

ON THE DEFINITION AND EXAMPLES OF CONES AND FINSLER SPACETIMES

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ABSTRACT. A systematic study of (smooth, strong) cone structures \mathcal{C} and Lorentz-Finsler metrics L is carried out. As a link between both notions, cone triples (Ω, T, F) , where Ω (resp. T) is a 1-form (resp. vector field) with $\Omega(T) \equiv 1$ and F , a Finsler metric on $\ker(\Omega)$, are introduced. Explicit descriptions of all the Finsler spacetimes are given, paying special attention to stationary and static ones, as well as to issues related to differentiability.

In particular, cone structures \mathcal{C} are bijectively associated with classes of anisotropically conformal metrics L , and the notion of *cone geodesic* is introduced consistently with both structures. As a non-relativistic application, the *time-dependent* Zermelo navigation problem is posed rigorously, and its general solution is provided.

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1. INTRODUCTION

The definition of Finsler spacetimes has been somewhat uncertain from the very beginning. There are several issues that make it difficult:

- (i) the generality inherent to Finsler metrics, as one has a different (non-definite) scalar product for every direction in every tangent space,
- (ii) the possible non-reversibility of the metric makes the distinction between future and past harder,
- (iii) there are many examples with smoothness issues, or having Lorentzian index only in some directions,
- (iv) there are Lorentz-Finsler elements in physical models (such as birefringence crystals) which, in principle, might be independent of cosmological interpretations but they might be included in a mathematical notion of Finslerian spacetime, see [50, §V(B)].

As a byproduct of such difficulties, there are many different definitions of Finsler spacetimes spread in literature [1, 9, 15, 16, 34, 36, 48, 50, 55] as discussed in Appendix A. In this paper, we will try to clarify these issues, developing systematically a simple definition of Finsler spacetimes with good mathematical properties (which will be applicable to other definitions), providing different ways to construct them and characterizing all possible examples¹.

From a mathematical viewpoint, following Beem's approach [9], it is natural to consider a Lorentz-Finsler metric as a (two-homogeneous) pseudo-Finsler one L with fundamental tensor of coindex 1 defined on all the tangent bundle. However, the possible existence of more than two cones at each tangent space or the natural non-reversibility of Finsler metrics may obscure the physical intuition of Finsler spacetimes as extensions of (classical) relativistic spacetimes. These issues underlie the multiplicity of proposed alternatives. However, notice that it is not clear the role of L away from the future causal cone², and it seems natural to maintain the Lorentzian signature at least in that cone. So, we will focus on Lorentz-Finsler metrics defined on a cone domain, which will include just the (future) timelike and causal directions.

¹Physical motivations for this definition were discussed in the meeting on Lorentz-Finsler Geometry and Applications '19 <http://gigda.ugr.es/finslermeeting/> and will be the aim of a future work.

²Notice that, as emphasized by Ishikawa [25, formulas (3.2), (3.3)], one should *not* use the fundamental tensor g_l for l spacelike even when one measures a spacelike separation. Instead, $g_v(l, l)$ for a lightlike (or eventually timelike) vector v should be used.

Therefore, a first task will be to clarify the relation between Lorentz-Finsler metrics and *cone structures*, being the latter smooth distributions of *strong* cones. This is carried out by means of a self-contained development along the paper, which can be summarized as follows.

Theorem 1.1 (Equivalence cone structures/ classes of Lorentz-Finsler metrics). *Let \mathcal{C} be a cone structure on M (defined as a hypersurface of TM , according to Def. 2.7). Then:*

- (1) \mathcal{C} yields a natural notion of causality and, then, of cone geodesics (i.e., locally horismotic curves, Def. 2.9).
- (2) \mathcal{C} becomes equivalent to a class of anisotropically conformal Lorentz-Finsler metrics L , in the following sense:
 - (a) Any Lorentz-Finsler metric L on M (Def. 3.5) is endowed with a natural cone structure \mathcal{C} (Cor. 3.7).
 - (b) Any cone structure \mathcal{C} is compatible with some Lorentz-Finsler metric L , i.e., \mathcal{C} is the cone structure of L (Cor. 5.8).
 - (c) The cone structures associated with two Lorentz-Finsler metrics L_1, L_2 coincide if and only if L_1 and L_2 are anisotropically conformal, i.e., $L_2 = \mu L_1$ for a smooth function $\mu > 0$ defined on all timelike and causal vectors (Th. 3.11).
- (3) The cone geodesics of \mathcal{C} are equal to the lightlike pregeodesics for any Lorentz-Finsler metric L compatible with \mathcal{C} (Th. 6.6).

Therefore, all the natural causality theory for \mathcal{C} becomes equivalent to the causality theory of any compatible L (§6.2).

Here, the ways to prove some of the previous results have a big interest in their own right, as they allow us to control in a precise way cone structures and to smoothen Lorentz-Finsler metrics. Indeed, the following results are also obtained.

Theorem 1.2 (Specification of cone structures and smoothability of Lorentz-Finsler metrics). *Let M be a manifold. Then:*

- (1) Any cone structure \mathcal{C} on M yields a (non-unique) cone triple (Ω, T, F) composed by a non-vanishing 1-form Ω , a vector field T such that $\Omega(T) \equiv 1$ and a classical Finsler metric F on the fiber bundle $\ker \Omega$ (Th. 2.17).
- (2) Any cone triple (Ω, T, F) yields both, a smooth cone structure \mathcal{C} (Th. 2.17) and a continuous Lorentz-Finsler metric (naturally defined as $G(\tau, v) = \tau^2 - F(v)$) which is non-smooth only at the direction spanned by T (Prop. 5.1).
- (3) Under general hypotheses, a non-smooth Lorentz-Finsler metric can be smoothen maintaining the same associated cone (Th. 5.6).

In particular, the continuous Lorentz-Finsler metric G associated with any cone triple can be smoothen by perturbing G in a small neighborhood of the non-smooth direction T .

Finally, we give an amount of examples of Finsler spacetimes. Indeed, we provide simple procedures of construction by using Riemannian and Finsler metrics, including a general construction of all Finsler spacetimes. This can be summarized as follows.

Construction of new classes of (smooth) Lorentz-Finsler L . Let M be a manifold and \hat{F} , g_R and ω , respectively, a Finsler metric, a Riemannian metric, and a one-form on M .

- (1) *Basic examples:* $L(v) = \omega(v)^2 - \hat{F}(v)^2$ is a Lorentz-Finsler metric in the region $\bar{A} = \{v \in TM : \omega(v) \geq \hat{F}(v)\}$, assuming that the indicatrix of \hat{F} and $\omega^{-1}(1)$ intersect transversely (Th. 4.1).
- (2) *Characterization in terms of Riemannian and Finsler metrics:* $L(v) = g_R(v, v) - \hat{F}(v)^2$ is a Lorentz-Finsler metric in the region $\bar{A} = \{v \in TM : g_R(v, v) \geq \hat{F}(v)^2\}$, assuming that the relations (16) and (17) below hold; moreover, any Lorentz-Finsler metric can be written in this way (Th. 4.13).
- (3) *Stationary/static Finsler spacetimes:* natural examples can be constructed in $\mathbb{R} \times S$ by considering metrics as above on a vector bundle over S ; a general local description can also be obtained (§4.2).
- (4) *Smoothability:* continuous Lorentz-Finsler metrics which appear naturally in $\mathbb{R} \times S$ when considering products or generalizations of static spacetimes can be smoothen preserving their static character (part (3) of Rem. 5.7).

As a further application of this study, we suitably model and solve the problem of Zermelo navigation [6] when the “wind” depends on time, that is, when the prescribed (maximum) velocities are given by the indicatrix of a Finsler metric which depends on a parameter. Indeed, we define a cone triple and take its cone structure in such a way that *the corresponding cone geodesics provide the solutions of Zermelo problem* (namely, the trajectories that minimize the time under prescribed velocity in every direction). Moreover, it is possible to ensure the existence of minimizers of the time under general conditions on the time-dependent Finsler metric (Cor. 6.10).

The article is organized as follows. In Section 2, cone structures are introduced. Even though some of the issues therein are somewhat elementary, a detailed study is carried out to settle down some subtleties which become important later, as the following: (a) to give scarcely restrictive definitions of weak and strong cone (Def. 2.1) and to recover properties of such cones (Prop. 2.6) which lie under the natural intuition (Ex. 2.4), (b) the introduction of a cone structure as a submanifold in TM (Def. 2.7) as well as its cone geodesics (Def. 2.9) and (c) a useful description of a cone structure by using cone triples (Th. 2.17).

In Section 3, the general background on Lorentz-Finsler metrics is introduced. We start at the basic notion of (properly) Lorentz-Minkowski norm on a vector space V , and prove a series of properties, including the existence of a natural smooth and convex cone (Lemma 3.3, Prop. 3.4). Then, the notion of Lorentz-Finsler metric is introduced and discussed (Def. 3.5, Prop. 3.8), and the existence of an associated cone structure is proven (Cor. 3.7). Moreover, Lorentz-Finsler metrics with the same cone are characterized as those *anisotropically equivalent* (Def. 3.9, Th. 3.11).

In Section 4, we focus on the construction of natural classes of smooth Lorentz-Finsler metrics. This has been a non-trivial issue in the literature. Indeed, some authors have included the existence of some non-smooth directions as a basic feature of Lorentz-Finsler metrics (see Appendix A).

First, we provide a simple general construction by using a classical Finsler metric and a one-form (Th. 4.1 and its corollaries). Then, the relativistic notions of *stationary* and *static* spacetime are revisited, including their explicit construction (Subsection 4.2). General procedures to construct new Lorentz-Finsler metrics from others with the same cone are developed in Subsection 4.3. Finally, the construction of all Lorentz-Finsler metric using Riemannian and classical Finsler ones is shown in Th. 4.13

In Section 5, we show first how, given any cone structure \mathcal{C} , the choice of any cone triple (Ω, T, F) yields naturally a continuous Lorentz-Finsler metric G which is smooth everywhere but on the timelike direction spanned by T (Prop. 5.1). Then, we give a simple procedure to smoothen G around T , obtaining so a (smooth) Lorentz-Finsler metric with the same cone \mathcal{C} (Th. 5.6). As a consequence, each \mathcal{C} is naturally associated with a class of conformally anisotropic Lorentz-Finsler metrics (Cor. 5.8, Rem. 5.9).

In Section 6, after a brief summary on maximizing properties of geodesics for a Lorentz-Finsler metric (§6.1), the natural notion of *cone geodesic* is developed. Such geodesics (and, consistently, their conjugate or focal points) can be computed as lightlike pregeodesics for any compatible Lorentz-Finsler metric L (Th. 6.6); moreover, the computation can also be carried out by using the simple continuous Finsler metric G associated with any cone triple (Rem. 6.7). Their properties of minimization and extremality are stressed in Subsection 6.3. Indeed, they yield a simple solution to the extension of classical Zermelo navigation problem to the time-dependent case (Cor. 6.10). Moreover, they permit to extend naturally the properties of the geodesics in the so-called wind-Riemannian structures to any wind-Finslerian structure (§6.3.2).

In Appendix A, our definition of Lorentz-Finsler spacetimes is compared with some others in the literature, and the possibility to apply our results to some of them is stressed. Finally, Appendix B includes a theorem summarizing the properties of Lorentz-Minkowski norms in comparison with classical norms, for the convenience of the reader.

2. CONE STRUCTURES

Along this article, only real manifolds M of finite dimension n will be considered. *Smooth* will mean C^2 when hypotheses of minimal regularity are considered (and consistently C^1 , C^0 for the first and second derivatives of these elements), but it will mean “as differentiable as possible” (including eventually C^∞) consistently with the regularity of the ambient for the results of smoothability to be obtained. Moreover, from now on, V will denote any real vector n -space, $n \geq 2$.

2.1. Cones in a vector space. We start by introducing some notions in V . As in [47], our *scalar products* will be assumed to be only non-degenerate (but possibly indefinite); when convenient, V is endowed with any auxiliary Euclidean (i.e., positive definite) scalar product h_V . A *domain* will be an open connected subset.

Definition 2.1. A smooth hypersurface \mathcal{C}_0 embedded in $V \setminus \{0\}$ is a *weak (and salient) cone* when it satisfies the following properties:

- (i) *Conic*: for all $v \in \mathcal{C}_0$, the *radial direction spanned by v* , $\{\lambda v : \lambda > 0\}$, is included in \mathcal{C}_0 .
- (ii) *Salient*: if $v \in \mathcal{C}_0$, then $-v \notin \mathcal{C}_0$.
- (iii) *Convex interior*: \mathcal{C}_0 is the boundary in $V \setminus \{0\}$ of an open subset $A_0 \subset V \setminus \{0\}$ (the \mathcal{C}_0 -*interior*) which is convex, in the sense that, for any $u, w \in A_0$, the segment $[u, w] := \{\lambda u + (1 - \lambda)w : 0 \leq \lambda \leq 1\} \subset V$ is included entirely in A_0 ; in what follows, \bar{A}_0 will denote the closure of A_0 in $V \setminus \{0\}$, so that $\bar{A}_0 = A_0 \cup \mathcal{C}_0$.

A weak cone is said to be a *strong cone* or just a *cone* when it satisfies:

- (iv) (*Non-radial*) *strong convexity*: the second fundamental form of \mathcal{C}_0 as an affine hypersurface of V is positive semi-definite (with respect to an inner direction N pointing out to A_0) and its radical at each point $v \in \mathcal{C}_0$ is spanned by the radial direction $\{\lambda v : \lambda > 0\}$.

Remark 2.2. There are some slight redundancies in Def. 2.1.

(a) First, we assume explicitly that \mathcal{C}_0 does not include the zero vector. However, this could be deduced either from the stated definition of *salient* or, less trivially, by using the smoothness of \mathcal{C}_0 (the conic and salient properties would yield two half-lines containing 0 in a way incompatible with smoothness). Moreover, once 0 is known to be excluded from \mathcal{C}_0 , the hypothesis (ii) plus the convexity of A_0 in (iii) can be replaced just with the convexity of \bar{A}_0 . Indeed, the hypothesis of being salient becomes trivial then, and the convexity of A_0 follows because it is the interior of \bar{A}_0 (recall, for example, the discussion around [8, Def. 1.4]).

(b) Less trivially, there is an overall relation between the notions of convexity for a domain \mathcal{A} and its topological boundary $\partial\mathcal{A}$. Namely, in general, for any Riemannian metric on a manifold M , a domain $\mathcal{A} \subset M$ such that its closure $\bar{\mathcal{A}} = \mathcal{A} \cup \partial\mathcal{A}$ is a complete manifold with boundary satisfies: \mathcal{A} is convex (in the sense that each two points can be connected by a minimizing geodesic) if and only if $\partial\mathcal{A}$ is infinitesimally convex (in the sense that the second fundamental form σ^N of $\partial\mathcal{A}$ with respect to one, and then any direction N pointing out to \mathcal{A} , is positive semi-definite), which holds even for regularity $C^{1,1}$ (see [7, Th. 1.3]); recall that this convexity is less restrictive than *strong convexity*, which means positive definiteness. In our case, the previous result cannot be applied directly to $\mathcal{A} = A_0$ because \bar{A}_0 is not a complete Riemannian manifold with respect to the auxiliary scalar product h_V and its topological boundary ∂A_0 in the whole V is not smooth at 0. However, there are several ways to overcome this (see Example 2.4 or Lemma 2.5 below). In any case, for a strong cone, the hypothesis (iii) can be deduced from (iv) just assuming that $\mathcal{C}_0 \cup \{0\}$ is the topological boundary of the domain A_0 in V .

Proposition 2.3. *For any weak cone \mathcal{C}_0 in V :*

- (i) A_0 is conic and salient,
- (ii) the topological boundary ∂A_0 of A_0 in V is equal to $\mathcal{C}_0 \cup \{0\}$ and it is connected,
- (iii) \bar{A}_0 is a smooth manifold with boundary,
- (iv) \mathcal{C}_0 is closed in $V \setminus \{0\}$.

Proof. To see that A_0 is conic, observe that, otherwise, the radial line containing some point v of A_0 must contain a point w in $\partial A_0 \setminus \{0\}$, but then w would belong to \mathcal{C}_0 and, as this set is conic, $v \in \mathcal{C}_0$, a contradiction with (iii) in Def. 2.1. Moreover, A_0 is salient because it is convex and by definition $0 \notin A_0$ concluding part (i). The first assertion of part (ii) follows from (iii) in Def. 2.1 and the fact that 0 belongs to the closure of A_0 . Moreover, ∂A_0 is (arc-)connected because it is conic (the boundary of a conic subset) and it contains the zero vector. To prove (iii), observe that \mathcal{C}_0 is a smooth hypersurface and then for every $p \in \mathcal{C}_0$, it divides every small enough neighborhood of p , and (iv) follows because \mathcal{C}_0 is a boundary. \square

Example 2.4. Next, let us show a simple way to construct weak and strong cones, which will turn out completely general (Prop. 2.6). Let Π be a hyperplane of V which does not contain the zero vector. When $n > 2$, consider any compact connected embedded hypersurface (without boundary) S_0 of Π , which is the boundary of an open bounded region B_0 of Π by the Jordan-Brouwer Theorem. Let $\mathcal{C}_0 \subset V$ (resp. $A_0 \subset V$) be the set containing all the open half-lines departing from 0 and meeting S_0 (resp. B_0).

Clearly, \mathcal{C}_0 is a weak cone (with interior A_0) if and only if S_0 is infinitesimally convex with respect to B_0 (thus diffeomorphic to an $(n - 2)$ -sphere), and \mathcal{C}_0 is a strong cone if and only if the second fundamental form of S_0 is positive definite³. In the case $n = 2$, the role of S_0 (resp. B_0) can be played by any two distinct points $p, q \in \Pi$ (resp. the open segment with endpoints p, q) and all the weak cones become also strong ones.

The next technical result will be useful later; it also stresses the necessity of the compactness of S_0 in the previous example.

Lemma 2.5. *Let A_0 be any connected open conic salient subset of V such that its closure \bar{A}_0 in $V \setminus \{0\}$ is a smooth manifold with boundary $\mathcal{C}_0 := \bar{A}_0 \setminus A_0$. Consider any affine hyperplane $\Pi \subset V$, with $0 \notin \Pi$, and the (vector) hyperplane Π_0 parallel to Π through 0 . The following properties are equivalent:*

- (i) Π is crossed transversely by all the radial directions $\{\lambda v : \lambda > 0\}$ in \bar{A}_0 ,
- (ii) Π is crossed transversely by all the radial directions $\{\lambda v : \lambda > 0\}$ in \mathcal{C}_0 ,
- (iii) when $n = 2$, $\Pi \cap \mathcal{C}_0$ contains exactly two points; when $n \geq 3$, Π_0 does not intersect \mathcal{C}_0 and $\Pi \cap \bar{A}_0 \neq \emptyset$,
- (iv) when $n = 2$, $\Pi \cap A_0$ is an open (non-empty) segment; when $n \geq 3$, Π_0 does not intersect \bar{A}_0 and $\Pi \cap \bar{A}_0 \neq \emptyset$.

When these properties hold, then:

³ In this case, when $n > 3$, S_0 is an *ovaloid* (i.e. it is a compact connected embedded hypersurface with positive sectional curvature) of Π by Gauss formula. It is straightforward that any ovaloid is diffeomorphic to a sphere because its Gauss map yields a diffeomorphism (see, for example, [32, VII. Th. 5.6]). However, given any $n > 2$, the result holds for S_0 even when its second fundamental form is only positive semi-definite. Indeed, choosing any point $r_0 \in B_0$ all the half-lines starting at r_0 in Π must cross once and transversely S_0 (recall the characterization of infinitesimal convexity in Rem.2.2 (b) and [7, Prop. 3.2]), providing then a diffeomorphism between S_0 and the $(n - 2)$ -sphere.

- (a) $\Pi \cap \bar{A}_0$ is compact and $S_0 := \Pi \cap \mathcal{C}_0$ is a compact embedded $(n - 2)$ -submanifold.
- (b) \mathcal{C}_0 is a weak (resp. strong) cone with inner domain A_0 if and only if: in dimension $n = 2$, always; in dimension $n > 2$, when S_0 is infinitesimally convex (resp. strongly convex) towards $A_0 \cap \Pi$. In this case, S_0 is a topological sphere of Π with non-negative sectional curvature, and it becomes an ovaloid when $n > 3$ and \mathcal{C}_0 is a strong cone.

Proof. When $n = 2$, all the assertions follow easily by observing that A_0 must be a region delimited by two half-lines, which is convex by the salient property; so, assume $n > 2$. Clearly, $(i) \Rightarrow (ii) \Rightarrow (iii)$ is trivial. For $(iii) \Rightarrow (iv)$, observe that (iii) implies that if $\Pi_0 \cap A_0 \neq \emptyset$, then $(\Pi_0 \setminus \{0\}) \subset A_0$ (recall that $\Pi_0 \setminus \{0\}$ is connected), contradicting that A_0 is salient. For $(iv) \Rightarrow (i)$, observe that, assuming (iv) and taking into account that A_0 is connected, it follows that \bar{A}_0 must be contained in the open half-space determined by Π_0 which contains Π .

For (a), clearly S_0 is an $(n - 2)$ submanifold (the transversal intersection of two hypersurfaces) and topologically closed. The compactness of the sets S_0 and $\Pi \cap \bar{A}_0$ follows because, otherwise, there would exist an affine half-line of Π where a sequence of points of the corresponding set approaches. This would imply the existence of a half-line of \bar{A}_0 contained in Π_0 , in contradiction to (iv) .

For the equivalence (b) recall first that, as Π is totally geodesic in V , the second fundamental form σ^N of S_0 in Π is the restriction of the second fundamental form $\tilde{\sigma}^N$ of \mathcal{C}_0 in V and, by conicity, $\tilde{\sigma}^N$ is semi-definite (resp. semi-definite with radical spanned by the radial direction) if and only if σ^N is semi-definite (resp. definite). Therefore, if \mathcal{C}_0 is a weak (resp. strong) cone then σ^N is positive semi-definite (resp. positive definite).

For the converse and the last assertion, let us check first that S_0 is connected. By conicity, the (arc-)connectedness of A_0 implies that so is $\Pi \cap A_0$. By the Jordan-Brouwer Theorem, each connected component of S_0 bounds an inner domain, σ^N can be positive semi-definite only towards its inner region and, thus, A_0 must lie always in the inner region delimited by each connected part of S_0 . So, if there were more than one part, either one of them would enclose another (but A_0 would lie in the inner domain of the latter) or two connected parts with disjoint inner domains would exist (but $\Pi \cap A_0$ was connected).

So, S_0 is connected, compact and embedded in Π ; moreover, its inner domain must be $\Pi \cap A_0$ (as the closure of this set is compact). Thus, the convexity of $\Pi \cap A_0$ (and, so, A_0) follows from its infinitesimal convexity, and the remainder is straightforward (recall footnote 3 in Example 2.4). \square

Next, all the cones are shown to be as the ones constructed in Example 2.4.

Proposition 2.6. *Let \mathcal{C}_0 be a weak cone with inner domain A_0 . Then:*

- (i) *there exists a vector hyperplane $\Pi_0 \subset V$ which does not intersect \mathcal{C}_0 ,*
- (ii) *for every hyperplane Π_0 as in (i) and every linear form $\Omega_0 : V \rightarrow \mathbb{R}$ with $\ker \Omega_0 = \Pi_0$, one of the affine hyperplanes in $\{\Omega_0^{-1}(1), \Omega_0^{-1}(-1)\}$ intersects transversely all the radial directions of \mathcal{C}_0 and A_0 ,*

(iii) for any hyperplane Π which intersects transversely all the radial directions of \mathcal{C}_0 , the intersection $S_0 = \Pi \cap \mathcal{C}_0$ is an infinitesimally convex hypersurface of Π diffeomorphic to an $(n - 2)$ -sphere. Moreover, \mathcal{C}_0 is a (strong) cone if and only if S_0 is strongly convex. The latter always occurs when $n = 2$, and if and only if S_0 is an ovaloid (resp. S_0 is a curve with positive curvature) when $n > 3$ (resp. $n = 3$).

So, the closure of A_0 in V is a topological manifold with boundary $\partial A_0 = \mathcal{C}_0 \cup \{0\}$, \mathcal{C}_0 is connected if $n \geq 3$ and \mathcal{C}_0 is equal to two open half-lines starting at 0 if $n = 2$. Moreover, \bar{A}_0 and $\bar{A}_0 \cup \{0\}$ are convex.

Proof. Consider the natural sphere S for the auxiliary scalar product h_V .

For part (i), take $\bar{D}_0 = \bar{A}_0 \cap S$ and its convex hull $CH(\bar{D}_0)$ in V , i.e., the smallest convex subset of V containing \bar{D}_0 (intersection of all the convex subsets of V containing \bar{D}_0). Observe that the convex hull is equal to the subset of convex combinations of a finite number of points in \bar{D}_0 , namely,

$$CH(\bar{D}_0) = \left\{ \sum_{i=1}^k \lambda_i v_i : k \in \mathbb{N}, v_i \in \bar{D}_0, \lambda_i \geq 0, \text{ for } i = 1, \dots, k; \sum_{i=1}^k \lambda_i = 1 \right\}.$$

Let us see that $0 \notin CH(\bar{D}_0)$. Otherwise, there would exist a minimum finite number of points $v_1, \dots, v_k \in \bar{D}_0$, $k \geq 2$, such that $0 = \sum_{i=1}^k \lambda_i v_i$ with $\lambda_i > 0$ for all i .⁴ The convexity and the conicity of $\bar{A}_0 \cup \{0\}$ imply that $\hat{v}_1 := \sum_{i=2}^k \lambda_i v_i = -\lambda_1 v_1$ belongs to \bar{A}_0 . As 0 belongs to the segment $[v_1, \hat{v}_1]$ by construction, one of the following contradictions follows: (a) if $v_1, \hat{v}_1 \in \mathcal{C}_0$, then \mathcal{C}_0 is not salient, (b) if $v_1, \hat{v}_1 \in A_0$ then, by the convexity of A_0 , $0 \in A_0$, or (c) otherwise (either $v_1 \in \mathcal{C}_0$ and $\hat{v}_1 \in A_0$ or the other way round), as A_0 is open and $\mathcal{C}_0 \subset \partial A_0$, there are two vectors $w_1, \hat{w}_1 \in A_0$ (arbitrarily close to v_1, \hat{v}_1) where the case (b) applies. Recall that $CH(\bar{D}_0)$ is closed⁵ and, thus, there exists $v_0 \in CH(\bar{D}_0)$ such that $\sqrt{h_V(v_0, v_0)} (> 0)$ is equal to the h_V -distance from 0 to $CH(\bar{D}_0)$. So, if Π is the affine hyperplane h_V -orthogonal to v_0 passing through v_0 , then all $CH(\bar{D}_0)$ lies in the closure of the half-space of $V \setminus \Pi$ which does not contain 0. Therefore, Π_0 can be chosen as the hyperplane parallel to Π through 0.

For (ii), as A_0 is convex (thus, connected), the whole \mathcal{C}_0 is contained in one of the two open half-spaces in $V \setminus \Pi_0$. As \mathcal{C}_0 is conic, all its radial directions must intersect transversely one of the hyperplanes $\{\Omega_0^{-1}(1), \Omega_0^{-1}(-1)\}$ (the one which is included in that half-space).

Part (iii) follows from the last assertion in Lemma 2.5.

For the last assertions, notice that the segments connecting 0 and S_0 provide a topological chart around $\{0\}$, concluding that A_0 is a topological manifold with boundary $\mathcal{C}_0 \cup \{0\}$. The convexity of \bar{A}_0 and $\bar{A}_0 \cup \{0\}$ follows straightforwardly from the convexity of S_0 . \square

⁴ The existence of a finite number of points satisfying the stated property (and, thus, such a minimum number k) is well-known in convex theory (recall the description given above of the convex hull). Indeed, one knows even $k \leq n + 1$ (Caratheodory Theorem), but this inequality is not required here.

⁵ Indeed, it is compact, as it is the convex hull of a compact subset in V (this follows directly from Caratheodory Theorem, see footnote 4).

2.2. Cone structures and causality. Next, previous notions are transplanted to manifolds.

Definition 2.7. Let M be a manifold of dimension $n \geq 2$. A (*strong*) *cone structure* (resp. *weak cone structure*) \mathcal{C} is an embedded hypersurface of TM such that, for each $p \in M$:

- (a) \mathcal{C} is tranverse to the fibers of the tangent bundle, that is, if $v \in \mathcal{C}_p := T_pM \cap \mathcal{C}$, then $T_v(T_pM) + T_v\mathcal{C} = T_v(TM)$, and
- (b) each \mathcal{C}_p is a strong cone (resp. weak cone) in T_pM (as in Def. 2.1).

The inner domain of each \mathcal{C}_p will be denoted by A_p and $A := \cup_{p \in M} A_p$, which will be called a strong (resp. weak) *cone domain*. In the following, cone domains will always be assumed strong unless otherwise specified.

Apart from the difference of being strong or weak, the terminology “cone structure” is used sometimes in a somewhat more general framework. For example, in [37, Def. 2.1], there is no assumption of convexity, as this reference focuses on local classification results by using Cartan’s method of equivalence.

Remark 2.8. The condition of transversality (a) also means that \mathcal{C} is transverse to all the tangent spaces $T_pM, p \in M$ or, equivalently, $T_v(T_pM) \not\subset T_v\mathcal{C}$.

The intuitive role of transversality is the following. A cone structure \mathcal{C} puts a cone at each tangent space in a seemingly smooth way, as \mathcal{C} is smooth. However, one needs that the distribution of the cones is a smooth set-valued function of $p \in M$, and this is grasped by our notion of transversality⁶.

The same property of transversality would be necessary for Riemannian or Finslerian metrics. Indeed, such a metric is determined by the hypersurface $S \subset M$ formed by all the indicatrices (unit spheres) S_p for $p \in M$ (each S_p being either an ellipsoid centered at the origin $0_p \in T_pM$ or a strongly convex closed hypersurface enclosing 0_p , respectively). However, this hypersurface S must satisfy the condition of transversality (otherwise, the original metric would not be smooth), see [14, Prop. 2.12]. The role of transversality will be apparent in the proof of Th. 2.17.

A *Lorentzian metric* g on a (connected) manifold M is a symmetric bilinear form with index one (signature $(-, + \dots, +)$). It is well known that its lightlike vectors (those $v \in TM \setminus \mathbf{0}$ with $g(v, v) = 0$) provide locally two (strong) cone structures (see Cor. 2.19 to check consistency with our definition) and g is called *time-orientable* when these cone structures are globally defined; such a property becomes equivalent to the existence of a globally defined timelike vector field T (i.e., $g(T, T) < 0$), see [45, 47] for background. A (*classical*) *spacetime* is a time-orientable Lorentzian manifold (M, g) where one of its two cone structures, called the *future-directed cone structure*, has been selected. The next definitions for cone structures generalize trivially those for classical spacetimes, even though we drop the expression “future-directed” as only one cone structure is being considered.

Given a weak cone structure \mathcal{C} there are two classes of privileged vectors at each tangent space: the *timelike* vectors, which are those in the cone

⁶If only a continuous distribution of cones were required, then transversality would be interpreted at the topological level (compare with [18]).

domain A , and the *lightlike* vectors, which are the vectors in \mathcal{C} ; both of them will be called *causal*. This allows one to extend all the definitions in the Causal Theory, such as timelike, lightlike and causal curves and, then, the chronological \ll and causal \leq relations ($p \ll q$ if there exists a timelike curve from p to q ; $p \leq q$ either if γ can be found causal or if $p = q$), chronological $I^+(p) = \{q \in M : p \ll q\}$ and causal $J^+(p) = \{q \in M : p \leq q\}$ futures for any $p \in M$, as well as the *horismotic* relation, namely: $p \rightarrow q$ if and only if $q \in J^+(p) \setminus I^+(p)$, for $p, q \in M$. Observe that the cone structure determines only the *future-pointing* directions, but one can say that a vector $v \in TM$ is *past-pointing* timelike (lightlike, causal) if $-v \in TM$ is timelike (lightlike, causal) and, so, define analogous past notions. Consistently, a *time* (resp. *temporal*) function is a real function $t : M \rightarrow \mathbb{R}$ which is strictly increasing when composed with (future-pointing) C^1 -timelike curves (resp. a smooth time function τ such that no causal vector is tangent to the slices $\tau = \text{constant}$). Other conditions about Causality [10, 45] as the notion of Cauchy hypersurface or being *strongly causal*, *stably causal* or *globally hyperbolic* are extended naturally. More subtly, \mathcal{C} admits the following notion of geodesic which generalizes the usual lightlike pregeodesics of spacetimes.

Definition 2.9. Let \mathcal{C} be a weak cone structure. A continuous curve $\gamma : I \rightarrow M$ ($I \subset \mathbb{R}$ interval) is a *cone geodesic* if it is locally horismotic, that is, for each $s_0 \in I$ and any neighborhood $V \ni \gamma(s_0)$, there exists a smaller neighborhood $U \subset V$ of $\gamma(s_0)$ such that, if $I_\epsilon := [s_0 - \epsilon, s_0 + \epsilon] \cap I$ satisfies $\gamma(I_\epsilon) \subset U$ for some $\epsilon > 0$, then:

$$s < s' \Leftrightarrow \gamma(s) \rightarrow_U \gamma(s') \quad \forall s, s' \in I_\epsilon,$$

where \rightarrow_U is the horismotic relation for the natural restriction \mathcal{C}_U of the cone structure to U .

Remark 2.10. Until now, the strengthening of the hypothesis *weak cone* into *strong cone* has not been especially relevant. However, there will be important differences for geodesics, which are similar to the standard Finslerian case: if the indicatrix of a Finsler metric is assumed to be only infinitesimally convex (but not strongly convex), the local uniqueness of geodesics with each velocity is lost (see [40]). So, in what follows, we will focus only on the case of strong cones and strong cone structures, dropping definitively the word *strong*.

2.3. Pseudo-norms and conic Finsler metrics. Even though the notions of Lorentz metric and spacetime will be extended to the Finslerian setting in Section 3, next some basic language on pseudo-norms and Finsler manifolds are introduced.

Definition 2.11. A function $L : A_0 \subset V \setminus \{0\} \rightarrow \mathbb{R}$ is a (*conic, two-homogeneous*) *pseudo-Minkowski norm* if

- (i) A_0 is a (non-empty) *conic* open subset (that is, if $v \in A_0$, then $\lambda v \in A_0$ for every $\lambda > 0$, but A_0 is not necessarily salient),
- (ii) L is smooth and *positive homogeneous of degree 2* (i.e., $L(\lambda v) = \lambda^2 L(v)$ for every $v \in A_0, \lambda > 0$), and

(iii) for every $v \in A_0$, the *fundamental tensor* g_v given by

$$g_v(u, w) = \frac{1}{2} \frac{\partial^2}{\partial r \partial s} L(v + ru + sw) \Big|_{r=s=0} \quad (1)$$

for $u, w \in V$, is nondegenerate.

The choice of being two-homogeneous becomes natural when the fundamental tensor is indefinite; however, one-homogeneity will be required when convenient, namely:

Definition 2.12. A *conic Minkowski norm* is a positive function $F : A_0 \subset V \setminus \{0\} \rightarrow \mathbb{R}^+ := (0, \infty)$, with A_0 open and conic, and F homogeneous of degree one (i.e., $F(\lambda v) = \lambda F(v)$ for every $\lambda > 0$ and $v \in A_0$) satisfying: the fundamental tensor g_v in (1) for $L = F^2$ is positive definite for every $v \in A_0$ (in particular, $L = F^2 : A_0 \rightarrow \mathbb{R}$ is a pseudo-Minkowski norm).

Furthermore, when $A_0 = V \setminus \{0\}$, then F is a *Minkowski norm*.

Remark 2.13. A Minkowski norm can be extended continuously to 0 as $F(0) = 0$; this extension is always C^1 , but it is C^2 if and only if F is the norm associated with a Euclidean scalar product (see [58, Prop. 4.1]). Such an extension will be used when necessary with no further mention.

Let us recover classical Finsler metrics consistently with our definitions.

Definition 2.14. A *Finsler metric* on a manifold M is a two-homogeneous smooth positive function $L : TM \setminus \mathbf{0} \rightarrow \mathbb{R}$ with positive definite fundamental tensor g_v in (1) for all $v \in TM \setminus \mathbf{0}$. When required, L will be replaced with $F = \sqrt{L}$ and extended continuously to the zero section $\mathbf{0}$ (so that each $F_p := F|_{T_p M}$ is a Minkowski norm).

An open subset $A^* \subset TM$ is *conic* when each $A_p^* := A^* \cap T_p M$, $p \in M$ is non-empty and conic; in this case, A^* is a *conic domain* when each A_p^* is also connected (and, then, a (strong) *cone domain* when the additional conditions of Def. 2.7 are also fulfilled). When L above satisfies all the properties of a Finsler metric but it is defined only on an open conic subset $A^* \subset TM$, we say that L is a *conic Finsler metric*.⁷

This definition is extended trivially to any vector bundle VM (in particular, to any subbundle of TM) in such a way that a Finsler metric on VM becomes a smooth distribution of Minkowski norms in each fibre of the bundle.

2.4. Cone triples. Next, a natural link between cone structures and some triples which include a Finsler metric is developed.

Lemma 2.15. *Given a cone structure \mathcal{C} , one can find on M :*

- (a) a timelike 1-form Ω (that is, $\Omega(v) > 0$ for any causal vector v),
- (b) an Ω -unit timelike vector field T (T is timelike and $\Omega(T) \equiv 1$).

⁷ Even though conic Finsler metrics are defined here in arbitrary open conic subsets, here we emphasize the notions of *conic domain* and *cone domain* to be used later. Typically, we will select a conic domain as a connected part of a conic open set and, when a Lorentz-Finsler metric is defined on such a domain, we will prove that it is a cone domain (see Rem. 3.6 and Prop. 3.8). These subtleties should be taken into account when comparing with references on the topic.

Proof. By the definition of cone, one can find at each point p a one-form ω_p such that $\omega_p(\mathcal{C}_p) > 0$ (recall Prop. 2.6). By continuity (just working in coordinates) one can regard ω_p as a 1-form defined in a neighborhood U_p of p and satisfying $\omega_p(\mathcal{C}_q) > 0$ for all $q \in U_p$. Now, consider a locally finite open refinement $\{U_{p_i} : i \in \mathbb{N}\}$ of $\{U_p : p \in M\}$ and a partition of unity $\{\mu_i : i \in \mathbb{N}\}$ subordinated to $\{U_{p_i} : i \in \mathbb{N}\}$. The required one-form is just $\Omega = \sum_{i=1}^{+\infty} \mu_i \omega_{p_i}$. Once Ω is constructed, let \tilde{T} be any timelike vector field (constructed analogously by using a partition of unity and the convexity of the cones) and put $T = \tilde{T}/\Omega(\tilde{T})$. \square

Remark 2.16. The 1-form Ω is neither exact nor closed in general. However, from the proof is clear that, locally, Ω can be chosen exact, so that $\Omega = dt$ for some smooth $t : U(\subset M) \rightarrow \mathbb{R}$. In this case t is naturally a *temporal function* for the restriction \mathcal{C}_U of the cone structure to U .

Any pair (Ω, T) associated with \mathcal{C} according to Lemma 2.15 yields a natural splitting of $TM = \text{span}(T) \oplus \ker \Omega$ with projection $\pi : TM \rightarrow \ker \Omega$ determined trivially by:

$$v_p = \Omega(v_p)T_p + \pi(v_p) \quad \forall v_p \in T_pM, \forall p \in M. \quad (2)$$

A close link between Finsler metrics and cone structures is the following.

Theorem 2.17. *Let \mathcal{C} be a cone structure. For any choice of timelike 1-form Ω and Ω -unit timelike vector field T , there exists a unique (smooth) Finsler metric F on the vector bundle $\ker \Omega \subset TM$ such that, for any $v_p \in TM \setminus \mathbf{0}$*

$$v_p \in \mathcal{C} \iff v_p = F(\pi(v_p))T_p + \pi(v_p). \quad (3)$$

Conversely, for any cone triple (Ω, T, F) composed of a non-vanishing one-form Ω , an Ω -unit vector field T and a Finsler metric F on $\ker(\Omega)$, there exists a (unique) cone structure \mathcal{C} satisfying (3); such a \mathcal{C} will be said associated with the cone triple.

Proof. Let us check that $\Sigma^F := \pi(\Omega^{-1}(1) \cap \mathcal{C})$ satisfies all the properties for being the indicatrix of the required Finsler metric. Both, \mathcal{C} and $\Omega^{-1}(1)$ are smooth hypersurfaces of TM (transversal to all the fibers of TM) which intersect transversely; thus, as Ω is timelike, $\Omega^{-1}(1) \cap \mathcal{C}$ is an embedded $(2n - 2)$ -submanifold transversal to the fibers of TM . These properties are shared by Σ^F , because it is obtained as a pointwise translation⁸, namely, $\Sigma^F = (\Omega^{-1}(1) \cap \mathcal{C}) - T$. Recall that, by construction, each $\Sigma_p^F := \Sigma^F \cap T_pM$ is a compact strongly convex hypersurface included in $\ker(\Omega_p)$ which encloses 0_p and, so, it defines a (1-homogeneous) Minkowski norm $F_p : \ker \Omega_p \rightarrow [0, +\infty)$. So, it is enough to show that $F : \ker(\Omega) \rightarrow [0, +\infty)$, $F(v_p) := F_p(v_p)$ for all $v_p \in \ker(\Omega_p), p \in M$, is smooth away from the zero section. Now, consider the map:

$$\psi : (0, \infty) \times \Sigma^F \rightarrow \ker(\Omega) \setminus \mathbf{0}, \quad (r, w) \mapsto r \cdot w.$$

Clearly, this map is bijective and smooth. Moreover, its differential is bijective at all the points. Indeed, putting $\partial_r = (1, 0) \in T_{(r,w)}((0, +\infty) \times \Sigma^F)$,

⁸The translation by T can be regarded as a change in the zero-section for the associated affine bundle and, so, cannot affect the claimed transversality, since it is a diffeomorphism that preserves the fibers.

one has $d\psi(\partial_r)$ is proportional to the position vector and then transversal to⁹ $r \cdot \Sigma^F$. Therefore, ψ is a diffeomorphism and, by construction, its inverse satisfies $\psi^{-1}(v) = (F(v), v/F(v))$; thus, F is smooth, as required.

For the converse, the unit sphere bundle Σ^F for F is a smooth submanifold in TM transverse to each T_pM , and so is its (pointwise translation) $T + \Sigma^F$ and its conic saturation \mathcal{C} . Moreover, $(T + \Sigma^F) \cap T_pM$ is strongly convex in $\Omega^{-1}(1) \cap T_pM$ for every $p \in M$ and the construction in Example 2.4 applies. \square

Remark 2.18. It is clear from Lemma 2.15 that a cone structure yields many cone triples, while one cone triple determines a unique cone structure. In the case that T is complete and Ω exact, $\Omega = dt$ for some function t , then M splits as $\mathbb{R} \times S_0$, where S_0 is the slice $\{t = 0\}$, t becomes the projection onto the first factor and $T \equiv \partial_t$. Indeed, the splitting is $\mathbb{R} \times S_0 \rightarrow M$, $(s, x) \rightarrow \Phi_s(x)$, where Φ is the flow of T , because $t(\Phi_s(x)) = s$ (as $dt(T) \equiv 1$), it is a local diffeomorphism (as T and the slices of t are transversal), it is injective (as no integral curve of T can cross S_0 twice) and onto (as the integral curve of any $p \in M$ must cross S_0 because of the completeness of T). Notice that, locally, one can always choose an exact Ω ; so, around any $p \in M$, one has an analogous splitting $(t(p) - \epsilon, t(p) + \epsilon) \times N$ for some neighborhood N of p in the slice $\{t = t(p)\}$ and $\epsilon > 0$.

A straightforward consequence is the following.

Corollary 2.19. *The set of all the future-directed lightlike vectors of a classical spacetime forms a cone structure according to Def. 2.7. Moreover, a manifold M admits a cone structure if and only if M is non-compact or its Euler characteristic is 0.*

Proof. For the first assertion, the Lorentzian metric g of a spacetime admits a unit future-directed timelike vector field T ; so, the set of all the future-directed g -lightlike vectors is the cone structure associated with the triple (Ω, T, F) , where Ω is the 1-form g -associated with T and F is the norm of the Riemannian metric obtained as the restriction of g on $\ker(\Omega) = T^\perp$ (the subbundle g -orthogonal to T).

For the last assertion, the existence of a vector field T on M which is non-zero everywhere becomes equivalent to either the condition on the Euler characteristic or the non-compactness of M , [47, Prop. 5.37] (see also [33]). Then, the implication to the right follows because Lemma 2.15 ensures the existence of such a T and, for the converse, construct a time-oriented Lorentz metric [47, Prop. 5.37] and consider the set of all its future-directed lightlike vectors¹⁰. \square

Given two cone structures $\mathcal{C}, \mathcal{C}'$ on M , denote $\mathcal{C} \preceq \mathcal{C}'$ if the cone of \mathcal{C}' is included in the one of \mathcal{C} (say, $\mathcal{C}' \subset \bar{\mathcal{A}}$). So, we have the following simple consequence of Th. 2.17.

⁹For the role of transversality, see [14, Section 2.2], especially Rem. 2.9 and the proof of Prop. 2.12.

¹⁰Alternatively, use Th. 2.17, namely: take a non-vanishing vector field T , construct any auxiliary Riemannian metric g_R on M , define Ω as the 1-form g_R -associated with T , and choose $F(v)$ as the restriction of $\sqrt{g_R(v, v)}$ to $v \in \ker(\Omega)$.

Corollary 2.20. *Given a cone structure \mathcal{C} , there exist two Lorentzian metrics g_1, g_2 such that their cone structures $\mathcal{C}_1, \mathcal{C}_2$ satisfy $\mathcal{C}_1 \preceq \mathcal{C} \preceq \mathcal{C}_2$.*

Proof. Take a cone triple (Ω, T, F) and any Riemannian metric h_R on $\ker(\Omega)$. Multiply h_R by some big enough (resp. small enough) conformal factor e^{2u_1} (resp. e^{2u_2}) such that the unit sphere bundle of $h_i := e^{2u_i} h_R$ is included in (resp. includes) the indicatrix of F pointwise. Then each \mathcal{C}_i is just the cone structure determined by $(\Omega, T, F_i = \sqrt{h_i})$. \square

Remark 2.21. We are focusing on smooth cone structures instead of more general ones. Indeed, the main differences of our definition of cone structure and the one of Fathi and Siconolfi in [18] are the differentiability of \mathcal{C} and the strong convexity of each \mathcal{C}_p , which are not required in that reference¹¹. However, the notion of cone triple would make sense for such general cone structures and cone triples would characterize them just taking into account that the Finsler metric F on $\ker(\Omega)$ would become now a continuous distribution of norms whose regularity would depend on the assumptions of regularity and convexity for the cones.

3. FINSLER SPACETIMES

3.1. Lorentz-Minkowski norms and their cones. Let us start with notions at the level of a vector space V , consistently with [28].

Definition 3.1. A pseudo-Minkowski norm $L : A_0 \subset V \setminus 0 \rightarrow \mathbb{R}^+$ is *Lorentz-Minkowski* if A_0 is non-empty, connected, conic and open, and the fundamental tensor in (1) has index $n-1$; in this case, when there is no possibility of confusion, the one-homogeneous function $\tilde{F} = \sqrt{L}$ will be also considered and called *Lorentz-Minkowski norm*.

Moreover, L is *properly Lorentz-Minkowski* if, in addition, the topological boundary \mathcal{C}_0 of A_0 in $V \setminus 0$ is smooth (i.e., $\bar{A}_0 := A_0 \cup \mathcal{C}_0$ is a smooth manifold with boundary) and L can be smoothly extended as zero to \mathcal{C}_0 with non-degenerate fundamental tensor (then, the same letters L, \tilde{F} will denote such extensions). In this case, we will also write $L : \bar{A}_0 \rightarrow \mathbb{R}$ and, when required, the continuous extension $L(0) = 0$ will also be assumed.

Remark 3.2. Observe that there are some cases in the bibliography where the pseudo-Finsler metric has index $n-1$, but it cannot be extended smoothly to the boundary (see for example [14, Prop. 2.5 (iii) (b)] or a translation of a Lorentzian metric, which, naturally, can be continuously extended to the boundary as zero, but not smoothly, [31, Prop. 2.9] or the alternative definitions in Appendix A). Here, we will be interested in the proper Lorentz-Minkowski case. The next proposition will show, in particular, that \mathcal{C}_0 must be a (salient, strongly convex, with convex interior) cone for any properly Lorentz-Minkowski norm.

The following lemma will provide a criterion for the smoothness of \mathcal{C} and it will be also useful for other purposes.

Lemma 3.3. *Let $A_0^* \subset V$ be a non-empty connected conic open subset and $L : A_0^* \rightarrow \mathbb{R}$ be a pseudo-Minkowski norm with index $n-1$. Assume that*

¹¹More general cone structures in [11] drop continuity and allow singular cones.

$A_0 := L^{-1}((0, \infty))$ is connected and its topological closure \bar{A}_0 in $V \setminus \{0\}$ is included in A_0^* . Then:

$$g_v(v, v) = L(v), \quad dL_v(w) = 2g_v(v, w), \quad \forall v \in \bar{A}_0, \forall w \in V,$$

where g_v was defined in (1). Therefore, \bar{A}_0 is a smooth manifold with boundary $\mathcal{C}_0 = L^{-1}(0) \setminus \{0\}$ (and so $L|_{A_0}$ is a properly Lorentz-Minkowski norm) and the indicatrix $\Sigma_0 := L^{-1}(1) \subset A_0$ is a smooth hypersurface.

Proof. The equalities follow for any pseudo-Minkowski norm as in the case of Minkowski norms and Finsler metrics (see for example [28, Prop. 2.2]). As a consequence, 0 and 1 are regular values of L (up to the origin) and \mathcal{C}_0, Σ_0 become smooth. \square

Proposition 3.4. *Let $L : \bar{A}_0 \subset V \rightarrow \mathbb{R}$ be a properly Lorentz-Minkowski norm and \mathcal{C}_0, Σ_0 , as above. Then:*

- (i) *For any $v \in \Sigma_0$, the restriction of the fundamental tensor g_v to $T_v\Sigma_0$ (which can be regarded as the g_v -orthogonal space to v) is negative definite.*
- (ii) *Σ_0 is connected and strongly convex with respect to the position vector. Moreover, let S be any ellipsoid¹² centered at 0, and consider the functions $\lambda : A_0 \cap S \rightarrow \mathbb{R}^+$, $\lambda(v) := 1/\tilde{F}(v)$ and $\phi : A_0 \cap S \rightarrow \Sigma_0$, $\phi(v) = \lambda(v)v$. Then, Σ_0 is asymptotic to \mathcal{C}_0 in the sense that \mathcal{C}_0 is conic and $\lambda(v) \rightarrow +\infty$ whenever $v \rightarrow \mathcal{C}_0 \cap S$.*
- (iii) *For every $v \in \mathcal{C}_0$, the tangent space $T_v\mathcal{C}_0$ is the g_v -orthogonal space to v and the restriction of g_v to $T_v\mathcal{C}_0$ is negative semi-definite, being the direction of v its only degenerate direction.*
- (iv) *The second fundamental form σ^ξ of \mathcal{C}_0 with respect to any vector $\xi \in T_vV$ pointing to A_0 is positive semi-definite with radical spanned by v .*
- (v) *Given any smooth extension of L with non-degenerate fundamental tensor, its domain contains an open subset $A_0^* \supset \bar{A}_0$ such that $L < 0$ in $A_0^* \setminus \bar{A}_0$ (for computations around \mathcal{C}_0 , such a subset can be regarded as the domain of the extension of L to \mathcal{C}_0 .)*
- (vi) *\mathcal{C}_0 is a strong cone (according to Def. 2.1) with \mathcal{C}_0 -interior A_0 .*
- (vii) *Given any $v \in \Sigma_0$, the intersection $T_v\Sigma_0 \cap \mathcal{C}_0$ is a strongly convex hypersurface in $T_v\Sigma_0$ diffeomorphic to a sphere. Given any $v \in \mathcal{C}_0$, the intersection $T_v\mathcal{C}_0 \cap \bar{A}_0$ is the half-line $\{\lambda v : \lambda \geq 0\}$.*

Proof. For (i), Lemma 3.3 implies that $T_v\Sigma_0$ is given by the g_v -orthogonal vectors to v . In particular, as g_v has index $n - 1$ and $g_v(v, v) = L(v) > 0$, the fundamental tensor is negative definite in $T_v\Sigma_0$.

For part (ii), recall first that, easily,

$$g_v(X, X) = -\sigma^v(X, X)v(L)/2, \quad (4)$$

where σ^v is the second fundamental form of Σ_0 with respect to the position vector v and $X \neq 0$ is a tangent vector to Σ_0 at v (see [1, Eq. (2)] and also [28, Eq (2.5)]). So, the strong convexity of Σ_0 follows from (4), taking into account that its left hand side is negative by part (i) and $v(L) > 0$ by positive homogeneity. Now observe that by positive homogeneity and using that S and Σ_0 are transversal to the radial directions, ϕ is a diffeomorphism.

¹² We consider ellipsoids as they are intrinsic to the vector space structure of V ; alternatively, spheres for the auxiliary Euclidean scalar product h_V can also be considered.

Moreover, $A_0 \cap S$ is connected because, otherwise, A_0 would not be; as a consequence, Σ_0 is also connected. By homogeneity, \mathcal{C}_0 is conic, and Σ_0 is asymptotic to \mathcal{C}_0 because, otherwise, L could not be extended (not even continuously) by 0 to \mathcal{C}_0 .

For part (iii), repeat the reasoning in part (i) taking into account that if $L(v) = g_v(v, v) = 0$, then v is a lightlike vector of g_v and its g_v -orthogonal space must contain the direction spanned by v (recall Lemma 3.3); thus, this direction must be the unique degenerate direction allowed by the Lorentzian signature $(+, -, \dots, -)$ of g_v (see [47, Lemma 5.28]).

For (iv), reasoning as in (4), one has

$$g_v(X, X) = -\sigma^\xi(X, X)\xi(L)/2. \quad (5)$$

So, the result holds from $\xi(L) = 2g_v(v, \xi) > 0$. To prove the latter, first, $\xi(L) \geq 0$ since L is zero in the boundary with $L > 0$ in A_0 ; then, the equality cannot hold because ξ is not tangent to \mathcal{C}_0 (recall part (iii)).

Part (v) is a consequence of Lemma 3.3, since now $-\xi(L) = -2g_v(v, \xi) < 0$ (as in the reasoning of part (iv)) for any $-\xi$ pointing away from A_0 .

For the remainder, notice that part (vi) follows if there exists a hyperplane $\Pi \not\cong 0$ which is crossed transversely by all the radial half-lines of \bar{A}_0 (use then the last assertion of Lemma 2.5, taking into account that by part (iii) above, $\Pi \cap \mathcal{C}_0$ is strongly convex). We are going to prove that such a Π can be chosen as $T_v \Sigma_0$ for any $v \in \Sigma_0$, which proves additionally the first assertion in part (vii) (by using again Lemma 2.5). Take $w \in T_v \Sigma_0$ and consider the 2-plane $P = \text{span}\{v, w\}$. Observe that $L|_P$ is also Lorentz-Minkowski and its indicatrix Σ_P is a strongly convex curve by part (ii). If we choose a positive definite scalar product such that w and v are orthonormal, and coordinates (x, y) in this basis, it turns out that Σ_P can be parametrized in polar coordinates in terms of the angle as it is not tangent to the radial lines. Moreover, when $\theta = \pi/2$ its slope is zero as w is tangent to it, and when θ decreases, because of the strong convexity, the slope of Σ_P increases. As Σ_P cannot be tangent to radial lines, by continuity, its slope remains below some $\alpha > 0$. This implies that the ray $v + \lambda w$, $\lambda > 0$, meets the cone \mathcal{C} transversely and this gives a diffeomorphism from the sphere to $\Sigma_0 \cap \mathcal{C}$ as required.

For the last assertion in part (vii), the conicity of \mathcal{C}_0 implies that the radial line spanned by v lies in the tangent space ($v \in \ker dL_v$) and part (iv) of Def. 2.1, that no more points can appear in the intersection. \square

3.2. Lorentz-Finsler metrics. In the literature there are several definitions of Finsler spacetimes. Let us give first the definition which, from our viewpoint, has better mathematical properties.

Definition 3.5. Let M be a manifold and TM its tangent bundle. Let $A \subset TM \setminus 0$ be a conic domain (according to Def. 2.14) such that its closure \bar{A} in $TM \setminus 0$ is an embedded smooth manifold with boundary. Let $\mathcal{C} \subset TM \setminus 0$ be its boundary and $L : A \rightarrow \mathbb{R}^+$ a smooth function which can be smoothly extended as zero to \mathcal{C} satisfying, for all $p \in M$, that

$$L_p := L|_{A_p}, \quad \text{where} \quad A_p := A \cap T_p M,$$

is a properly Lorentz-Minkowski norm. Then, L will be called a *Lorentz-Finsler metric*, and (M, L) a *Finsler spacetime*; when necessary, L will be assumed continuously extended to the zero section $\mathbf{0} \subset TM$ (and denoted with the same letter).

Remark 3.6. (1) Even if A_p is not required to be convex and salient, both properties follow from part (vi) of Prop. 3.4 (in particular, the definition above coincides with the one given in [27]).

(2) As L is smooth on \mathcal{C} with non-degenerate fundamental tensor, L can be extended to an open conic subset A^* containing \bar{A} such that the fundamental tensor of L has index $n - 1$ on A^* and $L < 0$ in $A^* \setminus \bar{A}$ (this is just a straightforward generalization of part (v) of Prop. 3.4; say, the local result would follow trivially, and the global one by using a partition of unity). Clearly, such an A^* can also be chosen as a conic domain.

Even if L is defined beyond \bar{A} , our definition of Lorentz-Finsler metrics prescribes A and, then, the cone structure \mathcal{C} . So, all the concepts of Causality Theory in Section 2 apply here and Finsler spacetimes are always time-oriented.

Corollary 3.7. *If $L : A \rightarrow \mathbb{R}^+$ is a Lorentz-Finsler metric, then the boundary \mathcal{C} of A in $TM \setminus \mathbf{0}$ is a cone structure with cone domain A (\mathcal{C} and A will be called associated with L , or just the cone structure and cone domain of L).*

Proof. By part (vi) of Prop. 3.4, each $\mathcal{C}_p = \mathcal{C} \cap T_pM$, $p \in M$, is a strong cone, while Lemma 3.3 implies that \mathcal{C} is transverse to all T_pM . \square

In classical Beem's definition [9], Lorentz-Finsler metrics are defined in the whole tangent bundle. Clearly, our results will be applicable to such metrics whenever a cone structure is fixed. Implicitly, this assumes time-orientability; more precisely:

Proposition 3.8. *Let A^* be a domain of TM such that each $A_p^* := A^* \cap T_pM$ is conic and non-empty. Let $L : A^* \rightarrow \mathbb{R}$ be a two-homogeneous smooth function whose fundamental tensor g (as in (1)) has index $n - 1$.*

Assume that there exists a non-vanishing vector field X in A^ ($X_p \in A^*$ for all $p \in M$), such that $L(X) > 0$. If A is the connected part of $L^{-1}((0, \infty))$ containing X and its closure \bar{A} in $TM \setminus \mathbf{0}$ is included in A^* , then L is a Lorentz-Finsler metric with cone domain A .*

Proof. Observe that Lemma 3.3 guarantees that every $L_p = L|_{A \cap T_pM}$ is a properly Lorentz-Minkowski norm, so it is enough to check that $\bar{A} \subset TM \setminus \mathbf{0}$ is a smooth manifold with boundary, which follows because its boundary \mathcal{C} is the inverse image of the regular value 0 of L (use again that g_v is non degenerate for all $v \in \mathcal{C}$ and $dL_v(w) = 2g_v(v, w)$). \square

As a difference with Beem's approach [9], we will focus all our attention on \bar{A} considering properties of L independent of possible extensions.

3.3. Anisotropic equivalence. In order to characterize the Lorentz-Finsler metrics with the same associated cone structure, let us introduce the following natural extension of a concept for classical Finsler metrics.

Definition 3.9. Two Lorentz-Finsler metrics $L_1, L_2 : \bar{A} \rightarrow [0, +\infty)$ are said to be *anisotropically equivalent* if there exists a smooth positive function $\mu : \bar{A} \rightarrow \mathbb{R}^+$ such that $L_2 = \mu L_1$; then, the function¹³ μ is called the *anisotropic factor*.

The following lemma will be useful to characterize this definition as well as to study other properties in Subsection 4.3.

Lemma 3.10. *Let L_1, L_2 be two smooth functions on a manifold N and let \mathcal{C} be a hypersurface obtained as $\mathcal{C} = L_1^{-1}(0) = L_2^{-1}(0)$, where 0 is a common regular value of L_1, L_2 . If \mathcal{C} is the boundary of a domain A where $L_1, L_2 > 0$, then L_2/L_1 can be smoothly extended to \mathcal{C} (as a positive function) and $\sqrt{L_1 L_2}$ is smooth on \bar{A} .*

Proof. We can assume that, locally, L_1 is the first coordinate $r = x_1$ of a chart $(x_1 = r, x_2, \dots, x_n)$ around some $p \in \mathcal{C}$ (r can be thought as the distance function to \mathcal{C} for the auxiliary Riemannian metric $g_R = \sum dx_i^2$). The local function $\mu := L_2/r = L_2/L_1$ on A can be smoothly extended on \mathcal{C} as $\partial_r L_2 = dL_2(\partial_r) > 0$ (recall that ∂_r is transversal to \mathcal{C} and points out inside A), proving the first assertion. Then, one has locally on \bar{A}

$$\sqrt{L_1 L_2} = r\sqrt{\mu},$$

where the right-hand side is the product of two smooth functions, as μ does not vanish on \mathcal{C} . \square

Theorem 3.11. *Two Lorentz-Finsler metrics $L_1, L_2 : \bar{A} \rightarrow [0, +\infty)$ are anisotropically equivalent if and only if their associated cone structures are equal.*

Moreover, in such a case the smooth extension to any $v \in \mathcal{C}$ of the factor of anisotropy $\mu = L_2/L_1$ on A can be computed as

$$\mu(v) = \frac{g_v^2(v, w)}{g_v^1(v, w)}, \tag{6}$$

where g^1 and g^2 are the fundamental tensors of L_1 and L_2 , respectively, and w is any vector in $T_{\pi(v)}M$ such that $g_v^1(v, w) \neq 0$ (and, thus, $g_v^2(v, w) \neq 0$).

Proof. (\Rightarrow) Obvious from the definition.

(\Leftarrow) Notice that L_2/L_1 (which is smooth on \mathcal{C} by Lemma 3.10 applied locally to a neighborhood N of each point of the common cone) provides the anisotropic factor. In order to check (6), given $v \in \mathcal{C}$ and $w \in T_{\pi(v)}M$ as stated, we can assume that, for small $|t| > 0$, $v + tw$ belongs to the open domain $A_{\pi(v)}^* \supset A_{\pi(v)}$ of some extension of L_1 , and L_2 . By applying L'Hôpital rule and Lemma 3.3,

$$\lim_{t \rightarrow 0} \frac{L_2(v + tw)}{L_1(v + tw)} = \frac{g_v^2(v, w)}{g_v^1(v, w)}.$$

Finally, observe that $g_v^1(v, \cdot)$ and $g_v^2(v, \cdot)$ are one-forms with the same kernel (the tangent space to the lightlike cone), and then the quotient does not depend on w . \square

¹³ Necessarily 0-homogeneous and, thus, non-continuously extendible to the zero section $\mathbf{0}$.

Finally, we emphasize that the tangent bundle $T\mathcal{C}$ can also be characterized in terms of the fundamental tensor g of any compatible L . Recall that for a classical Finsler metric F with fundamental tensor g , its Hilbert form is defined as $\omega(w) = g_v(v, w)/F(v)$. In the Lorentz-Finsler case, such a form does not make sense (as one would divide by 0), however, expressions as (6) show that a similar form may have interest.

Proposition 3.12. *Let L be a Lorentz-Finsler metric with fundamental tensor g and \mathcal{C} its associated cone structure. Consider the rough Hilbert form $\omega^L : \bar{A} \rightarrow TM^*$ defined as $\omega_v^L = g_v(v, \cdot)$, for all $v \in \bar{A}$. Then*

$$T_v\mathcal{C} = \ker(\omega_v^L) \quad \forall v \in \mathcal{C}$$

Proof. Apply part (iii) of Prop. 3.4. □

Remark 3.13. From (6), if $L_2 = \mu L$ is a second Lorentz-Finsler metric with cone \mathcal{C} , then $\omega^{L_2} = \mu\omega^L$ on \mathcal{C} , consistently with the fact that $T\mathcal{C}$ is associated with the anisotropically conformal class of Lorentz-Finsler metrics compatible with \mathcal{C} .

4. CONSTRUCTING NEW EXAMPLES OF (SMOOTH) FINSLER SPACETIMES

It seems that a systematic construction of (smooth) Finsler spacetimes as above is missing in literature, being the only examples we have found either perturbations of classical spacetimes [56] or anisotropically conformal to Lorentz metrics [42, Eq. (5)]. In this section, we will try to fill this gap by characterizing all possible examples and constructing easily some families.

4.1. A natural class of Finsler spacetimes. Next, new examples of smooth Finsler spacetimes will be constructed.

Theorem 4.1. *Let M be a manifold endowed with a (classical) Finsler metric $\hat{F} : TM \rightarrow \mathbb{R}$ with indicatrix $\hat{\Sigma} = \hat{F}^{-1}(1)$ and a non vanishing one-form ω such that, at each point $p \in M$, the intersection $\hat{\Sigma}_p \cap \omega_p^{-1}(1)$ is (non-empty) transverse. Then $L : \bar{A} \rightarrow \mathbb{R}$ defined as*

$$L(v) := \omega(v)^2 - \hat{F}(v)^2 \quad \forall v \in \bar{A} := \{w \in TM \setminus \mathbf{0} : \omega(w) \geq \hat{F}(w)\} \quad (7)$$

is a Lorentz-Finsler metric with cone domain A equal to the interior of \bar{A} .

Moreover, the cone structure \mathcal{C} of L can be described by a cone triple (Ω, T, F) with $\Omega = \omega$, T any vector field in $\omega^{-1}(1) \cap A$ and F the Finsler metric on $\ker \Omega$ with indicatrix $(\hat{\Sigma} \cap \omega^{-1}(1)) - T$ (i.e., the translation with $-T$ of $\hat{\Sigma} \cap \omega^{-1}(1) \subset TM$).

Proof. First, notice that A is convex, since it is the conic saturation of a convex subset (the intersection of $\omega^{-1}(1)$ and the unit ball of \hat{F}). So, one can find a vector field X in A in a standard way (first locally and, using a partition of unity, globally) and choose the normalized one $T = X/\omega(X)$. So, we have just to prove that the fundamental tensor g_v of L has index $n - 1$ for all $v \in \bar{A}$ and claim Prop. 3.8 with $A^* = TM$ (this implies that L is Lorentz-Finsler and the remainder is straightforward). Observe that

$$g_v(u, w) = \omega(u)\omega(w) - \hat{g}_v(u, w)$$

where $v \in TM \setminus \mathbf{0}$, $u, w \in TM$ and \hat{g}_v is the fundamental tensor of \hat{F} . Trivially, g_v is negative definite in the hyperplane $\ker(\omega)$. As $L(v) = g_v(v, v)$ by positive homogeneity, if $v \in A$ then $g_v(v, v) = \omega(v)^2 - \hat{F}(v)^2 > 0$, and the required index is obtained. So, assume otherwise that $v \in \bar{A}$ and $L(v) = g_v(v, v) = 0$. As $\hat{\Sigma}$ and $\omega^{-1}(1)$ are transversal, we can choose a vector $w \in \ker(\omega)$ not tangent to $\hat{\Sigma}$. Necessarily, $\hat{g}_v(v, w) \neq 0$, and then

$$w(L) = g_v(v, w) = -\hat{g}_v(v, w) \neq 0$$

So, g_v restricted to $\text{span}\{v, w\}$ has Lorentzian signature, there exists $u \in \text{span}\{v, w\}$ with $g_v(u, u) > 0$, and the index of g_v becomes again $n - 1$. \square

Up to a re-scaling, the previous result can be applied to any F and ω .

Corollary 4.2. *If (M, \hat{F}) is a Finsler manifold and ω a non-vanishing one-form on M , there exists a positive function $\mu : M \rightarrow \mathbb{R}$ such that*

$$L(v_p) := (\mu(p)\omega(v_p))^2 - \hat{F}(v_p)^2$$

for every $v_p \in \bar{A} := \{w_p \in TM \setminus \mathbf{0} : \mu(p)\omega(w_p) \geq \hat{F}(w_p)\}$ is a Lorentz-Finsler metric.

Proof. In some neighborhood U_p around each $p \in M$, one can take $\mu > 0$ big enough so that all the intersections $\hat{\Sigma}_q \cap (\mu\omega_q)^{-1}(1)$, $q \in U_p$, are transverse. By means of a partition of unity, μ can be chosen globally and Th. 4.1 applies. \square

It is worth pointing out that the previous procedure may yield Lorentz-Finsler metrics even in the case that they are not naturally extendible to all the tangent bundle, that is, when $\hat{F} : A^* \rightarrow \mathbb{R}$ is just a conic Finsler metric according to Def. 2.14. The only caution now is that, in order to apply Prop. 3.8 we have to ensure that $\hat{\Sigma}_p \cap \omega_p^{-1}(1)$ is not only transverse but also compact (so that $\bar{A} \subset A^*$), that is:

Corollary 4.3. *Let $\hat{F} : A^* \rightarrow \mathbb{R}$ be a conic Finsler metric and ω a one-form such that each $\hat{\Sigma}_p \cap \omega_p^{-1}(1)$ is non-empty, transverse and compact. Then $L : \bar{A} \rightarrow \mathbb{R}$ defined as in (7) is a Lorentz-Finsler metric.*

A particularly interesting example of conic Finsler metrics are Finsler-Kropina ones, defined as a quotient,

$$F_0^2/\beta : A^* \rightarrow \mathbb{R}, \quad v \mapsto F_0(v)^2/\beta(v), \quad \forall v \in A^* := \{w_p \in TM : \beta(w_p) > 0\},$$

where F_0 is a Finsler metric and β a non-vanishing one-form on M (see [28, Cor. 4.2]). This is a classical Kropina metric when F_0 comes from a Riemannian metric. An extension of Cor. 4.2 is then:

Corollary 4.4. *Let $F_0^2/\beta : A^* \rightarrow \mathbb{R}^+$ be a Finsler-Kropina metric and ω a one-form on M such that at no point $p \in M$ the equality $\omega_p = \lambda\beta_p$ holds for $\lambda \leq 0$. Then there exists a positive function $\mu : M \rightarrow \mathbb{R}^+$ such that*

$$L(v_p) = (\mu(p)\omega(v_p))^2 - (F_0(v_p)^2/\beta(v_p))^2$$

for all $v_p \in \bar{A} := \{w_p \in A^* : \mu(p)\beta(w_p)\omega(w_p) \geq F_0^2(w_p)\}$ defines a Lorentz-Finsler metric.

Proof. The indicatrix $\hat{\Sigma}_p$ of F_0^2/β at each p is a strongly convex hypersurface and, moreover, $\{0_p\} \cup \hat{\Sigma}_p$ is a compact hypersurface which lies on one side of $\ker \beta$. Thus, if ω_p is not proportional to β_p , its kernel $\omega_p^{-1}(0)$ intersects Σ_p transversely and, for big $\mu > 0$, the intersection $\hat{\Sigma}_p \cap (\mu\omega_p)^{-1}(1)$ is both, transversal and compact; clearly, this also holds when $\omega_p = \lambda\beta_p$ for $\lambda > 0$. So, the result follows as in Cor. 4.2. \square

Th. 4.1 can be used in several situations as the following.

Example 4.5 (Perturbations of classical Lorentz metrics). Let (M, g_L) be a time-orientable Lorentz manifold $(-, +, \dots, +)$ with associated Lorentz-Finsler metric $L(v) = -g_L(v, v)$ (recall that we assume L positive on the timelike directions). Choosing any timelike unit vector field T , one can define the Riemannian metric

$$g_R(v, w) := g_L(v, w) - 2g_L(v, T)g_L(w, T)/g_L(T, T).$$

In terms of the one-form $\omega(v) = \sqrt{2}g_L(v, T)/\sqrt{|g_L(T, T)|}$, one has:

$$g_L(v, w) = g_R(v, w) - \omega(v)\omega(w), \quad \text{i.e.} \quad L(v) = \omega(v)^2 - g_R(v, v).$$

This last expression can be seen as a particular case of Th. 4.1 taking g_R as F^2 . Small perturbations of g_R will transform it into a Finsler metric whose indicatrix retains the conditions of transversality and compactness in that theorem, yielding a Lorentz-Finsler metric not associated with a classical Lorentz metric (compare with [24, §5.A]).

Such perturbations can be obtained in several ways. For example, a Randers perturbation can be obtained by adding a one-form $\mu\tilde{\omega}$ (i.e., $L(v) = \omega(v)^2 - (\sqrt{g_R(v, v)} + \mu\tilde{\omega}(v))^2$) where, once the one-form $\tilde{\omega}$ is prescribed, the function $\mu > 0$ is chosen small enough to make Th. 4.1 applicable. More generally, for any Finsler metric \hat{F} and small $\mu > 0$ we can add $\mu\hat{F}$ (even relaxing the positive definiteness of its fundamental tensor into positive semi-definiteness, recall [28, Th. 4.1]), that is,

$$L(v) = \omega(v)^2 - (\sqrt{g_R(v, v)} + \mu\hat{F}(v))^2.$$

Such an \hat{F} is arbitrary and can be generated, for example with norms of the type $\hat{F}(x_1, x_2, \dots, x_n) = \sqrt{r x_1^r + \dots + x_n^r}$, for even r (it is not difficult to check that its fundamental tensor is positive semi-definite).

4.2. Stationary and static Finsler spacetimes. A Finsler spacetime is *stationary* when it admits a timelike Killing vector field K (also called *stationary*), where *Killing* means that its (local) flow preserves¹⁴ L . A stationary Finsler spacetime is *static with respect to the timelike Killing field* K (which is then also called the *static vector field*) if its orthogonal distribution K^\perp is integrable, where

$$K^\perp = \{v \in TM : g_K(K, v) = 0\}, \quad (8)$$

¹⁴ Given two Finsler spacetimes $(M, L), (M', L')$ a isometry $\phi : M \rightarrow M'$ is a diffeomorphism which preserves the metrics ($\phi^*L' = L$) and, then, the corresponding cones ($\phi_*\mathcal{C} = \mathcal{C}'$). In particular, the flow of a Killing vector field preserves the cone structure and, so, it is also an *anisotropically conformal* vector field in a natural sense (recall Th. 3.11). See [26, §2.9] for further descriptions in terms of a Lie derivative.

being g the fundamental tensor of L . Clearly, if L is static with respect to K , then it is also static with respect to λK , whenever λ is a positive constant.

In the case that L is stationary and it comes from a classical Lorentz metric g , this can be written locally as a *standard stationary spacetime*,

$$g_{(t,x)} = -\Lambda(x)dt^2 + 2\alpha_x dt + (g_0)_x \quad (t, x) \in (a, b) \times S \quad (9)$$

where, with natural identifications, $\Lambda > 0, \alpha$ and g_0 are, resp. a function, a one-form and a Riemannian metric on the factor S of the local product $M \equiv (a, b) \times S$ and $K \equiv \partial_t$; moreover, if g is static with respect to $K = \partial_t$, then it can be written as a *standard static spacetime* i.e., as above with $\omega \equiv 0$ (see for example [47, Chapter 12] or [52, §7.2]). Such a standard expression has been used sometimes to generalize classical spacetimes into Lorentz-Finsler ones just replacing the metric g_0 in (9) with a Finsler one F_0 (see [15]). However, such Lorentz-Finsler metrics share the lack of smoothability of product Finsler manifolds (in our case, they are not smooth on the section $\mathbb{R} \times \mathbf{0}$ of the tangent bundle, see Prop. 5.1); this fact motivates the following subsection.

4.2.1. *A simple construction of (smooth) stationary Finsler spacetimes.* Th. 4.1 allows us to construct easily smooth Lorentz-Finsler metrics which are stationary or static, according to our (natural) definition. Namely, consider the product manifold $M = \mathbb{R} \times S$, the fiber bundle $\pi_M^*(S)$ over S obtained as the pull-back of $\pi : TM \rightarrow M$ through the inclusion $i : S \rightarrow M, x \mapsto (0, x)$:

$$\begin{array}{ccc} \pi_M^*(S) & & TM \\ \pi_M^* \downarrow & & \downarrow \pi \\ S & \xrightarrow{i} & M \end{array} \quad (10)$$

and take any classical Finslerian metric \hat{F} and one-form ω in the bundle $\pi_M^*(S)$ such that $\omega(\partial_t) > \hat{F}(\partial_t)$ (this condition can be ensured just by starting with any $\tilde{\omega}$ which does not vanish on ∂_t and re-scaling to $\omega := \mu\tilde{\omega}$, where $\mu = 2\hat{F}(\partial_t)/\tilde{\omega}(\partial_t)$). Now define, on $\bar{A} \subset TM$:

$$L_{(t,x)}(v) = \omega_x(v_0)^2 - \hat{F}_x(v_0)^2, \quad \forall v \in \bar{A} := \{w \in TM \setminus \mathbf{0} : \omega(w_0)^2 - \hat{F}(w_0)^2 \geq 0\},$$

where, for any $v \in T_{(t,x)}M$, v_0 denotes the tangent vector at $(0, x)$ (and thus, in the pulled-back bundle) obtained by moving v with the flow of ∂_t . As the conditions in Th. 4.1 are fulfilled, L becomes a Lorentz-Finsler metric, which is stationary by construction. Easily, L is also static if TS is both, the Kernel of ω and the g_{∂_t} -orthogonal of ∂_t . Notice that the property “the g_K -orthogonal space to K must be the tangent space to S ” can be interpreted geometrically as “the tangent space to the indicatrix of \hat{F} at K is parallel to the tangent space to S ”.

4.2.2. *General local characterization and constructions.* Next, the local characterization (9) of any classical stationary spacetime, will be properly generalized to the stationary Lorentz-Finsler case. Given the Killing vector field K and $p_0 \in M$, choose any hypersurface S with compact closure embedded in M , transverse to K which contains p_0 , and use the flow of K to smoothly split M as $(-\epsilon, \epsilon) \times S$ around p_0 for some $\epsilon > 0$. Then, one can define the

Lorentz-Finsler metric on the fiber bundle $\pi_M^* : \pi_M^*(S) \rightarrow S$ introduced in (10) as $L_{(t,x)}(v) = L_x^S(v_0)$, where now L^S is the pullback metric from L on $\pi_M^*(S)$. Choosing S not only transversal to K but also to its cone \mathcal{C} (i.e. $TS \cap \bar{A} = \emptyset$), the cone \mathcal{C}^S of L^S can be described with a triple (Ω, T, F) where $T = K$ and $\Omega = dt$ (being $t : (-\epsilon, \epsilon) \times S \rightarrow (-\epsilon, \epsilon)$ the natural projection). In the static case, S can also be chosen as an integral manifold of K^\perp .

It is worth pointing out that a similar construction allows one to construct locally any stationary or static Finsler spacetime on M , in an explicit way. Namely, as in the last paragraph, consider a (precompact) hypersurface S and a transverse vector field K in such a way that M splits as $(-\epsilon, \epsilon) \times S$ in a smooth way. Then any Lorentz-Finsler metric L^S on the fiber bundle¹⁵ $\pi_M^* : \pi_M^*(S) \rightarrow S$ with $K|_S$ in its domain A and such that $TS \cap \bar{A} = \emptyset$, can be extended to a Lorentz-Finsler metric on $(-\epsilon, \epsilon) \times S$ using the flow of $K = \partial_t$, namely, $L_{(t,x)} = L_x^S$. Moreover, in order to construct a static Lorentz-Finsler metric on $(-\epsilon, \epsilon) \times S$, we can proceed as follows. For each $x \in S$, the strong convexity of the indicatrix Σ_x^S of L^S implies that there is a unique point $u_x \in \Sigma_x^S$ such that the hyperplane $T_{u_x}\Sigma_x^S$ (tangent to the indicatrix at u_x) is parallel to T_xS . Then, K will be static if (and only if) each K_x is in the half-line spanned by u_x for every $x \in S$. In particular, given any Lorentz-Finsler metric L^S on the fiber bundle with $TS \cap \bar{A} = \emptyset$, one can choose $K_x = u_x$ for all $x \in S$ and, then, K will be unit and static.

4.2.3. Standard stationary and static Finsler spacetimes. The previous constructions on $(-\epsilon, \epsilon) \times S$ can be extended trivially to $\mathbb{R} \times S$ by using the flow of $K = \partial_t$. This justifies the following generalization of the notion of *standard* stationary or static for classical spacetimes, avoiding problems of smoothability in the formal extension of the expression (9).

Definition 4.6. A *standard stationary* Finsler spacetime is a product manifold $M = \mathbb{R} \times S$ endowed with a Lorentz-Finsler metric L such that the natural vector field ∂_t ($\equiv (1, 0)$) is stationary and \bar{A} (determined by its cone structure \mathcal{C}) does not intersect the distribution induced by TS on M .

Moreover, when this distribution is equal to the orthogonal one ∂_t^\perp (computed as in (8)), the Finsler spacetime is also called *standard static*.

Notice that the construction in the second part of Subsection 4.2.2 provides a way to generate (all) standard stationary and static spacetimes. Moreover, the characterization of stationarity provided in the first part of that subsection can be summarized as follows:

Proposition 4.7. *Every stationary (resp. static) Finsler spacetime is locally isometric to a standard stationary (resp. standard static) one.*

Finally, recall that the preservation of the cone \mathcal{C} occurs naturally for conformal fields (see [57] for a recent study). This leads naturally to the notion of conformastationary Finsler spacetime (extending the classical metric case), where the ideas introduced above can also be applied.

¹⁵Observe that L^S can be constructed by any of the procedures described along the present paper for the construction of L on the whole M , including the general procedure for L in Th. 4.13 below, as emphasized in Rem. 4.14.

4.3. New examples from anisotropically conformal ones. Trivially, new examples of Lorentz-Finsler metrics can be obtained from one, L , by means of an anisotropically conformal change, i.e., multiplying L by a suitable positive smooth 0-homogeneous function $\mu : \bar{A} \rightarrow \mathbb{R}$. In order to ensure that μ is suitable as an isotropic factor, μ can be chosen, for example, as a function which is C^2 -close enough to a constant function $c > 0$. Next, we will see that further new examples can be obtained by combining different Lorentz-Finsler metrics with the same cones and using pseudo-Finsler metrics and one-forms. We will do this by extending to pseudo-Finsler metrics a general result in [28, Th. 4.1] for Finsler metrics and by using their angular metrics.

In the following, A^* will be a conic domain and $\tilde{F}_k : A \rightarrow (0, \infty)$, $k = 1, \dots, n_0$, smooth *positive* one-homogeneous functions. Even though we will apply our results to the case when all \tilde{F}_k are Lorentz-Finsler, this condition will not be imposed a priori. So, we will say that such an \tilde{F}_k is *pseudo-Finsler*, emphasizing that the corresponding fundamental tensor g^k defined in (1) might become degenerate (that is, so may be the fundamental tensor g_v^k of \tilde{F}_k at the tangent vector $v \in A^*$). This generality allows a better comparison with results in the Finslerian case. The so-called *angular metrics* (see [5, Eq. 3.10.1]) are determined by

$$h_v^k(w, w) = g_v^k(w, w) - \frac{1}{\tilde{F}_k^2(v)} g_v^k(v, w)^2, \quad \forall v \in A^*, w \in T_{\pi(v)}M. \quad (11)$$

Let $\beta_{n_0+1}, \beta_{n_0+2}, \dots, \beta_{n_0+n_1}$ denote n_1 one-forms on M . The indexes k, l will run from 1 to n_0 while the indexes μ, ν will label the one-forms and run from $n_0 + 1$ to $n_0 + n_1$; the indexes r, s will run from 1 to $n_0 + n_1$. Let B be a conic open subset of $\mathbb{R}^{n_0+n_1}$ and consider a continuous function $\varphi : B \times M \rightarrow \mathbb{R}$, which satisfies:

- (a) φ is smooth and positive away from 0, i.e., on $(B \times M) \setminus (\{0\} \times M)$.
- (b) φ is B -positively homogeneous of degree 2, i.e., $\varphi(\lambda x, p) = \lambda^2 \varphi(x, p)$ for all $\lambda > 0$ and all $(x, p) \in B \times M$.

The comma will denote derivative with respect to the corresponding coordinates of $\mathbb{R}^{n_0+n_1}$, namely, we will denote by $\varphi_{,rs}$ the second partial derivative of φ with respect to the r -th and s -th variables. Finally, consider the function $L : A^* \subseteq TM \rightarrow \mathbb{R}$ defined as:

$$L(v) = \varphi(\tilde{F}_1(v), \dots, \tilde{F}_{n_0}(v), \beta_{n_0+1}(v), \dots, \beta_{n_0+n_1}(v), \pi(v)). \quad (12)$$

Proposition 4.8. *For any φ satisfying (a) and (b) as above, and L as in (12), the function L is a pseudo-Finsler metric with domain A^* and fundamental tensor:*

$$\begin{aligned} 2g_v(w, w) = & \sum_k \frac{\varphi_{,k}}{\tilde{F}_k(v)} h_v^k(w, w) + \sum_{k,l} \frac{\varphi_{,kl}}{\tilde{F}_k(v)\tilde{F}_l(v)} g_v^k(v, w) g_v^l(v, w) \\ & + 2 \sum_{k,\mu} \frac{\varphi_{,k\mu}}{\tilde{F}_k(v)} g_v^k(v, w) \beta_\mu(w) + \sum_{\mu,\nu} \varphi_{,\mu\nu} \beta_\mu(w) \beta_\nu(w). \end{aligned} \quad (13)$$

Proof. It is obtained in an analogous way to formula (4.7) in [28]. \square

Now, let us focus in the Lorentz-Finsler case. Recall that, in this case, $L = \tilde{F}^2$ can be extended to \bar{A}^* but the angular metric cannot. So, as a previous algebraic question:

Lemma 4.9. *Let g be a symmetric bilinear form on V admitting a hyper-plane W such that $g|_{W \times W}$ is negative semi-definite with radical of dimension at most 1. If there exists $w \in V \setminus W$ such that $g(w, w) > 0$ and $g(w, v) \neq 0$ for all $v \neq 0$ in the radical of $g|_{W \times W}$, then g is non-degenerate with index $n - 1$.*

Proof. Assume that the radical of $g|_{W \times W}$ is spanned by some $v \neq 0$ (otherwise, the result is trivial). Thus, $W = v^\perp$ (the orthogonal of v in V) and, by the assumptions on w , the plane $P := \text{span}\{w, v\}$ has Lorentzian signature. Clearly, $P^\perp \subset W \setminus \{v\}$ (so, $\dim(P^\perp) = n - 2$ and P^\perp is non-degenerate) and $P \cap P^\perp = \{0\}$. Then, $V = P \oplus P^\perp$ and the result follows. \square

Proposition 4.10. *If $L : A \rightarrow \mathbb{R}^+$ is a Lorentz-Finsler metric, with cone \mathcal{C} , then*

(i) *for each $v \in A$, the angular metric*

$$h_v(u, w) = g_v(u, w) - \frac{1}{L(v)} g_v(v, u) g_v(v, w) \quad \forall u, w \in T_{\pi(v)}M (\equiv T_v(T_{\pi(v)}M))$$

is negative semi-definite with radical spanned by v , and

(ii) *for each $v \in \mathcal{C}$, the one-form $\omega_v = g_v(v, \cdot)$ on $T_{\pi(v)}M$ is non-trivial and the restriction of g_v to $\ker \omega_v$ is negative semi-definite with radical spanned by v .*

In this case, $\omega_v(w) > 0$ for all \mathcal{C} -causal vector w independent of v .

Conversely, let A be a connected open conic subset and $L : A \rightarrow \mathbb{R}^+$ a (positive 2-homogeneous) pseudo-Finsler metric smoothly extendible as zero to the boundary \mathcal{C} of A in $TM \setminus \mathbf{0}$. If the pseudo-Finsler metric L satisfies (i) and (ii) and there exists a non-vanishing vector field X contained in A , then L is a Lorentz-Finsler metric.

Proof. To check (i), clearly, v belongs to the radical and h_v is negative definite on the g_v -orthogonal space to v , as the index of g_v is $n - 1$ and $h_v = g_v$ there. For (ii), apply part (iii) of Prop. 3.4 and observe that the causal vector $w \in T_{\pi(v)}M$ points to the interior of the cone structure, thus $g_v(v, w) = dL_v(w) = w(L) > 0$. For the converse, notice that A cannot intersect the zero section, as L is positive and 2-homogeneous. Let us see that g_v has index $n - 1$. When $L(v) > 0$, $h_v = g_v$ in the g_v -orthogonal space to v , and then g_v is negative definite there; as $g_v(v, v) = L(v) > 0$, necessarily, g_v has index $n - 1$. When $L(v) = 0$, Lemma 4.9 yields the nondegeneracy of g at \mathcal{C} and Prop. 3.8 concludes. \square

The last part of this proposition can be applied to pseudo-Finsler metrics, as in the following consequence (such a result is less trivial than expected even in the classical Finsler case, compare with [28, Cor. 4.3]). First we will need a technical result.

Lemma 4.11. *Let $L_1, \dots, L_{n_0} : A \rightarrow \mathbb{R}$ be pseudo-Finsler metrics on M with fundamental tensor possibly degenerate. Then if $L = (\varepsilon_1 \sqrt{L_1} + \dots +$*

$\varepsilon_{n_0} \sqrt{L_{n_0}}^2$, where $\varepsilon_i^2 = 1$ for $i = 1, \dots, n_0$, its fundamental tensor is given by

$$g_v(u, w) = \sum_k \varepsilon_k \frac{\sqrt{L(v)}}{\sqrt{L_k(v)}} h_v^k(u, w) + \sum_{k,l} \frac{\varepsilon_k \varepsilon_l}{\sqrt{L_k(v)} \sqrt{L_l(v)}} g_v^k(v, u) g_v^l(v, w),$$

where g^k and h^k are, respectively, the fundamental tensor and the angular metric of L_k , and the angular metric of L is given by

$$h_v(u, w) = \sum_{k=1}^{n_0} \varepsilon_k \frac{\sqrt{L(v)}}{\sqrt{L_k(v)}} h_v^k(u, w), \quad (14)$$

for $v \in A$ and $u, w \in T_{\pi(v)}M$.

Proof. Write $L(v) = (\varepsilon_1 \tilde{F}_1(v) + \dots + \varepsilon_{n_0} \tilde{F}_{n_0}(v))^2$, where $\tilde{F}_k(v) = \sqrt{L_k(v)}$ and apply Prop. 4.8 with $\varphi(x_1, \dots, x_{n_0}) = (\varepsilon_1 x_1 + \dots + \varepsilon_{n_0} x_{n_0})^2$. Then, clearly, $\varphi_{,k} = 2\varepsilon_k \sqrt{\varphi}$, $\varphi_{,kl} = 2\varepsilon_k \varepsilon_l$ for $k, l = 1, \dots, n_0$, and

$$2g_v(u, w) = \sum_k \frac{2\varepsilon_k \sqrt{L(v)}}{\tilde{F}_k(v)} h_v^k(u, w) + \sum_{k,l} \frac{2\varepsilon_k \varepsilon_l}{\tilde{F}_k(v) \tilde{F}_l(v)} g_v^k(v, u) g_v^l(v, w),$$

for $v \in A$, as required. In particular, as $g_v^k(v, v) = \tilde{F}_k(v)^2$,

$$\begin{aligned} g_v(v, w) &= \sum_{k,l} \varepsilon_k \varepsilon_l \frac{g_v^k(v, v) g_v^l(v, w)}{\tilde{F}_k(v) \tilde{F}_l(v)} = \sum_{k,l} \varepsilon_l \frac{\varepsilon_k \tilde{F}_k(v)}{\tilde{F}_l(v)} g_v^l(v, w) \\ &= \sum_l \varepsilon_l \frac{\sqrt{L(v)}}{\tilde{F}_l(v)} g_v^l(v, w). \end{aligned}$$

The angular metric of L is then

$$\begin{aligned} h_v(w, w) &= g_v(w, w) - \frac{1}{L(v)} g_v(v, w)^2 \\ &= \sum_k \varepsilon_k \frac{\sqrt{L(v)}}{\tilde{F}_k(v)} h_v^k(w, w) + \sum_{k,l} \varepsilon_k \varepsilon_l \frac{g_v^k(v, w) g_v^l(v, w)}{\tilde{F}_k(v) \tilde{F}_l(v)} - \left(\sum_l \varepsilon_l \frac{g_v^l(v, w)}{\tilde{F}_l(v)} \right)^2. \end{aligned}$$

As the sum of the last two terms vanishes, we get (14). \square

Proposition 4.12. *Let $L_1, \dots, L_{n_0} : \bar{A} \rightarrow [0, +\infty)$ be Lorentz-Finsler metrics on M . Then $L = (\sqrt{L_1} + \dots + \sqrt{L_{n_0}})^2$ is also a Lorentz-Finsler metric.*

Proof. To prove the smoothness of L , just notice that 0 is always a regular value of a Lorentz-Finsler metric (Lemma 3.3) and, then, the products $\sqrt{L_i L_j}$ are smooth by applying Lemma 3.10 to $M' = TM \setminus \{\mathbf{0}\}$.

The result is a direct consequence of Prop. 4.10 (with $A^* = A$) if its hypotheses (i) and (ii) hold. Clearly, the first one follows from the expression of h_v in (14) (h_v is negative semi-definite and v spans its radical, as these properties hold for all h_v^k). For (ii), let $v \in \mathcal{C}$. For any w causal, $w(L) = (w(L_1) \sqrt{L} / \tilde{F}_1 + \dots + w(L_{n_0}) \sqrt{L} / \tilde{F}_{n_0}) > 0$, since each $w(L_i) > 0$ (recall Prop. 4.10) and $\tilde{F}_i / \tilde{F}_j > 0$ (by Lemma 3.10). Moreover, observing that the cone \mathcal{C} of L coincides with the cone for any \tilde{F}_k , then part (iv) of Prop. 3.4 is applicable to \mathcal{C} . Therefore, σ^w is negative semi-definite in $\ker(\omega_v)$ with

radical spanned by v and, by formula (5) (with $\xi = w$), analogous properties hold for g_v . \square

4.4. General construction of Finsler spacetimes. In §4.1, a simple new class of Finsler spacetimes was introduced by using a Finsler metric and a one-form. Next, a more general procedure will allow us to construct every Lorentz-Finsler spacetime using Riemannian and Finsler metrics.

Theorem 4.13. *Let \mathcal{C} be a cone structure in a manifold M and A its cone domain with \bar{A} its closure in $TM \setminus \mathbf{0}$. A smooth two-homogeneous function $L : \bar{A} \rightarrow \mathbb{R}$, $L \geq 0$, satisfying $L^{-1}(0) = \mathcal{C}$ is a Lorentz-Finsler metric if and only if there exists a Riemannian metric g_R on M and a conic Finsler metric $\hat{F} : A^* \rightarrow \mathbb{R}$ with $\bar{A} \subset A^*$ such that*

$$L(v) = g_R(v, v) - \hat{F}(v)^2, \quad \forall v \in \bar{A}, \quad (15)$$

and the following properties hold for any $v \in \bar{A}$:

(i) whenever $v \in A$ (i.e., $L(v) > 0$),

$$g_R(w, w) - \hat{g}_v(w, w) - \frac{1}{L(v)} \hat{g}_v(v, w)^2 < 0, \quad \forall w \in \langle v \rangle^{\perp g_R} \setminus \{0\}, \quad (16)$$

where, as natural $\langle v \rangle^{\perp g_R} := \{w \in TM : g_R(w, v) = 0\}$,

(ii) whenever $v \in \mathcal{C}$ (i.e., $L(v) = 0$),

$$g_R(w, w) - \hat{g}_v(w, w) < 0, \quad \forall w \in \langle v \rangle^{\perp g_R} \cap \langle v \rangle^{\perp \hat{g}_v} \setminus \{0\} \quad (17)$$

and the indicatrices of g_R and \hat{F} intersect transversely at v , namely, $\langle v \rangle^{\perp g_R} \neq \langle v \rangle^{\perp \hat{g}_v}$.

Proof. Assume first that L is a Lorentz-Finsler metric. Then if g_R is a Riemannian metric, we can define an auxiliary pseudo-Finsler metric \hat{L} given by $\hat{L}(v) = g_R(v, v) - L(v)$ whose fundamental tensor satisfies

$$\hat{g}_v(u, w) = g_R(u, w) - g_v(u, w),$$

being g the fundamental tensor of L . At each $p \in M$, the set of directions in $\bar{A} \cap T_p M$ is compact; so, up to a conformal re-scaling in the choice of g_R , we can assume that \hat{g}_v is positive definite at all $v \in \bar{A}$, obtaining a conic Finsler metric $\hat{F} = \sqrt{\hat{L}}$ defined in some $A^* \supset \bar{A}$ (where L is also extendible).

So, it is enough to check that a pseudo-Finsler metric as in (15) is non-degenerate with index $n - 1$ (that is, the conditions (i) and (ii) in Prop. 4.10 hold) if and only if the conditions (i) and (ii) above hold. Recall that the angular metric h of L on A is determined by

$$h_v(w, w) = g_R(w, w) - \hat{g}_v(w, w) - \frac{1}{L(v)} (g_R(v, w) - \hat{g}_v(v, w))^2.$$

Now, h_v is negative semi-definite with radical spanned by v (i.e. (i) in Prop. 4.10 holds) if and only if it is negative in a transverse hyperplane to v . Choosing such a hyperplane as $\langle v \rangle^{\perp g_R}$, this is equivalent to (16). About (ii), the transversality of the indicatrices at v is equivalent to saying that $\omega_v := g_v(v, \cdot) = g_R(v, \cdot) - \hat{g}_v(v, \cdot) \not\equiv 0$ (indeed, $\omega_v(v) = 0$; so, $\omega_v \equiv 0$ is equivalent to $\langle v \rangle^{\perp g_R} = \langle v \rangle^{\perp \hat{g}_v}$). In this case, $\langle v \rangle^{\perp g_R} \cap \langle v \rangle^{\perp \hat{g}_v}$ has dimension $n - 2$ and it is contained in $\langle v \rangle^{\perp g_v} = \ker \omega_v$. Therefore, (ii) above becomes equivalent to (ii) in Prop. 4.10. \square

Remark 4.14. This theorem can be used to characterize not only the Lorentz-Finsler metrics on a manifold M but also on a vector bundle. In particular, consider the bundle $\pi_M^*(S) \rightarrow S$ used for the characterization of stationary spacetimes in Section 4.2. Any Lorentz-Finsler metric L^S in this bundle can also be written as a difference type $g_R - \hat{F}^2$. However, in the stationary setting, we were interested in the case that the Killing vector field K (which could be naturally identified with ∂_t on $\mathbb{R} \times S$) was timelike, that is, $L^S(K) > 0$. This condition can be ensured just by imposing that the direction of K lies in the cone domain A determined by the intersection of the indicatrices of g_R and \hat{F} .

In spite of the generality of Th. 4.13, its application to construct Lorentz-Finsler metrics is not so straightforward as in Th. 4.1. Indeed, one has to check not only the conditions (i) and (ii) but also that the ‘‘appropriate’’ cone domain A has been chosen, as the following example shows.

Example 4.15. Choose $g_R = 2dx^2 + 2dy^2 + dz^2$ and $\hat{F} = \sqrt{dx^2 + dy^2 + 2dz^2}$ in \mathbb{R}^3 . Then $g_R - \hat{F}^2 = dx^2 + dy^2 - dz^2$ does not satisfy (16) and (17) in any point of the region $A = \{v \in \mathbb{R}^3 : g_R(v, v) - \hat{F}(v)^2 > 0\}$.

5. LORENTZ-FINSLER METRICS ASSOCIATED WITH A CONE STRUCTURE

Next our aim is to prove a general smoothing procedure for Lorentz-Finsler metrics which, in particular, will show that any cone structure \mathcal{C} can be regarded as the cone structure associated with a (smooth) Lorentz-Finsler metric.

5.1. Non-smooth Lorentz-Finsler L associated with a cone triple. Recall that any cone structure \mathcal{C} was determined by some cone triple (Ω, T, F) (Th. 2.17), which also yielded the decomposition (2) of TM . A first result of compatibility with Lorentz-Finsler metrics is the following.

Proposition 5.1. *For any cone triple (Ω, T, F) of a cone structure \mathcal{C} , the continuous two-homogeneous function $G : TM \rightarrow \mathbb{R}$,*

$$G(tT_p + w_p) = t^2 - F(w_p)^2, \quad \forall t \in \mathbb{R}, \forall w_p \in \ker(\Omega_p), \forall p \in M, \quad (18)$$

is smooth everywhere but on¹⁶ $\text{span}(T)$. Moreover, whenever it is smooth, its fundamental tensor g (computed as in (1)) is non-degenerate with index $n - 1$. Such a G will be called the continuous Lorentz-Finsler metric associated with (Ω, T, F) .

Proof. The smoothness of G follows directly by taking local fibered coordinates on TM using a reference frame $(T = X_1, X_2, \dots, X_n)$ where $\ker(\Omega) = \text{span}\{X_2, \dots, X_n\}$ (to construct this, choose a coordinate frame $(T = \partial_1, \partial_2, \dots, \partial_n)$ and project on $\ker(\Omega)$ in the direction of T). Then, using (1) the fundamental tensor g of G and \hat{g} of F are related by

$$g = \Omega^2 - \pi^* \hat{g},$$

where π is the projection onto $\ker(\Omega)$ (as in (2)). From the last identity, it follows straightforwardly that the index of g is $n - 1$ as required. \square

¹⁶ From the proof and [58, Th. 4.1], it follows that G will be smooth on $\text{span}(T)$ if and only if F comes from a Riemannian metric.

Remark 5.2. The indicatrix associated with the triple (Ω, T, F) is then:

$$\Sigma := G^{-1}(1) \cap \Omega^{-1}((0, \infty)). \quad (19)$$

Clearly, $\Sigma_p := \Sigma \cap T_p M$ will be a convex hypersurface and it is smooth and strongly convex with respect to the position vector everywhere except in T_p . However, G provides a second cone (and, thus, another Lorentz-Finsler metric). Indeed, $G(tT_p + w_p) = G((-t)T_p + w_p)$, so, we will have a “reflected” cone structure with indicatrix

$$\Sigma^- = \{-tT_p + w_p : tT_p + w_p \in \Sigma\}.$$

Next, our aim is to smooth G around T . With this purpose, Σ will be smoothed by constructing a new hypersurface $\tilde{\Sigma}$ s.t.:

- (i) it is strongly convex,
- (ii) pointwise $\tilde{\Sigma}_p = \Sigma_p$ outside a relatively compact neighborhood of T_p .

Once constructed $\tilde{\Sigma}$ and the reflected one $\tilde{\Sigma}^-$, the required smooth Lorentz-Finsler \tilde{G} will be determined by imposing:

- (a) pointwise $\tilde{G} = G$ outside the radial directions perturbed of Σ , and
- (b) $\tilde{\Sigma}$ and $\tilde{\Sigma}^-$ are, resp., the future and past indicatrices of \tilde{G} .

Remark 5.3. (1) We will focus in this concrete problem of smoothness, especially adapted to cone structures. However, the smoothing procedure is very general and could be applied to any other continuous Lorentz-Finsler metric whose indicatrix is convex but non-smooth in a (pointwise) compact subset. In fact, it can be applied to any Finsler spacetime as defined in [1].

(2) A different problem would happen for non-smooth cone structures. However, its description by means of a triple (Ω, T, F) would reduce this question to smoothen (some of) these elements.

5.2. The smoothing procedure of indicatrices. A smooth function f defined on \mathbb{R}^m will be called strongly convex when its Hessian, $\text{Hess}(f)$, is definite positive; thus, its graph will be a strongly convex hypersurface. In the following, if D denotes a disk of radius r , we will denote by $D/2$ and $D/4$ the disks with the same center but radius $r/2$ and $r/4$, respectively.

Lemma 5.4. *(A strongly convex approximation for a convex function). Let $t_0 : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ be a continuous convex function which is smooth and strongly convex everywhere but in 0 and such that there exists a neighborhood of 0 where the $\text{Hess}(t_0)$ is lower bounded by a positive constant except in zero. Let D be a disk (a closed ball centred at the origin of radius $r > 0$). Then, for any $\epsilon > 0$ there exists a strongly convex function \tilde{t}_0 defined on all \mathbb{R}^{n-1} such that $t_0 = \tilde{t}_0$ away from $D/2$ and $|\tilde{t}_0 - t_0| < \epsilon$ everywhere.*

Proof. Let $\{\mu_0, \mu_1\}$ be a partition of unity subordinated to the covering $\{(D/2), \mathbb{R}^{n-1} \setminus (D/4)\}$. Let \hat{t}_0 be a strongly convex function such that $|\hat{t}_0 - t_0| < \epsilon$ on D and, even more,

$$|\hat{t}_0 - t_0|, |\text{grad}(\hat{t}_0 - t_0)|, |\text{Hess}(\hat{t}_0 - t_0)| < \hat{\epsilon}, \quad (20)$$

($|\cdot|$ denotes the usual norm of the corresponding element, regarding it as included in $\mathbb{R}, \mathbb{R}^{n-1}$ or $\mathbb{R}^{(n-1)^2}$, resp.) on the closure of $(D/2) \setminus (D/4)$ for

some $\hat{\varepsilon} > 0$ to be specified below (such bounds can be obtained for arbitrarily small $\hat{\varepsilon} > 0$ by the standard theory of convex functions)¹⁷. Let,

$$\tilde{t}_0 = t_0 + \mu_0(\hat{t}_0 - t_0),$$

that is clearly smooth and equal to t_0 away from $D/2$. This function will become strongly convex, as required, just by making $\hat{\varepsilon}$ smooth enough so that the Hessian of the last term is smaller on $D \setminus (D/4)$ than the Hess t_0 . Concretely,

$$\begin{aligned} \text{Hess } \tilde{t}_0 &= \text{Hess } t_0 + (\tilde{t}_0 - t_0)\text{Hess } \mu_0 + \text{grad}(\tilde{t}_0 - t_0)\text{grad} \mu_0 \\ &\quad + \text{grad} \mu_0 \text{grad}(\tilde{t}_0 - t_0) + \mu_0 \text{Hess}(\tilde{t}_0 - t_0). \end{aligned} \quad (21)$$

As there are $\nu, C > 0$ such that $\text{Hess } t_0 > \nu$ and $\mu_0, |\text{grad} \mu_0|, |\text{Hess } \mu_0| < C$ in the closure of $(D/2) \setminus (D/4)$, the choice $\hat{\varepsilon} < \nu/(4C)$ suffices. \square

Remark 5.5. The previous proof can be extended directly to other cases discussed in Appendix A. However, the next argument by D. Azagra provides a much more direct proof. Let D be the unit disk with no loss of generality and ξ be a subgradient of t_0 at 0. As $\text{Hess}(t_0)$ is bounded from below by a constant $\delta > 0$,

$$t_0(x) \geq t_0(0) + \langle \xi, x \rangle + \frac{\delta}{2} \|x\|^2 \quad \forall x \in \mathbb{R}^n.$$

So, outside $D/2$, where D can be regarded as unit disk with no loss of generality, we have $t_0(x) \geq t_0(0) + \langle \xi, x \rangle + \frac{\delta}{4} \|x\|^2 + \frac{\delta}{16}$, while in a sufficiently small neighborhood of 0, we have $t_0(0) + \langle \xi, x \rangle + \frac{\delta}{4} \|x\|^2 + \frac{\delta}{32} > t_0(x) + \frac{\delta}{64}$. Let us define

$$\tilde{\varepsilon} = \min \left\{ \frac{\varepsilon}{2}, \frac{\delta}{64} \right\}, \quad \tilde{t}_0(x) = M_{\tilde{\varepsilon}} \left(t_0(x), t_0(0) + \langle \xi, x \rangle + \frac{\delta}{4} \|x\|^2 + \frac{\delta}{32} \right),$$

where $M_{\tilde{\varepsilon}}$ is the *smooth maximum* of [4, Prop. 2]. Then we have $\tilde{t}_0 = t_0$ off of $D/2$, $|\tilde{t}_0 - t_0| \leq \tilde{\varepsilon} < \varepsilon$ everywhere, and \tilde{t}_0 is a strongly convex function (indeed, so it is at $x = 0$ because $M_{\tilde{\varepsilon}}$ is equal to the second function around 0, [4, part (3) of Prop. 2], and away from zero by [4, part (9) of Prop. 2]).

Next, this lemma will be applied pointwise to Σ in (19), regarding each Σ_p as the graph of a convex function on $\ker \Omega_p$.

Theorem 5.6. *Let (Ω, T, F) be a cone triple on M with cone \mathcal{C} and let G be its associated continuous Lorentz-Finsler metric (18) with indicatrix Σ .*

Let \mathcal{U} be any neighborhood of the section T regarded as a submanifold of TM , which will be assumed (without loss of generality) with the closure of $\mathcal{U} \cap T_p M$ compact and included in the cone domain A , for all $p \in M$.

Then, there exists a smooth hypersurface $\tilde{\Sigma} \subset TM$ satisfying:

(a) $\tilde{\Sigma} = \Sigma$ in TM away from \mathcal{U} .

¹⁷ On the one hand, the strong convexity of t_0 and the compactness of the boundary of $D/2$ allows one to find a function f as in [21, Th. 2.1], which is convex and $(\hat{\varepsilon}/2)$ -close to t_0 everywhere, agrees with t_0 outside $D/2$ and has first and second derivatives $(\hat{\varepsilon}/2)$ -close to t_0 on $(D/2) \setminus (D/4)$ (for the latter, recall [21, formula (2.3)]). On the other, the lower boundedness of $\text{Hess}(t_0)$ ensures that t_0 is strongly convex in the sense of [4, Def. 1] and allows one to find a strongly convex function g which is $(\hat{\varepsilon}/2)$ -close to t_0 [4, Cor. 1]. So, for small $\eta > 0$, the linear combination $\eta g + (1 - \eta)f$ makes the job.

(b) Each $\tilde{\Sigma}_p = \tilde{\Sigma} \cap T_p M$ is transverse to all the radial directions in A , and $\tilde{\Sigma}_p$ is strongly convex (with respect to the position vector) everywhere.

Proof. Consider the function $\tau : \ker(\Omega) \rightarrow \mathbb{R}$ determined univocally by

$$\tau(u_p)T_p + u_p \in \Sigma_p, \quad \forall u_p \in \ker(\Omega), \quad \forall p \in M.$$

For each p , let τ_p be its restriction to $\ker(\Omega_p)$, and introduce local fibered coordinates for $\ker(\Omega)$ by taking a small open coordinate chart (U, ϕ) centered at p and choosing a basis of $n - 1$ vector fields that expand $\ker(\Omega)$ on U . In such coordinates, each function τ_q , $q \in U$, is written as a function $t_x : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ labelled with $x = \phi(q)$; in particular, $\tau_p = t_0$. Varying $x \in \phi(U)$ we have then a function:

$$t : \phi(U) \times \mathbb{R}^{n-1} \rightarrow \mathbb{R}, \quad (x, y) \mapsto t_x(y),$$

which is smooth in (x, y) away from $y \equiv 0$ because of the properties of smoothness and continuity of Σ and the transversality of every Σ_p to each line $\{u_p + \lambda T_p : \lambda \in \mathbb{R}\}$, $u_p \in \ker(\Omega_p)$. Moreover, as $t_x(v) = \sqrt{1 + F(v)^2}$,

$$\text{Hess}_v(t_x)(u, w) = \frac{1}{\sqrt{1 + F(v)^2}} \left(g_v(u, w) - \frac{1}{1 + F(v)^2} g_v(v, u) g_v(v, w) \right),$$

which is lower bounded in any bounded neighborhood of zero (away from zero) because $\text{Hess}_{\lambda v}(t_x)(u, u) = \frac{1}{1 + \lambda^2 F(v)^2} g_v(u, u)$ if u is g_v -orthogonal to v and $\text{Hess}_{\lambda v}(t_x)(v, v) = \frac{F(v)^2}{1 + \lambda^2 F(v)^2}$.

Clearly, t_0 lies under the hypotheses of Lemma 5.4, and we can take \hat{t}_0 , μ_0 and μ_1 as in its proof for some small disk D such that $T_q + u_q \in \mathcal{U}$ for all $u_q \in \ker(\Omega)$ with coordinates in $\phi(U) \times (D/2)$. Now, regard \hat{t}_0 , μ_0 and μ_1 as functions on all $\phi(U) \times \mathbb{R}^{n-1}$ just making them independent of the variable $x \in \phi(U)$. Choosing a smaller U if necessary, the continuity of t and its derivatives ensure that the bounds (20) in the closure of $(D/2) \setminus (D/4)$ hold not only for $\hat{t}_0 - t_0$ but also for $\hat{t}_0 - t_x$ for all $x \in \phi(U)$ and some convenient $\hat{\epsilon}$. Concretely, $\hat{\epsilon}$ is chosen so that the bounds below (21) hold with $\text{Hess } t_x > \nu$ for all $x \in \phi(U)$. Therefore,

$$\tilde{t}(x, y) := t_x(y) + \mu_0(y)(\hat{t}_0(y) - t_x(y)) \quad \forall (x, y) \in \phi(U) \times \mathbb{R}^{n-1}$$

is smooth, strongly convex and it satisfies $\tilde{t} = t$ outside $\phi(U) \times (D/2)$.

Now, consider the function $\tilde{\tau} : \ker(\Omega) \cap TU \rightarrow \mathbb{R}$ whose expression in coordinates is \tilde{t} , and define its graph as follows:

$$\text{Graph}(\tilde{\tau}) = \{\tilde{\tau}(u_p)T_p + u_p : u_p \in \ker(\Omega) \cap TU, p \in U\}.$$

Clearly, $\text{Graph}(\tilde{\tau})$ is a hypersurface which fulfills all the required properties for $\tilde{\Sigma}$ except that it is defined only on TU . In order to obtain an appropriate function $\tilde{\tau}^*$ on all $\ker(\Omega)$ function, consider for each $p \in M$ the constructed function $\tilde{\tau} \equiv \tilde{\tau}^p$ and neighborhood $U \equiv U^p$, and take a subordinated partition of unity $\{\rho_i : \text{supp}(\rho_i) \subset U^{p_i}, i \in \mathbb{N}\}$. Then, the pointwise linear combination of strongly convex functions,

$$\tilde{\tau}^* : \ker \Omega \rightarrow \mathbb{R}, \quad \tilde{\tau}^* = \sum_{i \in \mathbb{N}} (\rho_i \circ \pi_M) \cdot \tilde{\tau}^{p_i}$$

(with $\pi_M : TM \rightarrow M$ the natural projection) yields the required $\tilde{\Sigma}$. \square

Remark 5.7. (1) A natural way to choose such a small neighborhood \mathcal{U} of the section T is as follows. Given any (continuous) function $\epsilon : M \rightarrow \mathbb{R}$ with $0 < \epsilon < 1$, one can take:

$$\mathcal{U} = \{\lambda(p)T_p + w_p : 1 - \epsilon(p) < \lambda(p) < 1 + \epsilon(p), F(w_p) < \epsilon(p), p \in M\}.$$

(2) In particular, choose $\epsilon \equiv 1/2$. Once $\tilde{\Sigma}$ has been obtained, a smooth function λ on M , $1/2 < \lambda < 3/2$, is obtained by imposing $\lambda(p)T_p \in \tilde{\Sigma}$ on all M . The triple $(\Omega/\lambda, \lambda T, F/\lambda)$ is also associated with the cone \mathcal{C} . However, the continuous Lorentz-Finsler metric associated with this triple is different to both, Σ and $\tilde{\Sigma}$, in general.

(3) Trivially, the smoothing procedure can be carried out in a way independent of the Killing vector K for a (continuous) standard stationary spacetime, obtaining so new examples of smooth stationary spacetimes starting at non-smooth ones (for example, starting just at a product $(\mathbb{R} \times S, G \equiv -dt^2 + F^2)$, where F is a classical Finsler metric on S).

As a direct consequence, we obtain:

Corollary 5.8. *Any cone structure \mathcal{C} is the cone structure of a (smooth) Lorentz-Finsler metric L defined on all TM .*

Proof. Consider any cone triple (Ω, T, F) associated with \mathcal{C} and the corresponding continuous Lorentz-Finsler metric G in Prop. 5.1. The required metric F is just the metric \tilde{G} obtained by smoothing G (using Th. 5.6) as explained in the paragraph before Rem. 5.3. \square

A proof of the last corollary with a different approach can be found in [44, Prop. 13].

Remark 5.9. This result and Th. 3.11 can be summarized as follows: *each cone \mathcal{C} determines univocally a (non-empty) class of anisotropically conformal Lorentz-Finsler metrics.*

6. CONE GEODESICS AND APPLICATIONS

As seen in Subsection 2.2, there is an obvious way to extend the causality of relativistic spacetimes to any cone structure \mathcal{C} and, thus, to any Lorentz-Finsler metric. However, the fact that any such a \mathcal{C} can be regarded as associated with a Lorentz-Finsler metric L has a double interest now. On the one hand, this allows one to identify the causal elements of L , including notably its lightlike pregeodesics, as elements inherent to any cone structure. On the other hand, the existence of L yields an additional analytical tool to understand such elements.

6.1. Summary on maximizing Lorentz-Finsler causal geodesics. As a preliminary question, notice that causal geodesics in a Finsler spacetime have properties of maximization among (piecewise smooth) causal curves completely analogous to those of classical spacetimes. This has already been pointed out by several authors [1, 41] and will be summarized here following [47]. Along this subsection, let $L : \bar{A} \rightarrow [0, +\infty)$ be a Lorentz-Finsler metric, extended to some conic domain $A^* \supset \bar{A}$ (to avoid issues on differentiability). Consider its Chern connection on the whole A^* and, then,

its geodesics and exponential map $\exp : \mathcal{D} \rightarrow M$, where $\mathcal{D} \subset A^*$ is maximal and starshaped with $\mathcal{D} \setminus \mathbf{0}$ open. The smooth variation of the solutions to the geodesic equation with the initial conditions implies the smoothness of \exp away from $\mathbf{0}$. Let $\mathcal{D}_p := \mathcal{D} \cap T_p M$ and $\exp_p = \exp|_{\mathcal{D}_p}$, and consider the following two lemmas (the first one is a basic result, see [1, Prop. 6.5]):

Lemma 6.1. *Let $\alpha : [a, b] \rightarrow M$ be a causal curve which is not a pregeodesic. Then there exist timelike curves from $\alpha(a)$ to $\alpha(b)$ arbitrarily close to α .*

Lemma 6.2. *For any $p \in M$ there exists a neighborhood \tilde{D} of zero in $T_p M$ such that $D := \tilde{D} \cap A^* \subset T_p M$ is starshaped and connected, and \exp_p is defined in D , being $\exp_p : D \rightarrow \exp_p(D)$ a diffeomorphism.*

Proof. This is the analog to normal neighborhoods and it can be obtained from a local extension of the Chern connection beyond A^* , regarding it as an anisotropic connection (see [54, Chapter 7] or [26]). Taking a coordinate neighborhood U around $p \in M$, the Chern connection is determined by the Christoffel symbols $\Gamma_{ij}^k : A^* \cap TU \rightarrow \mathbb{R}$ (see [26, §2.4 and 2.6]). As they are 0-homogeneous, they can be regarded as functions with domain in the unit bundle $S_R M$ (for some auxiliary Riemannian metric). Being $S_R M \cap TU \cap \bar{A}$ a closed subset of $S_R M \cap TU$, all Γ_{ij}^k can be extended to functions $\tilde{\Gamma}_{ij}^k : TU \setminus \mathbf{0} \rightarrow \mathbb{R}$ such that $\tilde{\Gamma}_{ij}^k = \Gamma_{ij}^k$ on $TU \cap \bar{A}$, $\tilde{\Gamma}_{ij}^k = 0$ on $TU \setminus A^*$ and they are homogeneous of degree 0 everywhere. This anisotropic connection has a natural exponential map, $\tilde{\exp}_p$, which is C^1 and whose differential in p is the identity¹⁸. Applying the inverse function theorem we obtain a starshaped neighborhood \tilde{D} of 0 in $T_p M$ such that $\tilde{\exp}_p$ is a diffeomorphism in $\tilde{\exp}_p(\tilde{D})$, thus, satisfying the required properties. \square

Remark 6.3. In the previous proof, the local extension of the Chern connection was enough to obtain normal neighborhoods. However, this connection can be extended globally to the tangent bundle as follows. Consider for each p the neighborhood U_p and the anisotropic connection $\tilde{\nabla}^{(p)}$ on U_p , defined for all the non-zero directions of tangent bundle TU_p , and which extends the Chern connection of L as in the above lemma. Let $\{\mu_i\}_{i \in \mathbb{N}}$ be a subordinate partition of unity, with each $\text{supp}(\mu_i)$ included in some U_{p_i} . So, the required connection is just

$$\tilde{\nabla} := \sum_i (\mu_i \circ \pi) \tilde{\nabla}^{(p_i)}.$$

Observe that, even though the anisotropic connections do not constitute a $C^\infty(M)$ -module, the expression above does define an anisotropic connection; in particular, the Leibniz rule is satisfied because¹⁹ $\sum_i (\mu_i \circ \pi) = 1$.

Lemma 6.4. *If $\beta : [a, b] \rightarrow T_p M$ is a (piecewise smooth) curve such that its image lies in a domain $D \subset T_p M$ of \exp_p as in Lemma 6.2, and $\alpha = \exp_p \circ \beta$*

¹⁸The fact that this exponential map is C^1 and it admits convex neighborhoods was proved by Whitehead [59] and it can also be proved as in [5, §5.3], where the exponential map of a Finsler metric is considered.

¹⁹The space of all the anisotropic connections (as well as the space of all the linear connections) is naturally an affine space, and the condition $\sum_i \mu_i = 1$ can be interpreted as the natural restriction of local barycentric coordinates.

is a causal curve, then β remains inside the causal cone of \mathcal{C}_p , and if α is timelike, without touching \mathcal{C}_p .

Proof. When α is timelike, it follows the same lines as [47, Lemma 5.33]. Namely, use the Gauss Lemma (see [28, Rem. 3.20]) in order to show that $L_p(\beta)$ is always positive. Indeed, it is positive at least at a small interval $[a, a + \varepsilon]$ because $\dot{\beta}(a) \equiv \dot{\alpha}(a)$ is a timelike vector, and its derivative is positive by the Gauss Lemma, as

$$\frac{d}{dt}L_p(\beta) = 2g_\beta(\beta, \dot{\beta}) = 2g_{d(\exp_p)_\beta(\beta)}(d(\exp_p)_\beta(\beta), \dot{\alpha}) > 0$$

(the latter because both $d\exp_p(\beta)$ and $\dot{\alpha}$ are timelike, recall [1, Prop. 2.4]). This guarantees that β lies in the cone up to the first break; however, here the Gauss Lemma can be used again to guarantee that β remains in the timelike cone. Assume now that α is causal. If α is not a lightlike pregeodesic, then there exists a timelike curve very close to α (this is a consequence of Lemma 6.1; however, to fix the endpoint is not required here), which reduces the proof to the first case in that α is timelike. \square

Proposition 6.5. *Let (M, F) be a Lorentz-Finsler metric, $p \in M$ and D an open subset of T_pM as in Lemma 6.2. Then, for any $q \in \exp_p(D)$ the radial geodesic from p to q is, up to reparametrizations, the unique maximizer of the Finslerian separation among the causal curves contained in $\exp_p(D)$.*

Proof. Assume first that the radial geodesic $\sigma : [0, b] \rightarrow \exp_p(D)$ is lightlike. If there is any other causal curve from p to q which is not a lightlike pregeodesic, then by Lemma 6.1, there is a timelike curve from p to q and by Lemma 6.4, $\exp_p^{-1}(q)$ lies in the timelike cone. Therefore, there is a timelike radial geodesic from p to q , in contradiction with the fact that \exp_p is a diffeomorphism on D . It follows that σ is the only causal curve from p to q in $\exp_p(D)$ and it is also the unique maximizer.

Assume now that the radial geodesic $\sigma : [0, b] \rightarrow \exp_p(D)$ is timelike. If $\alpha : [0, b] \rightarrow M$ is another causal curve from p to q in $\exp_p(D)$ which is not a reparametrization of σ , let $c \in [0, b)$ be the biggest instant such that $\alpha|_{[0, c]}$ is a lightlike pregeodesic. It follows from Lemmas 6.1 and 6.4 that $\exp_p^{-1}(\alpha|_{(c, b]})$ is contained in the timelike cone of T_pM . Let \tilde{P} be the position vector in T_pM and $r = \sqrt{L}$ defined in the timelike cone of T_pM . So, $\tilde{u} := \tilde{P}/r$ is a unit timelike vector of T_pM for every \tilde{P} in the timelike cone, and putting $\mathbf{u} := d(\exp_p)_{\tilde{u}}(\tilde{u})$, by the fundamental inequality (see Appendix B) $F(\dot{\alpha}(t)) \leq g_{\mathbf{u}}(\mathbf{u}, \dot{\alpha}(t))$ for every $t \in (c, b)$ (recall that $F = \sqrt{L}$). Moreover, let us define $\beta = \exp_p^{-1}(\alpha)$ and observe that $d_v r = \frac{1}{2r}d_v L = \frac{1}{r}g_v(v, \cdot)$ and then $g_{\tilde{u}}(\tilde{u}, \dot{\beta}(t)) = \frac{d(r \circ \beta)}{dt}$. It follows that

$$\begin{aligned} \int_0^b F(\dot{\alpha})dt &= \int_c^b F(\dot{\alpha})dt \leq \int_c^b g_{\mathbf{u}}(\mathbf{u}, \dot{\alpha}(t))dt = \int_c^b g_{\tilde{u}}(\tilde{u}, \dot{\beta}(t))dt \\ &= \int_c^b \frac{d(r \circ \beta)}{dt}dt = r(\exp^{-1}(q)), \end{aligned}$$

where we have used the Gauss Lemma (see [28, Rem. 3.20]) in the second equality, and the equality holds if and only if α is a reparametrization of σ .

Observe that if $\alpha|_{[c,b]}$ is a reparametrization of σ , then $\exp_p^{-1}(\sigma|_{[c,b]})$ cannot touch the lightlike cone at c away from 0 and it follows that $c = 0$. \square

6.2. Cone geodesics vs lightlike pregeodesics. Recall that cone geodesics were defined as locally horismotic curves inherent to any cone structure (Def. 2.9).

Theorem 6.6. *Let \mathcal{C} be a cone structure and $\gamma : I \subset \mathbb{R} \rightarrow M$ a curve. The following properties are equivalent:*

- (i) γ is a cone geodesic of \mathcal{C}
- (ii) γ is a lightlike pregeodesic for one (and, then, for all) Lorentz-Finsler metric L with cone \mathcal{C} .

In particular, all anisotropically equivalent Lorentz-Finsler metrics have the same lightlike pregeodesics.

Proof. (ii) \Rightarrow (i). Straightforward from the maximizing properties of lightlike pregeodesics stated in Prop. 6.5.

(i) \Rightarrow (ii). Cor. 5.8 ensures that there exists at least one L ; then, the uniqueness of the maximizing properties in Prop. 6.5 concludes. \square

Remark 6.7. The existence of the metric L compatible with \mathcal{C} allows us to introduce an exponential map and recover all the classical Causality Theory of spacetimes. This includes the so-called *time separation* or *Lorentzian distance* for L , which is not conformally invariant.

However, just the exponential for lightlike geodesics suffices for conformally invariant ones. So, from a practical viewpoint, cone triples (Ω, T, F) associated with \mathcal{C} may yield a notable simplification. Remarkably, the continuous Lorentz-Finsler metric G associated with (Ω, T, F) is a very simple metric and it suffices for the computation of cone geodesics (even though G had the drawback of being non-smooth in the T -direction, see Prop. 5.1, such a direction is timelike; so, its lightlike geodesics are determined by equations which depend on smooth elements at the cone).

Finally, let us consider other natural notions inherent to \mathcal{C} .

Definition 6.8. Given a cone structure (M, \mathcal{C}) , a submanifold $P \subset M$ is *orthogonal to a cone geodesic* $\gamma : [a, b] \rightarrow M$ in $\gamma(a) \in P$ if $T_{\gamma(a)}P \subset T_{\gamma(a)}\mathcal{C}_{\gamma(a)}$.

Clearly, this definition coincides with the concept of orthogonality provided by any Lorentz-Finsler metric compatible with (M, \mathcal{C}) . Then, the notions of conjugate and focal points can also be extended to \mathcal{C} . Indeed, the invariance of lightlike pregeodesics by means of anisotropically equivalent transformations can also be proven by a direct study of geodesic equations [30]. Furthermore, lightlike geodesics cannot be maximizers (among close causal curves) of the Finslerian separation after the first conjugate point (see [1, Th. 6.9]), which implies that the first conjugate point must be invariant by anisotropic transformations. In fact, it can be shown that all the conjugate and focal points are invariant by anisotropic transformations (see [30] and [45, Th. 2.36] for the Lorentzian case). Summing up, one has the following consistent notion.

Definition 6.9. Given a cone structure (M, \mathcal{C}) , a cone geodesic $\gamma : [a, b] \rightarrow M$ and a submanifold P orthogonal to γ in $\gamma(a)$, an instant $s_0 \in (a, b]$ is *P-focal with multiplicity* $r \in \mathbb{N}$, if it is *P-focal* with that multiplicity for one (and then all) Lorentz-Finsler metric compatible with \mathcal{C} .

6.3. Applications: Zermelo navigation problem and Wind Finsler.

Zermelo problem studies a (non-relativistic) object whose maximum speed at each point depends on both, the point and the (oriented) direction of its velocity. For such an object, time-minimizing trajectories are searched. In classical Zermelo's, the variation of the velocity with the direction is determined by a vector field W which represents the effect of a (time-independent) "wind" whose strength cannot be bigger than the maximum velocity developed by its engine. In this case, it is known that such trajectories must be geodesics for a certain Randers metric; this is determined by a "background" Riemannian one g_0 and the wind W , which must satisfy $g_0(W, W) < 1$ (see [6]). Our aim here is to explain how cone geodesics permit to solve such a problem in a much more general setting, including the possibility that the wind depends also on the time and it has arbitrary strength. Obviously, this enlarges widely the applicability of the model (notice also that its applications include possibilities far from the original one; see, for example, recent [38] about wildfire spread, and the more recent one [23]).

Let us start with the case when the object moves on a smooth manifold S and its possible maximum velocities at each point x depend on the direction. Then these maximum velocities are represented pointwise by the unit sphere for a Minkowski norm; globally, they determine a hypersurface in TS which corresponds with the indicatrix Σ of a (1-homogeneous) Finsler metric Z , called the *Zermelo metric*. If S is endowed with an auxiliary Riemannian metric g_0 with norm $|\cdot|_0$, then the maximum velocities can be represented by a positive function $v_m : U_0S \rightarrow \mathbb{R}$, where U_0 is the g_0 -unit bundle on S and v_m is determined by $v_m(u)u \in \Sigma$ for all $u \in U_0M$; as a consequence, $Z(u) = 1/v_m(u)$. Let $x, y \in S$ and let $\gamma : [a, b] \rightarrow S$ be a curve from x to y parametrized by g_0 -length. The time elapsed by an object moving at maximum speed from x to y along γ is given by:

$$T = \int_a^b \frac{ds}{v_m(\dot{\alpha}(s))} = \int_a^b Z(\dot{\alpha}(s)) ds.$$

That is, the time is the length computed with the Finsler metric Z (in particular, this length is independent of the reparameterization of α , which can be dropped). Therefore, minimizing the time for travelling from x to y is equivalent to finding a minimizing geodesic for Z . What is more, the functional *arrival time (at maximum speed)* or just AT defined on the set of all the paths²⁰ α from x to y becomes equal to the functional Z -length. So, the critical points for AT are equal to the critical points for Z , i.e., the (minimizing or not) pregeodesics of Z . Now, consider the following extensions to these problems.

²⁰This paths are *oriented*, that is, starting at x and ending at y ; recall that, in general $v_m(u) \neq v_m(-u)$ and, thus, Z is not a reversible Finsler metric.

6.3.1. *Time-dependent Zermelo problem.* When the maximum speeds are time-dependent, then the natural setting of the problem is the following. Consider the product manifold $M = \mathbb{R} \times S$, where the natural projection $t : \mathbb{R} \times S \rightarrow \mathbb{R}$ represents the (non-relativistic) time and let $\Omega = dt$ and $T = \partial_t$. Now, the t -dependent indicatrices provide a Finsler metric Z on the bundle $\ker(\Omega)$ and, so, we have a cone triple $(\Omega = dt, \partial_t, Z)$ and its corresponding cone structure \mathcal{C} . Observe that at every point $(t, x) \in \mathbb{R} \times S$, $\ker(\Omega)$ can be identified with $T_x S$ (i.e. $\ker(\Omega)_{(t,x)} \equiv \{t\} \times T_x S$) and we can interpret Z as a non-negative function

$$Z : \mathbb{R} \times TS \rightarrow \mathbb{R},$$

which is smooth away from $\mathbb{R} \times \mathbf{0}$ (being $\mathbf{0}$ the zero section of TS) such that each $Z(t, \cdot)$, $t \in \mathbb{R}$, is a Finsler metric on S . Choosing an instant of departure $t_0 \in \mathbb{R}$, we must consider curves $\tilde{\alpha}$ which depart from (t_0, x) and arrive at $\mathbb{R} \times \{y\}$, and look for first arriving (or critical arriving) ones. With no loss of generality we can assume that they are parametrized by t , i.e., $\tilde{\alpha}(t) = (t, \alpha(t))$, with $t \in [t_0, t_0 + AT(\alpha)]$. Now, the restriction of travelling at a speed no bigger than v_m means that $\tilde{\alpha}$ is a *causal curve* for \mathcal{C} , and $AT(\alpha)$ is again interpreted as the arrival time. The requirement of travelling at maximum speed is equivalent to consider lightlike curves for \mathcal{C} . Observe that given a piecewise-smooth curve $\beta : [a, b] \rightarrow S$, there exists a unique (future-directed) lightlike curve $\tilde{\beta} : [a, b] \rightarrow \mathbb{R} \times S$, with $\tilde{\beta}(s) = (t(s), \beta(s))$. Indeed $t(s)$ is obtained as a solution of $\dot{t}(s) = Z(t(s), \dot{\beta}(s))$ using (3) and, so the arrival time is computed as

$$AT(\beta) = t(b) - t(a) = \int_a^b Z(t(s), \dot{\beta}(s)) ds \quad (22)$$

(recall that the integral in (22) is independent of reparametrizations by the positive homogeneity of Z in the second component).

Now, consider the set $\mathcal{P}_{((t_0,x),y)}$ of all the (piecewise smooth) lightlike curves from (t_0, x) to $\mathbb{R} \times \{y\}$. The first arriving causal curve (if it exists) must be a lightlike curve and its arrival point will be the first one in the intersection of $J^+(t_0, x) \cap (\mathbb{R} \times \{y\})$. It is known in classical Causality Theory that, if this curve exists, then it must be a lightlike pregeodesic. The extension of this result to the Finsler case solves the time-dependent Zermelo problem and it is a consequence of Th. 6.6.

Corollary 6.10. *Any local minimum of the AT functional on $\mathcal{P}_{((t_0,x),y)}$ (i.e. any solution to the time-dependent Zermelo problem) must be a cone geodesic of \mathcal{C} without conjugate points except, at most, at the endpoint. Moreover:*

- (a) *A global minimum exists if the causal futures $J^+(t_0, x)$ in \mathcal{C} are closed (i.e., the analogous property to causal simplicity of classical space-times holds) and $\mathcal{P}_{((t_0,x),y)} \neq \emptyset$.*

Moreover, the latter property (resp. the former one) is fulfilled if Z is upper bounded (resp. lower bounded) by any t -independent Finsler metric (resp. complete Finsler metric) on $\ker(dt)$.

- (b) *All the trajectories which are critical for the AT functional must be cone geodesics.*

Proof. The first assertion holds because, if the corresponding minimum arrival point (T, y) exists, then the minimizer $\tilde{\sigma}$ must be a lightlike pregeodesic for any compatible Lorentz-Finsler metric with no conjugate points. Otherwise, by Lemma 6.1, a connecting timelike curve would exist and, thus, a neighborhood of (T, y) could be joined by curves in $\mathcal{P}_{((t_0, x), y)}$. Therefore, the result is a direct consequence of Th. 6.6 and the discussion above Def. 6.9.

For (a), the first assumption ensures that $J^+(t_0, x) \cap (\mathbb{R} \times \{y\})$ is closed (with the component \mathbb{R} lower bounded), and the second one that this intersection is not empty; so, the minimum for the reached \mathbb{R} -component yields the result. Notice also that the upper boundedness of Z implies that any curve in S joining x and y can be lifted to a causal curve in $\mathbb{R} \times S$ from (t_0, x) to $\mathbb{R} \times \{y\}$; so, $\mathcal{P}_{((t_0, x), y)}$ is not empty. Moreover, the lower boundedness by some complete Finslerian metric Z_0 implies that $J^+(t_0, x) \cap ([t_0, t_1] \times S)$ lies in a compact subset for any $t_1 > t_0$. Then, for any converging sequence $\{(t_m, y_m)\} \rightarrow (t_1, y)$, with $(t_m, y_m) \in J^+(t_0, x)$ for all m , and Zermelo curves $(t, \alpha_m(t))$, $t \in [t_0, t_m]$ from (t_0, x) to (t_m, y_m) the velocities $\dot{\alpha}_m$ are Z_0 -bounded and Arzelá's theorem gives a Lipschitz limit curve $\tilde{\alpha}$ from (t_0, x) to (t_1, y) . As in the standard Lorentzian case, the continuous curve $\tilde{\alpha}$ is \mathcal{C} -causal in a natural sense (locally, its endpoints can be connected by a smooth causal curve) and, then, either $\tilde{\alpha}$ is a cone geodesic or a timelike curve with the same endpoints as $\tilde{\alpha}$ exists²¹.

For (b), the Fermat relativistic principle developed by Perlick [49] implies that the critical points for AT correspond to the lightlike pregeodesics for any Lorentz-Finsler metric compatible with \mathcal{C} and, thus, they must be cone geodesics. \square

Remark 6.11. Using orthogonality of cone geodesics to a submanifold, it is possible to solve the time-dependent Zermelo problem in the case of minimizing time when one either departs from or arrives at a smooth submanifold P . Indeed, the solution in this case will be given by cone geodesics orthogonal to some $\{t_0\} \times P$ in an endpoint.

Obviously, the result also holds in the time-independent case; the reader can check then that the continuous Finsler metric associated with (dt, ∂_t, Z) is static and easily smoothable.

Finally, it is also worth pointing out the role of the maximum speeds v_m in a relativistic setting. The setting of Zermelo's problem is non-relativistic, however, an obvious relativistic interpretation arises once the cone structure is fixed and one thinks in v_m as the maximum possible velocity measured by any observer (at each event and direction) for any particle, i.e., the (relativistic) *speed of light*. Under this viewpoint, the framework of the triple (dt, ∂_t, F) (even in the case $\mathbb{R} \times S \equiv \mathbb{R}^4$) can be useful to describe either possible anisotropies in the velocity of the light, or variations in its speed, a topic studied by quite a few authors [34, 35, 51].

²¹Indeed, the lower boundedness by a complete Z_0 yields naturally the *global hyperbolicity* of any compatible L , being each slice $\{t\} \times S$ a Cauchy hypersurface (compare, for example, with the general result in [53, Prop. 3.1]) and, thus, the result is standard as in the Lorentzian case, where global hyperbolicity implies causal simplicity.

Remark 6.12. Observe that our time-dependent metrics $Z : \mathbb{R} \times TS \rightarrow \mathbb{R}$ (for Zermelo problem) and the time computed with them (recall (22)) provide a rheonomic Lagrangian of Finsler type. Indeed, in [39], S. Markvorsen studies the time-dependent Zermelo problem using rheonomic geometry as developed by M. Anastasiei et al. (see [2, §7] and references therein, and [13] for a different approach). As a consequence, a rheonomic Finsler-Lagrange geometry can be studied using lightlike geodesics of a Finsler spacetime. In particular, we can apply Cor. 6.10 to obtain connecting results in a rheonomic Finsler-Lagrange geometry.

6.3.2. *Wind Finslerian structures.* As commented above, the metric Z in the classical Zermelo problem is a Randers metric for some pair (g_0, W) with $g_0(W, W) < 1$. However, Zermelo problem makes sense without this restriction. This general problem has been studied systematically in [14], where the following results have been proved:

(i) There exists a notion of *wind Riemannian structure*, which is a (seemingly singular) Randers-type metric where the pointwise 0 vector do not lie inside the indicatrix.

(ii) The geometry of such structures, including their geodesics, is fully controlled by the cone structure \mathcal{C} of a conformal class of spacetimes, the *SSTK* ones. These spacetimes (which are not by any means singular) admit a non-vanishing Killing vector field K and, thus, they generalize standard stationary spacetimes, where such a K exists and must also be timelike.

(iii) Zermelo problem can be described and solved by using the viewpoint of the *SSTK* spacetime. Moreover, the correspondence between both types of geometries yields quite a few interesting consequences including, for example, a full understanding of the completeness of the Randers manifolds with constant flag curvature [29] (classified in a celebrated paper by Bao, Robles and Shen [6]).

Given any Finsler metric and vector field W , one can consider analogously *wind Finslerian structures* (also defined in [14]), which is a much more general class of Finsler-type metrics generalizing Randers-type ones. As in the case of wind Riemannian structures, wind Finslerian ones can also be controlled by a cone structure \mathcal{C} . Moreover, such a \mathcal{C} becomes invariant by the flow of a non-vanishing vector field K , as in the case of *SSTK* spacetimes. Again, there is a full correspondence between the cone geodesics for \mathcal{C} and the pregeodesics for the wind Finslerian structure. Then, our study of cone geodesics here, including the compatibility with a Lorentz-Finsler metric L (and the independence of the chosen L), becomes sufficient elements to transplantate directly the results for wind Riemannian structures in [14] to the general wind Finslerian setting.

APPENDIX A. ALTERNATIVE DEFINITIONS OF FINSLER SPACETIMES

In the literature, there are several non-equivalent notions of Finsler spacetimes. Next, we are going to compare some of them which are related to ours. Let us emphasize that there are quite a few of cosmological models using Lorentz-Finsler spacetimes from different viewpoints (see for example

the review [55] or the more recent [48]) which will not be considered specifically here. However, as in the considered cases, our approach might be useful to understand their global causal behavior.

A.1. Beem's definition. In this definition [9], it is considered a pseudo-Finsler metric $L : TM \rightarrow \mathbb{R}$ with fundamental tensor having index $n - 1$, where n is the dimension of M . In this case, the restriction of L to a connected component of $L^{-1}(-\infty, 0) \subset TM \setminus \mathbf{0}$ admitting a vector field T , provides a Finsler spacetime as introduced in §3.2. In some cases, it is also required the metric L to be reversible, namely, $L(-v) = L(v)$, for every $v \in TM$. Otherwise, whenever L has two causal cones (i.e., $L^{-1}(-\infty, 0)$ has two connected components as above), one could choose such cones, as the past and future cones; however, the absence of reversibility implies that the causal future and past would be unrelated. This makes reasonable to focus only on one connected component of $L^{-1}(-\infty, 0] \subset TM \setminus \mathbf{0}$, as in the present article. Moreover, taking into account the examples provided in §4, which are not necessarily defined in the whole tangent bundle (when we consider a conic Finsler metric) or could be degenerate away from the causal cone, the definition considered here focuses in the intrinsic properties of the, in principle, relevant part of the metric. Anyway, it is interesting from the theoretical viewpoint that any Lorentz-Finsler metric defined in a cone structure can be extended to the whole tangent bundle as in Beem's definition, [43] (not only the connection as in Rem. 6.3).

A.2. Asanov's definition. From a more general viewpoint, this definition [3] does not consider as admissible those vectors in the boundary of the causal cone. Namely, there is only a pseudo-Finsler metric $L : A \subset TM \rightarrow (0, +\infty)$ defined in a conic open subset which is convex and it has index $n - 1$ (this possibility is also permitted in our definition of Lorentz-Minkowski norm when it is not proper, Def. 3.1, even though we have focused in the proper case). Notice, however, that even if L is not extendible to the boundary, the cone structure \mathcal{C} obtained from the boundary of A will make sense and, thus, our intrinsic study of \mathcal{C} becomes applicable.

A.3. Laemmerzahl-Perlick-Hasse's definition. In this definition [36], the metric L can be non-smooth in a set of measure zero, but the geodesic equation can be continuously extended to all the directions. Remarkably, this definition includes simple continuous Lorentz-Finsler metrics as the product of a Finsler metric by $(\mathbb{R}, -dt^2)$, the metric G in (18) or the static ones in [15]. In this framework, our study shows (recall Rem. 5.5): (i) there is a natural smooth cone structure \mathcal{C} (according to our definition), whenever there exists an open neighborhood of the set of all the lightlike directions where L is smooth and its indicatrix is strongly convex²², and (ii) \mathcal{C} is not only compatible with some Lorentz-Finsler metric L^* (according to our definition), but L^* can also be constructed as close to L as desired (by smoothing L explicitly as in Th. 5.6 and Lemma 5.4). Therefore, our study may clarify when the non-smoothability of L is just a mathematical simplification of the model or when it is something inherent to it. Indeed, there

²² This is not only applicable to [36], but it also recovers the definition of Finsler spacetime in [1]

are other examples (as those in [44]), which are not smooth in the lightlike directions; thus, even if geodesics can be defined there, other fundamental quantities as flag curvature (which would be very relevant to study gravity) are not available.

A.4. Kostelecky's definition. This definition, or better, examples, arises from effective models of the Standard-Model Extension [34] and it has a strong physical motivation and interest (see further developments in [17, 35, 51]). The expression of the first examples of this kind presented in [34] is given by

$$L(v) = (\sqrt{-\tilde{g}(v, v)} + \tilde{g}(v, \mathbf{a}) + \varepsilon\sqrt{\tilde{g}(v, \mathbf{b})^2 - \tilde{g}(\mathbf{b}, \mathbf{b})\tilde{g}(v, v)})^2,$$

where v belongs to the causal cone of a classical Lorentzian metric \tilde{g} (with index 1), $\varepsilon^2 = 1$ and \mathbf{a} and \mathbf{b} are two vector fields. First of all, observe that if \mathbf{b} is timelike, then $\tilde{g}(v, \mathbf{b})^2 - \tilde{g}(\mathbf{b}, \mathbf{b})\tilde{g}(v, v) > 0$ for all v causal (Cauchy-Schwarz reverse inequality) and trivially, $\tilde{g}(v, \mathbf{b})^2 - \tilde{g}(\mathbf{b}, \mathbf{b})\tilde{g}(v, v) \geq 0$ if \mathbf{b} is non-timelike. Therefore, L is well-defined in the subset of \tilde{g} -causal vectors. Let us denote $F(v) = \sqrt{-\tilde{g}(v, v)} + \tilde{g}(v, \mathbf{a}) + \varepsilon\sqrt{p^{\mathbf{b}}(v, v)}$, where

$$p^{\mathbf{b}}(u, w) = \tilde{g}(u, \mathbf{b})\tilde{g}(w, \mathbf{b}) - \tilde{g}(\mathbf{b}, \mathbf{b})\tilde{g}(u, w),$$

for all $u, w \in TM$. We will study F as a Randers-type modification of the case $\mathbf{a} = 0$, so let $\hat{F}(v) = \sqrt{-\tilde{g}(v, v)} + \varepsilon\sqrt{p^{\mathbf{b}}(v, v)}$ (which can be non-positive). In order to check whether the fundamental tensor has index $n-1$, Prop. 4.10 will be applied. From (14), the angular metric \hat{h}_v (of $\hat{L} = \hat{F}^2$) for a \tilde{g} -timelike vector v is given by

$$\hat{h}_v(u, u) = -\frac{\hat{F}(v)}{\sqrt{-\tilde{g}(v, v)}}\tilde{h}_v(u, u) + \varepsilon\frac{\hat{F}(v)}{\sqrt{p^{\mathbf{b}}(v, v)}}\left(p^{\mathbf{b}}(u, u) - \frac{p^{\mathbf{b}}(v, u)^2}{p^{\mathbf{b}}(v, v)}\right),$$

where \tilde{h}_v is the angular metric of \tilde{g} . Observe that if $u \in \langle v \rangle^{\perp_{\tilde{g}}} \cap \langle \mathbf{b} \rangle^{\perp_{\tilde{g}}}$, then

$$\hat{h}_v(u, u) = -\hat{F}(v)\left(\frac{1}{\sqrt{-\tilde{g}(v, v)}} + \varepsilon\frac{\tilde{g}(\mathbf{b}, \mathbf{b})}{\sqrt{p^{\mathbf{b}}(v, v)}}\right)\tilde{g}(u, u),$$

$\hat{h}_v(\mathbf{b}, u) = 0$ and $\hat{h}_v(\mathbf{b}, \mathbf{b}) = \frac{\hat{F}(v)}{\tilde{g}(v, v)\sqrt{-\tilde{g}(v, v)}}p^{\mathbf{b}}(v, v)$. Putting all this together and recalling that v is in the radical of \hat{h}_v , it follows that \hat{h}_v is negative semi-definite with radical generated by v if and only if

$$\hat{F}(v) > 0 \quad \text{and} \quad \frac{1}{\sqrt{-\tilde{g}(v, v)}} + \varepsilon\frac{\tilde{g}(\mathbf{b}, \mathbf{b})}{\sqrt{p^{\mathbf{b}}(v, v)}} > 0,$$

which is equivalent to

$$-\tilde{g}(v, v) + \varepsilon p^{\mathbf{b}}(v, v) > 0 \quad \text{and} \quad \begin{cases} \text{either } \varepsilon\tilde{g}(\mathbf{b}, \mathbf{b}) \geq 0 \\ \text{or } \varepsilon\tilde{g}(\mathbf{b}, \mathbf{b}) < 0 \text{ and } p^{\mathbf{b}}(v, v) + \tilde{g}(v, v)\tilde{g}(\mathbf{b}, \mathbf{b})^2 > 0. \end{cases} \quad (23)$$

Moreover, \hat{h}_v is positive semi-definite with radical generated by v if and only if

$$\hat{F}(v) < 0 \quad \text{and} \quad \frac{1}{\sqrt{-\tilde{g}(v, v)}} + \varepsilon\frac{\tilde{g}(\mathbf{b}, \mathbf{b})}{\sqrt{p^{\mathbf{b}}(v, v)}} < 0,$$

which is equivalent to

$$\varepsilon = -1, -\tilde{g}(v, v) - p^b(v, v) < 0, \tilde{g}(\mathbf{b}, \mathbf{b}) > 0 \text{ and } p^b(v, v) + \tilde{g}(v, v)\tilde{g}(\mathbf{b}, \mathbf{b})^2 < 0. \quad (24)$$

In the other cases, \hat{h}_v is indefinite. This implies that when $\mathbf{a} = 0$, the fundamental tensor of L has index $n-1$ if and only if (23) holds (recall Prop. 4.10). Let us study the general case with $\mathbf{a} \neq 0$. By a direct computation, (see also [28, Cor. 4.17]):

$$g_v(w, w) = \frac{F(v)}{\hat{F}(v)} \hat{h}_v(w, w) + \left(\frac{\hat{g}_v(v, w)}{\hat{F}(v)} + \tilde{g}(w, \mathbf{a}) \right)^2.$$

Then its angular metric is given by

$$h_v(w, w) = \frac{F(v)}{\hat{F}(v)} \hat{h}_v(w, w).$$

By Prop. 4.10, g_v has index $n-1$ if $F(v) > 0$ and either (23) or (24) hold, and trivially, g_v is degenerate if $F(v) = 0$. Moreover, g_v is not defined when $\tilde{g}(v, v) = 0$, as L is not smooth there. Therefore, considering a connected region such that $F(v) \geq 0$, the fundamental tensor g_v has index $n-1$ for v in the interior satisfying (23) or (24), and it is degenerate for v in the boundary of the region; what is more, the region $\{v \in TM : F(v) > 0 \text{ and } \tilde{g}(v, v) < 0\}$ is not empty if and only if there exists a $p \in M$ such that one of the intersections $\omega_a^{-1}(-1) \cap \hat{\mathfrak{B}}_p^+$ or $\omega_a^{-1}(1) \cap \hat{\mathfrak{B}}_p^+$ are non empty, where $\omega_a(v) = \tilde{g}(v, \mathbf{a})$ for $v \in TM$ and

$$\begin{aligned} \hat{\mathfrak{B}}_p^+ &= \{v \in T_p M : \hat{F}(v) \geq 1, v \text{ satisfies (23)}\}, \\ \hat{\mathfrak{B}}_p^- &= \{v \in T_p M : \hat{F}(v) \leq -1, v \text{ satisfies (24)}\} \end{aligned}$$

(compare with Th. 4.1 and Cor. 4.2).

Observe that there are three possibilities at every point $p \in M$:

- (i) The intersection $\omega_a^{-1}(\mp 1) \cap \hat{\mathfrak{B}}_p^\pm$ has empty interior, and then L is not of Lorentz type at any point in the causal cone of \tilde{g} .
- (ii) The intersection $\omega_a^{-1}(\mp 1) \cap \hat{\mathfrak{B}}_p^\pm$ is compact and (i) does not hold. Then L determines a cone structure if $\omega_a^{-1}(\mp 1) \cap \hat{\mathfrak{B}}_p^\pm$ does not touch the lightlike cone of \tilde{g} and it has index $n-1$ when $F > 0$, but not in the boundary. This means that the causality of such metrics can be studied with our approach.
- (iii) The intersection $\omega_a^{-1}(\mp 1) \cap \hat{\mathfrak{B}}_p^\pm$ is non-compact and (i) does not hold. The region where $F > 0$ does not determine a cone structure (the boundary is not smooth), but the fundamental tensor of L has index $n-1$ there.

A.5. Pfeifer-Wohlfarth's definition. The main feature of this definition [50] is that the Lorentz-Finsler L does not necessarily extend smoothly to the lightcone (or the extension has not Lorentzian index there) but such a property holds for some power of L . Thus, the Finslerian connections determined by L are not defined in the lightlike directions; however, these authors show that it is possible to extend the connection defined in the timelike directions to the lightlike cone. Our viewpoint on cone structures

is applicable here as it can be proved that the Pfeifer-Wohlfarth's lightlike cone is a cone structure in our sense (proceed as in part (iv) of Prop. 3.4 with a p -homogeneous Lagrangian which has Lorentzian fundamental tensor).

Observe that one of the main examples of Pfeifer-Wohlfarth's definition, namely, the bimetrics, can be generalized using the examples given in §4. More precisely, if $L_1 : A_1 \rightarrow [0, +\infty)$ and $L_2 : A_2 \rightarrow [0, +\infty)$ are two Lorentz-Finsler metrics (according to our definition), then $L : A_1 \cap A_2 \rightarrow [0, +\infty)$ defined as $L(v) = \sqrt{L_1(v)L_2(v)}$ has fundamental tensor with Lorentzian index on the whole $A_1 \cap A_2$ (with independence of its behaviour in the boundary). Indeed, its fundamental tensor is given by

$$g_v(u, w) = \frac{1}{2L(v)}(p_v(u, w) - \frac{1}{L(v)^2}p_v(v, u)p_v(v, w)) \\ + \frac{1}{L(v)}(g_v^1(v, u)g_v^2(v, w) + g_v^1(v, w)g_v^2(v, u))$$

where g_v^1 and g_v^2 are, respectively, the fundamental tensors of L_1 and L_2 and now, $p_v(u, w) = g_v^1(u, w)L_2(v) + g_v^2(u, w)L_1(v)$ for $u, w \in T_{\pi(v)}M$ and $v \in A_1 \cap A_2$. Moreover, the angular metric of L is given by

$$h_v(u, u) = \frac{1}{2L(v)}(p_v(u, u) - \frac{3}{2L(v)^2}p_v(v, u)^2) + \frac{2}{L(v)}g_v^1(v, u)g_v^2(v, u).$$

Observe that if $u \in \langle v \rangle^{\perp g_v^1}$, then

$$h_v(u, u) = \frac{1}{2L(v)}(L_1(v)g_v^2(u, u) + L_2(v)g_v^1(u, u) - \frac{3L_1(v)}{L_2(v)}g_v^2(v, u)^2) \\ = \frac{1}{2L(v)}(L_1(v)h_v^2(u, u) + L_2(v)h_v^1(u, u) - \frac{L_1(v)}{L_2(v)}g_v^2(v, u)^2),$$

where h_v^1 and h_v^2 are the angular metrics of L_1 and L_2 . Applying Prop. 4.10 to L_1 and L_2 , we deduce that h_v is negative semi-definite with radical generated by v , which, again by Prop. 4.10, implies that g_v has index $n - 1$ as required. If $A_1 \subset A_2$, then it is possible to show with similar techniques that the Hessian of L^2 is of Lorentzian type in the boundary of A_1 (observe that L is not smooth there); so, this case lies under Pfeifer-Wohlfarth's definition and yields a cone structure according to our definition, as claimed above. Finally, if $A_1 = A_2$, then L is a Lorentz-Finsler metric according to our definition, because if v is in the boundary of $A_1 = A_2$, then

$$g_v(v, u) = \frac{1}{2} \left(\sqrt{\frac{L_2(v)}{L_1(v)}}g_v^1(v, u) + \sqrt{\frac{L_1(v)}{L_2(v)}}g_v^2(v, u) \right),$$

and then Th. 3.11 and Prop. 4.10 conclude.

A further example that can be generalized is the one provided by Bogoslovsky (see [12] and references therein), which turned out to be a model for very special relativity [22] and it has been considered recently to model pp-waves [19]. As it was observed in [20], the Bogoslovsky metric satisfies the conditions in Pfeifer-Wohlfarth's definition. Consider now the Lagrangian $L(v) = L_0(v)^{(1+b)}/\beta(v)^{2b}$, where $L_0 : A \rightarrow [0, +\infty)$ is a Lorentz-Finsler metric and β a one-form such that $\bar{A} \cap \ker \beta = \mathbf{0}$. Then if $F_0 = \sqrt{L_0}$, following

[28, Cor. 4.12], we obtain the fundamental tensor of $L : A \rightarrow [0, +\infty)$ as

$$\begin{aligned} \frac{L_0(v)}{L(v)} g_v(u, u) &= (b+1) h_v^0(u, u) \\ &+ b(b+1) \left(\frac{g_v^0(v, u)}{F_0(v)} - \frac{F_0(v)}{\beta(v)} \beta(u) \right)^2 \\ &+ \left((b+1) \frac{g_v^0(v, u)}{F_0(v)} - b \frac{F_0(v)}{\beta(v)} \beta(u) \right)^2, \end{aligned}$$

where g_v^0 is the fundamental tensor of L_0 and the angular metric of L is

$$\frac{L_0(v)}{L(v)} h_v(u, u) = (b+1) h_v^0(u, u) + b(b+1) \left(\frac{g_v^0(v, u)}{F_0(v)} - \frac{F_0(v)}{\beta(v)} \beta(u) \right)^2,$$

which is negative semi-definite with radical generated by v , whenever $-1 < b < 0$. The Lagrangian L is not necessarily smooth in the boundary of A , but so is the power $L^{\frac{1}{1+b}}$. It is possible to show that the Hessian of this power is of Lorentzian type in the lightcone of L and then L defines a Pfeifer-Wohlfarth's Finsler spacetime.

APPENDIX B. LORENTZ-MINKOWSKI NORMS

For a classical norm on a vector space V (eventually conic or non-reversible) there is a well-known relation between the convexity of its indicatrix, the triangle inequality and the fundamental inequality for its fundamental tensor (see [28] for a summary). These relations are easily transplanted to Lorentz-Minkowski norms, namely:

Proposition B.1. *Let $A \subset V$ be a conic salient domain, $F : A \rightarrow \mathbb{R}$, $F > 0$, a continuous two-homogeneous positive function, $\Sigma = F^{-1}(1)$ be its indicatrix, $B := F^{-1}([1, +\infty))$ and, in the case that F is smooth, with fundamental tensor g as in (1). Then:*

(1) *F satisfies the reverse triangle inequality*

$$F(v+w) \geq F(v) + F(w) \quad \forall v, w \in A \quad (25)$$

if and only if B is convex.

When F is smooth, this is equivalent to (i) the positive semi-definiteness of the second fundamental form σ^ξ of Σ with respect to the position vector ξ and (ii) the negative semi-definiteness of g on Σ . Moreover, in this case g satisfies the non-strict, reverse fundamental inequality,

$$g_v(v, w) \geq F(v)F(w) \quad \forall v, w \in A. \quad (26)$$

(2) *F satisfies the strict reverse triangle inequality (i.e., (25) holds with equality only when v, w are collinear) if and only if B is strictly convex (i.e., each open segment with endpoints $v, w \in B$ is included in the interior of B except at most v, w).*

When F is smooth, this is equivalent to the strict convexity of Σ with respect to B (i.e. the hyperplane tangent to Σ at any point only touches B at that point).

This property holds when g is non-degenerate with index $n - 1$ (in particular, when F is a Lorentz-Minkowski norm). In that case, the (strict) reverse fundamental inequality holds (i.e., (26) holds with equality if and only if v, w are collinear); such an inequality becomes the classical reverse Cauchy-Schwarz one when F comes from a Lorentzian scalar product.

Some proofs of these assertions are spread in the literature (see for example [1, 42]) and a detailed development is carried out in [46]; the latter is sketched here for the convenience of the reader.

Proof of Prop. B.1. All the assertions follow by using the Euclidean arguments in [28, Prop. 2.3] (see [46, Sect. 3.2.1] for details), except those involving the fundamental inequality (26). The latter is equivalent to

$$dF_v(w) \geq F(w) \quad \forall v, w \in A, \quad (27)$$

and consider the non-trivial case when v, w are not collinear. Then if $\tilde{h} := \text{Hess}(F)$, using that $F = \sqrt{L}$, it follows straightforwardly that

$$\tilde{h}_v(u, w) = \frac{1}{F(v)^3} h_v(u, w),$$

for $v \in A$ and $u, w \in V$, where h_v is the angular metric of L (recall (11)). Therefore, recalling Prop. 4.10

$$h_v(u, u) \leq 0 \quad \forall v \in A, \quad \forall u \in V. \quad (28)$$

(where the radical of h_v may contain directions different to v only if g is degenerate). If $v - u \in A$, the second mean-value theorem at 0 yields

$$F(v - u) = F(v) - dF_v(u) + \frac{1}{2} h_{v+\delta u}(u, u) \quad \text{for some } \delta \in (-1, 0),$$

and using (28),

$$F(v - u) \leq F(v) - dF_v(u). \quad (29)$$

So, (27) follows by putting $u := v - w$ and recalling $dF_v(v) = F(v)$. \square

Remark B.2. It is worth pointing out the following relation between the Lorentz-Finsler and classical Finsler cases. Let A be as above, $L : A \rightarrow \mathbb{R}, L > 0$ smooth and r -homogeneous ($L(\lambda v) = \lambda^r L(v)$ for some $r \neq 0$), and $\Sigma_a = L^{-1}(a), a > 0$. Then $\text{Hess}(L)$ is negative definite (resp. semi-definite) on one (and then all) Σ_a if and only if $\text{Hess}(1/L)$ is positive definite (resp. semi-definite) there²³. As a consequence, when $r > 1$:

Hess L has Lorentzian signature $(+, -, \dots, -)$ (resp. coindex one) if and only if $\text{Hess}(1/L)$ is positive definite (resp. semi-definite).

Notice also that $\text{Hess} F$ can be written in terms of $\text{Hess}(1/F^2)$, which can be used alternatively to prove the reverse triangle inequality [46, Prop. 8.7].

²³Now Σ_a satisfies $g_v = -a \cdot r \cdot \sigma_v^\xi$, as $g_v(u, v) = (r - 1)dL_v(u), g_v(v, v) = r(r - 1)L(v)$.

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