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4 **Multi-Exposure Adaptive Threshold technique for cloud** 5 **detection with sky imagers**

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18

19 **Abstract**

20 Sky imagers have been used for cloud detection and classification in the last years, and one of
21 the applications of these instruments is the use of cloud information in forecast algorithms for
22 solar power technologies. These algorithms depend on an accurate classification of the
23 complete sky dome cloud cover, but most system fail in the proximity of the sun due to
24 saturation in the images. This work proposes a new method for cloud detection with sky
25 imagers using images taken with different exposure times and applying an adaptive threshold
26 to each one. The use of multiple exposure times avoids the saturation of the image in the
27 vicinity of the sun position, while the adaptive threshold applied to the images helps in the

28 accurate detection of cloud coverage, especially in the circumsolar area. The method is tested
29 with a commercial sky imager, paying special attention to the detection of clouds close to the
30 sun position. A case study is analyzed, showing an accurate detection of clouds in the vicinity
31 of the sun. The method is also validated using statistical values for data recorded during
32 almost one month which cover a great variety of cloudiness cases. For this purpose, the
33 detection of clouds in the sun position is compared against the reduction of the direct normal
34 irradiance (DNI) with respect to a modeled DNI.

35

36 **Keywords:** sky imager; high dynamic range; cloud detection; solar energy; forecasting

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38

39 **1 Introduction**

40 The characterization and forecast of the solar resource in renewable energy systems is
41 essential for the planning and optimization of the electricity production in solar power plants
42 (Eck and Hirsch, 2007; Sharma et al., 2009; Medrano et al., 2010). Accurate forecast of the
43 available solar irradiance reaching the ground allows solar power plant operators to predict
44 the energy output of the plant, anticipate the storage needs and regulate the system. For large
45 scale solar applications, the solar irradiance at the surface is affected mainly by aerosols,
46 water vapor content and clouds, being the last the most variable in time and space.

47 Photovoltaic (PV) plants depend on the Global Horizontal Irradiance (GHI) availability,
48 whereas concentration solar power (CSP) plants and concentration photovoltaic systems
49 (CPV), rely on the availability of direct normal irradiance (DNI) reaching the collectors.

50 It is well known that the solar radiation may exhibit a strong variability at very short-time
51 scales related mainly to cloud changes (e.g., Tovar et al., 1998; Tovar et al., 1999; Tomson
52 and Tamm, 2006; Woyte et al., 2007; Tomson, 2010; Antón et al., 2011). Thus, for intra-hour
53 prediction of the available solar irradiance is essential to introduce in the models information
54 about the cloud cover. This is especially important in the case of CSP and CPV, where the
55 presence of clouds obstructing the solar disk reduces the amount of available DNI drastically.

56 Recently, Ahmad and Tiwari (2011) made a review of solar radiation models. Some of the
57 early models taking into account the effect of the cloud included information about the cloud
58 coverage, whereas more recent ones take into account the cloud type, the location of the cloud
59 in relation to the sun's position, and the total cloud coverage that affects diffuse irradiance
60 (e.g. Hammer et al., 2003).

61 Sky imagers are potentially helpful for developing short-term solar irradiance prediction by
62 means of forecasting models. Thus, Crispim et al. (2008) included cloud features extracted
63 from a Total Sky Imager (TSI) in a forecast model with 60-minutes ahead horizon. Marquez
64 et al. (2013) used cloud indices from the TSI built-in algorithms, and cloud indices derived
65 from Infrared Radiometric (IR) measurements in a model for 60-minutes ahead horizon
66 forecasting of Global Horizontal Irradiance (GHI). Chow et al. (2011) proposed a model for
67 GHI forecasting projecting the cloud field extracted from TSI images several minutes ahead,
68 concluding that the methodology with the TSI was useful for horizons up to 15-20 minutes.
69 Marquez and Coimbra (2013) also proposed a method that uses the cloud cover provided by a
70 TSI for a time series forecasting of DNI up to 15 minutes ahead horizon.

71 The forecast of solar irradiance using sky imagers critically depends on an accurate detection
72 of clouds by these instruments. The algorithm most widely used to provide the estimation of
73 the cloud cover in sky imagers is the red-to-blue ratio (RBR) threshold algorithm or a
74 variation of it. This algorithm was introduced by Johnson et al. (1989) and Shields et al.
75 (1998) and consists in applying a threshold to the result of dividing the red and blue channel
76 of the images. The idea behind this algorithm is that the sky appears blue to our eyes, whereas
77 clouds appear white or grey. Thus, for a cloudy pixel the result of dividing the red and blue
78 channels will be a number close to one, whereas for a clear-sky pixel the result will be a
79 smaller number. Applying an appropriate threshold, the result is a binary image that
80 represents cloudy pixels (above the threshold) and clear-sky pixels (below the threshold). The
81 value of this threshold must be determined empirically since it depends on the sensor and the
82 atmospheric conditions, complicating the selection of an optimum threshold that works in
83 every situation. Moreover, the images capture the entire sky dome, thus the angular
84 dependence of the light scattering processes makes difficult to find an optimum threshold that
85 works across the entire image (Pfister et al., 2003). Another problem that introduces errors in
86 the estimation of cloud cover is the saturation of pixels due to forward scattering in the
87 circumsolar area. Images projecting the entire sky dome have very bright areas where the sun
88 is projected, several order of magnitude brighter than darker regions of the sky dome. Sky
89 imager sensors are not able to cover such a range illumination causing that some pixels of the
90 image reach the maximum intensity (saturation). When pixels are saturated it is impossible to
91 determine if the pixels represented clear-sky or cloudy areas. In the RBR threshold algorithm,
92 saturated pixels are always classified as cloudy pixels. The extension of the circumsolar
93 saturated area varies with the aerosol load (the higher the load the more forward scattering
94 and, therefore, more brightness around the sun). This is especially evident for large solar
95 zenithal angles (during sunrise and sunset) when the direct sun irradiance has a longer path
96 throughout the atmospheric boundary layer (Chow et al., 2011; Long et al., 2006; Pfister et
97 al., 2003).

98 A review of different methods for cloud detection and classification can be found in Tapakis
99 and Charalambides (2012). Some of the authors referenced presented different approaches in
100 order to minimize the misclassifications in sky images. Cazorla et al. (2008) described a
101 classification method using artificial neural networks. Shields et al. (2009) proposed a clear-
102 sky library that is subtracted to the image after applying the RBR algorithm to minimize the
103 angular dependency of the threshold. This idea was also applied to a Total Sky Imager by

104 Ghonima et al. (2011). Calbó and Sabburg (2008) explored the idea of using feature
105 extraction from the images for cloud type classification.

106 Other authors used a different color space (Souza-Escher et al., 2006). Pfister et al. (2003) and
107 Long (2010) proposed statistical analysis of a sequence of images. Kazantzidis et al. (2012)
108 proposed a multicolor criterion for cloud detection and classification. Recently, Urquhart et
109 al. (2014) described a new sky imager with high dynamic range capabilities.

110 In this work, we present a new method for cloud detection with sky imagers using images
111 taken with different exposure times and applying an adaptive threshold to each one. This new
112 method helps in the accurate prediction of cloud coverage, especially in the circumsolar area.
113 The method is illustrated with a study case and validated using images acquired under a great
114 variety of cloudy conditions throughout one month.

115

116 **2 Site and Instrumentation**

117 The instrumentation used in this work is operated by the Atmospheric Physics Group (GFAT)
118 at University of Granada. The station is located at Granada in the rooftop of the Andalusian
119 Institute for Earth System Research (IISTA-CEAMA) (37.17° N, 3.61° W, 680 m a.s.l.).
120 Granada, located in south-eastern Spain, is a non-industrialized city with about 250,000
121 inhabitants (500,000 including the metropolitan area). It is located in a natural basin
122 surrounded by mountains with elevations between 1000 and 3500 m a.s.l. The near-
123 continental conditions prevailing at this site are responsible for large seasonal temperature
124 differences, providing cool winters and hot summers. The bowl-like topography of the
125 Granada basin favors winter-time thermal inversions and the predominance of very low wind
126 speeds (Lyamani et al., 2012).

127 The sky imager used in this work is the SONA (Sistema de Observación de Nubosidad
128 Automático, Automatic Cloudiness Detection System) developed by Sieltec Canarias S.L
129 (González et al., 2012). The system consists of a color CCD sensor with a resolution of
130 640x480 pixels. RGB images have 8 bit-digitalization yielding 256 counts per channel. The
131 system is programmable allowing the change of time exposure needed for the algorithm
132 described in the following sections. The sensor is coupled with a fisheye lens that projects the
133 entire sky dome in the sensor. CCD sensor and lens are encapsulated in an environmental

134 housing with a glass dome on top. The sensor is protected from the direct sun light with a
135 shadow band that is also inside the glass dome and isolated from the environment.

136 The station is equipped with two pyranometers (CM-11, Kipp & Zonen), one of them
137 provides measurements of the global solar horizontal irradiance from 0.305 to 2.800 μm and
138 the other one, mounted on a solar tracking platform with a shade ball (2AP Sun Tracker, Kipp
139 & Zonen), measures the diffuse solar horizontal irradiance (DIF). The CM-11 pyranometer is
140 fully compliant with the highest ISO performance criteria for this type of instruments. The
141 relative uncertainty of these pyranometers is estimated to be 1.9% (Kratzenberg et al, 2006).
142 The stability of the two pyranometers has been periodically verified using a reference CM-11
143 instrument located at the station and used only for intercomparison purposes, and traceable
144 with reference instruments from the World Radiation Center (WRC) placed at Davos
145 (Switzerland). Measurements are recorded every minute using a single data-logger (CR10-X,
146 Campbell Scientific).

147

148 **3 Methodology**

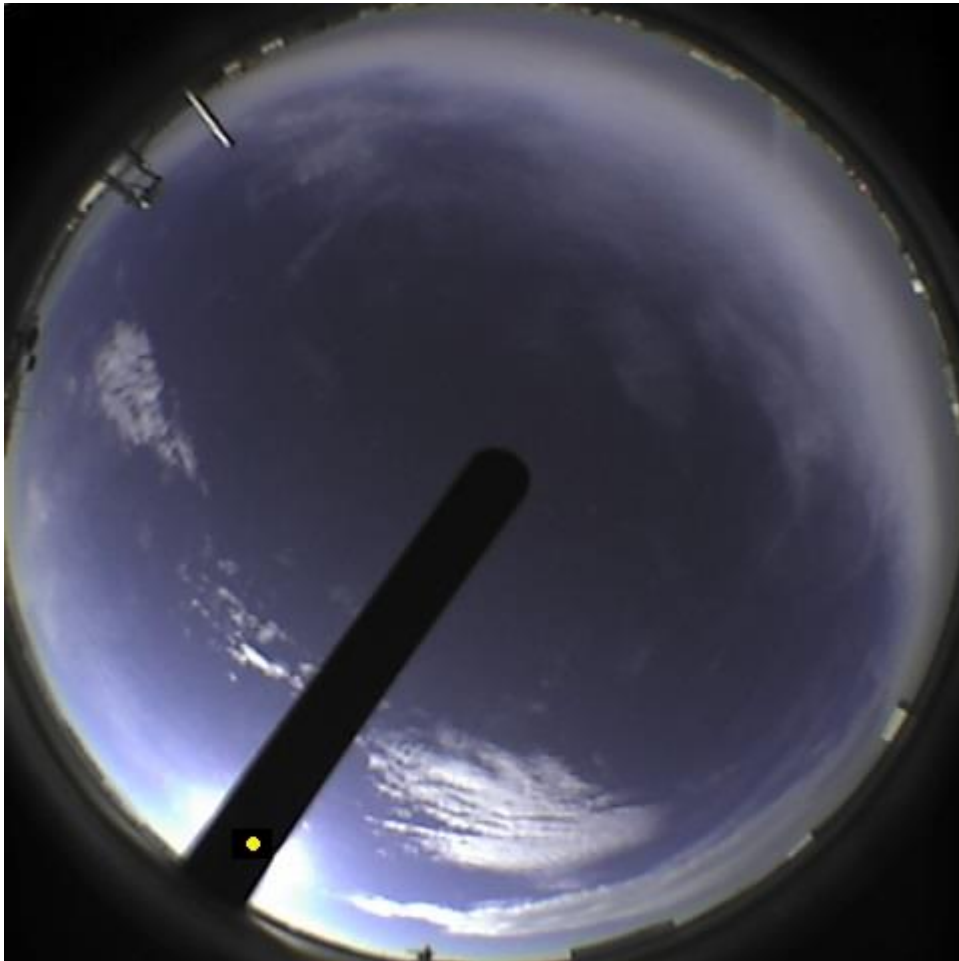
149 The technique proposed in this work tries to solve the problems related to sky imagers and
150 their detection algorithms by, firstly registering the images at several exposure times (solving
151 the lack of dynamic range that cause saturation of the circumsolar area) and, secondly,
152 applying a threshold that depends on the amount of light captured by the sensor and the
153 distance of a given pixel to the sun.

154 **3.1 Multi-exposure sequence**

155 The exposure time in photography is the amount of time that the sensor is exposed to the
156 light. Most sky imagers have an algorithm that analyzes the illuminance of the scene and
157 selects an appropriate exposure time for the image, or uses fixed exposure times.
158 Nevertheless, if the differences in illumination are larger than the range of the sensor, i.e. the
159 sensor does not have enough dynamic range to represent the scene, some parts of the image
160 will be overexposed, or saturated (reaching the maximum counts of the sensor), and some
161 others will be underexposed. Figure 1 shows a sky image capture with the SONA sky imager.
162 We observe that there are saturated pixels around the sun position (yellow circle over the
163 shadow band). A very short exposure time will produce a correct exposure of the area around
164 the sun, but the rest will be underexposed. In contrast, a longer exposure time will cause an

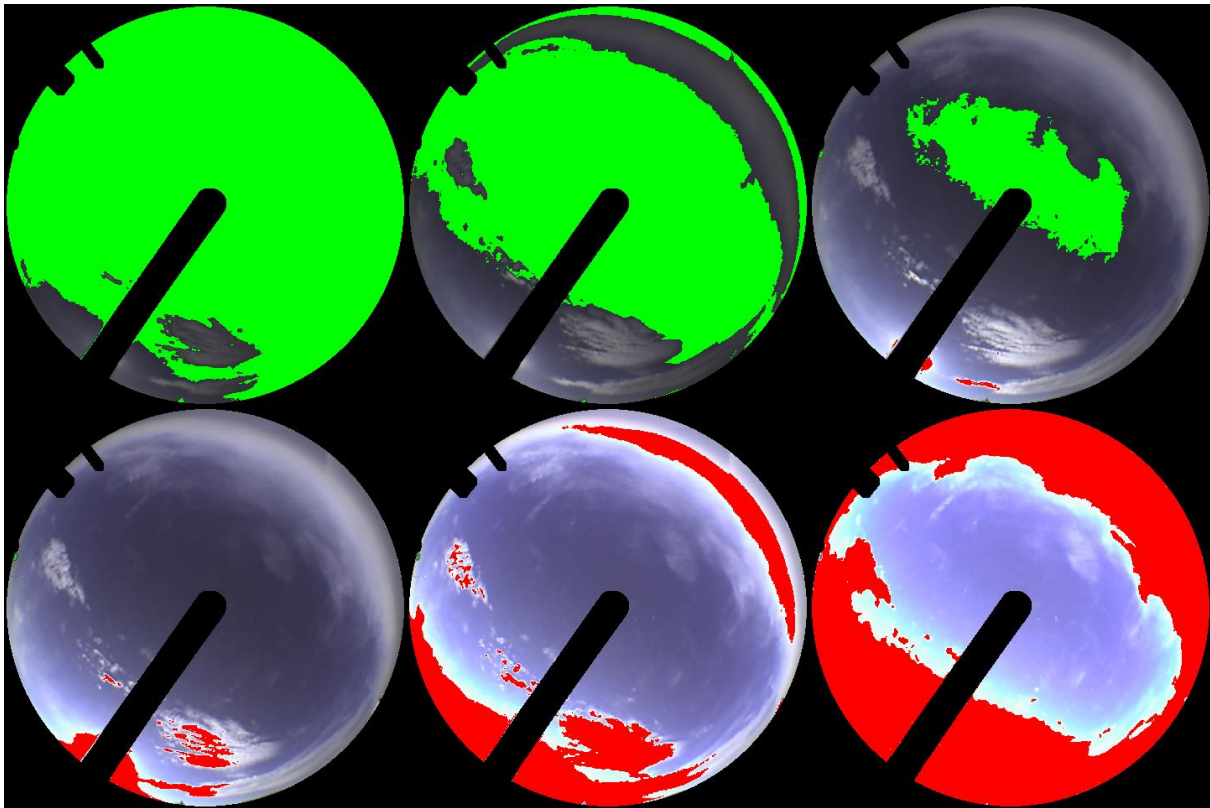
165 overexposure of the area around the sun (this region will be saturated) in order to get the rest
166 of the image well exposed. The multi-exposure algorithm takes images with several exposure
167 times ranging from very short to longer exposure times. SONA sky imager captures 12
168 images starting from the minimum exposure time available (0.004 ms) and doubling it in each
169 image up to an exposure time of 8 ms. Some of these images can be discarded if they are too
170 dark or too bright depending on both the sky conditions and sun position. Figure 2 shows a
171 sequence of multi-exposure images in which pixels close to saturation are marked in red while
172 dark pixels are marked in green. The horizon, the shadow band and other objects are masked
173 in black. As it can be seen, the unmasked area in the images is well exposed and, by visual
174 inspection, it is feasible to discriminate better the cloudy and cloudless areas than using the
175 image shown in Fig. 1.

176



177

178 Figure 1. Sky image capture by the SONA sky imager.



179

180 Figure 2. Sequence of multi-exposure images captures with the SONA sky imager.

181 Underexposed areas of the image (B counts below 85) are marked in green and overexposed
182 (B counts above 240) are marked in red.

183

184 All images from the multi-exposure sequence are captured in about 5 seconds. These images
185 can be merged into one single image that combines information from the well exposed areas
186 of each individual image to form a high dynamic range image (HDR, Debevec and Malik,
187 1997). For visualization purposes, we combine all the images into an HDR composite (Fig. 3)
188 by averaging the non-saturated pixels of the different images in the multi-exposure sequence.
189 It must be pointed out that this image has an appearance more similar to what our eyes can see
190 compared to the image in Fig. 1, however, for the detection algorithm, each individual image
191 from the sequence is used.

192 The next step is to find a threshold that separate cloudy and cloudless areas from the images
193 captured with the multi-exposure sequence as will be described in the next section.

194



195

196 Figure 3. High dynamic range composite using a sequence of multi-exposure images.

197

198 **3.2 The Adaptive threshold**

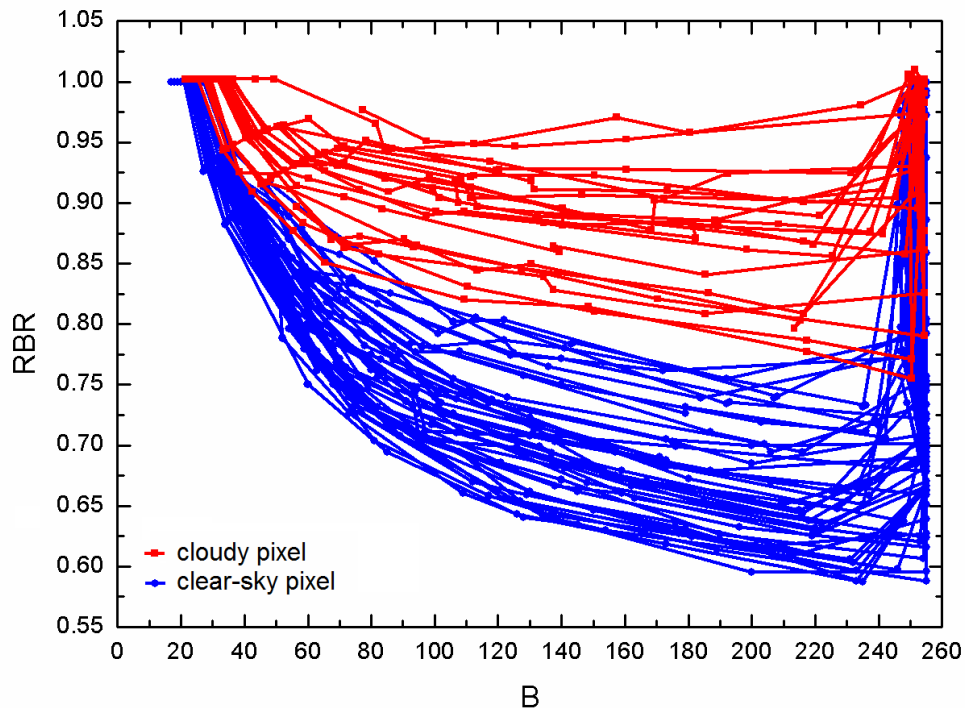
199 The first step is to obtain the RBR images for the sequence of multi-exposure images by
200 dividing the R and B channels of each image. The sky imager used in this work applies a
201 white balance (i.e. a color gain) with values of 1.46 for the R channel and 1.66 for the B
202 channel, and a gamma correction of 1.4 in order to appear more realistic. These have an
203 impact on the relationship between the red and blue channel. In order to parameterize this
204 dependency, for the image sequence shown in Fig. 2, pixels are randomly selected and
205 manually classified as clear-sky or cloudy. For these pixels, Fig. 4 shows the relationship
206 between RBR values and B counts for the different exposure times of the image sequence.
207 Each curve represents a pixel and each data point in the curve corresponds to an exposure
208 time. The B counts increase with increasing exposure time, while the RBR decreases
209 systematically for all cases (clear-sky and cloudy). This indicates that the blue channel

210 increases at a higher rate than the red channel as the exposure time increases, i.e. the
 211 sensitivity of the channels is different. This behavior changes drastically when the B counts
 212 are close to saturation (255). We also observe that this dependency is almost linear if we
 213 focus our attention in a specific range of counts (85 to 240 counts). The selection of this range
 214 is decided based on the R-square of the linear fit. For all the pixels used, we calculate the
 215 linear fit and the R-square using the full range and then, iteratively we reduce the interval,
 216 firstly reducing by one on the upper limit of the interval until there is a sudden change. On
 217 average, 240 is the number of counts where the descending behavior ends. Then, succeeding
 218 the fixing of the upper limit of the interval, we iterate on the lower limit until the R-square is
 219 greater than 0.95. On average, for the pixel analyzed, the lower limit is 85. Finally, we can fit
 220 the RBR values as a function of the B counts (in the range 85 to 240 B counts) following the
 221 equation

$$222 \quad RBR = T_s * B + T_i \quad (1)$$

223 where T_s and T_i are the slope and the intercept of the linear fit respectively.

224



225

226 Figure 4. Red-to-blue ratio (RBR) vs. blue (B) counts plot for clear-sky (blue) and cloudy
227 (red) pixels.

228

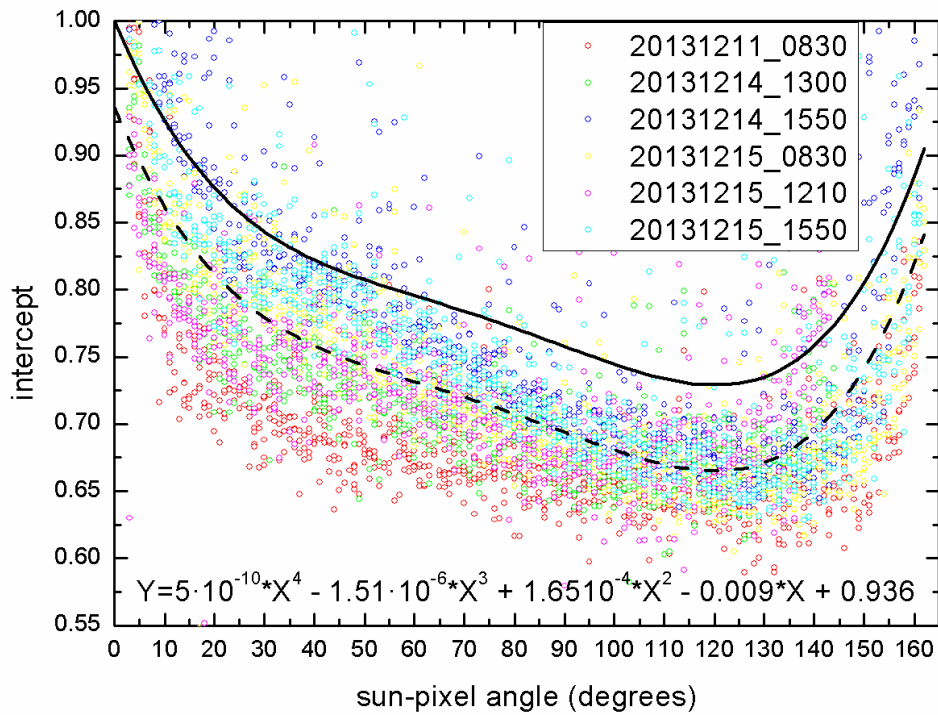
229 Figure 4 also shows a separation of pixels representing clear-sky and clouds. However, it can
230 be seen that the use of a fixed RBR threshold can be problematic in separating clear-sky and
231 cloudy pixels, especially when the B counts are low. Also the spread of the data, particularly
232 for clear-sky pixels can be explained by the angular distance between the sun and the
233 corresponding pixel in the sky dome. In order to illustrate this dependency, several clear-sky
234 image sequences acquired at different sun elevation are selected. Then we randomly select
235 cloudless pixels with sun-pixel angles ranging from the smallest angle possible to the
236 maximum in 1-degree steps. This procedure allows finding possible differences in the
237 relationship between cloudless pixels RBR values and the angle formed with the sun.

238 Sampling a large amount of random pixels allows minimizing the effect of outliers affecting
239 the searched relationship. For the selected pixels, we use Eq. (1) to obtain T_s and T_i . Figure 5
240 illustrates the scatterplot of intercept (T_i) vs. the sun-pixel-angle (A) for each cloudless pixel.
241 In this figure, different colors represent different image sequences captured. It can be seen
242 that in spite of the spreading there is an evident relationship between T_i and A . Considering all
243 the images together, we can characterize this dependency with a 4th-degree polynomial
244 function (dashed black line in Fig. 5):

$$245 \quad T_i = I_4 * A^4 + I_3 * A^3 + I_2 * A^2 + I_1 * A + I_0 \quad (2)$$

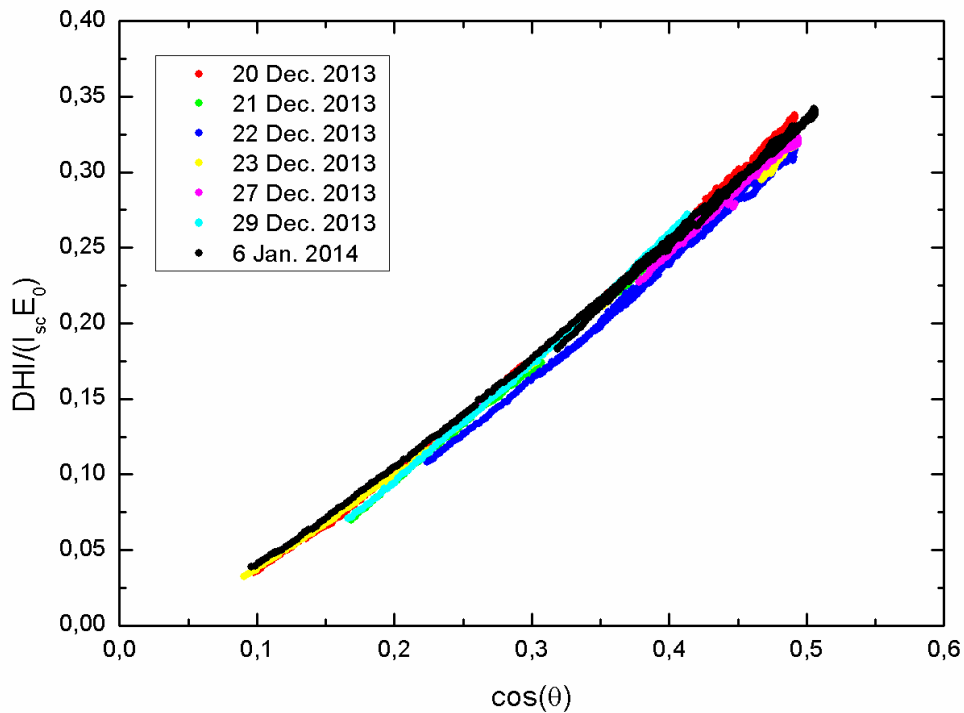
246 where I_0 to I_4 are the coefficients of the 4th-degree polynomial function.

247



248

249 Figure 5. Dependency of the Eq. (1) intercept with the sun-pixel angle. Cloud-free pixels from
 250 6 images (in different colors) are plotted. Dashed line is the fourth-degree polynomial fit of
 251 the scatter plot. Solid line is the fit with the intercept shifted to 1. Legend indicates the date
 252 and time of the images.



253

254 Figure 6. Dependency of the direct horizontal irradiance and the cosine of the solar zenith
 255 angle for clear-sky conditions.

256

257 This dependency shows that for pixels forming a small angle with the Sun, the intercept in Eq.
 258 1 (T_i) is larger and decreases drastically when we move away from the sun position. It has a
 259 less pronounced decrease for mid-angle pixels. For pixels away from the sun and close to the
 260 horizon (larger angles), T_i increases again due to the horizon brightening effect. On the other
 261 hand, T_s does not have any dependency with the distance to the sun, so a mean value can be
 262 used.

263 According to our analyses of the cloudless pixels, a different threshold (T) to each pixel of the
 264 image can be applied to the RBR. This threshold will depend on the B counts for that pixel
 265 (related to the amount of light registered by the sensor) and the distance of the pixel to the
 266 solar position. In this way, we can use Eq. (1), with T_i calculated applying Eq. (2) to
 267 determine the appropriate threshold in each case. It is interesting to note that Eq. (1)
 268 characterizes the RBR values for cloudless pixels, therefore, the appropriate threshold for
 269 classifying pixels in cloudless and cloudy ones needs to be above T but close enough to

270 minimize misclassifications. Thus we need to apply an offset to T_i . Considering that at solar
271 position ($A = 0$) T_i is equal to 1, i.e. forcing I_0 to 1 in Eq. (2), the new function characterize
272 the upper limit of the scatter plot (solid line in Fig. 5). The final equation for the threshold (T)
273 is

$$274 \quad T = T_s * B + (I_4 * A^4 + I_3 * A^3 + I_2 * A^2 + I_1 * A + 1) \quad (3)$$

275 where T_s and I_1 to I_4 are parameter calculated using Eq. (1) and Eq. (2) to a set of clear-sky
276 cases and characterize a specific sky imager.

277 **3.3 Final algorithm**

278 The two steps described above are combined for the final algorithm. Each sky imager has a
279 different response due to the differences in the sensor and parameters such as the color gain
280 and gamma correction. If these parameters are kept constant, the sky imager can be
281 characterized by fitting Eq. (1) and Eq. (2) for a set of selected sky images with clear-sky
282 conditions. The coefficients that characterize the sky imager are T_s , and I_1 to I_4 . The image
283 sequence in Fig. 5 is used for the calculation of the different coefficients. This calibration
284 must be done once, unless internal parameters of the sky imager change. Then, during
285 operation, we follow the next steps:

- 286 • Acquisition of the sequence of multi-exposure images.
- 287 • Calculate the sun position in the image and the sun-pixel angle for each pixel.
- 288 • For each image in the sequence:
 - 289 ○ Mask values out of the range of application (85-240 counts on the B channel).
 - 290 ○ Calculate T applying Eq. (3) with the corresponding sky imager coefficients.
 - 291 ○ Mark as cloudy every pixel above T and as clear-sky the rest of pixels.
- 292 • We finally have a sequence of result images with parts of them classified as cloudy or
293 clear-sky (the rest is masked out since is out of the range of application). Several
294 images may have the same pixels classified (more than one image have a specific
295 pixel in the range of application) so the final result is a single image that merges the
296 information from all this multi-exposure result images. The result images should have
297 the same result for a given pixel, but in case of a discrepancy due to outliers, the
298 classification for that pixel is based on the most frequent classification.

299 • Our sky imager has a shadow band that protects the sensor from the direct sunlight.
 300 The shadow band and objects obstructing the image are removed by interpolation
 301 yielding a complete picture of the sky dome. The interpolation is made by an iterative
 302 procedure that fills the masked areas with the median value of surrounding valid
 303 pixels.

304

305 **4 Modeled direct normal irradiance**

306 The methodology explained above allows discriminating the solar obstruction by clouds
 307 accurately. In order to validate the methodology, firstly we derived the direct horizontal
 308 irradiance (DHI) from the Global Horizontal Irradiance (GHI) and diffuse irradiance (DIF) as
 309 $DHI = GHI - DIF$.

310 Secondly, using the period comprising between 20 December 2013 to 15 January 2013 and
 311 selecting periods of time with unobstructed solar disc (manually checked by visual inspection
 312 of the images and the DNI), we formulated an empirical model that estimates DNI values
 313 based on the solar zenith angle (θ). In this sense, we observed an exponential dependency
 314 between the DHI and the solar zenith angle (Figure 6) which can be fitted by the following
 315 expression:

$$316 \frac{DHI}{I_{sc} E_0} = (-0.76 + 0.73 e^{0.79 \cdot \cos(\theta)}) \quad (4)$$

317 where I_{sc} is the solar constant and E_0 is the eccentricity factor. Finally, by means of this
 318 dependency and converting the DHI into DNI ($DNI = DHI/\cos(\theta)$) our empirical model
 319 follows the equation:

$$320 DNI = \frac{I_{sc} E_0 (-0.76 + 0.73 e^{0.79 \cdot \cos(\theta)})}{\cos(\theta)} \quad (5)$$

321 This model is used to calculate the relative difference between the measured DNI and the
 322 modeled one. This relative difference is used to confirm that the solar disc is obstructed by
 323 clouds. However, this relative difference between the model and the measured DNI during
 324 cloud-free conditions is about 12%. This would be the uncertainty of the model, i.e. a
 325 reduction of 12% of the DNI or more could be considered as cloud obstructing the solar disc.
 326 In order to minimize this difference for a given day, we calculated the daily mean relative

327 difference between the model and the calculated DNI for period of unobstructed sun. Then,
328 that relative difference is added or subtracted to the model for that day. This way we account
329 for factors that are not included in the model such as the aerosol load. In days with no
330 unobstructed solar disc periods, the modeled DNI is applied. Thus, the relative difference
331 between the measured and modeled DNI is reduced to 7%, and we consider this the
332 uncertainty in the detection of unobstructed solar disc.

333 The modeled DNI, modified based on the sky conditions, is used to quantify the accuracy of
334 our detection method. Cases with a difference smaller than the uncertainty of the model are
335 considered as cloud-free sky in the sun position, and cases with a difference greater than the
336 uncertainty of the model are considered to have the sun obstructed by clouds.

337

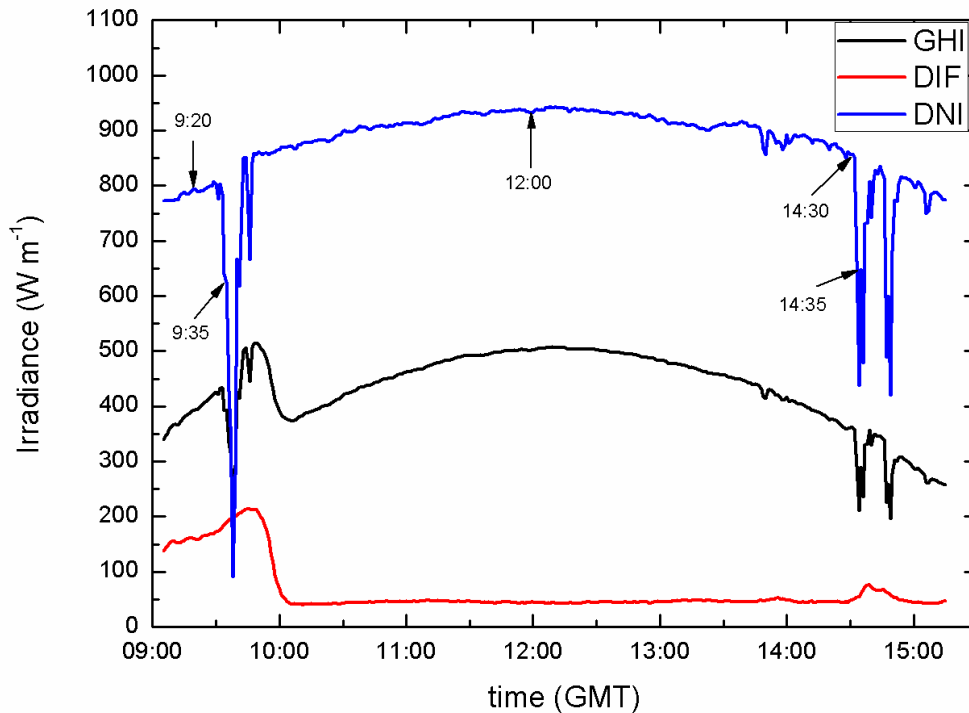
338 **5 Results**

339 We applied the multi-exposure adaptive threshold technique during the period of time
340 between 20 December 2013 and 15 January 2014. All images were captured every 5 minutes
341 when the sun elevation is above 15° every day with a few gaps due to problems with the
342 computer or the network connection. Firstly, a study case with a great variety of cloud
343 coverage and sun obstruction is analyzed in detail in subsection 5.1 and, subsequently,
344 statistical results based on the proposed model are shown in subsection 5.2.

345 **5.1 A case study**

346 We analyzed in detail the images during 21 December 2013. This day contains a variety of
347 situations that allows us to illustrate our methodology. Early in the morning the day is
348 completely cloud-free. Around 08:45 GMT clouds start to develop and move from the north-
349 east. Close to 09:30 GMT the sky dome is practically covered by clouds. However, the sun
350 remains unobstructed most of the time. Clouds dissipation starts around 10:00 GMT and the
351 sky remains cloud-free until later in the afternoon when, about 14:30 GMT some clouds
352 develop in the south-west, close to the sun position at that time. Figure 7 shows the GHI, DIF
353 and DNI during the selected day. Early in the morning, DIF and GHI increases, indicating the
354 presence of clouds but with the sun unobstructed. About 9:35 GMT there is a sudden decrease
355 of the GHI and the DNI, pointing out the presence of a cloud obstructing the sun position. The
356 completely cloud-free sky period is observed with the smoothness of the GHI and small
357 values of DIF. Finally, in the afternoon another cloud passing through the sun position can be

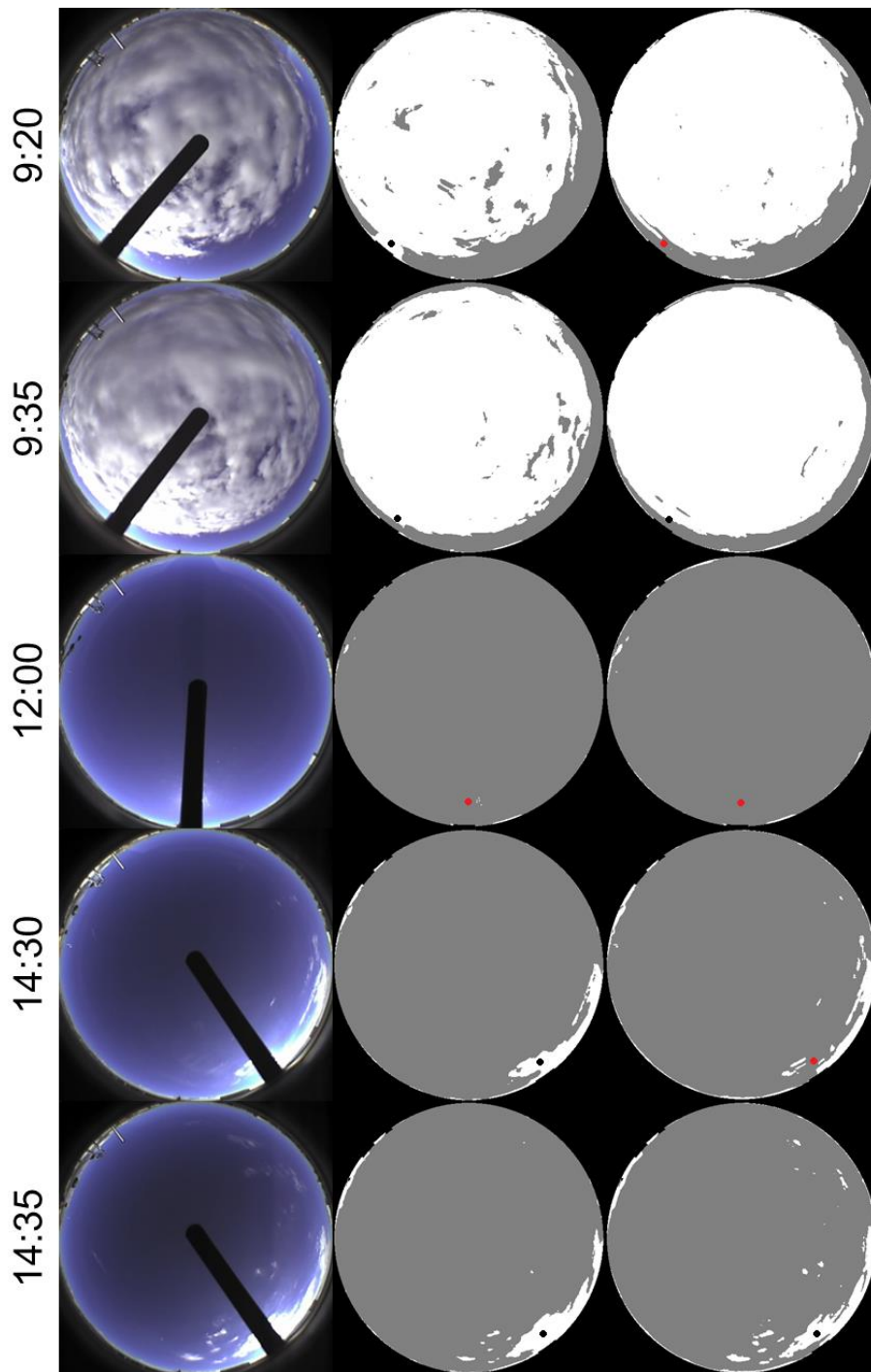
358 observed by looking at the sudden decrease of the DNI. Markers in Fig. 7 indicate times when
359 we look at the cloud detection images.
360



361
362 Figure 7. Global horizontal irradiance (GHI), diffuse irradiance (DIF) and direct normal
363 irradiance (DNI) during 21 December 2013. Specific times with coincident sky images are
364 marked with arrows.

365
366 Figure 8 shows the sequence of images at the times specified on Fig. 7. The first column is the
367 original single exposure image. The second column is the result of applying the RBR to the
368 first column and column 3 shows the result applying the threshold technique to the multi-
369 exposure sequence as explained in the previous section. Column 2 and 3 have the sun position
370 marked in black if the algorithm detected that position as cloudy and red if it was detected as
371 clear-sky.

372



373

374 Figure 8. Sequence of images during 21 December 2013. The first column is the original
 375 single exposure image. The second column is the result applying the red-to-blue ratio to the
 376 first column. Column 3 shows the result applying the threshold technique to the multi-
 377 exposure sequence. Columns 2 and 3 have the sun position marked as black if the algorithm
 378 classified the sun position as cloudy and red if it was classified as clear-sky.

379

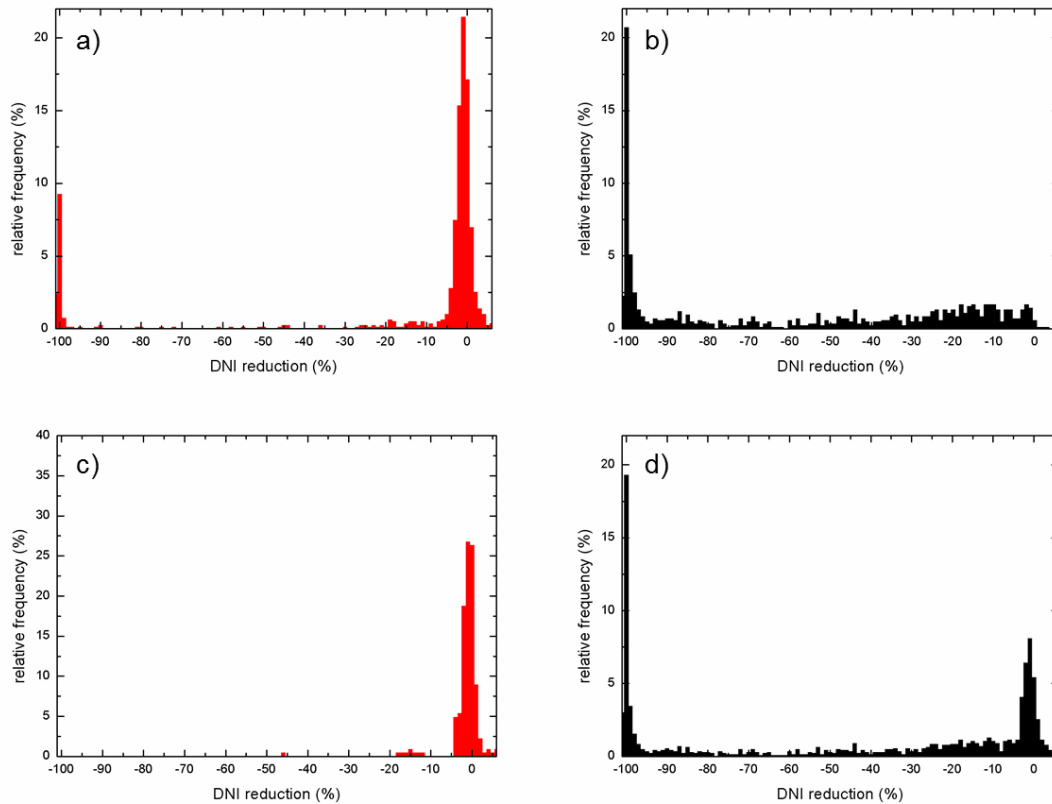
380 We observe that, during the morning, the new algorithm detects correctly that the sun is
381 unobstructed by clouds and also detects the clouds pass in front of the sun position. The
382 images corresponding with the evening also show the good performance of the new
383 algorithm, detecting the clouds around the sun position. The images on row 3 (12:00) also
384 show the good performance of the new algorithm on completely cloud-free situations.

385 **5.2 Statistical analysis**

386 A validation of the method can be performed by a statistical analysis of the goodness of the
387 detection of clouds in the sun position. For time coincident cases with images (every 5
388 minutes) and DNI data, we separated cases where the algorithm indicates that the sun is
389 obstructed by clouds and those cases classified as cloud-free in the sun position. For each
390 case, we calculated the relative difference (in percentage) between the calculated and modeled
391 DNI. Hence, two frequency histograms are built with the percent of reduction of DNI (respect
392 to the model) for cases with sun obstructed/unobstructed by clouds. The histogram for
393 unobstructed sun cases is shown in Fig. 9a. We observe that about 78% of cases classified as
394 clear sun path have a reduction of the DNI with respect to the modeled values smaller or
395 equal to 7% (model uncertainty), considering these cases as well classified. The remaining
396 22% are misclassified, with reductions of the DNI until 100%. We would like to highlight that
397 13% of the cases show reductions of the DNI between 95 and 100%, corresponding to
398 overcast conditions, some of them with rain. If we exclude them from the analysis (they are
399 not relevant in solar technology applications), we have a misclassification of about 9% of the
400 cases. Finally, the histogram shown on Fig. 9b reveals that about 90% of the data with
401 reductions of 7% or more are classified by the algorithm as sun obstructed by clouds.

402 During the same period, images captures with a single exposure time were analyzed using the
403 red-to blue ratio threshold technique. The same interpolation process was used to remove the
404 shadow band. Using the same statistical analysis, we observe that about 95% of cases
405 classified as clear sun path, have a reduction of the DNI with respect to the modeled values
406 smaller or equal to model uncertainty (Fig. 9c), yielding to a misclassification of about 5%.
407 On the other hand, 67% of the cases with reductions of the DNI greater than 7% are classified
408 as cloudy by the classical RBR method as sun obstructed by clouds (Fig. 9d).

409



410

411 Figure 9. Relative frequency histograms of the direct normal irradiance reduction respect to
 412 the model for cases classified as sun unobstructed (left panels), and cases classified as sun
 413 obstructed (right panels). Top panels show results with the multi-exposure adaptive technique
 414 and bottom panels show results with the red-to-blue ration threshold technique.

415

416 This shows that on clear-sky conditions the classical method misclassifies about 33% of the
 417 cases and the new method misclassifies about 9% of the cases.

418

419 **6 Conclusions**

420 The methodology presented in this work results on a robust way to detect clouds in sky
 421 imagers without the limitation of single exposure images where parts of the sky are saturated.
 422 We evaluated the ability of this method to detect clouds in the sun position since this is one of
 423 the main concerns in solar energy technologies applications. The procedure has been tested on

424 a CCD sensor sky imager with shadow band, which introduces an additional source of error
425 because the shadow band needs to be eliminated by interpolation.

426 A case study analysis reveals that the method classifies the entire image accurately, and the
427 classification of clear-sky and cloudy pixels is similar to what the human eye sees in the
428 images. The statistical analysis shows that the method works well for most conditions
429 although it presents some limitations for overcast and rainy conditions. During these cases,
430 the images present a blue cast due to the color balance the sky imager applies, causing clouds
431 to appear bluish instead of grey in the images. This makes the cloud to be below the RBR
432 threshold for pixels close to the sun where the threshold takes higher values. In any case,
433 focusing on solar technology applications, these misclassifications are not a problem since the
434 power plants do not operate in these situations and they can be excluded of the analysis.

435 Comparing the classification rate of the new method and the classical RBR of a single
436 exposure time image, we observe that conditions with the sun unobstructed by clouds (DNI
437 reductions smaller than 7%) are misclassified on 9% of the cases with the new method and on
438 33% of the cases with the classic RBR method. This shows that, when the sun is unobstructed
439 by clouds, misclassification with the new method is smaller. The main problem with sky
440 imagers is that the circumsolar area is classified as cloudy in numerous situations, but with
441 this new method, we are discriminating more accurately clear-sky conditions as they are
442 better classified (up to 24%). This is essential if the cloud information derived from sky
443 imagers needs to be used in solar technologies for forecast model.

444 This methodology can be applied to other sky imagers easily as long as the sky imager
445 parameters are kept constant, including sky imagers without a shadow band, where it is
446 expected to provide better classification rates since the interpolation process is not necessary.
447 Also, the control of the color balance of the imager is expected to remove the blue cast that
448 overcast images present, solving the misclassification in these situations.

449

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546

547 **Figure Captions**

548 Figure 1. Sky image capture by the SONA sky imager.

549 Figure 2. Sequence of multi-exposure images captures with the SONA sky imager.

550 Underexposed areas of the image (B counts bellow 85) are marked in green and overexposed
551 (B counts above 240) are marked in red.

552 Figure 3. High dynamic range composite using a sequence of multi-exposure images.

553 Figure 4. Red-to-blue ratio (RBR) vs. blue (B) counts plot for clear-sky (blue) and cloudy
554 (red) pixels.

555 Figure 5. Dependency of the Eq. (1) intercept with the sun-pixel angle. A total of 6 images (in
556 different colors) are plotted. Dashed line is the fourth-degree polynomial fit of the scatter plot.
557 Solid line is the fit with the intercept shifted to 1. Legend indicates the date and time of the
558 images.

559 Figure 6. Dependency of the direct horizontal irradiance and the cosine of the solar zenith
560 angle for clear-sky conditions.

561 Figure 7. Global horizontal irradiance (GHI), diffuse irradiance (DIF) and direct normal
562 irradiance (DNI) during 21 December 2013. Specific times with coincident sky images are
563 marked with arrows.

564 Figure 8. Sequence of images during 21 December 2013. First column is the original single
565 exposure image. The second column is the result applying the red-to-blue ratio to the first
566 column. Column 3 shows the result applying the threshold technique to the multi-exposure
567 sequence. Columns 2 and 3 have the sun position marked as black if the algorithm classified
568 the sun position as cloudy and red if it was classified as clear-sky.

569 Figure 9. Relative frequency histograms of the direct normal irradiance reduction respect to
570 the model for cases classified as sun unobstructed (left panels), and cases classified as sun
571 obstructed (right panels). Top panels show results with the multi-exposure adaptive technique
572 and bottom panels show results with the red-to-blue ration threshold technique.