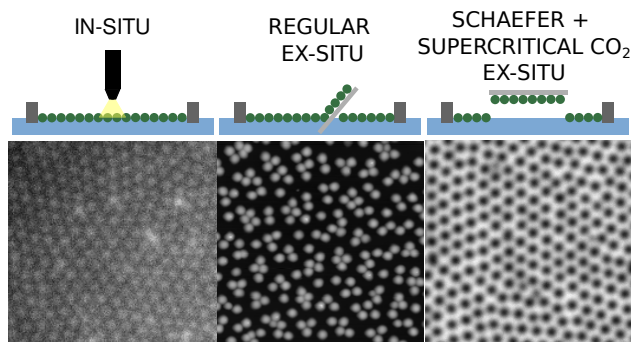


## Graphical Abstract

### In-Situ Characterization of Microgel Monolayers: Controlling Isostructural Phase Transitions for Homogeneous Crystal Drying Patterns

Antonio Rubio-Andrés, Delfi Bastos-González, Miguel Angel Fernandez-Rodriguez



## Highlights

### **In-Situ Characterization of Microgel Monolayers: Controlling Isostructural Phase Transitions for Homogeneous Crystal Drying Patterns**

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- Isostructural Phase Transition (IPT) is only observed in ex-situ measurements.
- Capillary and Marangoni Forces can be combined to create a 'zero-force' environment.
- IPT occurs as a result of the capillary forces arising upon drying of the monolayer.
- Adhesion forces can be estimated from microgel compression curves at the interface.
- Supercritical drying avoid IPT, unlocking highly compressed and ordered monolayers.

# In-Situ Characterization of Microgel Monolayers: Controlling Isostructural Phase Transitions for Homogeneous Crystal Drying Patterns

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## Abstract

The self-assembly of microgels at fluid interfaces and transfer to solid substrates has proven valuable in fields like photonics, plasmonics, and nanofabrication. However, this process is constrained by the isostructural phase transition (IPT) that occurs under sufficiently high compression, disrupting the monolayer order. Understanding the mechanisms driving IPT is crucial to extend their applicability to a wider range of interparticle distances. We tackle this problem by studying the monolayer conformation via in-situ microscopy at the interface. We monitored the microgel monolayer throughout the different stages of the deposition onto a solid substrate. We found that neither the compression at the interface nor the capillary forces arising from the receding meniscus during the deposition triggered the IPT. In fact, the still wet deposited monolayers do not exhibit IPT regardless of the compression of the monolayer. Instead, the IPT occurs during the drying of the wet deposited monolayers, particularly when the capillary force overcomes the adhesion force. Additionally, we found a new mechanism to modulate the interparticle distance by light-induced Marangoni forces. Instead, IPT arises from capillary forces generated during the drying of the water film after the monolayer is transferred. We propose a theoretical model to estimate the adhesion force between the microgels and the substrate based on the compression curve of the monolayer. Furthermore, we suggest a novel method combining a Langmuir-Schaefer deposition with supercritical drying to fully prevent the IPT, resulting also in a new tool to study an otherwise inaccessible regime with highly compressed monolayers. Our findings advance the understanding of soft colloidal self-assembly at fluid interfaces and expand their applications, enabling the creation of larger substrates with highly ordered self-assembled microgel monolayers with tunable interparticle distance.

**Keywords:** Soft Colloidal Lithography, Self-assembly, Microgels, In-Situ characterization, Capillary Forces, Homogeneous Drying, Marangoni

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## 1. Introduction

The homogeneous drying of nanoparticle films is a hot research topic due to its wide range of applications, such as in coatings, nanofabrication, and photonics [1, 2, 3, 4]. For such applications, nanoparticle films are typically deposited from bulk suspensions. While this method allows for a rapid and scalable production of nanoparticle films, there are common drawbacks such as the coffee-ring effect [5, 6], or the difficulty in fine tuning the properties of the nanoparticle films, such as the ordering or the spacing between nanoparticles, critical for colloidal

lithography applications [7, 8, 9]. To circumvent these issues, there is another way to fabricate nanoparticle films by taking advantage of their ability to self-assemble into monolayers at liquid interfaces [10]. The monolayers can be deposited in a controlled way on substrates, such as in Langmuir-Blodgett depositions. The properties of the resulting monolayers can be precisely tuned by altering the morphology and/or chemical composition of the nanoparticles, i.e. by engineering the interaction potential during the self-assembly at the liquid interface. Nevertheless, the capillary forces during the monolayer transfer and drying are a critical problem, since they tend to cause nanoparticle aggregation and disorder within the monolayer [11]. While alternative methodologies involving the use of water/hexane interfaces can mitigate to some extent this problem [12], the range of nanoparticle

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28 compositions and interparticle distances is still limited by  
29 their interactions at the liquid interface and the capillary  
30 forces during transfer and drying.

31 A promising alternative to overcome the disorder  
32 during transfer and drying involves adding "softness"  
33 to the nanoparticles, such as in the case of microgels  
34 [13, 14, 15]. Microgels are soft nanoparticles composed  
35 of crosslinked polymer networks where the monomer  
36 and co-monomers can impart responsiveness to differ-  
37 ent stimuli, such as thermoresponsiveness when poly-  
38 N-isopropylacrylamide (pNIPAM) is used as monomer.  
39 This reversible response to temperature arises from hy-  
40 drogen bonds stabilizing the polymeric network, above  
41  $\approx 32^\circ\text{C}$  (volume phase transition temperature, VPT),  
42 the thermal energy  $kT$  is in the order of the hydrogen  
43 bonds, causing the expulsion of water molecules and the  
44 collapse of the microgel [16]. Moreover, the usual syn-  
45 thesis by precipitation polymerization is able to produce  
46 in a reliable way significantly monodisperse microgel  
47 dispersions, useful for their self-assembly into homo-  
48 geneous crystals. Their softness and thus the degree to  
49 which the microgels can collapse/swell can be easily  
50 controlled by the crosslinking density during the syn-  
51 thesis, where the microgels develop a Gaussian profile  
52 of crosslinking density, with a denser core and a less  
53 crosslinked corona. When microgels adsorb at a liquid  
54 interface, they stretch as much as possible to reduce  
55 the surface tension, only limited by the inner elasticity  
56 of the polymeric network [17]. As a result, the micro-  
57 gels adsorbed at the interface adopt a 'fried-egg' shape  
58 with a stretched portion adsorbed at the interface and  
59 a still well solvated portion in the aqueous sub-phase  
60 [18, 19, 20]. This distinctive morphology allows micro-  
61 gels to easily self-assemble into regular monolayers at  
62 liquid interfaces, with the capillary attraction and steric  
63 repulsion between microgels being the main ingredients  
64 in the process [14]. Furthermore, the softness of the mi-  
65 crogels enables fine control over interparticle distances  
66 by compressing the elastic monolayer at the interface.  
67 This softness plus the gelation of the monolayer facili-  
68 tate their transfer onto solid substrates while preserving  
69 their ordering. These advantages have been exploited to  
70 develop the Soft Colloidal Lithography (SCL) technique,  
71 where self-assembled microgel monolayers act as col-  
72 loidal masks for different purposes, such as producing  
73 vertically aligned nanowires, assisting in the deposition  
74 of other nanoparticles, or fabricating diffraction patterns  
75 [21, 14, 22, 23].

76 In 2016, we described that microgels in a self-  
77 assembled monolayer at liquid interfaces undergo an  
78 isostructural phase transition (IPT) upon compression  
79 [24]. At low compressions, microgels arrange into hexag-

80 onal close-packed (hcp) arrays with all microgels in con-  
81 tact through the portions adsorbed and stretched at the  
82 interface. Upon further compression, some of those  
83 stretched portions begin to collapse and microgels start  
84 interacting also via the solvated portions in the aqueous  
85 sub-phase, resulting in a loss of order, i.e. the onset of  
86 the IPT. This order is eventually recovered when enough  
87 compression is applied to the monolayer, leading to all  
88 microgels being in a new more compressed hcp order  
89 where all microgels are in contact via their solvated por-  
90 tions in the sub-phase. Therefore, there is a window of  
91 interparticle distances that we cannot access so far for  
92 a particular microgel since the onset of the IPT causes  
93 disorder of the monolayer. In this way, it is crucial to  
94 understand the underlying mechanisms driving the IPT  
95 in developing strategies to prevent it. This IPT has tra-  
96 ditionally been attributed to the failure of the stretched  
97 portions of the microgels at the interface under com-  
98 pression of the monolayer [24]. However, verifying this  
99 hypothesis requires in-situ studies that examine the con-  
100 formation of microgel monolayers upon compression at  
101 liquid interfaces.

102 In this regard, different approaches have been em-  
103 ployed to gain further insights about the in-situ behavior  
104 of microgels at interfaces. Neutron scattering techniques,  
105 together with simulations, have provided valuable infor-  
106 mation about the out-of-plane conformation of microgels  
107 [25, 26]. The recently developed in-situ atomic force mi-  
108 croscopy (AFM) enabled the possibility of reconstructing  
109 the actual 3D shape of microgels at an water/oil interface  
110 [27, 28]. These techniques, however, do not provide in-  
111 formation about the arrangement of the microgels within  
112 the monolayer and thus can not help to directly explore  
113 the IPT. Freeze fracture shadow casting (FreeSCa) Cryo-  
114 Sem offers the possibility of imaging the monolayer after  
115 freezing it [29, 18]. However, its complexity prevented  
116 an extensive use of this technique. Very recently, sig-  
117 nificant progress has been made in imaging microgel  
118 monolayers in-situ using optical microscopy [30, 31]. A  
119 particular interesting approach is the coupling of a Lang-  
120 muir balance with optical microscopy to study in-situ  
121 the behavior of microgel monolayers at liquid interfaces,  
122 comparing it to their ex-situ structure after the deposition  
123 onto a solid substrate. With this method, the behavior of  
124 the microgel monolayer at different compression stages  
125 can be easily monitored. Notably, their results revealed  
126 that the IPT does not seem to occur at the liquid interface  
127 but rather upon the transfer process onto a solid substrate  
128 [30, 31, 32]. Nevertheless, a comprehensive study of all  
129 steps involved in the deposition and drying process is  
130 missing, which would lead to a better understanding of  
131 when, where, and why the IPT occurs.

132 In this work, we use an optical microscope coupled  
133 to a Langmuir trough to systematically study the differ-  
134 ences between microgel monolayers at liquid interfaces,  
135 i.e. in-situ, and deposited monolayers, i.e. ex-situ. We  
136 present a comprehensive experimental study supported  
137 by theory and simulations to elucidate the exact mecha-  
138 nism behind the IPT. In the process, we provide a new  
139 tool to control the interparticle distance by light-driven  
140 Marangoni flows. Finally, we propose a novel deposition  
141 method to fully prevent the IPT at high surface pressures.  
142 This approach expands the range of application of the  
143 SCL technique and offers a new way to study the high  
144 pressure compression regime, being able to switch on  
145 and off the IPT at will.

## 146 2. Results and discussion

147 Our chosen system is a conventional pNIPAM micro-  
148 gel with 4.8 %mol crosslinking density, using KPS as  
149 initiator of the precipitation polymerization. The ini-  
150 tiator provides a negative charge to the microgel when  
151 dispersed in Milli-Q water, with an electrophoretic mo-  
152 bility  $\mu_e = -2.23 \pm 0.02 \mu\text{mcm}/V\text{s}$ . The average hydro-  
153 dynamic diameter and polydispersity index measured  
154 by DLS at  $24^\circ\text{C}$  is  $653 \pm 25 \text{ nm}$ , with a PDI of 0.08.  
155 At  $50^\circ\text{C}$ , well above the VPPT, the microgel shrinks  
156 down to  $400 \pm 10 \text{ nm}$ . The swelling ratio, defined as  
157 the quotient between the sizes of the microgel in its ex-  
158 panded and collapsed state, is  $\frac{D_{h,25^\circ\text{C}}}{D_{h,50^\circ\text{C}}} \simeq 1.6$ . For the study  
159 at the water/air interface, we add isopropanol (IPA) to  
160 the microgel dispersion as spreading agent, in a 4:1 wa-  
161 ter:IPA ratio, and deposit them on a Langmuir trough to  
162 obtain a self-assembled microgel monolayer. In Figure  
163 1, we show the response of the monolayer to lateral com-  
164 pression, i.e. the compression curve. As the motorized  
165 barriers of the Langmuir trough compress uni-axially  
166 the monolayer, the surface pressure  $\Pi$  increases and the  
167 distance between microgels decreases, thereby reduc-  
168 ing the area per particle  $A_p$ . Usually, this kind of data  
169 is obtained via ex-situ measurements, where the mono-  
170 layer is transferred onto a solid substrate while com-  
171 pressing the interface, producing a continuous gradient  
172 of  $\Pi$  [24, 21, 33, 34, 35]. The monolayer is subsequently  
173 imaged ex-situ, and each position on the substrate is  
174 correlated with its corresponding  $\Pi$ , from which  $A_p$  can  
175 be extracted. In order to create a reference point in the  
176 substrate to help in relating  $\Pi$ , measured in-situ, and  
177  $A_p$ , measured ex-situ, a common practice is to suddenly  
178 open the barriers at the end of the experiment to create a  
179 point that can be easily found during the ex-situ imaging.  
180 When only this information was available, it was com-  
181 mon to assume that the conformation of the deposited

182 microgel, i.e. ex-situ, was the same as the monolayer at  
183 the liquid interface, i.e. in-situ. This assumption consid-  
184 ers that the forces experienced by the microgels during  
185 the transfer and drying process are not higher than the  
186 steric repulsion between microgels. Nevertheless, the  
187 process of the monolayer deposition involves a receding  
188 meniscus on a substrate [36], and its subsequent dry-  
189 ing. Aiming to assess the possible differences between  
190 in-situ and ex-situ images of the monolayer, we com-  
191 pare their respective compression curves and monolayer  
192 configuration in Figure 1.

### 193 *Differences Between Ex-situ and In-situ Characteriza-* 194 *tion Methods*

195 In order to represent both curves, corresponding to two  
196 different experiments with the same pNIPAM microgel,  
197 we took respectively in-situ and ex-situ images to track  
198 the microgels and obtain the  $A_p$  for each image. The  
199 slight differences between both curves can be ascribed to  
200 small differences in the initial conditions. While we keep  
201 a strict criteria to make the experiments as reproducible  
202 as possible (see Materials & Methods), in the best sce-  
203 nario the Langmuir balance is still sensitive to the initial  
204 zeroing of  $\Pi$ , and even more to the deposition of the  
205 microgels with the spreading agent. Nevertheless, since  
206 both compression curves are rather similar, we can infer  
207 that indeed the experimental conditions were compara-  
208 ble enough between both experiments. Upon the initial  
209 self-assembly of the microgel monolayer,  $\Pi$  increases  
210 steadily until  $\Pi \simeq 25 \text{ mN m}^{-1}$ , where the sharp rise in  
211 the ex-situ curve indicates that the monolayer is nearing  
212 its maximum packing density before the buckling of the  
213 monolayer. At that value of  $\Pi$ , in-situ imaging becomes  
214 challenging as the microgels are tightly packed, causing  
215 the images to blur even by using fluorescent microgels  
216 [31, 32]. The main differences are visible when compar-  
217 ing directly the in-situ and ex-situ images at the same  
218  $\Pi$ . Red- and black-framed images represent in-situ and  
219 ex-situ images, respectively. At low  $\Pi$ , both methods  
220 reveal microgels in hcp interacting through the portions  
221 adsorbed and stretched at the interface. As  $\Pi$  increases  
222 above  $\simeq 22 \text{ mN m}^{-1}$ , the in-situ an ex-situ monolayers  
223 show a different structure, since the in-situ images show  
224 still hcp order, while the ex-situ images show the typical  
225 clusters associated to the onset of the IPT. This cluster-  
226 ing was attributed to the failure of the portions of the  
227 microgels stretched at the interface under lateral com-  
228 pression right at the liquid interface [24], leading to their  
229 collapse and causing that the microgels start to be in  
230 contact through their solvated portions in the sub-phase.

231 A quantitative analysis of the compression curves is  
232 provided in Figure 2. We track the microgel positions

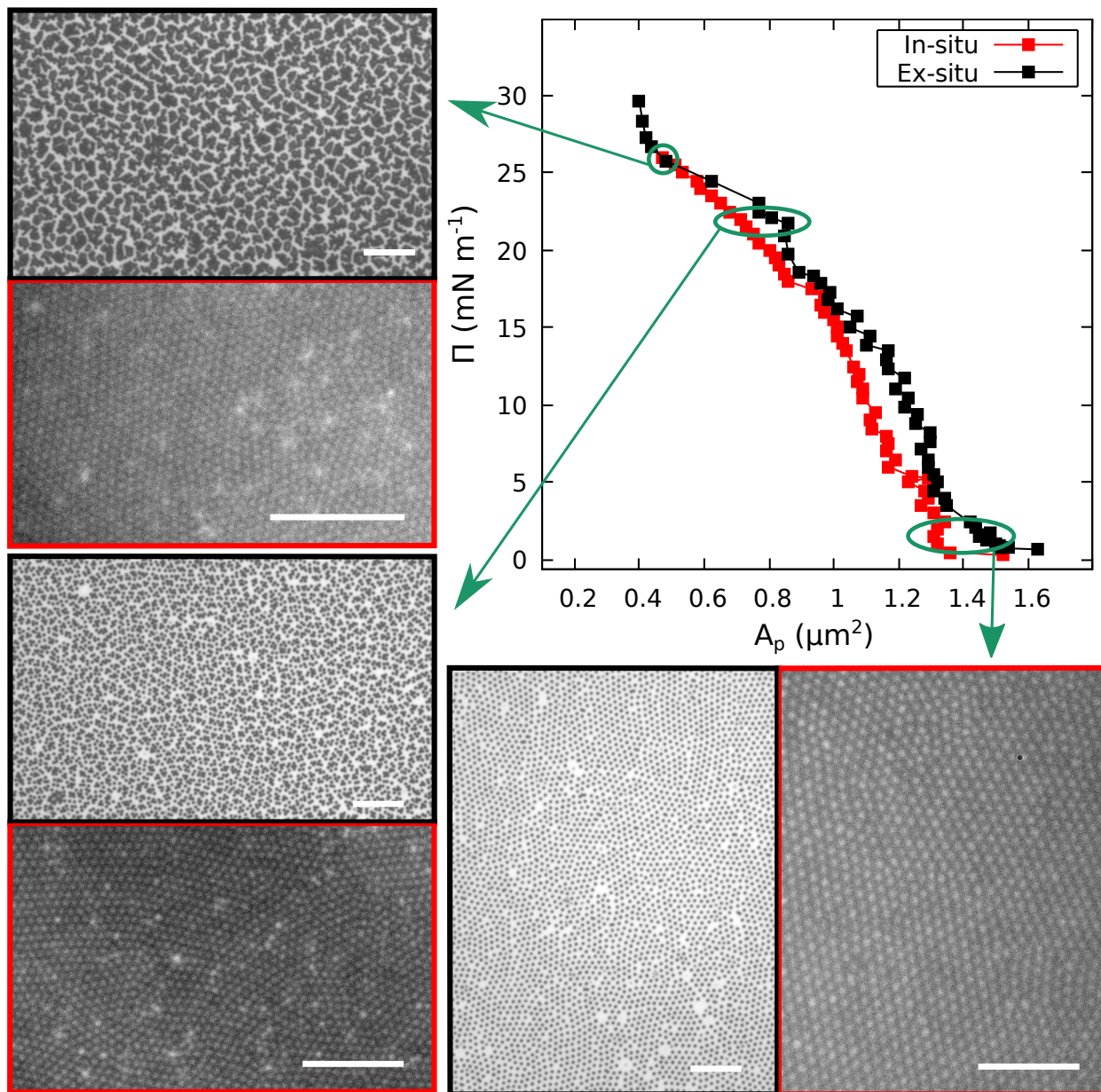


Figure 1: Surface pressure  $\Pi$  versus area per particle  $A_p$  of compression curves of the same pNIPAM microgel measured both in-situ with a microscope mounted on top of a Langmuir trough (■) and ex-situ after the deposition of the monolayer on a silicon wafer (■). The insets are representative images by optical microscopy with  $10\ \mu\text{m}$  scale-bars. Frames match the corresponding curve color.

233 in the in-situ and ex-situ images to show both the nearest  
 234 neighbor distance (NND) and the order parameter  
 235  $\psi_6$  vs  $\Pi$ , where  $\psi_6 = 1$  indicates perfect hexagonal  
 236 order. In the Supplementary Information we provide the  
 237 same plots vs the microgels per area ( $A_p^{-1}$ , in Figure S1)  
 238 to establish useful comparisons with previous studies  
 239 [31, 32, 30]. The plots against  $\Pi$  provide a clearer pic-

240 ture about the state of the monolayer at each compression  
 241 stage, enabling us to identify between different compression  
 242 regimes. We start with the ex-situ curve since it  
 243 reflects the usual behavior of a pNIPAM microgel mono-  
 244 layer deposited on a substrate [24]. At approximately  
 245  $\approx 18\text{mN m}^{-1}$ , the NND curve splits into two, marking  
 246 the frontier of two distinct regions. In Regime I, the

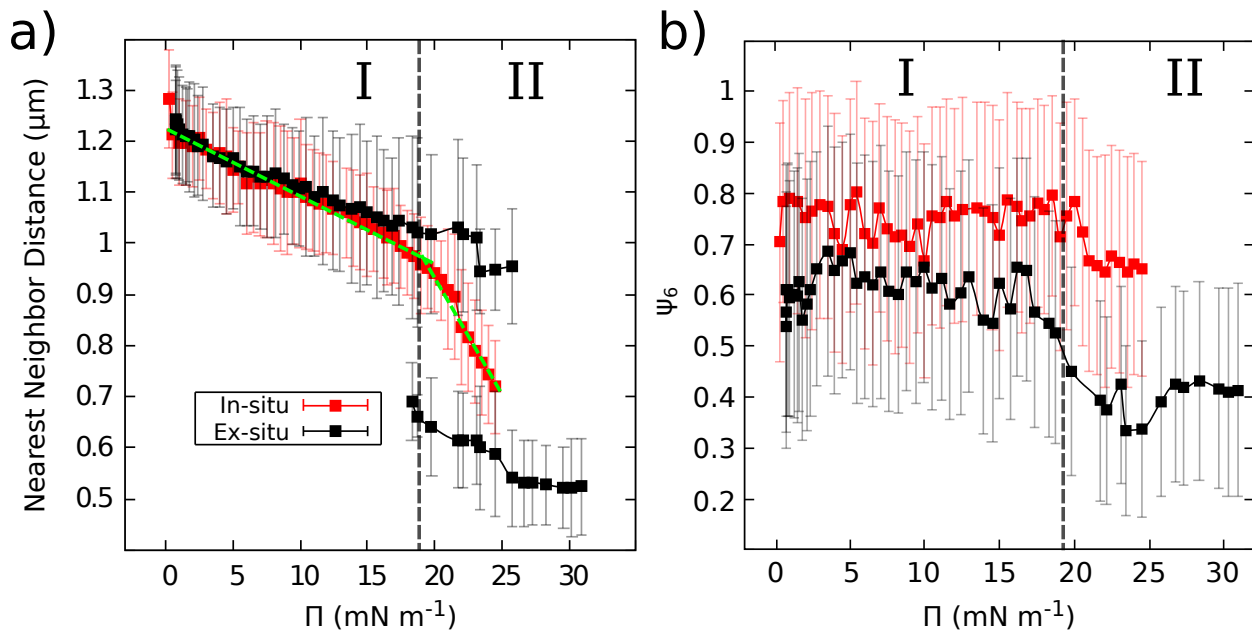


Figure 2: **a)** Evolution of the nearest neighbor distance of the microgel monolayer characterized ex-situ (■) and in-situ (■) vs  $\Pi$ . Roman numerals denote the different regimes at each compression stage. **b)** Corresponding order parameter  $\psi_6$  for both curves in a). The dashed lines are guides to the eye.

247 NND decreases linearly with  $\Pi$  while microgels are as- 273  
 248 assembled in hcp with all microgels in contact through 274  
 249 their stretched portions at the interface. The frontier of 275  
 250 Regime I and II is reached when the onset of the IPT 276  
 251 occurs. In Regime II, some microgels experience the col- 277  
 252 lapse of their stretched portions at the interface, result- 278  
 253 ing in microgels interacting through their solvated por- 279  
 254 tions in the sub-phase, being this the cause of the curve split. 280  
 255 It is worth noting that the onset of the IPT results in 281  
 256 more space for the microgels and this reflects in a depar- 282  
 257 ture to higher values of NND for the top curve from the 283  
 258 linear trend in Regime I. As  $\Pi$  increases, all microgels 284  
 259 become in contact through their solvated portions in the 285  
 260 sub-phase. Nevertheless, we do not reach  $\Pi$  values to 286  
 261 recover a full hcp in Regime II, as we are interested in 287  
 262 exploring the onset of the IPT, i.e. when, where, and why 288  
 263 it starts to happen. The IPT is reflected in a drop in  $\psi_6$   
 264 in Figure 2b. Now we compare with the in-situ measure-  
 265 ments, revealing that the qualitative differences discussed  
 266 in Figure 1 are quantitatively confirmed by the NND and  
 267  $\psi_6$  plots. The in-situ curve shows a continuous reduction  
 268 in NND without splitting but with a significant change  
 269 in the slope, indicated by green dashed lines in the plot.  
 270 This points out to a change in the compressibility of the  
 271 microgels at approximately the same  $\Pi$  in which the on-  
 272 set of the IPT occurs for the ex-situ curve. This change

in NND curve slope suggests that in Regime I the in-situ  
 microgel monolayer is more difficult to be compressed  
 than in Regime II. This is a direct result of the portions  
 stretched at the interface being more rigid and difficult  
 to compress against the surface tension. Once those  
 stretched portions at the interface collapse, the microgels  
 interact primarily by the softer solvated portions in the  
 aqueous sub-phase [37]. This transition from Regime I  
 to II also shows as a slight drop in  $\psi_6$ . By comparing the  
 $\psi_6$  curves, the in-situ curve is consistently higher. Thus,  
 transferring the monolayer onto a solid substrate not only  
 induces the IPT but also decreases the overall ordering  
 of the monolayer, even at low compression. Our findings  
 are in agreement with latest works finding that the IPT  
 does not seem to occur at the liquid interface but rather  
 during the deposition of the monolayer [31, 32, 30].

### 289 *Monolayer Behaviour at the Meniscus and Marangoni* 290 *Effects*

291 Since the IPT seems to occur during the deposition  
 292 of the monolayer, we focus now on the behavior of the  
 293 microgel monolayer at the meniscus generated on the  
 294 solid substrate prior to the deposition. Nevertheless,  
 295 studying the deposition process in-situ at the interface  
 296 is non-trivial. Upon lifting the substrate to start trans-  
 297 ferring the monolayer, stick-slip may occur, altering the



298 conformation of microgels at the interface [38]. Also, the 350  
299 presence of a curved interface at the meniscus generates 351  
300 strong capillary forces that usually are responsible for 352  
301 the collapse of nanoparticle monolayers, with aggrega- 353  
302 tion occurring at three-phases contact line, i.e. the solid 354  
303 substrate-liquid-air contact line [12]. Furthermore, the 355  
304 in-situ observation of the monolayer requires the use of 356  
305 a focused light spot, which might cause local heating 357  
306 and induce evaporation, i.e. light-induced Marangoni 358  
307 forces. Thus, it is worth exploring this rich behavior 359  
308 occurring at the meniscus, to elucidate if it contributes to 360  
309 the deposition-induced IPT. We set our next experiment 361  
310 at  $23 \text{ mN m}^{-1}$  to ensure being in Regime II according to 362  
311 Figure 2 and image the microgels at the receding meniscus 363  
312 in real time. When we start to rise the substrate across 364  
313 the microgel-laden interface, there is an initial stick of 365  
314 the meniscus. This was characterized by obtaining the 366  
315 meniscus profile while lifting the substrate, at different 367  
316 heights. The four profiles in Figure 3a illustrate how the 368  
317 meniscus evolves from a flatter profile (gray) to a steep 369  
318 curve (green). Further lifting of the substrate beyond the 370  
319 green profile resulted in the meniscus slip, beginning the 371  
320 deposition of the monolayer on the substrate. After this 372  
321 initial slip, the deposition process proceeded smoothly 373  
322 and steadily, without any further noticeable stick-slip ef- 374  
323 fects, as observed in Movie M1 and Figure S2. This lack of 375  
324 stick-slip after the initial one might be due to the gelled 376  
325 and elastic nature of the microgel monolayer, and would 377  
326 be responsible for the usual homogeneous deposition 378  
327 patterns obtained by Soft Colloidal Lithography (SCL). 379  
328 The inset in Figure 3a shows that the microgels remained 380  
329 in hcp, similar to their configuration in the bulk of the 381  
330 interface as shown in the in-situ experiments in Figure 1, 382  
331 which we define as regions far from the meniscus where 383  
332 the interface is flat and thus capillary forces induced by 384  
333 the meniscus are negligible. To determine whether capil- 385  
334 lary forces at the meniscus contributed to the onset of 386  
335 the IPT, we examined the NND near the three-phases 387  
336 contact line and up to 15 mm away, significantly above 388  
337 the 2.9 mm capillary length of water/air interfaces. In 389  
338 Figure 3b, the NND is color-coded to match the corre- 390  
339 sponding meniscus profiles in Figure 3a. For the steeper 391  
340 menisci (purple and green curves), the behavior follows 392  
341 our expectations, since a curved interface induces capil- 393  
342 lary forces that tend to drive particles toward regions 394  
343 of greater curvature. These two curves show a decrease 395  
344 in NND near the three-phases contact line, indicating 396  
345 closer packing of microgels due to capillary forces, in 397  
346 comparison to the NND of  $0.82 \mu\text{m}$  at the bulk inter- 398  
347 face, far away from the corresponding meniscus. As 399  
348 we move away from the three-phases contact line, the 400  
349 reduced curvature leads to weaker capillary forces and 401

a corresponding increase in NND. The purple meniscus 350  
follows a similar trend to the green one with higher NND 351  
values because smaller curvatures result in weaker capil- 352  
lary forces. However, for the flatter menisci (blue and 353  
gray curves), we observed an unexpected increase of 354  
NND near the three-phases contact line, suggesting the 355  
presence of a repulsive force pushing microgels away 356  
from the meniscus and from each other. This unexpected 357  
behavior is an artifact of the in-situ optical microscopy, 358  
due to heating of the interface caused by the focused 359  
light used in our experiments. Such light-driven heating 360  
induces Marangoni flows, where a temperature gradient 361  
across the interface generates a surface tension gradient, 362  
creating a force directed from lower to higher surface ten- 363  
sion regions [39]. In our experiments, Marangoni forces 364  
would act from the three-phases contact line towards the 365  
bulk interface, potentially explaining the expansion ob- 366  
served in the blue and gray meniscus profiles. To validate 367  
this hypothesis, we created a flat meniscus to eliminate 368  
capillary forces and measured the NND of the mono- 369  
layer at the three-phases contact line under varying light 370  
intensities (correlating to different degrees of heating). 371  
These results were compared with those obtained using a 372  
non-absorbing glass substrate (see Figure S3 in SI), and 373  
only the more absorbing silicon substrate exhibited an 374  
increase in NND, dependent on light intensity, confirm- 375  
ing that Marangoni stresses likely caused the observed 376  
unexpected increase in NND in Figure 3b. 377

While light-driven heating is not usually present when 378  
the monolayer is not observed in-situ in real time, this 379  
indeed constitutes a new light-driven tool to fine tune 380  
the interparticle distance of a microgel monolayer near 381  
the three-phases contact line and thus a fine tune of the 382  
interactions between the microgels at the monolayer. 383  
Thus, it is worth to further characterize it not only to 384  
better understand our system, but also to provide useful 385  
tools to take advantage of this effect. However, mea- 386  
suring the Marangoni force requires to determine with 387  
high precision the actual temperature along the meniscus. 388  
Experimentally, this could be done with complex 389  
techniques such as microscale thermometry, but due to 390  
the complex geometry of our experimental set-up, using 391  
this technique is not feasible [40]. To overcome this 392  
issue, we performed COMSOL simulations, described 393  
in detail in the Materials and Methods section, to deter- 394  
mine the temperature gradients along the meniscus due 395  
to light heating. In this way, we can examine the balance 396  
between capillary forces, calculated from the menisci 397  
shapes, and Marangoni forces derived from the surface 398  
tension profile induced by heating. As shown in Figure 399  
3c, simulated for the steeper meniscus in Figure 3a, heat- 400  
ing at the three-phases contact line generates convective 401



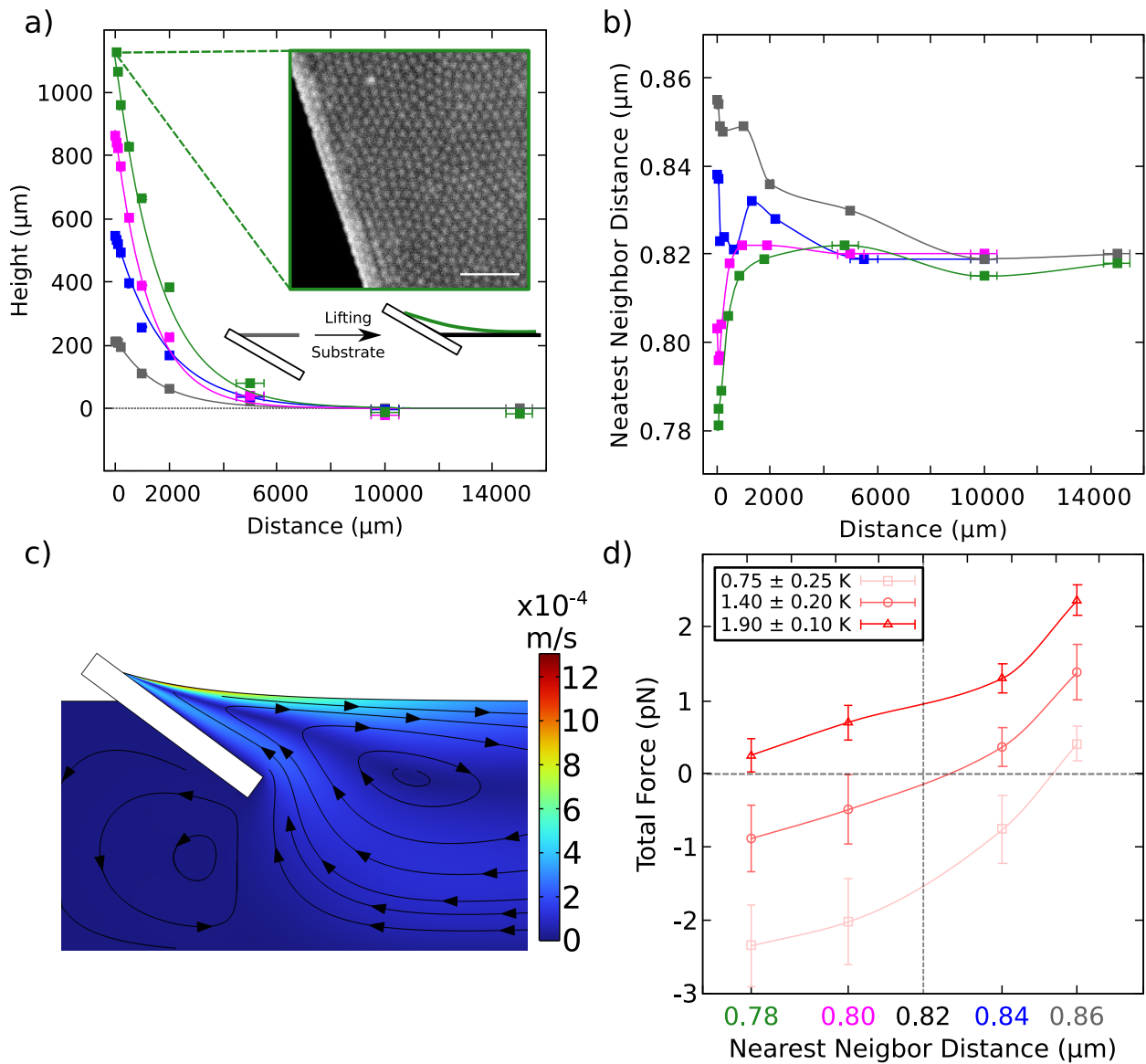


Figure 3: **a)** Evolution of the initial receding menisci shape upon raising the substrate across the interface. Each color represents a different meniscus profile during the same deposition process. The inset image shows the microgel monolayer near the three-phases contact line prior to the monolayer deposition.  $5 \mu\text{m}$ -scale bar. The sketch represents the formation of the meniscus. Lines are theoretical fits to the experimental data based on Equation (4), taking into account the simulations that best fit the experiments. **b)** Nearest neighbor distances calculated at different positions along the menisci for each curve in a). Colors match the corresponding menisci profiles in a), and the lines are guides to the eye. **c)** Velocity field along the simulated experimental setup obtained via COMSOL for the green meniscus in a) for a temperature increase at the three-phases contact line of 1 K. The color map represents water velocity, while arrows indicate streamlines. **d)** Total Force obtained from theory and simulations as a function of the NND at the three-phases line of each menisci in panel b), with a color-coded x-axis matching the corresponding menisci. Calculations are performed at different temperatures ranges. The results are colored from light to dark red respectively corresponding to increasing heating. Horizontal and vertical dashed lines represents zero-force and the equilibrium NND at the bulk of the interface, respectively. Lines are guides to the eye.

402 flows at the interface and in the sub-phase. These forces  
 403 are likely pushing the microgels at the interface away  
 404 from the meniscus. In Figure 3d, we show the total force  
 405 acting on a microgel as a function of the NND at the

406 three-phases contact line for each meniscus in Figure 3a.  
 407 This NND corresponds to the first point of each curve  
 408 in Figure 3b, i.e. zero distance. The total force, detailed  
 409 in the Theoretical Background section, presented corre-

sponds to the sum of capillary attraction corresponding to the four menisci, and the Marangoni repulsion, corresponding to a given temperature at the three-phases contact line. From light to dark red we show increasing temperatures in the simulations. The dashed horizontal and vertical lines indicate both zero force and the NND at the bulk of the interface,  $0.82 \mu\text{m}$ , respectively. Points above the horizontal line correspond to scenarios dominated by Marangoni forces, where microgels are pushed away from the three-phases contact line, while points below correspond to capillary-dominated forces, pushing the microgels towards the three-phases contact line. Therefore, the best fit between experiments and simulations requires that the total force is negative on the bottom-left quadrant and positive on the top-right quadrant, with a continuous trend between the two. This happens for  $\Delta T = 1.4 \pm 0.2 \text{ K}$ . This is compatible with the difference of  $\approx 1 \text{ K}$  measured with an IR camera near the meniscus, relative to the bulk interface (see Figure S4 in SI). Our simulations, coupled with the experimental results, reveal that for a given temperature, the Marangoni force remains relatively constant, while capillary forces vary significantly due to curvature changes. As a result, the total force in Figure 3d decreases as the meniscus becomes steeper. Higher temperatures result in stronger Marangoni forces, shifting the total force curve upwards. This overall behavior is in good agreement with the experimental findings in which an increase in light intensity results in stronger Marangoni forces and thus an increase in the interparticle distance (see Figure S3 in SI). This exemplifies the light-driven fine tuning of the interparticle distance. Furthermore, the simulations also provide valuable insight about the order of magnitude of the forces acting at the interface. For example, at  $\Delta T = 1.4 \pm 0.2 \text{ K}$  and flatter gray meniscus, the total repulsive force of around  $1 \text{ pN}$  results in an NND increase of  $\approx 40 \text{ nm}$  respect to the NND at the bulk interface. For the steeper green meniscus, where the capillary attractive forces dominate, a total force of  $-1 \text{ pN}$  results in a decrease of the NND of  $\approx 40 \text{ nm}$ . Therefore, our simulations seem to be consistent with our experiments, since equal forces but of opposite sign affect the same way to the monolayer, increasing or decreasing the NND by a similar amount, in the single piconewton range. The menisci profiles obtained from the simulations for  $\Delta T = 1.4 \pm 0.2 \text{ K}$  are shown as solid lines in Figure 3a, showing that they closely match the experimental data, serving as a further validation of our model. Furthermore, a particular interesting result is that just by controlling the light intensity a "zero-force" can be obtained where the microgels are in the same effective regime as in the bulk interface. This controlled environment

could offer new possibilities for studying fundamental interactions between microgels or other nanoparticles at fluid interfaces [41]. While very promising as a new tool to control the interaction between microgels at interfaces, the IPT can not be explained from the capillary forces caused by the meniscus curvature, regardless of using a non-absorbing substrate to "switch-off" the light heating-induced Marangoni force.

#### *Drying of the Deposited Monolayer*

Since the IPT does not occur at the meniscus near the three-phases contact line, the next step is to focus on the monolayer immediately after transfer to a solid substrate. As previously discussed, and shown in Movie M1, the transfer process is smooth and continuous. Initially, the monolayer is transferred while still covered by a thin water film. As shown in Figure 4a (and Movie M2), as the deposition continues the water film eventually recedes and the monolayer dries. It is during this "late" drying that the IPT seems to occur, with microgels collapsing into close contact. This reveals that the IPT takes place upon the drying of the water film that covers the deposited monolayer, an idea supported by a very recent work by Kuk et al. [42]. While we successfully identified when and where the IPT occurs, it remains necessary to determine why it occurs only above a certain  $\Pi$  threshold. We propose a simple theory to delve into the fundamental mechanics of the drying process. As shown in Figure 4b, during drying, capillary forces  $F_{\text{cap}}$  appear pushing microgels closer together. It is worth noting that while of the same nature, the source of this capillary force, which results from the drying of a liquid film between two adjacent microgels, is fundamentally different from the one discussed at the three-phases contact line in Figure 3, which resulted from the presence of a curved interface during the monolayer deposition. The main factor preventing the collapse of the microgels is their adhesion force  $F_{\text{adh}}$ , primarily determined by the interaction between the microgels and the substrate. The balance between these two forces determines whether the IPT will occur. If  $F_{\text{adh}} \geq F_{\text{cap}}$  during drying, the microgels remain in place, and the IPT does not occur. Conversely, if  $F_{\text{adh}} \leq F_{\text{cap}}$ , the microgels will move and become in close contact, leading to the IPT.

Equation (1) provides the general expression for the  $F_{\text{cap}}$  during drying between two adjacent deposited nanoparticles [43]:

$$F_{\text{cap}} = -\frac{2\pi\gamma Q_1 Q_2}{\text{NND}}, \quad (1)$$

where  $\gamma$  is the surface tension of the water/air interface, NND is the separation between the two microgels, and

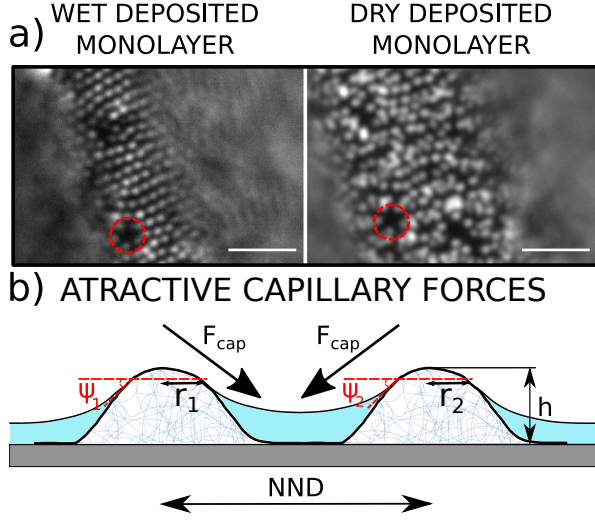


Figure 4: **a)** Optical microscopy images of microgel monolayer right after its deposition at  $\Pi = 23 \text{ mN m}^{-1}$ , still wet with a thin water film (left) and after drying (right).  $5 \mu\text{m}$ -scale bars. **b)** Schematic of the attractive capillary forces between two adjacent microgels during water film drying.

$Q_i = r_{cl,i} \sin \psi_{cl,i}$ , where  $r_{cl,i}$  is the radius of microgel  $i$  at the contact line (cl) with the interface and  $\psi_{cl,i}$  is the contact angle between the meniscus and microgel  $i$  at the contact line. The negative sign reflects the attractive interaction between the two microgels. For two identical microgels, where  $Q_1 = Q_2$ , Equation (1) simplifies to:

$$F_{\text{cap}} = -\frac{2\pi\gamma r_{\text{cl}}^2 \sin^2 \psi_{\text{cl}}}{\text{NND}}. \quad (2)$$

Since for a chosen substrate and interface,  $F_{\text{adh}}$  and  $\gamma$  respectively are constant, and  $r_{\text{cl}}$  and  $\psi_{\text{cl}}$  are characteristics of the microgels that we can assume remaining nearly constant across all values of  $\Pi$ , the key variable that might change with increasing monolayer compression would be the interparticle distance NND. As  $\Pi$  increases NND decreases, and thus  $F_{\text{cap}}$  increases. Upon further compression, there is a critical  $\Pi$  at which the  $\text{NND} \leq \text{NND}_{\text{min}}$ , and thus  $F_{\text{cap}} \geq F_{\text{adh}}$ , being responsible for the onset of the IPT. This  $\text{NND}_{\text{min}}$  corresponds to the in-situ measured NND at the value of  $\Pi$  where the IPT first occurs in the experiments,  $\text{NND}_{\text{in,IPT}}$ . From Figure 2a, this  $\text{NND}_{\text{min}}$  is found at a  $\Pi$  at which the change in the slope of the in-situ compression curve also occurs. Since at this  $\Pi$  the microgels interact through their swollen parts in the aqueous subphase, it is reasonable to expect that they are close enough for the IPT to occur once deposited on the substrate. Nevertheless, while there seems to be a correlation between this change in

the slope and the onset of the IPT, the IPT will occur once  $F_{\text{cap}} > F_{\text{adh}}$ . Therefore, varying  $F_{\text{adh}}$  by changing either the microgel or the surface chemistry of the substrate can indeed shift the onset of the IPT as shown in Figures S5a and S5c.

While measuring  $F_{\text{adh}}$  experimentally is challenging, we can provide an estimation based on the NND just before the IPT occurs. Once  $\text{NND}_{\text{min}}$  is known, we can estimate the  $F_{\text{cap}}$ . Given the Gaussian height profile of a deposited microgel, as shown schematically in Figure 4b,  $F_{\text{cap}}$  reaches its maximum at the base of the microgel, where  $r_{\text{cl}}$  is the largest. Regarding the contact angle, microgels are highly hydrophilic, leading to contact angles close to zero [27, 25, 44]. Therefore, as the water film recedes along the microgel height profile, the meniscus forms a contact angle that can indeed be approximated by the slope of the microgel height profile. We use here the height profile measured via AFM after drying of the monolayer since it is more representative of the drying process compared to the case of a fully hydrated microgel monolayer [21]. This slope,  $\psi_{\text{cl}}$ , can be estimated as  $\psi_{\text{cl}} = \tan^{-1}\left(\frac{h}{r_{\text{cl}}}\right)$ , where  $h$  is the height of the microgel. At the onset of the IPT,  $F_{\text{cap}} = F_{\text{adh}}$ , resulting in Equation 3.

$$F_{\text{adh}} = F_{\text{cap,IPT}} = \frac{2\pi\gamma r_{\text{core}}^2 \sin^2\left(\tan^{-1}\left(\frac{h}{r_{\text{core}}}\right)\right)}{\text{NND}_{\text{in,IPT}}}. \quad (3)$$

By experimentally measuring  $\text{NND}_{\text{min}}$ ,  $r_{\text{cl}}$ , and  $h$ , we can estimate the  $F_{\text{adh}}$ . Referring to Figure 2a, the IPT first occurred at  $\approx 18.5 \text{ mN m}^{-1}$ , where  $\text{NND}_{\text{in,IPT}} = 0.975 \mu\text{m}$ . On the other hand,  $r_{\text{cl}}$  and  $h$  can be measured by transferring the monolayer to a solid substrate and imaging it by atomic force microscopy. The resulting profile of the microgels is presented in Figure S6, from which we extracted the values of  $r_{\text{cl}} = 565 \text{ nm}$  and  $h = 166 \text{ nm}$ . While  $h$  and  $r_{\text{cl}}$  during the drying of the wet monolayer may differ respect to the dry monolayer, the later are used as approximate values representative of the almost dry monolayer depicted in Figure 4b to obtain an estimation of  $F_{\text{adh}}$ . This estimated  $F_{\text{adh}}$  between the microgels and the substrate yields a value of  $F_{\text{adh}} \approx 12 \text{ nN}$ . This calculated value is in good agreement with adhesion forces in the nN range from more difficult and elaborate experimental measurements between alginate/PnIPam microgels and glass slides via colloidal probe microscopy [45, 46]. Therefore, our proposed model does not only captures the essential mechanism underlying the interplay between the capillary and adhesion forces upon drying of the microgel film, but also provides a novel and alternative method to estimate the

582 adhesion force between the microgels and the substrate. 632  
 583 While this model estimates  $F_{adh}$ , this assumes that at the 633  
 584 onset of the IPT  $F_{adh} = F_{cap,IPT}$ . Thus, changes in the mi- 634  
 585 crogel or substrate chemistry affecting either the  $F_{adh}$  or 635  
 586  $F_{cap}$  will shift the onset of the IPT depending on the bal- 636  
 587 ance of both forces [47, 42]. To test this, we deposited 637  
 588 a monolayer of low crosslinked N-Vinylcaprolactam- 638  
 589 based pVCL microgel, with 1% crosslinking density 639  
 590 (see the SI for more details on their synthesis), both 640  
 591 in regular and hydrophobic functionalized silicon sub- 641  
 592 strates (see Figure S5). In that case, the onset of the IPT 642  
 593 decreases from 15 to 12 mN m<sup>-1</sup> when the substrate is 643  
 594 hydrophobic. Since the proposed model mainly rely on 644  
 595 the analysis of the compression curve of the microgel 645  
 596 monolayer, it provides a much more accessible technique 646  
 597 compared to colloidal probe. Furthermore, given that the 647  
 598 adhesion between the microgels and a given substrate is 648  
 599 expected to not vary substantially during the monolayer 649  
 600 drying, our model effectively captures the reason why 650  
 601 IPT occurs above certain surface pressures. This analysis 651  
 602 shows that improving the adhesion between microgels 652  
 603 and the substrate is a key parameter that can significantly 653  
 604 enhance the homogeneity of the monolayer deposition, 654  
 605 which is highly relevant also for all kind of nanoparticle 655  
 606 monolayers for applications such as coatings, paints, and 656  
 607 other surface treatments [5]. Since a recent study shows 657  
 608 that functionalizing nanoparticles with polymers help 658  
 609 to mitigate the coffee ring effect [5], we can infer that 659  
 610 part of this effect might be due to an enhanced adhe- 660  
 611 sion to the substrate. Regarding microgels, both tuning 661  
 612 the crosslinking density of the microgels to make them 662  
 613 softer and more adhesive, and tuning the wettability of 663  
 614 the substrate, can enhance the adhesion forces, making 664  
 615 it easier to achieve more ordered patterns and uniform 665  
 616 depositions [48, 49, 42]. 666

### 617 *Developing an Alternative Deposition Method* 668

618 Once we understand the mechanism behind the IPT 670  
 619 during the deposition of microgel monolayers, we can 671  
 620 suggest ways to avoid it, since certain applications may 672  
 621 require maintaining microgels in a hcp with interpartic- 673  
 622 le distances at which the IPT would typically occur. 674  
 623 To achieve this, we developed an alternative deposition 675  
 624 method that fully prevents the IPT. We reconsider Equa- 676  
 625 tion (3), where  $F_{adh}$ ,  $h$ , and  $r_{core}$  are intrinsic to the mi- 677  
 626 crogels and the substrate, and thus the remaining tunable 678  
 627 factor is  $\gamma$ . Lowering  $\gamma$  reduces  $F_{cap}$ , allowing microgels 679  
 628 to get closer without collapsing due to the IPT. Never- 680  
 629 theless, using water/hexane instead of water/air to re- 681  
 630 duce  $\gamma$  from 72.5 to 50mN m<sup>-1</sup> does not prevent the IPT 682  
 631 [24, 50]. 683

It is important to note that  $\gamma$  in Equation (3) corre-  
 sponds to the interface of the wet deposited monolayer,  
 which does not necessarily have to be the same inter-  
 face at which the microgels were self-assembled and  
 transferred from. Thus, we developed a new deposition  
 method that minimizes  $F_{cap}$  by supercritical  $CO_2$  dry-  
 ing. This approach allows the film to dry with  $F_{cap} \approx 0$ .  
 The method involves transferring the monolayer using  
 a modified Langmuir-Schaefer deposition. In this pro-  
 cess, the substrate is attached parallel and above the  
 interface (see Figure S7), and is lowered until it contacts  
 the interface. Once contact is established, the substrate  
 is lifted. This approach addresses two critical issues:  
 first, by placing the substrate in direct contact with the  
 interface, the microgels are transferred from the bulk of  
 the interface, avoiding any meniscus effects that could  
 distort their conformation, as discussed before. Next,  
 the rapid lifting, combined with the parallel orientation  
 of the substrate, helps to retain a thin water film on the  
 monolayer. The wet substrate is then stored in a Milli-Q  
 water-filled Petri dish and prepared for supercritical  $CO_2$   
 drying. The results of this method are shown in Figure  
 5d. It is worth noting that the method involves sequential  
 steps replacing water by ethanol and by acetone before  
 proceeding with the supercritical  $CO_2$  drying.

To illustrate the method, we start with an in-situ mi-  
 crogel monolayer at 23 mN m<sup>-1</sup>, where the dry ex-situ  
 monolayer exhibits IPT, and its corresponding radial  
 distribution function (RDF) in Figure 5a. The still wet  
 deposited microgel monolayer in panel b) shows a mono-  
 layer structure that remains nearly identical after the  
 deposition, with the radial distribution function (RDF)  
 showing similar crystallinity in both cases. Although the  
 in-situ recorded monolayer demonstrates slightly longer-  
 range ordering, both the NND and  $\psi_6$  are comparable  
 between the two states. This confirms that the monolayer  
 retains the properties it had at the interface, as long as  
 it is kept wet. A comparison between the conventional  
 deposition method and the Langmuir-Schaefer deposi-  
 tion combined with supercritical  $CO_2$  drying is shown  
 in Figures 5c and d, respectively. As discussed earlier  
 in Figure 2, the conventional deposition method results  
 in IPT at high  $\Pi$ , where microgels collapse into close  
 contact. This is evidenced in Figure 5c, both visually  
 and through the RDF, where an unfolded peak in the first  
 maximum reflects two distinct ordering length scales:  
 one of them corresponding to microgels in close contact,  
 with two NNDs and a low  $\psi_6$  value. In contrast, the  
 monolayer deposited using our novel method combining  
 a Langmuir-Schaefer deposition and supercritical  $CO_2$   
 drying, shown in Figure 5d, closely resembles the confor-  
 mation observed in Figures 5a and b. The RDF confirms

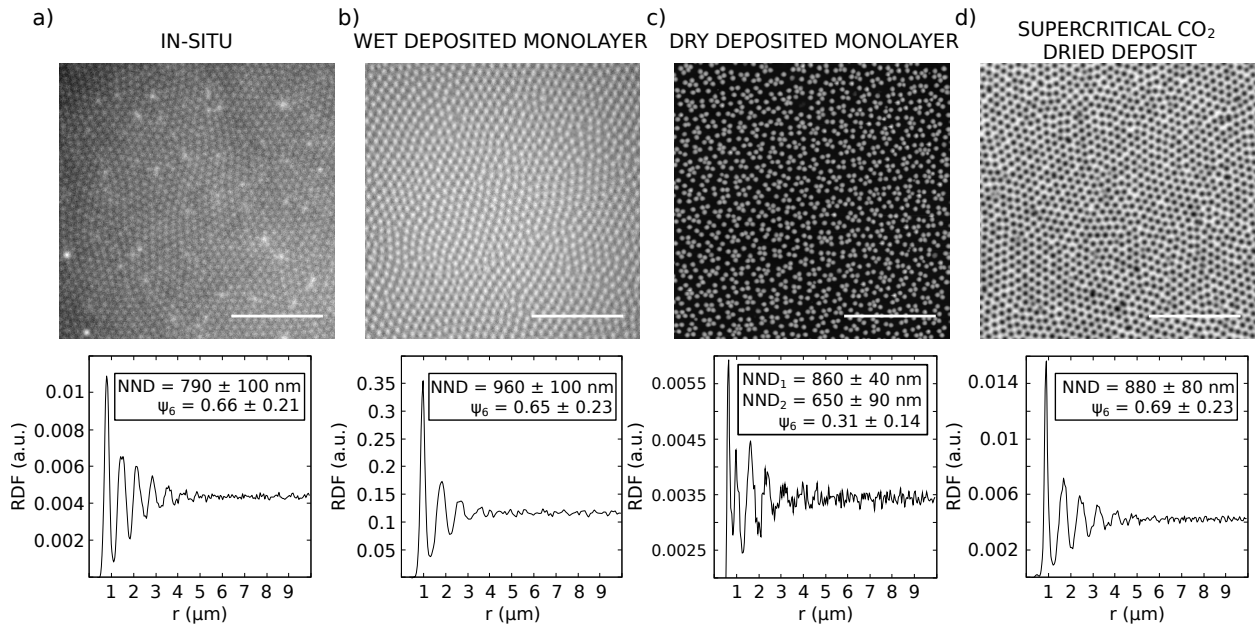


Figure 5: Optical microscopy images of a microgel monolayers at  $\Pi = 23 \text{ mN m}^{-1}$  and corresponding radial distribution functions (RDF) with NND and  $\psi_6$  values indicated. **a)** In-situ at the bulk interface, **b)** Ex-situ just after deposition, but still wet, **c)** Ex-situ as in b) after drying, exhibiting IPT, **d)** Ex-situ dry microgel monolayer with our novel technique combining a Langmuir-Schaefer deposition and supercritical  $\text{CO}_2$  drying exhibiting no IPT.  $10 \mu\text{m}$ -scale bars.

684 the long-range ordering seen in-situ at the interface, with 710  
 685 a similar  $\psi_6$  value compared with in the in-situ mono- 711  
 686 layer. This long range ordering is further confirmed by 712  
 687 the iridescence patterns and large crystals aligned in the 713  
 688 same direction (see Figures S8, S9 and Movie M3 in SI), 714  
 689 and showing that these highly-ordered patterns can be 715  
 690 achieved on  $\text{cm}^2$  large substrates. We confirmed the homo- 716  
 691 geneity of the microstructures over whole substrates 717  
 692 by evaluating the NND and  $\psi_6$  at different locations on 718  
 693 the same substrates (see Figure S10 in SI). It is worth 719  
 694 noting that the slight changes in NND between the in- 720  
 695 situ and the Langmuir-Schaefer deposited monolayer in 721  
 696 Figure 6 might arise from slight differences in  $\Pi$  between 722  
 697 experiments. This highlights the advantage of our novel 723  
 698 method in preventing the IPT and maintaining the desired 724  
 699 microgel arrangement. It should be noted that the 725  
 700 Langmuir-Schaefer deposition method does not avoid 726  
 701 the IPT without the subsequent supercritical  $\text{CO}_2$  drying, 727  
 702 as shown in Figure S11 in SI. 728

703 Finally, we reproduced the compression curve of Fig- 729  
 704 ure 2 to validate the effectiveness of our new method 730  
 705 across a range of different  $\Pi$  values. In Figure 6, we 731  
 706 present the compression curve of the microgel mono- 732  
 707 layer deposited via the Langmuir-Schaefer method and 733  
 708 dried with supercritical  $\text{CO}_2$  compared to the already 734  
 709 discussed in-situ measurement. Both NND compression 735

curves overlap almost entirely across all compression 710  
 stages. Notably, while in-situ observations were limited 711  
 to  $\Pi \leq 26 \text{ mN m}^{-1}$ , the new deposition method 712  
 enables imaging the monolayer at all values of  $\Pi$ , reach- 713  
 ing in our case up to  $30 \text{ mN m}^{-1}$ . The evolution of  $\psi_6$ , 714  
 shown as an inset in Figure 6, reveals a slight decrease 715  
 in hexagonal ordering for the deposited monolayer at low- 716  
 to-medium surface pressures. Above  $\Pi = 23 \text{ mN m}^{-1}$ , 717  
 the ordering significantly improves. We can compare 718  
 the values of NND and  $\psi_6$  before the IPT obtained by 719  
 the Langmuir-Blodgett deposition and the Langmuir- 720  
 Schaefer plus supercritical  $\text{CO}_2$  drying in both Fig. 2 721  
 and 6. Thus, our novel methodology does not alter the 722  
 arrangement of the microgels. Nevertheless, AFM anal- 723  
 ysis of the deposited monolayers revealed that this new 724  
 methodology results in higher microgels as shown in Fig- 725  
 ure S12. This might be due to the supercritical drying, 726  
 since the polymeric network might be less altered respect 727  
 to the wet monolayer as we avoid capillary forces flatten- 728  
 ing the microgels during the drying. It is worth noting 729  
 that the white spots observed at the highest  $\Pi$  in Figure 6 730  
 are microgels with lower crosslinking density, as can be 731  
 seen in the AFM image in Figure S13 in the SI. Thus, our 732  
 novel method effectively deposits the microgel mono- 733  
 layer while preserving the structure observed in-situ at 734  
 the interface, avoiding all-together the IPT on  $\text{cm}^2$  large 735



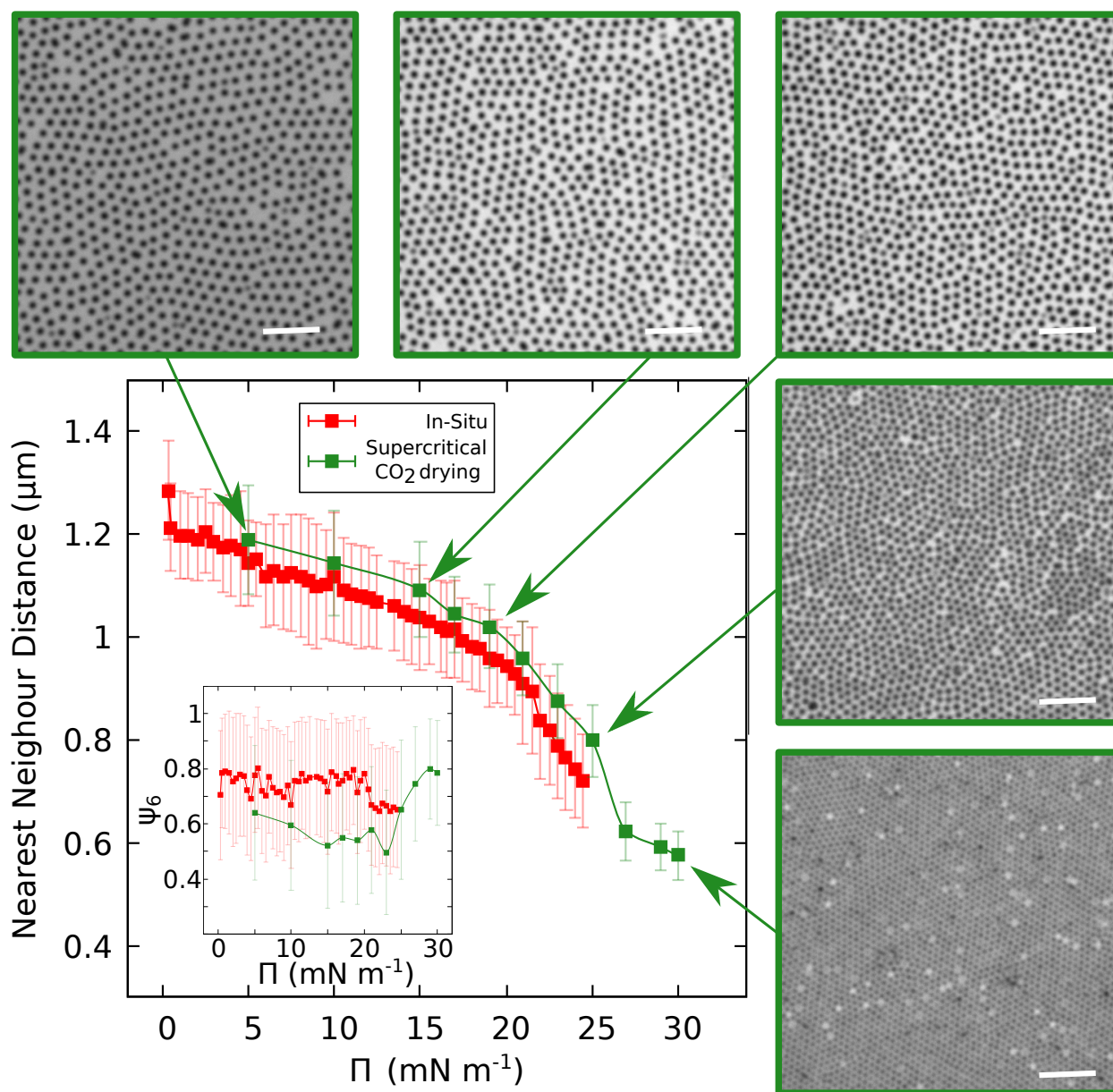


Figure 6: Nearest neighbor distance as a function of surface pressure  $\Pi$  for microgel monolayers observed in-situ at the interface (■), same as in Figure 2, and deposited on a solid substrate via the Langmuir-Schaefer method and dried with supercritical  $CO_2$  (■). Lines are guides to the eye. Inset plot shows the corresponding order parameter  $\psi_6$ . Top rest of insets show microgel monolayers deposited at by our novel methodology up to  $\Pi = 30 \text{ mN m}^{-1}$ .  $5 \mu\text{m}$ -scale bars.

736 substrates. In order to test the versatility of the Langmuir-  
 737 Schaefer deposition plus supercritical  $CO_2$  drying, we  
 738 reproduced the results on monolayers obtained with the  
 739 softer, i.e. 1% crosslinking density pVCL microgels. In  
 740 Figure S5, we show that the lower adhesion between  
 741 microgels and the hydrophobic substrates results in an  
 742 onset of the IPT at lower  $\Pi$  compared to the regular hy-

743 drophilic substrates. Nevertheless, even in this scenario  
 744 we avoid the IPT by using our new methodology.

## 745 Conclusions

746 In this study, we have analyzed the differences  
 747 between in-situ and ex-situ characterization of self-

748 assembled microgel monolayers at liquid interfaces, identifying the conditions under which the isostructural phase transition (IPT) occurs, and have proposed a robust novel method to prevent the IPT.

752 The IPT has only been found in the deposited dry monolayers. While no collapse into close contact has been observed at the interface, a two-stage compression process was observed in-situ happening at the same  $\Pi$  where the IPT takes place for deposited dry monolayers. We have then investigated the rich behavior near the three-phases contact line, i.e the meniscus during the deposition. Although capillary forces at the meniscus were not responsible for inducing the IPT, they played a key role in influencing the monolayer conformation, reducing the NND in high-curvature menisci. In flatter menisci, light-driven Marangoni forces arising from in-situ observation of the monolayer dominated over capillary forces, pushing microgels away from the three-phases contact line. By successfully modeling this behavior through numerical simulations and theoretical calculations, we have demonstrated that light-driven Marangoni forces might be used to overcome capillary forces at the meniscus, creating a "zero-force" environment where the fundamental interactions of microgels and other nanoparticles can be studied. We have provided an estimation of the forces at the interface in the order of  $\pm 1$  pN for a change of NND of  $\pm 40$  nm, respectively.

775 We have then examined the deposited but still wet monolayer, which preserved the structure observed in-situ at the liquid interface. Only upon drying the IPT took place. We have modeled this drying process and found that the IPT occurs when the capillary forces during drying exceed the adhesion forces between the microgels and the substrate. This analysis has revealed that the compression of the monolayer is necessary to achieve capillary forces larger than adhesion forces during the drying of a wet deposited monolayer. Nevertheless, the compression by itself is not sufficient, since we have not observed the IPT at the interface nor in wet deposited monolayers. Furthermore, we have estimated the adhesion force between the microgels and the substrate, providing an easy method to estimate its value from compression curves. This estimated adhesion force has been consistent with previous colloidal probe measurements, in the range of a few nanoNewtons. We have also demonstrated how tuning the surface chemistry can be used to modify the onset of the IPT. We reduced the adhesion between the microgel and the substrate by making it hydrophobic, shifting the IPT towards lower surface pressures.

798 After understanding the mechanism behind the IPT, we have developed a novel deposition method to avoid

800 the IPT at any  $\Pi$  value. This method reduces capillary forces prior to the deposition by using a Langmuir-Schaefer deposition, in which we kept the substrate parallel to the interface, and eliminated capillary forces by using supercritical  $CO_2$  drying. We have observed how this method increases the long-range ordering of the deposited monolayer, revealing iridescence patterns and large monocrystals on  $cm^2$  large substrates. This method also successfully avoided the IPT in monolayers of softer microgels deposited either on regular or hydrophobic substrates. We have then presented a compression curve obtained with this novel deposition method and demonstrated its effectiveness in both preventing the IPT and replicating the in-situ behavior of the monolayer, even extending beyond the capabilities of the in-situ imaging and providing an actual ex-situ characterization method, effectively capturing the behavior of microgels at the interface.

We believe that these results will be of broad interest, since understanding the differences between in-situ and conventional ex-situ characterization methods provides the knowledge to choose an appropriate characterization technique tailored to the experimental conditions. Furthermore, understanding the mechanisms behind the IPT will aid in the design of new approaches to prevent it, such as the method that we have proposed and explored here, which is essential in fields requiring homogeneous deposition during drying. Our novel deposition technique might be potentially applied to other nanoparticles, such as core-shell or hollow microgels, or even hard nanoparticles functionalized with polymers.

## 831 Theoretical background

### 832 *Capillary forces*

833 When a liquid interface interacts with a substrate, a meniscus typically forms between the substrate and the interface. The characteristics of this meniscus depend primarily on the substrate/liquid affinity and the substrate morphology. If the substrate is perpendicular or tilted respect to the interface, the resulting meniscus will be macroscopic, with a characteristic capillary length specific to the interface. For the water/air interfaces, this capillary length is  $\approx 2.9$  mm.

843 The shape of the meniscus can be described by the following equation [39]:

$$844 \quad h(x) = \frac{L_c}{\tan \theta} \exp\left(-\frac{x}{L_c}\right), \quad (4)$$

845 where  $h(x)$  is the meniscus height profile along the distance  $x$  from the three-phases contact line,  $L_c$  is the



capillary length,  $\approx 2.9 \text{ mm}$  in our case, and  $\theta$  is the contact angle between the meniscus and the substrate.

When the meniscus forms, a pressure variation occurs along the interface, which is described by the Young-Laplace equation:

$$\Delta P = \gamma \left( \frac{1}{R_1} + \frac{1}{R_2} \right), \quad (5)$$

where  $\gamma$  is the surface tension and  $R_1$  and  $R_2$  are the principal radii of curvature. In our geometry,  $R_1$  is the radius of curvature of the meniscus in the direction parallel to the interface (along x axis), while  $R_2$  corresponds to the curvature along the flat three-phases contact line, i.e.  $R_2 = \infty$ .

Given the shape of the meniscus  $h(x)$ , the curvature  $C$  is defined as:

$$C = \frac{1}{R_1} = \frac{|h''(x)|}{(1 + h'(x)^2)^{3/2}}, \quad (6)$$

where  $h'$  and  $h''$  are the first and second order derivatives of the meniscus shape (4). Due to the pressure gradient within the meniscus, a nanoparticle at the interface experiences a force proportional to the product of the pressure and the nanoparticle area. Using the analytical expression for the meniscus from Equation (4), along with the experimentally determined size of our microgels, we derive the capillary force in the meniscus  $F_{cap,men}(x)$  as:

$$F_{cap,men}(x) = \frac{\pi \left( \frac{NND}{2} \right)^2 \gamma(x)}{L_c \tan \theta \exp \left( \frac{x}{L_c} \right) \left( 1 + \frac{1}{\tan^2 \theta} \exp \left( -\frac{2x}{L_c} \right) \right)^{3/2}}, \quad (7)$$

where NND can be substituted by the diameter of a microgel at the interface at a particular  $\Pi$  value.

### Marangoni force

When a gradient in surface tension exists across an interface, a Marangoni force arise, generating convective flows directed toward regions of higher surface pressure [51]. This surface tension gradient can be induced by various factors, such as heating, evaporation, or changes in chemical composition [52, 53]. In our case, localized heating occurs when observing the interface with an optical microscope due to the highly focused light spot. This heating results in a force acting on the microgels during the observation of the monolayer, as described by [52]:

$$F_M(x) = A_m \frac{\partial \gamma}{\partial x}, \quad (8)$$

where  $\frac{\partial \gamma}{\partial x}$  represents the surface pressure gradient along the interface, caused by the temperature gradient across the meniscus. We used COMSOL simulations to estimate the temperature profile and, subsequently, the variations in  $\gamma$  along the interface that best fit our experimental data.

### Total Force

Taking into account both Capillary and Marangoni forces acting on a microgel, we define the total force  $F$  as

$$F(x) = F_{cap,men}(x) + F_M(x) \quad (9)$$

## Materials and Methods

### Materials

The pNIPAM microgels were synthesized by precipitation polymerization as described elsewhere [34, 14, 18]. Further details on the synthesis protocol and substrate functionalization can be found in the supporting information.

We purchased all reagents, N-isopropylacrylamide (NIPAM), N-methylenebisacrylamide (BIS), and potassium persulfate (KPS) from Sigma-Aldrich at analytical grade and used as received. We used isopropyl alcohol (Sigma-Aldrich, 99.8%) as a spreading agent for experiments conducted at the water/air interface. We cut silicon substrates of  $2 \times 1 \text{ cm}^2$  ( $\langle 100 \rangle$  orientation, p-type, Boron-doped, 1-10  $\Omega \text{ cm}$ , University Wafer Inc., USA) by laser (Laser E-20 SHG II, Rofin, USA) and used for the deposition of microgel monolayers without further modification.

### Physicochemical characterization

We characterized the hydrodynamic diameter ( $D_h$ ) by Dynamic Light Scattering (DLS, ZetaSizer NanoZ, Malvern Instruments, UK). We performed the measurements at 25 and 50  $^\circ\text{C}$  to obtain the swollen and deswollen  $D_H$  of the microgels, respectively. We obtained the average, standard deviation, and PDI of three measurements. The PDI was determined by the Malvern ZetaSizer Software. We characterized the electrophoretic mobility ( $\mu_e$ ) at 25 $^\circ\text{C}$  by Laser Doppler Electrophoresis (ZetaSizer NanoZ, Malvern Instruments, UK). For each characterization, we prepared a microgel solution of 0.1 wt% 15 minutes prior to each measurement. When the set temperature was achieved, the sample was stabilized during 3 minutes before starting the experiments.

### *In-situ observation of the microgel monolayer*

For the in-situ observation and characterization in real time of the microgel monolayer we mounted an epifluorescent microscope (Nikon LV150) above a Langmuir trough (KSV NIMA, Biolin Scientific, Sweden), as shown in Figure S14. We prepared the microgel monolayer following the method described in our previous work [35]. We attached a silicon substrate to a motorized dipper, tilted at  $30^\circ$  relative to the interface. The dipper was then lowered until half of the substrate was submerged below the interface. We filled the Langmuir trough with Milli-Q water, and placed a Wilhelmy plate in contact with the interface to measure the surface pressure  $\Pi$ . After waiting 10 min for the plate to become fully wet, we cleaned the interface by closing the barriers and aspirating the interface using a pipette tip and a vacuum pump. We repeated this cleaning routine until  $\Pi \leq 0.3 \text{ mN m}^{-1}$ . Once we cleaned the interface, we created a microgel monolayer by spreading a microgel dispersion (4:1 water:isopropanol ratio) dropwise using a  $100 \mu\text{L}$  glass microsyringe. We spread the microgels until  $\Pi \approx 0.7 \text{ mN m}^{-1}$ , after which the barriers were compressed to reach the desired  $\Pi$ . We made all the observations of the microgels using a super long working distance 100x objective (Nikon), providing a resolution of  $0.065 \mu\text{m}/\text{pixel}$ .

To record the in-situ compression curve, we did observations in the *bulk* of the interface, sufficiently far from the walls and substrate to avoid capillary effects induced by menisci. We incremented  $\Pi$  in steps of  $0.5 \text{ mN m}^{-1}$ , and we captured  $50 \times 50$  and  $100 \times 100 \mu\text{m}^2$  images for subsequent analysis.

When studying the deposition process of a microgel monolayer on a silicon substrate in-situ, we observed the meniscus directly. We then compressed the interface to  $23 \text{ mN m}^{-1}$ , since at this  $\Pi$  the collapse of the microgels into close contact already took place according to Figure 2. Starting at the three-phases contact line, where the silicon substrate, air, and water meet, we captured several images along the meniscus to record the monolayer behavior under different curvatures. Since the interface was tilted in these experiments due to the curved meniscus, we took multiple images at different focal planes and merged them into a single image to obtain  $50 \times 50 \mu\text{m}^2$  images. After recording the monolayer along a given meniscus, we slowly lifted the substrate at  $0.1 \text{ mm}/\text{min}$  to minimize disturbance at the interface, and we repeated the process to investigate the effect of different meniscus shapes on the microgel conformation. Finally, we recorded the deposition of the microgels by observing the receding meniscus at the three-phases contact line as we lifted the substrate.

After each experiment, we thoroughly cleaned the Langmuir trough by rinsing with tap water, followed by distilled water, wiping it with Kimtech paper and isopropanol, and then rinsing again with distilled water and finally with Milli-Q water.

### *Langmuir trough depositions*

For the ex-situ characterization of the compression curve, the deposition process started by lifting the substrate while simultaneously closing the barriers. We carefully calculated the velocities of both the dipper and the barrier closure so that the entire length of the substrate, minus 1 mm, was lifted at the same time as the barriers were closed. At this point, we fully opened the barriers to induce a sudden change in  $\Pi$ , allowing later correlations between each position on the substrate and its corresponding  $\Pi$ .

We performed Langmuir-Schaefer depositions to prevent the isostructural phase transition (IPT) [24]. In these experiments, we mounted the substrate on a dipper parallel to the interface using an adapted holder, as shown in Figure S7. We prepared a microgel monolayer as previously described. We slowly lowered the dipper until the substrate touched the interface. Once contact was made, we rapidly lifted the substrate and removed it from the holder. This method left a thin water film on top of the deposited monolayer, preventing the microgels from drying. To avoid uncontrolled drying, we immediately stored the substrates in Milli-Q water. We subsequently dried the substrates using supercritical  $\text{CO}_2$  to avoid the capillary forces during drying. For this, we followed the standard procedure used to dry biological samples. Briefly, we replaced the water in which the substrates were submerged with ethanol and then acetone before proceeding with supercritical  $\text{CO}_2$  drying. During this liquid phase replacement it is important to avoid the drying of the substrate, as this leads to the IPT (see Movie M4). Once the substrate was immersed in acetone, it was transferred to the supercritical  $\text{CO}_2$  dryer chamber (Leica EM CPD300), which was previously filled with acetone. After closing the chamber, we gradually substituted the acetone by liquid  $\text{CO}_2$ . Then, the temperature was set to  $31^\circ\text{C}$ , where the  $\text{CO}_2$  reached the supercritical point in the pressurized chamber. After this step, we removed the supercritical  $\text{CO}_2$  from the chamber, which resulted in a dry substrate not subjected to capillary forces during drying (see Movie M4).

### *AFM and image analysis*

Since the microgels deposited using the conventional method were too close due to collapse at high

1026  $\Pi$  (i.e.,  $\geq 22 \text{ mN m}^{-1}$ ), distinguishing them with the epi- 1072  
 1027 fluorescent microscope was not possible. Therefore, we 1073  
 1028 employed atomic force microscopy (AFM, motorized 1074  
 1029 Dimension 3000) in tapping mode (Tap300AI-G can- 1075  
 1030 tilevers, 300 kHz, 40 N/m, BudgetSensors, Bulgaria) 1076  
 1031 to analyze the monolayers at these higher  $\Pi$ . We ac- 1077  
 1032 quired images with a resolution of  $512 \times 512$  pixels<sup>2</sup> and 1078  
 1033 a scan size of  $30 \times 30 \mu\text{m}^2$  over the deposited microgel 1079  
 1034 monolayer. We took an image every  $500 \mu\text{m}$  along the 1080  
 1035 substrate, enabled by a custom motorized AFM setup 1081  
 1036 [54]. We then post-processed the images using Gwyd- 1082  
 1037 dion software and converted to 16-bit grayscale. 1083

1038 Next, we analyzed the images using a customized 1084  
 1039 particle tracking software based on the Python version 1085  
 1040 of the publicly available TrackPy code by Crocker and 1086  
 1041 Grier [55]. This allowed for precise localization of the 1087  
 1042 center of each microgel, excluding microgels located 1088  
 1043 at the image edges. We calculated radial distribution 1089  
 1044 functions,  $g(r)$ , and nearest neighbor distances. In addi- 1090  
 1045 tion, we computed the two-dimensional hexagonal order 1091  
 1046 parameter,  $\Psi_6$ , using the following equation: 1092

$$\Psi_6 = \left\langle \frac{1}{N_b} \left| \sum_{j=1}^{N_b} \exp(in\theta_j) \right| \right\rangle, \quad (10)$$

1047 where  $N_b$  is the number of the nearest neighbors,  $n$  1094  
 1048 was set to 6 and  $\theta_j$  is the angle between the particle and 1095  
 1049 its nearest neighbor  $j$ . 1096

1050 To obtain the height of the deposited microgels, we 1097  
 1051 used the software Gwyddion to create a mask which finds 1098  
 1052 the maximum height of each microgel. This allowed us 1099  
 1053 to find the height distributions of the microgels, fitting 1100  
 1054 the data to a Gaussian distribution and obtaining the 1101  
 1055 mean value from the mean of the fitted function. The 1102  
 1056 error was calculated as the FWHM of the distribution. 1103

### 1057 COMSOL simulations 1104

1058 Imaging the meniscus with an infrared (IR) camera 1105  
 1059 while simultaneously observing it through the optical 1106  
 1060 microscope revealed that the light spot induced local- 1107  
 1061 ized heating at the observation point on the meniscus, 1108  
 1062 as shown in Figure S4. Due to the limitations of this 1109  
 1063 technique, we performed COMSOL simulations to deter- 1110  
 1064 mine the temperature gradient along the meniscus and 1111  
 1065 the resulting Marangoni flow caused by the surface ten- 1112  
 1066 sion gradient most probable to be compatible with the 1113  
 1067 experimental results.

1068 The problem was addressed using a 2D stationary 1114  
 1069 model, with the meniscus shape modeled according to 1115  
 1070 Equation (4). An example of the used geometry to sim- 1116  
 1071 ulate the green meniscus from Figure 3a is shown in

Figure S15. An equivalent geometry was used for the  
 other meniscus. We applied a no-slip boundary condition  
 to the walls of the simulation box and the surface of the  
 silicon substrate, while a slip condition was applied at the  
 meniscus. We assigned the fluid properties to represent  
 those of liquid water. We modeled the heating induced  
 at the three-phases contact line due to in-situ observation  
 by applying a heat-element at the three-phases contact  
 line. We set the right wall of the simulation box to the  
 water temperature from our experiments,  $\approx 25 \text{ }^\circ\text{C}$ . We  
 tested different temperature differences,  $\Delta T$ , between the  
 right wall and the heat element to study how varying  
 light intensities affected the Marangoni force.

We used the non-isothermal flow multiphysics module  
 in COMSOL to solve this problem, coupling the lami-  
 nar flow of the liquid with heat transfer via conduction  
 and convection. Given that temperature variations influ-  
 ence the velocity field, we incorporated the Boussinesq  
 approximation into the Navier-Stokes equations. To ac-  
 count for the Marangoni effect, the following boundary  
 condition was imposed [56]:

$$\vec{n} \cdot [-p\mathbf{I} + \mu(\nabla\mathbf{u} + \nabla\mathbf{u}^T)] = -\gamma_T \nabla T, \quad (11)$$

where  $\vec{n}$  is the unit outward normal to the surface,  $p$   
 is the pressure,  $\mathbf{I}$  is the identity tensor,  $\mu$  is the dynamic  
 viscosity of the water,  $\mathbf{u}$  is the velocity vector,  $T$  is the  
 temperature, and  $\gamma_T = \frac{\partial\gamma}{\partial T}$  is the temperature derivative  
 of  $\gamma$ , imported from the COMSOL materials library.

From the simulated  $\gamma$  profiles along the meniscus,  
 we calculated the total force acting at the three-phases  
 contact line as the sum of the capillary and Marangoni  
 forces, according to Equations (7) and (8), respectively.  
 The simulated meniscus profiles were then compared  
 with experimental data to validate the model.

### 1104 Author Contributions

**Antonio Rubio-Andrés:** Investigation, Data curation,  
 Methodology, Validation, Visualization, Writing- Orig-  
 inal draft preparation. **Delfi Bastos-González:** Con-  
 ceptualization, Methodology, Resources, Supervision,  
 Writing- Original draft preparation. **Miguel Angel  
 Fernandez-Rodríguez:** Investigation, Conceptualiza-  
 tion, Methodology, Software, Resources, Supervision,  
 Writing- Original draft preparation, Project administra-  
 tion, Funding acquisition.

### Supporting Information

Additional experimental results and details about the  
 experimental setup.

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