity is optimal. The result holds in particular when the boundary of E is of class C^1 .

1. INTRODUCTION

The aim of this paper is to study the regularity of the characteristic curves of the boundary of a set with continuous prescribed mean curvature in the first Heisenberg group \mathbb{H}^1 with a sub-Finsler structure. Such a structure is defined by means of an asymmetric left-invariant norm $\|\cdot\|_K$ in \mathbb{H}^1 associated to a convex set $K \subset \mathbb{R}^2$ containing 0 in its interior, see [38]. We assume in this paper that K has C^2 boundary with positive geodesic curvature.

Following De Giorgi [14], the authors of [38] defined a notion of sub-Finsler K -perimeter, see also [18]. Given a measurable set $E \subset \mathbb{H}^1$ and an open subset $\Omega \subset \mathbb{H}^1$, it is said that E has locally finite K -perimeter in Ω if for any relatively compact open set $V \subset \Omega$ we have

$$|\partial E|_K(V) = \sup \left\{ \int_E \operatorname{div}(U) d\mathbb{H}^1 : U \in \mathcal{H}_0^1(V), \|U\|_{K,\infty} \leq 1 \right\} < +\infty,$$

where $\mathcal{H}_0^1(V)$ is the space of horizontal vector fields of class C^1 with compact support in V , and $\|U\|_{K,\infty} = \sup_{p \in V} \|U_p\|_K$. Both the divergence and the integral are computed with respect to a fixed left-invariant Riemannian metric g on \mathbb{H}^1 . When $S = \partial E \cap \Omega$ is a Euclidean Lipschitz surface the K -perimeter coincides with the area functional

$$A_K(S) = \int_S \|N_h\|_{K,*} d\mathcal{H}^2,$$

where \mathcal{H}^2 is the 2-dimensional Hausdorff measure associated to the left-invariant Riemannian metric g , N is the outer unit normal to S , defined \mathcal{H}^2 -a.e on S , N_h is

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the horizontal projection of N to the horizontal distribution in \mathbb{H}^1 and $\|\cdot\|_{K,*}$ is the dual norm of $\|\cdot\|_K$.

We say that a set E with Euclidean Lipschitz boundary has prescribed K -mean curvature $f \in C^0(\Omega)$ if, for any bounded open subset $V \subset \Omega$, E is a critical point of the functional

$$A_K(S \cap B) - \int_{E \cap B} f d\mathbb{H}^1.$$

This notion extends the classical one in Euclidean space and the one introduced in [21] for the sub-Riemannian area. We refer the reader to the introduction of [21] for a brief historical account and references.

We say that a set E has *constant* prescribed K -mean curvature if there exists $\lambda \in \mathbb{R}$ such that E has prescribed K -mean curvature λ . In Proposition 2.2 we consider a set E with Euclidean Lipschitz boundary and positive K -perimeter. We show that if E is a critical point of the K -perimeter for variations preserving the volume up to first order then E has constant prescribed K -mean curvature on any open set Ω avoiding the singular set S_0 and where $|\partial E|_K(\Omega) > 0$. This result can be applied to isoperimetric regions in \mathbb{H}^1 with Euclidean Lipschitz boundary.

The main result of this paper is Theorem 3.1, where we prove that the boundary S of a set E with prescribed continuous K -mean curvature is foliated by horizontal characteristic curves of class C^2 in its regular part. The minimal assumptions we require for the boundary S of E are to be Euclidean Lipschitz and \mathbb{H} -regular. The result holds in particular when the boundary of E is of class C^1 . As we point out in Remark 3.5, C^2 regularity is optimal since the Pansu-Wulff shapes obtained in [38] have prescribed constant mean curvature and their boundaries are foliated by characteristic curves with the same regularity as that of ∂K , that may be just C^2 . In the proof of the Theorem 3.1 we exploit the first variation formula of the area following the arguments developed in [20, 21] and make use of the biLipschitz homeomorphism considered in [35]. One of the main differences in our setting is that the area functional strongly depends on the inverse π_K of the Gauss map of ∂K . Therefore the first variation of the area depends on the derivative of the map that describes the boundary ∂K . In order to use the bootstrap regularity argument in [20, 21] we need to invert this map on the boundary ∂K , that is possible since the geodesic curvature of ∂K is strictly positive, see Lemma 3.2. Moreover, the C^2 regularity of the characteristic curves implies that, on characteristic curves of a boundary with prescribed continuous K -mean curvature f , the ordinary differential equation

$$(*) \quad \langle D_Z \pi_K(\nu_h), Z \rangle = f,$$

is satisfied. In this equation $\nu_h = N_h/|N_h|$ is the classical sub-Riemannian horizontal unit normal, Z is the unit characteristic vector field tangent to the characteristic curves and D the Levi-Civita connection associated to the left-invariant Riemannian metric g on \mathbb{H}^1 . Equation (*) was proved to hold for C^2 surfaces in [38]. For regularity assumptions below \mathbb{H} -regular and Euclidean Lipschitz, equation (*) holds in a suitable weak sense, a result proved in [1] for the sub-Riemannian area, when K coincides with the unit disk centered at 0.

Moreover, in Proposition 4.2 we stress that equation (*) is equivalent to

$$(**) \quad H_D = \kappa(\pi_K(\nu_h))f,$$

where $H_D = \langle D_Z \nu_h, Z \rangle$ is the classical sub-Riemannian mean curvature introduced in [1] and κ is the strictly positive Euclidean curvature of the boundary ∂K . A key ingredient to obtain equation (***) is Lemma 4.3, that exploits the ideas of Lemma 3.2 in an intrinsic setting.

This manuscript is a natural continuation of the many recent papers concerning sub-Riemannian area minimizers [22, 11, 8, 7, 5, 13, 2, 1, 26, 27, 28, 41, 29, 17, 4, 10, 30, 32, 24, 23, 6]. The sub-Riemannian perimeter functional is a particular case of the sub-Finsler functionals considered in this paper where the convex set is the unit disk D centered at 0. In the pioneering paper [22] N. Garofalo and D.M. Nhieu showed the existence of sets of minimal perimeter in Carnot-Carathéodory spaces satisfying the doubling property and a Poincaré inequality. In [31] Leonardi and Rigot showed the existence of isoperimetric sets in Carnot groups. However the optimal regularity of the critical points of these variational problems involving the sub-Riemannian area is not completely understood. Indeed, even in the sub-Riemannian Heisenberg group \mathbb{H}^1 there are several examples of non-smooth area minimizers: S. D. Pauls in [37] exhibited a solution of low regularity for the Plateau problem with smooth boundary datum; on the other hand in [8, 39, 34] the authors provided solutions of Bernstein's problem in \mathbb{H}^1 that are only Euclidean Lipschitz.

In [36] P. Pansu conjectured that the boundaries of isoperimetric sets in \mathbb{H}^1 are given by the surfaces now called Pansu's spheres, union of all sub-Riemannian geodesics of a fixed curvature joining two point in the same vertical line. This conjecture has been solved only assuming *a priori* some regularity of the minimizers of the area with constant prescribed mean curvature. In [41] the authors solved the conjecture assuming that the minimizers of the area are of class C^2 , using the description of the singular set, the characterization of area-stationary surfaces, and the ruling property of constant mean curvature surfaces developed in [7]. Hence the *a priori* regularity hypothesis are central to study the sub-Riemannian isoperimetric problem. Motivated by this issue, it was shown in [9] that a C^1 boundary of a set with continuous prescribed mean curvature is foliated by C^2 characteristic curves. Regularity results for Lipschitz viscosity solutions of the minimal surface equation were obtained in [4]. Furthermore, in [21] the authors generalized the previous result when the boundary S is immersed in a three-dimensional contact sub-Riemannian manifold. Finally M. Galli in [20] improved the result in [21] only assuming that the boundary S is Euclidean Lipschitz and \mathbb{H} -regular in the sense of [19]. The Bernstein problem in \mathbb{H}^1 with Euclidean Lipschitz regularity was treated by S. Nicolussi and F. Serra-Cassano [35]. Partial solutions of the sub-Riemannian isoperimetric problem have been obtained assuming Euclidean convexity [33], or symmetry properties [12, 40, 32, 17]. An analogous sub-Finsler isoperimetric problem might be considered. Candidate solutions would be the Pansu-Wulff shapes considered in [38]. See [38, 18] for partial results in the sub-Finsler isoperimetric problem and [42] for earlier work.

We have organized this paper into several sections. In Section 2 we introduce sub-Finsler norms in the first Heisenberg group \mathbb{H}^1 and their associated sub-Finsler perimeter, the notion of \mathbb{H} -regular surfaces, intrinsic Euclidean Lipschitz graphs and the definition of sets with prescribed mean curvature. Moreover, at the end of this section we prove Proposition 2.2. Section 3 is dedicated to the proof of the main Theorem 3.1, that ensures that the characteristic curves are C^2 . Finally in Section 4 we deal with the K -mean curvature equation, see Proposition 4.1 and Proposition 4.2.

2. PRELIMINARIES

2.1. The Heisenberg group. We denote by \mathbb{H}^1 the first Heisenberg group, defined as the 3-dimensional Euclidean space \mathbb{R}^3 endowed with a product $*$ defined by

$$(x, y, t) * (\bar{x}, \bar{y}, \bar{t}) = (x + \bar{x}, y + \bar{y}, t + \bar{t} + \bar{x}y - x\bar{y}).$$

A basis of left invariant vector fields is given by

$$X = \frac{\partial}{\partial x} + y \frac{\partial}{\partial t}, \quad Y = \frac{\partial}{\partial y} - x \frac{\partial}{\partial t}, \quad T = \frac{\partial}{\partial t}.$$

For $p \in \mathbb{H}^1$, the left translation by p is the diffeomorphism $L_p(q) = p * q$. The horizontal distribution \mathcal{H} is the planar distribution generated by X and Y , that coincides with the kernel of the (contact) one-form $\omega = dt - ydx + xdy$.

We shall consider on \mathbb{H}^1 the left invariant Riemannian metric $g = \langle \cdot, \cdot \rangle$, so that $\{X, Y, T\}$ is an orthonormal basis at every point, and let D be the Levi-Civita connection associated to the Riemannian metric g . The following relations can be easily computed

$$(2.1) \quad \begin{aligned} D_X X &= 0, & D_Y Y &= 0, & D_T T &= 0 \\ D_X Y &= -T, & D_X T &= Y, & D_Y T &= -X \\ D_Y X &= T, & D_T X &= Y, & D_T Y &= -X. \end{aligned}$$

Setting $J(U) = D_U T$ for any vector field U in \mathbb{H}^1 we get $J(X) = Y$, $J(Y) = -X$ and $J(T) = 0$. Therefore $-J^2$ coincides with the identity when restricted to the horizontal distribution. The Riemannian volume of a set E is, up to a constant, the Haar measure of the group and is denoted by $|E|$. The integral of a function f with respect to the Riemannian measure by $\int f d\mathbb{H}^1$.

2.2. The pseudo-hermitian connection. The pseudo-hermitian connection ∇ is the only affine connection satisfying the following properties:

1. ∇ is a metric connection,
2. $\text{Tor}(U, V) = 2\langle J(U), V \rangle T$ for all vector fields U, V .

In the previous line the torsion tensor $\text{Tor}(U, V)$ is given by $\nabla_U V - \nabla_V U - [U, V]$. From the above definition and the Koszul formula it follows easily that $\nabla X = \nabla Y = 0$ and $\nabla J = 0$. For a general discussion about the pseudo-hermitian connection see for instance [15, § 1.2]. Given a curve $\gamma : I \rightarrow \mathbb{H}^1$ we denote by ∇/ds the covariant derivatives induced by the pseudo-hermitian connection along γ .

2.3. Sub-Finsler norms. Given a convex set $K \subset \mathbb{R}^2$ with $0 \in \text{int}(K)$ an associated asymmetric norm $\|\cdot\|$ in \mathbb{R}^2 , we define on \mathbb{H}^1 a left-invariant norm $\|\cdot\|_K$ on the horizontal distribution by means of the equality

$$(\|fX + gY\|_K)(p) = \|(f(p), g(p))\|,$$

for any $p \in \mathbb{H}^1$. The dual norm is denoted by $\|\cdot\|_{K,*}$.

If the boundary of K is of class C^ℓ , $\ell \geq 2$, and the geodesic curvature of ∂K is strictly positive, we say that K is of class C_+^ℓ . When K is of class C_+^2 , the outer Gauss map N_K is a diffeomorphism from ∂K to \mathbb{S}^1 and the map

$$\pi_K(fX + gY) = N_K^{-1} \left(\frac{(f, g)}{\sqrt{f^2 + g^2}} \right),$$

defined for non-vanishing horizontal vector fields $U = fX + gY$, satisfies

$$\|U\|_{K,*} = \langle U, \pi_K(U) \rangle.$$

See § 2.3 in [38].

2.4. Sub-Finsler perimeter. Here we summarize some of the results contained in subsection 2.4 in [38].

Given a convex set $K \subset \mathbb{R}^2$ with $0 \in \text{int}(K)$, the norm $\|\cdot\|_K$ defines a perimeter functional: given a measurable set $E \subset \mathbb{H}^1$ and an open subset $\Omega \subset \mathbb{H}^1$, we say that E has locally finite K -perimeter in Ω if for any relatively compact open set $V \subset \Omega$ we have

$$|\partial E|_K(V) = \sup \left\{ \int_E \text{div}(U) d\mathbb{H}^1 : U \in \mathcal{H}_0^1(V), \|U\|_{K,\infty} \leq 1 \right\} < +\infty,$$

where $\mathcal{H}_0^1(V)$ is the space of horizontal vector fields of class C^1 with compact support in V , and $\|U\|_{K,\infty} = \sup_{p \in V} \|U_p\|_K$. The integral is computed with respect to the Riemannian measure $d\mathbb{H}^1$ of the left-invariant Riemannian metric g . When $K = D$, the closed unit disk centered at the origin of \mathbb{R}^2 , the K -perimeter coincides with classical sub-Riemannian perimeter.

If K, K' are bounded convex bodies containing 0 in its interior, there exist constants $\alpha, \beta > 0$ such that

$$\alpha \|x\|_{K'} \leq \|x\|_K \leq \beta \|x\|_{K'}, \quad \text{for all } x \in \mathbb{R}^2,$$

and it is not difficult to prove that

$$\frac{1}{\beta} |\partial E|_{K'}(V) \leq |\partial E|_K(V) \leq \frac{1}{\alpha} |\partial E|_{K'}(V).$$

As a consequence, E has locally finite K -perimeter if and only if it has locally finite K' -perimeter. In particular, any set with locally finite K -perimeter has locally finite sub-Riemannian perimeter.

Riesz Representation Theorem implies the existence of a $|\partial E|_K$ measurable vector field ν_K so that for any horizontal vector field U with compact support of class C^1 we have

$$\int_{\Omega} \text{div}(U) d\mathbb{H}^1 = \int_{\Omega} \langle U, \nu_K \rangle d|\partial E|_K.$$

In addition, ν_K satisfies $|\partial E|_K$ -a.e. the equality $\|\nu_K\|_{K,*} = 1$, where $\|\cdot\|_{K,*}$ is the dual norm of $\|\cdot\|_K$.

Given two convex sets $K, K' \subset \mathbb{R}^2$ containing 0 in their interiors, we have the following representation formula for the sub-Finsler perimeter measure $|\partial E|_K$ and the vector field ν_K

$$|\partial E|_K = \|\nu_{K'}\|_{K,*} |\partial E|_{K'}, \quad \nu_K = \frac{\nu_{K'}}{\|\nu_{K'}\|_{K,*}}.$$

Indeed, for the closed unit disk $D \subset \mathbb{R}^2$ centered at 0 we know that in the Euclidean Lipschitz case $\nu_D = \nu_h$ and $|N_h| = \|N_h\|_{D,*}$ where N is the *outer* unit normal. Hence we have

$$|\partial E|_K = \|\nu_h\|_{K,*} d|\partial E|_D, \quad \nu_K = \frac{\nu_h}{\|\nu_h\|_{K,*}}.$$

Here $|\partial E|_D$ is the standard sub-Riemannian measure. Moreover, $\nu_h = N_h/|N_h|$ and $|N_h|^{-1} d|\partial E|_D = dS$, where dS is the standard Riemannian measure on S . Hence

we get, for a set E with Euclidean Lipschitz boundary S

$$(2.2) \quad |\partial E|_K(\Omega) = \int_{S \cap \Omega} \|N_h\|_{K,*} dS,$$

where dS is the Riemannian measure on S , obtained from the area formula using a local Lipschitz parameterization of S , see Proposition 2.14 in [19]. It coincides with the 2-dimensional Hausdorff measure associated to the Riemannian distance induced by g . We stress that here N is the *outer* unit normal. This choice is important because of the lack of symmetry of $\|\cdot\|_K$ and $\|\cdot\|_{K,*}$.

2.5. Immersed surfaces in \mathbb{H}^1 . Following [1, 19] we provide the following definition.

Definition 2.1 (\mathbb{H} -regular surfaces). A real measurable function f defined on an open set $\Omega \subset \mathbb{H}^1$ is of class $C_{\mathbb{H}}^1(\Omega)$ if the distributional derivative $\nabla_{\mathbb{H}} f = (Xf, Yf)$ is represented by a continuous function.

We say that $S \subset \mathbb{H}^1$ is an \mathbb{H} -regular surface if for each $p \in \mathbb{H}^1$ there exist a neighborhood U and a function $f \in C_{\mathbb{H}}^1(U)$ such that $\nabla_{\mathbb{H}} f \neq 0$ and $S \cap U = \{f = 0\}$. Then the continuous horizontal unit normal is given by

$$\nu_h = \frac{\nabla_{\mathbb{H}} f}{|\nabla_{\mathbb{H}} f|}.$$

Given an oriented Euclidean Lipschitz surface S immersed in \mathbb{H}^1 , its unit normal N is defined \mathcal{H}^2 -a.e. in S , where \mathcal{H}^2 is the 2-dimensional Hausdorff measure associated to the Riemannian distance induced by g . In case S is the boundary of a set $E \subset \mathbb{H}^1$, we always choose the outer unit normal. We say that a point p belongs to the *singular set* S_0 of S if $p \in S$ is a differentiable point and the tangent space $T_p S$ coincides with the horizontal distribution \mathcal{H}_p . Therefore the horizontal projection of the normal N_h at singular points vanishes. In $S \setminus S_0$ the horizontal unit normal ν_h is defined \mathcal{H}^2 -a.e. by

$$\nu_h = \frac{N_h}{|N_h|},$$

where N_h is the horizontal projection of the normal N . The vector field Z is defined \mathcal{H}^2 -a.e. on $S \setminus S'_0$ by $Z = J(\nu_h)$, and it is tangent to S and horizontal.

\mathbb{H} -regularity plays an important role in the regularity theory of sets of finite sub-Riemannian perimeter. In [19], B. Franchi, R. Serapioni and F. Serra-Cassano proved that the boundary of such a set is composed of \mathbb{H} -regular surfaces and a singular set of small measure.

2.6. Sets with prescribed mean curvature. Consider an open set $\Omega \subset M$, and an integrable function $f \in L^1_{loc}(\Omega)$. We say that a set of locally finite K -perimeter $E \subset \Omega$ has *prescribed K -mean curvature f in Ω* if, for any bounded open set $B \subset \Omega$, E is a critical point of the functional

$$(2.3) \quad |\partial E|_K(B) - \int_{E \cap B} f d\mathbb{H}^1.$$

If $S = \partial E \cap \Omega$ is a Euclidean Lipschitz surface then S has prescribed K -mean curvature f if it is a critical point of the functional

$$(2.4) \quad A_K(S \cap B) - \int_{E \cap B} f d\mathbb{H}^1,$$

for any bounded open set $B \subset \Omega$.

If E has boundary $S = \partial E \cap \Omega$ of class C^2 , standard arguments imply that E has prescribed K -mean curvature f in Ω if and only if $H_K = f$, where H_K is the K -mean curvature

$$H_K = \langle D_Z \pi_K(\nu_h), Z \rangle,$$

and ν_h is the *outer* horizontal unit normal, see [38]. Since by [38, Lemma 2.1] the Levi-Civita connection D and the pseudo-hermitian connection ∇ coincide for horizontal vector fields, we obtain that

$$H_K = \langle D_Z \pi_K(\nu_h), Z \rangle = \langle \nabla_Z \pi_K(\nu_h), Z \rangle.$$

It is important to remark that the mean curvature H_K strongly depends on the choice of ν_h . When K is centrally symmetric, $\pi_K(-u) = -\pi_K(u)$ and so the mean curvature changes its sign when we take $-\nu_h$ instead of ν_h . When K is not centrally symmetric, there is no relation between the mean curvatures associated to ν_h and $-\nu_h$.

A set $E \subset \mathbb{H}^1$ with Euclidean Lipschitz boundary has locally finite K -perimeter: we know that it has locally bounded sub-Riemannian perimeter by Proposition 2.14 in [19] and we can apply the perimeter estimates in § 2.3. Letting \mathcal{H}^2 be the Riemannian 2-dimensional Hausdorff measure, the Riemannian outer unit normal N is defined \mathcal{H}^2 -a.e. in ∂E , and it can be proven that

$$(2.5) \quad |\partial E|_K(V) = \int_{\partial E \cap V} \|N_h\|_{K,*} d\mathcal{H}^2.$$

We say that a set E of locally finite K -perimeter in an open set $\Omega \subset \mathbb{H}^1$ has *constant* prescribed K -mean curvature if there exists $\lambda \in \mathbb{R}$ such that E has prescribed K -mean curvature λ . This means that E is a critical point of the functional $E \mapsto |\partial E|_K(B) - \lambda|E \cap B|$ for any bounded open set $B \subset \Omega$.

Our next result implies that Euclidean Lipschitz isoperimetric boundaries (for the K -perimeter) have constant prescribed K -mean curvature.

Proposition 2.2. *Let $E \subset \mathbb{H}^1$ be a bounded set with Euclidean Lipschitz boundary. Assume that E a critical point of the K -perimeter for variations preserving the volume of E up to first order. Let $\Omega \subset \mathbb{H}^1$ be an open set so that $\Omega \cap S_0 = \emptyset$ and $|\partial E|_K(\Omega) > 0$. Then E has constant prescribed K -mean curvature in Ω .*

Proof. Since the K -perimeter of E in Ω is positive there exists a horizontal vector field U_0 with compact support in Ω so that $\int_E \operatorname{div} U_0 d\mathbb{H}^1 > 0$. Let $\{\psi_s\}_{s \in \mathbb{R}}$ be the flow associated to U_0 and define

$$(2.6) \quad H_0 = \frac{\frac{d}{ds}|_{s=0} A_K(\psi_s(S))}{\frac{d}{ds}|_{s=0} |\psi_s(E)|}.$$

Let W any vector field with compact support in Ω and associated flow $\{\varphi_s\}_{s \in \mathbb{R}}$. Choose $\lambda \in \mathbb{R}$ so that $W - \lambda U_0$ satisfies

$$\frac{d}{ds} \Big|_{s=0} |\varphi_s(E)| - \lambda \frac{d}{ds} \Big|_{s=0} |\psi_s(E)| = 0.$$

This means that the flow of $W - \lambda U_0$ preserves the volume of E up to first order.

By our assumption on E we get

$$Q(W - \lambda U_0) = 0,$$

where Q is defined in (2.7). Now Lemma 2.3 implies $Q(W) = \lambda Q(U_0)$ and, from the definition of H_0 , we get

$$Q(W) = \lambda Q(U_0) = \lambda H_0 \frac{d}{ds} \Big|_{s=0} |\psi_s(E)| = H_0 \frac{d}{ds} \Big|_{s=0} |\varphi_s(E)|.$$

This implies that E is a critical point of the functional $E \mapsto |\partial E|_K - H_0|E|$ and so it has prescribed K -mean curvature equal to the constant H_0 . \square

Lemma 2.3. *Let $E \subset \mathbb{H}^1$ be a bounded set with Euclidean Lipschitz boundary S . Let $\Omega \subset \mathbb{H}^1$ be an open set such that $\Omega \cap S_0 = \emptyset$. Let U be a vector field with compact support Ω and $\{\varphi_s\}_{s \in \mathbb{R}}$ the associated flow. Then the derivative*

$$(2.7) \quad Q(U) = \frac{d}{ds} \Big|_{s=0} A_K(\varphi_s(S))$$

exists and is a linear function of U .

Proof. For every $s \in \mathbb{R}$, the set $\varphi_s(E)$ has Euclidean Lipschitz boundary and so it has finite K -perimeter. By Rademacher's Theorem, the set

$$B = \{p \in S : S \text{ is not differentiable at } p\}$$

has \mathcal{H}^2 -measure equal to 0.

For any $p \in S \setminus B$ we take the curve $\sigma(s) = \varphi_s(p)$. For every $s \in \mathbb{R}$ the surface $\varphi_s(S)$ is differentiable at $\sigma(p)$ and the vector field $W(s) = ((N_s)_h)_{\sigma(s)}$, where N_s is the outer unit normal to $\varphi_s(\partial E)$, is differentiable along the curve σ . Let us estimate the quotient

$$(2.8) \quad \frac{\|W(s+h)\|_{K,*} - \|W(s)\|_{K,*}}{h}.$$

Writing $W(s) = f(s)X_{\sigma(s)} + g(s)Y_{\sigma(s)}$ we have $\|W(s)\|_{K,*} = \|(f(s), g(s))\|$, where $\|\cdot\|$ is the planar asymmetric norm associated to the convex set K . We have

$$\begin{aligned} \left| \|W(s+h)\|_{K,*} - \|W(s)\|_{K,*} \right| &\leq \|(f(s+h) - f(s), g(s+h) - g(s))\| \\ &\leq C (|f(s+h) - f(s)| + |g(s+h) - g(s)|), \end{aligned}$$

for a constant $C > 0$ that only depends on K . The derivatives of f and g can be estimated in terms of the covariant derivative $\frac{D}{ds}W = \frac{D}{ds}(N_s)_h$ along σ . Since

$$\left| \frac{D}{ds}(N_s)_h \right| \leq |\operatorname{div}_{\varphi_s(S)}(U)|$$

we get an uniform estimate on the derivatives of f and g independent of p . So the quotient (2.8) is uniformly bounded above by a constant independent of p .

To compute the derivative of $A_K(\varphi_s(S))$ at $s = 0$ we write

$$A_K(\varphi_s(S)) = \int_S (\|(N_s)_h\|_{K,*} \circ \varphi_s) \operatorname{Jac}(\varphi_s) d\mathcal{H}^2$$

The uniform estimate of the quotient (2.8) allows us to apply Lebesgue's dominated convergence theorem and Leibniz's rule to compute the derivative of $A_K(\varphi_s(S))$, given by

$$\int_S \frac{d}{ds} \Big|_{s=0} \left((\|(N_s)_h\|_{K,*} \circ \varphi_s) \operatorname{Jac}(\varphi_s) \right) d\mathcal{H}^2.$$

Given a point $p \in (S \setminus B) \cap \text{supp}(U)$, since $\text{supp}(U) \subset \Omega$ and $\Omega \cap S_0 = \emptyset$ we get $(N_h)_p \neq 0$ and so

$$\begin{aligned} \left. \frac{D}{ds} \right|_{s=0} \|(N_s)_h\|_{K,*}(\sigma(s)) &= \left. \frac{D}{ds} \right|_{s=0} \langle (N_s)_h, \pi_K((N_s)_h) \rangle(\sigma(s)) \\ &= \left\langle \left. \frac{D}{ds} \right|_{s=0} (N_s)_h, (N_h)_p \right\rangle + \langle (N_h)_p, (d\pi_K) \left(\left. \frac{D}{ds} \right|_{s=0} (N_s)_h \right) \rangle. \end{aligned}$$

Since

$$\left. \frac{D}{ds} \right|_{s=0} (N_s)_h = \left. \frac{D}{ds} \right|_{s=0} N - \left\langle \left. \frac{D}{ds} \right|_{s=0} N, T \right\rangle T,$$

and

$$\left. \frac{D}{ds} \right|_{s=0} N = \sum_{i=1}^2 \langle N_p, \nabla_{e_i} U \rangle e_i,$$

where e_i is an orthonormal basis of $T_p(\partial E)$, we get that

$$\left. \frac{D}{ds} \right|_{s=0} \|(N_s)\|_{K,*}$$

is a linear function $L(U)$ of U . \square

Remark 2.4. Proposition 2.2 can be applied to isoperimetric regions in \mathbb{H}^1 with Euclidean Lipschitz boundary. Of course, the regularity of isoperimetric regions in \mathbb{H}^1 is still an open problem.

2.7. Intrinsic Euclidean Lipschitz graphs on a vertical plane in \mathbb{H}^1 . We denote by $\text{Gr}(u)$ the *intrinsic* graph (Riemannian normal graph) of the Lipschitz function $u : D \rightarrow \mathbb{R}$, where D is a domain in a vertical plane. Using Euclidean rotations about the vertical axis $x = y = 0$, that are isometries of the Riemannian metric g , we may assume that D is contained in the plane $y = 0$. Since the vector field Y is a unit normal to this plane, the intrinsic graph $\text{Gr}(u)$ is given by $\{\exp_p(u(p)Y_p) : p \in D\}$, where \exp is the exponential map of g , and can be parameterized by the map

$$\Phi^u(x, t) = (x, u(x, t), t - xu(x, t)).$$

The tangent plane to any point in $S = \text{Gr}(u)$ is generated by the vectors

$$\Phi_x^u = (1, u_x, -u - xu_x) = X + u_x Y - 2uT,$$

$$\Phi_t^u = (0, u_t, 1 - xu_t) = u_t Y + T$$

and the characteristic direction is given by $Z = \tilde{Z}/|\tilde{Z}|$ where

$$(2.9) \quad \tilde{Z} = X + (u_x + 2uu_t)Y.$$

A unit normal to S is given by $N = \tilde{N}/|\tilde{N}|$ where

$$\tilde{N} = \Phi_x^u \times \Phi_t^u = (u_x + 2uu_t)X - Y + u_t T$$

and $\text{Jac}(\Phi^u) = |\Phi_x^u \times \Phi_t^u| = |\tilde{N}|$. Therefore the horizontal projection of the unit normal to S is given by $N_h = \tilde{N}_h/|\tilde{N}|$, where $\tilde{N}_h = (u_x + 2uu_t)X - Y$. Observe that $J(Z) = -\nu_h$.

We also assume that $S = \text{Gr}(u)$ is an \mathbb{H} -regular surface, meaning that \tilde{N}_h and \tilde{Z} in (2.9) and are continuous. Hence also $(u_x + 2uu_t)$ is continuous.

Remark 2.5. Let $\gamma(s) = (x, t)(s)$ be a C^1 curve in D then

$$\Gamma(s) = (x, u(x, t), t - xu(x, t))(s) \subset \text{Gr}(u)$$

is also C^1 and

$$\Gamma'(s) = x'X + (x'u_x + t'u_t)Y + (t' - 2ux')T.$$

In particular horizontal curves in $\text{Gr}(u)$ satisfy the ordinary differential equation

$$(2.10) \quad t' = 2u(x, t)x'.$$

From (2.2), the sub-Finsler K -area for a Euclidean Lipschitz surface S is

$$A_K(S) = \int_S \|N_h\|_{K,*} dS,$$

where $\|N_h\|_{K,*} = \langle N_h, \pi(N_h) \rangle$ with $\pi = (\pi_1, \pi_2) = \pi_K$ and dS is the Riemannian area measure. Therefore when we consider the intrinsic graph $S = \text{Gr}(u)$ we obtain

$$\begin{aligned} A(\text{Gr}(u)) &= \int_D \langle \tilde{N}_h, \pi(\tilde{N}_h) \rangle dxdt \\ &= \int_D (u_x + 2uu_t)\pi_1(u_x + 2uu_t, -1) - \pi_2(u_x + 2uu_t, -1) dxdt. \end{aligned}$$

Observe that the K -perimeter of a set was defined in terms of the *outer* unit normal. Hence we are assuming that S is the boundary of the *epigraph* of u .

Given $v \in C_0^\infty(D)$, a straightforward computation shows that

$$(2.11) \quad \left. \frac{d}{ds} \right|_{s=0} A(\text{Gr}(u + sv)) = \int_D (v_x + 2vu_t + 2uv_t)M dxdt,$$

where

$$(2.12) \quad M = F(u_x + 2uu_t),$$

and F is the function

$$(2.13) \quad F(x) = \pi_1(x, -1) + x \frac{\partial \pi_1}{\partial x}(x, -1) - \frac{\partial \pi_2}{\partial x}(x, -1).$$

Since $(u_x + 2uu_t)$ is continuous and π is at least C^1 the function M is continuous.

3. CHARACTERISTIC CURVES ARE C^2

Here we prove our main result, that characteristic curves in an intrinsic Euclidean Lipschitz \mathbb{H} -regular surface with continuous prescribed K -mean curvature are of class C^2 . The reader is referred to Theorem 4.1 in [21] for a proof of the the sub-Riemannian case. The proof of Theorem 3.1 depends on Lemmas 3.2 and 3.3.

Theorem 3.1. *Let K be a C_+^2 convex set in \mathbb{R}^2 with $0 \in \text{int}(K)$ and $\|\cdot\|_K$ the associated left-invariant norm in \mathbb{H}^1 . Let $\Omega \subset \mathbb{H}^1$ be an open set and $E \subset \Omega$ a set of prescribed K -mean curvature $f \in C^0(\Omega)$ with an Euclidean Lipschitz and \mathbb{H} -regular boundary S . Then the characteristic curves of $S \cap \Omega$ are of class C^2 .*

Proof. By the Implicit Function Theorem for \mathbb{H} -regular surfaces, see Theorem 6.5 in [19], given a point $p \in S$, after a rotation about the vertical axis, there exists an open neighborhood $B \subset \mathbb{H}^1$ of p such that $B \cap S$ is the intrinsic graph $\text{Gr}(u)$ of a function $u : D \rightarrow \mathbb{R}$, where D is a domain in the vertical plane $y = 0$, and $B \cap E$ is

the epigraph of u . The function u is Euclidean Lipschitz by our assumption. Since $\text{Gr}(u)$ has prescribed continuous mean curvature f , from equation (2.11) we get

$$(3.1) \quad \int_D (v_x + 2vu_t + 2uv_t)M + fv \, dxdt = 0,$$

for each $v \in C_0^\infty(D)$. The function M is defined in (2.12). By Remark 4.3 in [21] implies that (3.1) holds for each $v \in C_0^0(D)$ for which $v_x + 2uv_t$ exists and is continuous.

Let $\Gamma(s)$ be a characteristic horizontal curve passing through p whose velocity is the vector field \tilde{Z} defined in (2.9), that only depends on $u_x + 2uu_t$. Since S is \mathbb{H} -regular the function $u_x + 2uu_t$ is continuous and $\Gamma(s)$ is of class C^1 . Let us consider the function F defined in (2.13) and define

$$g(s) = (u_x + 2uu_t)_{\Gamma(s)}.$$

Hence $F(g(s)) = M(s)$. The function F is C^1 for any convex set K of class C_+^2 and, from Lemma 3.2, we obtain that $F'(x) > 0$ for each $x \in \mathbb{R}$. Therefore F^{-1} is also C^1 and $g(s) = F^{-1}(M(s))$. Thanks to Lemma 3.3 we obtain that M is C^1 along Γ and we conclude that also g is C^1 along Γ . So \tilde{Z} is C^1 and the curve Γ is C^2 . \square

Lemma 3.2. *Let $K \subset \mathbb{R}^2$ be a convex body of class C_+^2 such that $0 \in \text{int}(K)$. Then the function F defined in (2.13) is C^1 and $F'(x) > 0$ for each $x \in \mathbb{R}$.*

Proof. Parameterize the lower part of the boundary of the convex body K by a function ϕ defined on a closed interval $I \subset \mathbb{R}$. The function ϕ is of class C^2 in $\overset{\circ}{I}$ and the graph becomes vertical at the endpoints of I . As K is of class C_+^2 we have $\phi''(x) > 0$ for each $x \in \mathbb{R}$. Take $x \in \mathbb{R}$, then we have

$$\pi(x, -1) = N_K^{-1} \left(\frac{(x, -1)}{\sqrt{1+x^2}} \right),$$

where N_K is the outer unit normal to ∂K . Let $\varphi(x) \in \overset{\circ}{I}$ be the point where

$$(\varphi(x), \phi(\varphi(x))) = \pi(x, -1).$$

Therefore, if we consider the normal N_K of the previous equality we obtain

$$\frac{(\phi'(\varphi(x)), -1)}{\sqrt{1+(\phi'(\varphi(x)))^2}} = \frac{(x, -1)}{\sqrt{1+x^2}}.$$

Hence $\phi'(\varphi(x)) = x$ and so φ is the inverse of ϕ' , that is invertible since $\phi''(x) > 0$ for each $x \in \mathbb{R}$. Notice that

$$\begin{aligned} F(x) &= \pi_1(x, -1) + x \frac{\partial \pi_1}{\partial x}(x, -1) - \frac{\partial \pi_2}{\partial x}(x, -1) \\ &= \varphi(x) + x\varphi'(x) - \phi'(\varphi(x))\varphi'(x) = \varphi(x), \end{aligned}$$

since $\phi'(\varphi(x)) = x$. Hence we obtain

$$F'(x) = \varphi'(x) = \frac{1}{\phi''(\varphi(x))} > 0$$

for each $x \in \mathbb{R}$. \square

Lemma 3.3. *Let $\Omega \subset \mathbb{H}^1$ be an open set and $E \subset \Omega$ a set of prescribed K -mean curvature $f \in C^0(\Omega)$ with Euclidean Lipschitz and \mathbb{H} -regular boundary S . Then the*

function M defined in (2.12) is of class C^1 along characteristic curves. Moreover, the differential equation

$$\frac{d}{ds}M(\gamma(s)) = f(\gamma(s))$$

is satisfied along any characteristic curve γ .

Proof. Let $\Gamma(s)$ be a characteristic curve passing through p in $\text{Gr}(u)$. Let $\gamma(s)$ be the projection of $\Gamma(s)$ onto the xt -plane, and $(a, b) \in D$ the projection of p to the xt -plane. We parameterize γ by $s \rightarrow (s, t(s))$. By Remark 2.5 the curve $s \rightarrow (s, t(s))$ satisfies the ordinary differential equation $t' = 2u$. For ε small enough, Picard-Lindelöf's theorem implies the existence of $r > 0$ and a solution $t_\varepsilon :]a - r, a + r[\rightarrow \mathbb{R}$ of the Cauchy problem

$$(3.2) \quad \begin{cases} t'_\varepsilon(s) = 2u(s, t_\varepsilon(s)), \\ t_\varepsilon(a) = b + \varepsilon. \end{cases}$$

We define $\gamma_\varepsilon(s) = (s, t_\varepsilon(s))$ so that $\gamma_0 = \gamma$. Here we exploit an argument similar to the one developed in [35]. By Theorem 2.8 in [44] we gain that t_ε is Lipschitz with respect to ε with Lipschitz constant less than or equal to e^{Lr} . Fix $s \in]a - r, a + r[$, the inverse of the function $\varepsilon \rightarrow t_\varepsilon(s)$ is given by $\bar{\chi}_t(-s) = \chi_t(-s) - b$ where χ_t is the unique solution of the following Cauchy problem

$$(3.3) \quad \begin{cases} \chi'_t(\tau) = 2u(\tau, \chi_t(\tau)) \\ \chi_t(a + s) = t. \end{cases}$$

Again by Theorem 2.8 in [44] we have that $\bar{\chi}_t$ is Lipschitz continuous with respect to t , thus the function $\varepsilon \rightarrow t_\varepsilon$ is a locally biLipschitz homeomorphisms.

We consider the following Lipschitz coordinates

$$(3.4) \quad G(\xi, \varepsilon) = (\xi, t_\varepsilon(\xi)) = (s, t)$$

around the characteristic curve passing through (a, b) . Notice that, by the uniqueness result for (3.2), G is injective. Given (s, t) in the image of G using the inverse function $\bar{\chi}_t$ defined in (3.3) we find ε such that $t_\varepsilon(s) = t$, therefore G is surjective. By the Invariance of Domain Theorem [3], G is a homeomorphism. The Jacobian of G is defined by

$$(3.5) \quad \mathbf{J}_G = \det \begin{pmatrix} 1 & 0 \\ t'_\varepsilon & \frac{\partial t_\varepsilon}{\partial \varepsilon} \end{pmatrix} = \frac{\partial t_\varepsilon}{\partial \varepsilon}(s)$$

almost everywhere in ε . Any function φ defined on D can be considered as a function of the variables (ξ, ε) by making $\tilde{\varphi}(\xi, \varepsilon) = \varphi(\xi, t_\varepsilon(\xi))$. Since the function G is C^1 with respect to ξ we have

$$\frac{\partial \tilde{\varphi}}{\partial \xi} = \varphi_x + t'_\varepsilon \varphi_t = \varphi_x + 2u \varphi_t.$$

Furthermore, by [16, Theorem 2 in Section 3.3.3] or [25, Theorem 3], we may apply the change of variables formula for Lipschitz maps. Assuming that the support of v is contained in a sufficiently small neighborhood of (a, b) , we can express the integral (3.1) as

$$(3.6) \quad \int_I \left(\int_{a-r}^{a+r} \left(\frac{\partial \tilde{v}}{\partial \xi} + 2\tilde{v} \tilde{u}_t \right) \tilde{M} + \tilde{f} \tilde{v} \right) \frac{\partial t_\varepsilon}{\partial \varepsilon} d\xi d\varepsilon = 0,$$

where I is a small interval containing 0. Instead of \tilde{v} in (3.6) we consider the function $\tilde{v}h/(t_{\varepsilon+h} - t_\varepsilon)$, where h is a small enough parameter. Then we obtain

$$\begin{aligned} \frac{\partial}{\partial \xi} \left(\frac{\tilde{v}h}{(t_{\varepsilon+h} - t_\varepsilon)} \right) &= \frac{\partial \tilde{v}}{\partial \xi} \frac{h}{(t_{\varepsilon+h} - t_\varepsilon)} - \tilde{v}h \frac{t'_{\varepsilon+h} - t'_\varepsilon}{(t_{\varepsilon+h} - t_\varepsilon)^2} \\ &= \frac{\partial \tilde{v}}{\partial \xi} \frac{h}{(t_{\varepsilon+h} - t_\varepsilon)} - 2\tilde{v}h \frac{u(\xi, t_{\varepsilon+h}(\xi)) - u(\xi, t_\varepsilon(\xi))}{(t_{\varepsilon+h} - t_\varepsilon)^2}, \end{aligned}$$

that tends to

$$\left(\frac{\partial t_\varepsilon}{\partial \varepsilon} \right)^{-1} \left(\frac{\partial \tilde{v}}{\partial \xi} - 2\tilde{v}\tilde{u}_t \right) \quad a.e. \text{ in } \varepsilon,$$

when h goes to 0. Putting $\tilde{v}h/(t_{\varepsilon+h} - t_\varepsilon)$ in (3.6) instead of \tilde{v} we gain

$$\int_I \left(\int_{a-r}^{a+r} \frac{h \frac{\partial t_\varepsilon}{\partial \varepsilon}}{(t_{\varepsilon+h} - t_\varepsilon)} \left(\frac{\partial \tilde{v}}{\partial \xi} + 2\tilde{v}(\tilde{u}_t - \frac{\tilde{u}(\xi, \varepsilon+h) - \tilde{u}(\xi, \varepsilon)}{(t_{\varepsilon+h} - t_\varepsilon)}) \right) \tilde{M} + \tilde{f}\tilde{v} d\xi \right) d\varepsilon = 0.$$

Using Lebesgue's dominated convergence theorem and letting $h \rightarrow 0$ we have

$$(3.7) \quad \int_I \left(\int_{a-r}^{a+r} \frac{\partial \tilde{v}}{\partial \xi} \tilde{M} + \tilde{f}\tilde{v} d\xi \right) d\varepsilon = 0.$$

Let $\eta : \mathbb{R} \rightarrow \mathbb{R}$ be a positive function compactly supported in I and for $\rho > 0$ we consider the family $\eta_\rho(x) = \rho^{-1}\eta(x/\rho)$, that weakly converge to the Dirac delta distribution. Putting the test functions $\eta_\rho(\varepsilon)\psi(\xi)$ in (3.7) and letting $\rho \rightarrow 0$ we get

$$(3.8) \quad \int_{a-r}^{a+r} \psi'(\xi) \tilde{M}(\xi, 0) + \tilde{f}(\xi, 0)\psi(\xi) d\xi = 0,$$

for each $\psi \in C_0^\infty((a-r, a+r))$. Since $u_x + 2uu_t$ is continuous, M in (2.12) is continuous, thus also \tilde{M} . Hence thanks to Lemma 3.4 we conclude that M is C^1 along γ , thus by Remark 2.5 is also C^1 along Γ .

Since M is C^1 along the characteristic curve, we can integrate by parts in equation (3.8) to obtain

$$\int_{a-r}^{a+r} \left(-\tilde{M}'(0, \xi) + \tilde{f}(0, \xi) \right) \psi(\xi) d\xi = 0,$$

for each $\psi \in C_0^\infty((a-r, a+r))$. That means that M satisfies the equation

$$\frac{d}{ds} M(\gamma(s)) = f(\gamma(s))$$

along characteristic curves. \square

Lemma 3.4 ([21, Lemma 4.2]). *Let $J \subset \mathbb{R}$ be an open interval and $g, h \in C^0(J)$. Let $H \in C^1(J)$ be a primitive of h . Assume that*

$$\int_J \psi'g + h\psi = 0,$$

for each $\psi \in C_0^\infty(J)$. Then the function $g - H$ is a constant function in J . In particular $g \in C^1(J)$.

Remark 3.5. Let K be a convex body of class C_+^2 such that $0 \in K$. Following [38] we consider a clockwise-oriented P -periodic parameterization $\gamma : \mathbb{R} \rightarrow \mathbb{R}^2$ of ∂K . For a fixed $v \in \mathbb{R}$ we take the translated curve $s \rightarrow \gamma(s+v) - \gamma(v) = (x(s), y(s))$

and we consider its horizontal lifting $\Gamma_v(s)$ to \mathbb{H}^1 starting at $(0, 0, 0) \in \mathbb{H}^1$ for $s = 0$, given by

$$\Gamma_v(s) = \left((x(s), y(s), \int_0^s y(\tau)x'(\tau) - x(\tau)y'(\tau)d\tau) \right).$$

The Pansu-Wulff shape associated to K is defined by

$$\mathbb{S}_K = \bigcup_{v \in [0, P]} \Gamma_v([0, P]).$$

In [38, Theorem 3.14] it is shown that the horizontal liftings Γ_v , for each $v \in [0, P]$, are solutions for $H_K = 1$, therefore \mathbb{S}_K has constant prescribed K -mean curvature equal to 1. Since the curves Γ_v have the same regularity as ∂K , the C^2 regularity result for horizontal curves obtained in Theorem 3.1 is optimal.

Corollary 3.6. *Let K be a C^2_+ convex set in \mathbb{R}^2 with $0 \in \text{int}(K)$ and $\|\cdot\|_K$ the associated left-invariant norm in \mathbb{H}^1 . Let $\Omega \subset \mathbb{H}^1$ be an open set and $E \subset \Omega$ a set of prescribed K -mean curvature $f \in C^0(\Omega)$ with C^1 boundary S . Then the characteristic curves in $S \setminus S_0$ are of class C^2 .*

Proof. Since S is of class C^1 , in the regular part $S \setminus S_0$ the horizontal normal ν_h is a nowhere-vanishing continuous vector fields, thus $S \setminus S_0$ is an \mathbb{H} -regular surface. In particular a C^1 surface is Lipschitz, thus $S \setminus S_0$ verifies the hypotheses of Theorem 3.1 and the characteristic curves in $S \setminus S_0$ are of class C^2 . \square

Remark 3.7. When S is of class C^1 the proof of Lemma 3.3 is much easier. Indeed the solution t_ε of the Cauchy Problem (3.2) is differentiable in ε , thus the function $\partial t_\varepsilon / \partial \varepsilon$ satisfies the following ODE

$$\left(\frac{\partial t_\varepsilon}{\partial \varepsilon} \right)' (s) = 2u_t(s, t_\varepsilon(s)) \frac{\partial t_\varepsilon}{\partial \varepsilon}, \quad \frac{\partial t_\varepsilon}{\partial \varepsilon}(a) = 1.$$

That implies that

$$\frac{\partial t_\varepsilon}{\partial \varepsilon}(s) = e^{\int_a^s 2u_t(\tau, t_\varepsilon(\tau))d\tau} > 0.$$

Since the Jacobian \mathbf{J}_G defined in (3.5) is equal to $\partial t_\varepsilon / \partial \varepsilon > 0$ the change of variables $G(\xi, \varepsilon)$ is invertible. Hence the rest of the proof of Lemma 3.3 goes in the same way as before.

4. THE SUB-FINSLER MEAN CURVATURE EQUATION

Given an Euclidean Lipschitz boundary S whose characteristic curves in $S \setminus S_0$ are of class C^2 , for each point $p \in S \setminus S_0$ we can define the K -mean curvature H_K of S by

$$(4.1) \quad H_K = \langle D_Z \pi_K(\nu_h), Z \rangle = \langle \nabla_Z \pi_K(\nu_h), Z \rangle,$$

where ν_h is the outer horizontal unit normal to S . This definition was given in [38] for surfaces of class C^2 .

Proposition 4.1. *Let $\Omega \subset \mathbb{H}^1$ be an open set and $E \subset \Omega$ a set of prescribed K -mean curvature $f \in C^0(\Omega)$ Euclidean Lipschitz and \mathbb{H} -regular boundary S . Then $H_K(p) = f(p)$ for each $p \in S \setminus S_0$.*

Proof. By the Implicit Function Theorem for \mathbb{H} -regular surfaces, Theorem 6.5 in [19], given a point $p \in S$, after a rotation about the t -axis, there exists an open neighborhood $B \subset \mathbb{H}^1$ of p such that $B \cap S$ is the intrinsic graph of a function $u : D \rightarrow \mathbb{R}$ where D is a domain in the vertical plane $y = 0$. The function u is Euclidean Lipschitz by our assumption. We set $B \cap S = \text{Gr}(u)$. We assume that E is locally the epigraph of u .

Let $\Gamma(s)$ be a characteristic curve passing through p in $\text{Gr}(u)$ and $\gamma(s)$ its projection on the xt -plane. The characteristic vector Z defined in (2.9) is given by

$$Z = \frac{X + (u_x + 2uu_t)Y}{(1 + (u_x + 2uu_t)^2)^{\frac{1}{2}}}.$$

Since S is \mathbb{H} -regular, Z and the horizontal unit normal

$$\nu_h = \frac{(u_x + 2uu_t)X - Y}{(1 + (u_x + 2uu_t)^2)^{\frac{1}{2}}}$$

are continuous vector fields. By Lemma 3.3 we have that $M = F(u_x + 2uu_t)$ defined in (2.12) satisfies the differential equation

$$\frac{d}{ds}M(\gamma(s)) = f(\gamma(s))$$

along the characteristic curves. Therefore we obtain

$$\begin{aligned} \frac{d}{ds}M(\gamma(s)) &= F'(u_x + 2uu_t) \frac{d}{ds}[(u_x + 2uu_t)(\gamma(s))] \\ &= \frac{1}{\phi''(u_x + 2uu_t)} \frac{d}{ds}[(u_x + 2uu_t)(\gamma(s))], \end{aligned}$$

As in proof of Lemma 3.2, we parametrize the lower part of the boundary of the convex body K by a function ϕ defined on a closed interval $I \subset \mathbb{R}$. Again by Lemma 3.2 we have

$$\pi_K(x, -1) = (\varphi(x), \phi(\varphi(x))),$$

where φ is the inverse function of ϕ' . Furthermore the K -mean curvature defined (4.1) is equivalent to

$$\begin{aligned} H_K &= \langle D_Z \pi_K(u_x + 2uu_t, -1), Z \rangle \\ &= \frac{\langle \frac{D}{ds}[\varphi(u_x + 2uu_t)X_\gamma + \phi(\varphi(u_x + 2uu_t))Y_\gamma], Z \rangle}{1 + (u_x + 2uu_t)^2} \\ &= \frac{\varphi'(u_x + 2uu_t) \frac{d}{ds}(u_x + 2uu_t)(1 + \phi'(\varphi(u_x + 2uu_t))(u_x + 2uu_t))}{1 + (u_x + 2uu_t)^2} \\ &= \frac{1}{\phi''(u_x + 2uu_t)} \frac{d}{ds}[(u_x + 2uu_t)(\gamma(s))]. \end{aligned}$$

Hence we obtain $H_K = \frac{d}{ds}M(\gamma(s))$ and so $H_K(p) = f(p)$ for each $p \in S \setminus S_0$. \square

The following result allows us to express the K -mean curvature H_K in terms of the sub-Riemannian mean curvature H_D .

Proposition 4.2. *Let $K \subset \mathbb{R}^2$ be a convex body of class C_+^2 such that $0 \in \text{int}(K)$ and $\pi_K = N_K^{-1}$. Let κ be the strictly positive curvature of the boundary ∂K . Let*

$\Omega \subset \mathbb{H}^1$ be an open set and $E \subset \Omega$ a set of prescribed K -mean curvature $f \in C^0(\Omega)$ with Euclidean Lipschitz and \mathbb{H} -regular boundary S . Then, we have

$$H_D(p) = \kappa(\pi_K(\nu_h))f(p) \quad \text{for each } p \in S \setminus S_0,$$

where $H_D(p) = \langle D_Z \nu_h, Z \rangle$ is the sub-Riemannian mean curvature, ν_h be the horizontal unit normal at p to $S \setminus S_0$ and $Z = J(\nu_h)$ be the characteristic vector field.

Proof. By Proposition 4.1 we have $H_K(p) = f(p)$ for each $p \in S \setminus S_0$. We remark that Theorem 3.1 implies that H_K is well-defined.

Let $\gamma : (-\varepsilon, \varepsilon) \rightarrow S \setminus S_0$ be the integral curve of Z passing through p , namely $\gamma'(s) = Z_{\gamma(s)}$ and $\gamma(0) = p$. Let $\nu_h(s) = -J(Z_{\gamma(s)})$ be the horizontal unit normal along γ and let

$$\pi(\nu_h(s)) = \pi_1(\nu_h(s))X_{\gamma(s)} + \pi_2(\nu_h(s))Y_{\gamma(s)}.$$

Noticing that $\nabla X = \nabla Y = 0$ we gain

$$\left. \frac{\nabla}{ds} \right|_{s=0} \pi(\nu_h(s)) = \left. \frac{d}{ds} \right|_{s=0} \pi_1(\nu_h(s))X_{\gamma(0)} + \left. \frac{d}{ds} \right|_{s=0} \pi_2(\nu_h(s))Y_{\gamma(0)}.$$

Setting $\nu_h = aX + bY$ we obtain

$$(4.2) \quad \left. \frac{\nabla}{ds} \right|_{s=0} \pi(\nu_h(s)) = (d\pi)_{(a,b)} \left(\left. \frac{\nabla}{ds} \right|_{s=0} \nu_h(s) \right),$$

where

$$(d\pi)_{(a,b)} = \begin{pmatrix} \frac{\partial \pi_1}{\partial a}(a,b) & \frac{\partial \pi_1}{\partial b}(a,b) \\ \frac{\partial \pi_2}{\partial a}(a,b) & \frac{\partial \pi_2}{\partial b}(a,b) \end{pmatrix}.$$

Moreover, by Corollary 1.7.3 in [43] we get $\pi_K = \nabla h$, where h is a C^2 function. Thus by Schwarz's theorem the Hessian $\text{Hess}_{(a,b)}(h) = (d\pi)_{(a,b)}$ is symmetric, i.e. $(d\pi) = (d\pi)^*$. Equation (4.2) then implies

$$H_K = \langle \nabla_Z \pi_K(\nu_h), Z \rangle = \langle \nabla_Z \nu_h, (d\pi)_{\nu_h}^* Z \rangle = \langle \nabla_Z \nu_h, (d\pi)_{\nu_h} Z \rangle.$$

Finally, by Lemma 4.3 we get

$$H_K = \frac{1}{\kappa(\pi_K(\nu_h))} \langle \nabla_Z \nu_h, Z \rangle.$$

Hence we obtain $\langle D_Z \nu_h, Z \rangle = \kappa(\pi_K(\nu_h))$, since $D_Z \nu_h = \nabla_Z \nu_h$. \square

Lemma 4.3. *Let $K \subset \mathbb{R}^2$ be a convex body of class C^2_+ such that $0 \in \text{int}(K)$ and N_K be the Gauss map of ∂K . Let κ be the strictly positive curvature of the boundary ∂K . Let S be an \mathbb{H} -regular surface with horizontal unit normal ν_h and characteristic vector field $Z = J(\nu_h)$. Then we have*

$$(d\pi)_{\nu_h} Z = \frac{1}{\kappa} Z \quad \text{and} \quad (d\pi)_{\nu_h} \nu_h = 0,$$

where $(d\pi)_{\nu_h}$ is the differential of $\pi_K = N_K^{-1}$.

Proof. Let $\alpha(t) = (x(t), y(t))$ be an arc-length parametrization of ∂K such that $\dot{x}^2(t) + \dot{y}^2(t) = 1$. Let $\nu_h = aX + bY$ be the horizontal unit normal to S , with $a = \cos(\theta)$ and $b = \sin(\theta)$ and $\theta \in (-\frac{\pi}{2}, \frac{\pi}{2})$. Notice that $\theta = \arctan(\frac{b}{a})$. Then we have

$$\pi_K(a, b) = N_K^{-1}((a, b)).$$

Let $\varphi : (-\frac{\pi}{2}, \frac{\pi}{2}) \rightarrow \mathbb{R}$ be the function satisfying

$$\pi_K(\cos(\theta), \sin(\theta)) = (x(\varphi(\theta)), y(\varphi(\theta))).$$

If we consider the normal N_K of the previous equality we obtain

$$(\cos(\theta), \sin(\theta)) = (\dot{y}(\varphi(\theta)), -\dot{x}(\varphi(\theta))).$$

Therefore we have

$$\theta = \arctan\left(-\frac{\dot{x}}{\dot{y}}(\varphi(\theta))\right)$$

for each $\theta \in (-\frac{\pi}{2}, \frac{\pi}{2})$. That means that φ is the inverse of the function $\arctan(-\frac{\dot{x}}{\dot{y}}(t))$, that is invertible since

$$\frac{d}{dt} \arctan(-\frac{\dot{x}}{\dot{y}}(t)) = \dot{x}\ddot{y} - \dot{y}\ddot{x} = \kappa(t) > 0.$$

Let $Z = J(\nu_h) = -bX + aY$ be the characteristic vector field, then we have $(d\pi)_{(a,b)} = (d\pi)_{(a,b)}^*$ and

$$(d\pi)_{(a,b)}^* Z = \begin{pmatrix} -b\frac{\partial\pi_1}{\partial a} + a\frac{\partial\pi_2}{\partial a} \\ -b\frac{\partial\pi_1}{\partial b} + a\frac{\partial\pi_2}{\partial b} \end{pmatrix},$$

where

$$\pi_1(a, b) = x(\varphi(\arctan(\frac{b}{a}))), \quad \pi_2(a, b) = y(\varphi(\arctan(\frac{b}{a}))).$$

Thus we get

$$(d\pi)_{(a,b)}^* Z = \varphi'(\arctan(\frac{b}{a})) Z = \frac{1}{\kappa(\varphi(\theta))} Z.$$

A similar straightforward computation shows that $(d\pi)_{\nu_h} \nu_h = 0$. \square

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