

## Insights into the Oxygen Vacancy Filling Mechanism in CuO/CeO Catalysts: A Key Step Towards High Selectivity in Preferential CO Oxidation

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*ACS Catal.*, **Just Accepted Manuscript** • DOI: 10.1021/acscatal.0c00648 • Publication Date (Web): 11 May 2020

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22 19 KEYWORDS: CO-PROX reaction, ceria, copper, operando NAP–XPS, DFT  
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25 20 calculations, oxygen vacancies, reaction mechanism  
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30 21 ABSTRACT: The preferential CO oxidation (CO-PROX) reaction is paramount for the  
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34 22 purification of reformat H<sub>2</sub>-rich streams, where CuO/CeO<sub>2</sub> catalysts show promising  
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37 23 opportunities. This work sheds light on the lattice oxygen recovery mechanism on  
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41 24 CuO/CeO<sub>2</sub> catalysts during CO-PROX reaction, which is critical to guarantee both good  
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44 25 activity and selectivity, but that is yet to be well understood. Particularly, in situ Raman  
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47 26 spectroscopy reveals that oxygen vacancies in the ceria lattice do not form in significant  
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51 27 amounts until advanced reaction degrees, whereas pulse O<sub>2</sub> isotopic tests confirm the  
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4 28 involvement of catalyst oxygen in the CO and H<sub>2</sub> oxidation processes occurring at all  
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7 29 stages of the CO-PROX reaction (Mars–van Krevelen). Further mechanistic insights are  
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10 30 provided by operando near-ambient pressure X-ray photoelectron spectroscopy (NAP–  
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14 31 XPS) and near edge X-ray absorption fine structure (NEXAFS) experiments, which prove  
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17 32 the gradual CuO reduction and steady oxidized state of Ce ions until the very surface  
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21 33 reduction of CeO<sub>2</sub> at the point of selectivity loss. Experiments are complemented by  
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24 34 density functional theory (DFT) calculations, which reveal a more facile oxygen refill  
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28 35 according to the trend CuO > CeO<sub>2</sub> > Cu<sub>2</sub>O. Overall, this work concludes that the oxygen  
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31 36 recovery mechanism in CO-PROX switches from a direct mechanism, wherein oxygen  
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35 37 restores vacancy sites in the partially reduced CuO particles, to a synergistic mechanism  
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38 38 with the participation of ceria once Cu<sub>x</sub>O particles reach a critical reduction state. This  
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42 39 mechanistic switch ultimately results in a decrease in CO conversion in favor of the  
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45 40 undesired H<sub>2</sub> oxidation, which opens-up future research on potential strategies to improve  
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49 41 oxygen recovery.

## 1. Introduction

The preferential CO oxidation (CO-PROX) involves the selective oxidation of the low-content CO impurities (0.5–2% vol.) present in reformat streams after processing in water–gas shift reactors.<sup>1</sup> This catalytic strategy efficiently allows for exhaustive CO removal from H<sub>2</sub>-rich streams below the 10-100 ppm CO-tolerance level accepted for proton exchange membrane fuel cells, whose performance and durability are strongly affected by CO poisoning.<sup>2–4</sup> In particular, CO-PROX brings promising opportunities in the implementation of on-board and portable H<sub>2</sub>-dependent technologies, where lightness is a requirement.<sup>5</sup>

In the search of active and cost-effective catalysts, copper oxide and cerium oxide binary mixtures have demonstrated noteworthy activity and near-optimal features.<sup>6–9</sup> The catalytic performance of CuO/CeO<sub>2</sub> materials relies on the synergistic metal oxide/support interactions arising from complex redox effects induced between the CuO and CeO<sub>2</sub> phases at the interfacial contact points.<sup>6,10</sup> These redox features include labile electron exchange between the Cu<sup>2+</sup>/Cu<sup>+</sup> and Ce<sup>4+</sup>/Ce<sup>3+</sup> redox pairs, ease of formation of

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3 57 surface oxygen vacancies in ceria, and the promotion and stabilization of  $\text{Cu}^+$  sites.<sup>11-15</sup>  
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7 58 Notably, many precedent studies based on in situ and operando advanced spectroscopic  
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10 59 studies have pinpointed the stable surface  $\text{Cu}^+$  species as active sites for CO oxidation,  
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14 60 whereas the reduced metal Cu entities would favor the undesired  $\text{H}_2$  oxidation.<sup>11,16-18</sup> In  
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17 61 turn, the oxidation state of copper species is deemed to determine CO selectivity, while  
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21 62 the eventual reduction of CuO leads to selectivity losses by virtue of boosting the  
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24 63 competing  $\text{H}_2$  oxidation at high temperatures.<sup>19-21</sup> Since cationic  $\text{Cu}^+$  species are the  
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28 64 result of the interfacial redox interactions between the CuO and  $\text{CeO}_2$  phases,<sup>22,23</sup> many  
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31 65 studies have been devoted to promoting the formation of  $\text{Cu}^+$  by means of a rational  
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35 66 catalyst nanodesign.<sup>14,15,24-28</sup> Accordingly, highly-dispersed  $\text{CuO}_x$  particles provide the  
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39 67 highest reducibility to copper oxide/cerium oxide mixtures. Conversely, larger  $\text{CuO}_x$  bulk-  
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42 68 like clusters with a weaker interfacial interaction and a kinetically limited interaction help  
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45 69 to prevent further reduction to  $\text{Cu}^0$  and improve the maintenance of the CO selectivity.<sup>29,30</sup>  
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49 70 Therefore, the catalytic performance is influenced by the balance of small  $\text{Cu}^{\delta+}$  particles  
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4 71 and disperse bulk CuO microstructures, which is tunable by means of catalyst nanodesign  
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7 72 controlling size, shape, composition and electronic effects.  
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11 73 Recent studies based on advanced in situ transient techniques have presented valuable  
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15 74 mechanistic insights in the copper-catalyzed CO-PROX reaction<sup>31–33</sup> and it is a general  
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18 75 consensus that reaction takes place mainly following a Mars–van Krevelen (MvK)  
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22 76 mechanism.<sup>32,34,35</sup> Since MvK mechanism involves the direct participation of lattice  
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25 77 oxygen species in the reaction, oxygen vacancies created in the neighborhood of active  
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29 78 sites, which must be replenished by molecular O<sub>2</sub> from the gas phase. Thus, the catalyst  
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32 79 reoxidation capacity given by the surface oxygen exchange ability and oxygen mobility  
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36 80 has a direct impact in the catalytic performance.<sup>36,37</sup> However, in contrast with the current  
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39 81 deep knowledge in molecular CO and H<sub>2</sub> oxidation reactions, the mechanism of  
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43 82 reoxidation steps is not yet well understood.<sup>32,38,39</sup> In copper oxide–cerium oxide  
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46 83 catalysts, two complementary mechanisms of O vacancy filling have been proposed.  
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50 84 Namely, the *direct* mechanism where O<sub>2</sub> replenishes oxygen vacancies directly in the  
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53 85 CuO<sub>x</sub> sites, and the *synergistic* mechanism, where O<sub>2</sub> uptake takes place via the CeO<sub>2</sub>

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4 86 support and subsequent transfer to the active O-deficient  $\text{CuO}_x$  phase.<sup>40</sup> Although the  
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7 87 prevalence of each mechanism is known to be dependent on the oxygen storage capacity  
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10 88 and catalyst interfacial interactions, the assessment of their specific contributions during  
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14 89 CO-PROX conditions remains unclear.  
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17 90 Herein we report a detailed mechanistic insight on the  $\text{CuO}/\text{CeO}_2$  activity towards the CO-  
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21 91 PROX reaction with a particular focus on the redox processes occurring at the individual  
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24 92 catalytic phases in reduction and reoxidation steps. With this aim, CO-PROX operando  
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28 93 near-ambient pressure X-ray photoelectronic spectroscopy (NAP-XPS) and near edge  
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31 94 X-ray absorption fine structure (NEXAFS) experiments with tunable incident soft X-ray  
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35 95 photon energies were conducted, obtaining XPS spectra which allow to discern with high  
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38 96 sensitivity small variations in the redox processes at different catalyst depths. Particularly,  
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42 97 while Cu ions are gradually reduced along with CO-PROX reaction course, Ce ions  
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45 98 remain in a steady oxidized state up to a critical point where the finest surface of ceria  
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49 99 shows an incipient reduction. In addition, DFT calculations indicate that ceria facilitates  
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52 100 oxygen to the surrounding Cu species at the triple phase boundary, assisting in the



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4 101 oxygen recovery process once Cu reaches a certain reduced state. In situ Raman  
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7 102 spectroscopy in CO-PROX mixture confirms that ceria reduction becomes very significant  
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10 103 when further increasing the temperature above such point, assigned to the total  
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14 104 conversion of inlet O<sub>2</sub>. On the contrary, O<sub>2</sub> pulse isotopic experiments demonstrate the  
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17 105 involvement of catalyst oxygen in the CO and H<sub>2</sub> oxidations along the entire CO-PROX  
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21 106 reaction range, which overall suggests the participation of lattice oxygen from different  
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24 107 sources, from CuO in first instance, and secondly, from ceria. In summary, this work  
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28 108 presents evidences of the transition from a direct O vacancy filling mechanism on CuO,  
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31 109 to a synergistic O<sub>2</sub> uptake via ceria, which determines the CO-PROX selectivity of the  
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35 110 CuO/CeO<sub>2</sub> catalyst.

## 39 111 **2. Experimental methods**

### 42 112 **2.1. Catalysts preparation and characterization**

45 113 The CeO<sub>2</sub> support was prepared by thermal decomposition of cerium(III) nitrate following  
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49 114 *flash* calcination procedure, introducing the precursor in a preheated muffle furnace at  
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52 115 200 °C and then heating up to 500 °C in a ramp of 10 °C/min. The CuO/CeO<sub>2</sub> catalyst

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4 116 was synthesized via incipient wetness impregnation of copper(II) nitrate aqueous solution  
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7 117 into the ceria support, followed by *flash* calcination with the same protocol as for the  
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10 118 support preparation. The target nominal composition was set to 5% w/w Cu.  
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14 119 The general physicochemical characterization results, including N<sub>2</sub> adsorption at -196 °C  
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17 120 (Figure S1, Table S1), XRD (Figure S2, Table S2), Raman spectroscopy (Figure S3),  
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21 121 temperature programmed reduction with H<sub>2</sub> (Figure S4) and transmission electron  
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24 122 microscopy (Figure S5), are described in the Supporting Information.  
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## 28 123 **2.2. CO-PROX catalytic tests**

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31 124 Fixed-bed CO-PROX catalytic tests were conducted with 150 mg of catalyst placed in a  
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35 125 U-shaped quartz reactor (16 mm inner diameter) and 100 mL/min (GHSV: 30000 h<sup>-1</sup>) of  
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39 126 the flowing reactant mixture, i.e., 2% CO, 30% H<sub>2</sub> and 2% O<sub>2</sub> balance N<sub>2</sub>, leading to a  
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42 127 stoichiometric O<sub>2</sub>:CO excess ( $\lambda$ ) of 2. To test the effect of the oxygen partial pressure,  
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45 128 experiments in O<sub>2</sub>:CO stoichiometric conditions with  $\lambda = 1$  were also carried out. Catalytic  
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49 129 tests were performed with a heating rate of 2 °C/min up to 250 °C and the exhaust gases  
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53 130 were analyzed using a gas chromatograph (HP model 6890 Plus Series) equipped with  
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4 131 two columns: Porapak Q 80/100 for CO<sub>2</sub> and H<sub>2</sub>O separation and Molecular Sieve 13X  
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7 132 for O<sub>2</sub> and CO separation, coupled to a thermal conductivity detector (TCD). The effect of  
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10 133 CO<sub>2</sub> and H<sub>2</sub>O inhibitors in the catalytic activity was studied by adding 10% CO<sub>2</sub>, 5% H<sub>2</sub>O  
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14 134 and 10% CO<sub>2</sub> + 5% H<sub>2</sub>O to the reactant CO-PROX gas mixture in 2 °C/min ramp  
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17 135 experiments with  $\lambda = 2$  (Figure S9).  
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### 21 136 **2.3. Isotopic experiments with <sup>36</sup>O<sub>2</sub>**

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24 137 Isotopic experiments were performed with <sup>36</sup>O<sub>2</sub> using an injection valve with a loop (100  
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28 138  $\mu$ L) and two high sensitivity pressure transducers. These experiments were carried out in  
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31 139 a fixed-bed tubular quartz reactor with 80 mg of catalyst in a constant feeding mixture  
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35 140 consisting of 20 mL/min of 1% CO, 30% H<sub>2</sub> and He balance. The outlet gas composition  
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39 141 was monitored with a mass spectrometer (MS) Pfeiffer Vacuum (model OmniStar). The  
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42 142 reactor was heated using a furnace controlled by a temperature regulator at selected  
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45 143 temperatures representative for different CO selectivity regimes along the CO-PROX  
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49 144 reaction, namely 75, 100 and 150 °C. Once MS signals were stabilized at the desired  
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52 145 temperature under the flowing mixture, three <sup>36</sup>O<sub>2</sub> pulses (Isotec, 99%; 100  $\mu$ L and 620  
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4 146 mbar) were injected. The results obtained were reproducible at all temperatures, and the  
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7 147 reproducibility of the method was further confirmed by the injection of Ar pulses (100  $\mu$ L  
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10 148 and 620 mbar) prior to the  $^{36}\text{O}_2$  pulses.

#### 14 149 **2.4. In situ Raman spectroscopy experiments**

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18 150 In situ Raman spectra were recorded in a LabRam Jobin Ivon Horiba instrument with a  
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21 151 laser excitation source of He:Ne (632.8 nm). Experiments were performed in a high  
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24 152 temperature chamber fed with a regular flow of 100 mL/min of He or CO-PROX gas  
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28 153 mixture (i.e., 2% CO, 2% O<sub>2</sub>, 30% H<sub>2</sub> and He balance). Raman spectra were recorded in  
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31 154 both atmospheres at selected temperatures (i.e., 50, 75, 100, 150, 200 and 250 °C) to  
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35 155 study structural changes upon exposure to the CO-PROX reactant mixture. A  
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38 156 monocrystalline Si reference (521  $\text{cm}^{-1}$ ) was used to calibrate the position of the bands.

#### 39 157 **2.5. NAP-XPS and NEXAFS experiments under CO-PROX operando conditions**

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43 158 NAP-XPS spectra were recorded under CO-PROX reaction conditions at the NAPP  
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46 159 branch of the CIRCE beamline at the ALBA Synchrotron Light Source.<sup>41</sup> For each  
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50 160 analysis, two different set of photon energies were used, namely 1082 and 1372 eV for  
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4 161 the Ce 3d and Cu 2p regions, and 972 and 722 eV for the O 1s and C 1s regions. These  
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7 162 energies provide a variability in the surface sensitivity according to the estimations of the  
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10 163 mean free paths (MFP) in the Cu and Ce oxide structures (see Table S3 for details).  
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14 164 The CuO/CeO<sub>2</sub> catalyst was pelletized with a gold mesh to prevent surface charging while  
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17 165 providing a Au 4f reference for the peak position during XPS analysis. Catalytic activity of  
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21 166 the gold mesh was experimentally ruled out. A Puregas gas inlet system (SPECS) was  
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24 167 used to keep the total pressure in the XPS chamber constant at 1 mbar and to control the  
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28 168 gas feed. The pelletized catalyst was pretreated in O<sub>2</sub>/He atmosphere at 250 °C for 1 hour  
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31 169 and then cooled down to 50 °C. Subsequently, the CO-PROX reacting mixture containing  
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35 170 1% CO, 1% O<sub>2</sub>, 30% H<sub>2</sub> and balance N<sub>2</sub> was dosed at 30 mL/min, and exhaust gases  
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38 171 were monitored with a MS installed in the second stage of the differential pumping system  
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42 172 of the XPS electron energy analyzer. The CO-PROX reaction progress was controlled at  
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45 173 temperature intervals of 50 °C with corresponding stabilization at each point up to total  
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49 174 O<sub>2</sub> conversion until reaching the final temperature of 450 °C. For each temperature, XPS  
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4 175 spectra were recorded once stationary state was achieved based on MS signals  
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7 176 stabilization.  
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10 177 CO-PROX operando NEXAFS measurements at the Cu L-edge (930-950 eV) were  
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14 178 performed in total electron yield mode measuring the sample current at each temperature  
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17 179 after the series of NAP-XPS scans using the same experimental conditions.  
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## 21 180 **2.6. Computational methods**

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24 181 Theoretical calculations reported in this work were conducted by means of periodic  
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28 182 density functional theory (DFT) using the Perdew-Burke-Ernzenhof (PBE) exchange-  
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31 183 correlation functional,<sup>42</sup> as implemented in the Vienna ab initio simulation package  
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35 184 (VASP) code, version 5.4.1.<sup>43,44</sup> The core electrons of Ce, Cu and O ions were described  
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39 185 using projector augmented wave (PAW) potentials,<sup>45</sup> while their valence states were  
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42 186 represented by plane-waves with a kinetic cut-off energy of 500 eV. In the case of Ce  
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46 187 ions, an effective Hubbard  $U$  term ( $U_{eff}$ ) of 4.5 eV was also added to the DFT calculated  
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49 188 energies (DFT+ $U$ ) as an on-site correction for the electrons localized in the  $4f$  orbital,  
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53 189 following Dudarev's approach.<sup>46</sup> The choice of this  $U_{eff}$  value is based on the satisfactory  
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4 190 results obtained for a wide range of reactions catalyzed by ceria.<sup>47–50</sup> In the case of Cu<sup>2+</sup>,  
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7 191 the analogue treatment of the  $d^9$  electron was conducted using an  $U_{eff}$  of 7, as  
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10 192 recommended in literature.<sup>51</sup>

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14 193 The equilibrium lattice constant for the Cu and Ce bulk oxides was optimized with a  $\Gamma$ -  
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17 194 centered k-point grid of  $5 \times 5 \times 5$  and  $7 \times 7 \times 7$ , respectively, and using the Birch-  
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21 195 Murnaghan equation of state. Starting from the optimized bulk structures, the most  
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24 196 abundant facets were modelled by their corresponding surface slabs, namely CuO(111),  
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28 197 Cu<sub>2</sub>O(111) and CeO<sub>2</sub> (111) displaying different periodicities in order to expose equal  
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31 198 number of surface oxygens. These slabs were built thick enough to ensure there is  
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35 199 minimal interaction between the top and the bottom (3 metal layers for CeO<sub>2</sub>, 4 for CuO  
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38 200 and Cu<sub>2</sub>O slabs), and with a sufficiently large vacuum gap (ca. 15 Å) perpendicular to the  
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42 201 surface to minimize the interaction between periodic slabs in that direction. The geometry  
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45 202 of the surface slabs was optimized using a  $\Gamma$ -centered k-point grid mesh of  $3 \times 3 \times 1$ .

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49 203 Oxygen vacancy formation energies,  $E_{O-vac}$ , on the various slabs were calculated as:

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$$E_{O-vac} = E_{vac-slab} - [E_{slab} + \frac{1}{2} E_{O_2}]$$

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4 205 Where  $E_{slab}$  is the energy of the stoichiometric slab,  $E_{O_2}$  is the energy of an oxygen gas  
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7 206 molecule, and  $E_{vac-slab}$  is the energy of the slab with a lattice oxygen vacancy with the two  
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10 207 electrons left behind in the most favorable configuration. All the  $E_{O-vac}$  values featuring  
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14 208 different electron distributions are presented in Table S4.  
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### 18 209 **3. Results and discussion**

#### 21 210 **3.1. CO-PROX catalytic tests in fixed-bed reactor**

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24 211 Figure 1 shows the CO-PROX activity profile of the prepared CuO/CeO<sub>2</sub> catalyst in a first  
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28 212 reaction cycle at two different oxygen partial pressures. Regardless the oxygen inlet,  
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31 213 CuO/CeO<sub>2</sub> exhibits an exceptional behavior in terms of CO conversion and CO selectivity,  
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35 214 in agreement with previous studies.<sup>52–54</sup> Because of the competitive H<sub>2</sub> oxidation reaction,  
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38 215 two different regions must be discerned in Figure 1, namely the CO selective (ca. <110  
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42 216 °C) and non-selective regime (ca. >110 °C). Such critical temperature is defined by the  
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45 217 H<sub>2</sub> oxidation onset, process which becomes more predominant as temperature increases  
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49 218 because of its higher activation energy compared to CO oxidation.<sup>55</sup> In consequence, the  
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52 219 selectivity regime transition is relevant since it dictates the optimum operating  
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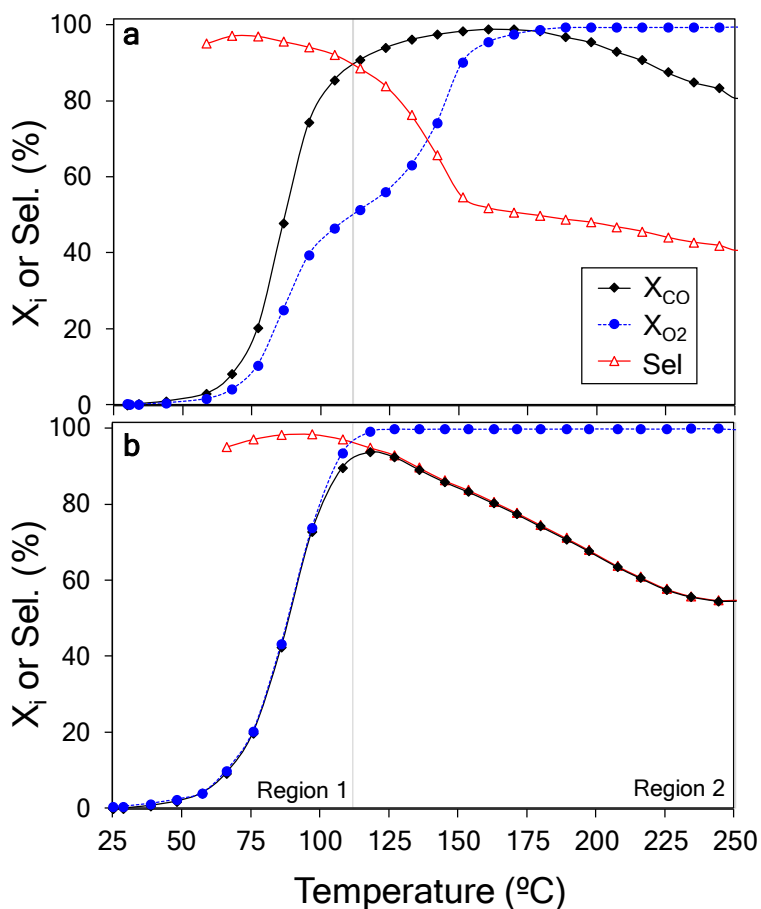


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4 220 temperature in for the optimum CO activity and selectivity for a given experimental CO-  
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7 221 PROX reaction conditions. According to Figures 1a and 1b, neither CO oxidation nor H<sub>2</sub>  
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10 222 oxidation onset are significantly affected by the inlet O<sub>2</sub> pressure as the transition between  
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14 223 both regimes remains unaltered.

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17 224 However, as H<sub>2</sub> gains relevance, CO oxidation is hampered due to the limited O<sub>2</sub> supply,  
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21 225 as illustrated in the selectivity profile. When  $X_{O_2}$  is total, CO conversion decreases in favor  
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24 226 of H<sub>2</sub> oxidation, which occurs near the regime transition point in  $\lambda=1$  conditions, while at  
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28 227 higher temperatures when  $\lambda=2$ . Besides, the O<sub>2</sub>:CO excess ( $\lambda=2$ ) allows to reach higher  
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31 228 CO conversions compared to the stoichiometric conditions ( $\lambda=1$ ) since H<sub>2</sub> oxidation onset  
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35 229 is lower than the temperature required for total  $X_{CO}$ . Hence, setting  $\lambda>1$  is beneficial in  
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38 230 CO-PROX, though only moderate values are practicable in order to avoid an excessive  
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42 231 residual H<sub>2</sub> oxidation.<sup>56,57</sup> Therefore  $\lambda=2$  will be set as the default CO-PROX conditions  
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45 232 for the operando analyses presented henceforward in this study. Overall, these results  
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49 233 confirm that CO-PROX is a competitive process where selectivity is determined by the

234 remaining partial pressure of  $O_2$ , although CO and  $H_2$  oxidation reactions are not affected

235 independently.



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237 Figure 1. CO-PROX catalytic performance of CuO/CeO<sub>2</sub> in terms of CO conversion ( $X_{CO}$ ,  
238 diamonds), O<sub>2</sub> conversion ( $X_{O_2}$ , circles), and CO selectivity (Sel, triangles) profiles for a)  
239  $\lambda=2$  and b)  $\lambda=1$ . Region 1 refers to the CO selective regime, whereas Region 2  
240 corresponds to the non-selective regime.

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4 241 Catalytic tests using the CeO<sub>2</sub> and CuO bare phases were carried out as control  
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7 242 experiments and both showed negligible individual activity within the CO-PROX  
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10 243 temperature window (Figure S6) in contrast to the binary CuO/CeO<sub>2</sub> catalyst. These  
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14 244 experiments point out to the synergistic Cu–Ce interactions at the CuO/CeO<sub>2</sub> interface as  
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17 245 the main responsible for the improved performance of the combined catalyst, as well  
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21 246 reported.<sup>27,28,58,59</sup> In this regard, characterization by Raman spectroscopy (Figure S3) and  
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24 247 H<sub>2</sub>-TPR (Figure S4) prove the existing strong interaction between CuO and CeO<sub>2</sub> in the  
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28 248 CuO/CeO<sub>2</sub> catalyst. Altogether, the general characterization results indicate that the 5%  
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31 249 w/w Cu catalyst prepared by *flash* calcination is composed of both finely disperse CuO<sub>x</sub>  
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35 250 particles and bigger CuO bulk-like clusters in weaker interaction with the ceria carrier. A  
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38 251 minor portion of 0.56% Cu is estimated to be inserted in the ceria lattice (Table S2),  
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42 252 presumably concentrated on the outer surface layers.

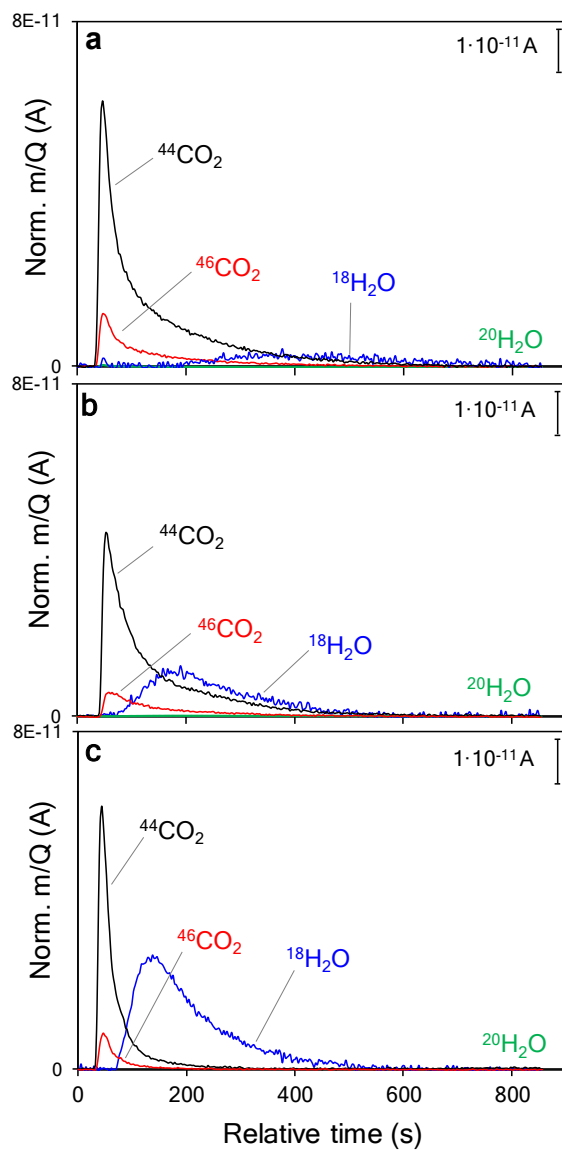
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46 253 The robustness and recyclability of the CuO/CeO<sub>2</sub> catalyst was confirmed by running four  
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49 254 consecutive CO-PROX reaction cycles (Figure S7) followed by a fifth 10 hours' time-on-  
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53 255 stream isothermal experiment (Figure S8). Finally, the suitability of the CuO/CeO<sub>2</sub> catalyst

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4 256 in the presence of CO<sub>2</sub> and H<sub>2</sub>O inhibitors was demonstrated, whose resulting impact in  
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7 257 the catalytic activity followed the trend: CO<sub>2</sub> < H<sub>2</sub>O < CO<sub>2</sub> + H<sub>2</sub>O (see Figure S9), in  
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10 258 agreement with previous studies.<sup>57,60</sup> Overall, the CO-PROX activity results demonstrate  
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14 259 excellent performance of the CuO/CeO<sub>2</sub> catalyst.  
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### 18 260 3.2. <sup>36</sup>O<sub>2</sub> pulse isotopic experiments

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21 261 To investigate the participation of lattice oxygen from the CuO/CeO<sub>2</sub> catalyst in the CO-  
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24 262 PROX reaction mechanism, a series of <sup>36</sup>O<sub>2</sub> pulse isotopic experiments were next  
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28 263 performed at different temperatures. According to the gas profiles measured after the <sup>36</sup>O<sub>2</sub>  
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32 264 pulses (Figure 2), only CO<sub>2</sub> and H<sub>2</sub>O species were detected with no sign of O<sub>2</sub> being  
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35 265 released. This observation can be rationalized with the strongly reducing conditions of  
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39 266 these experiments, leading to a highly O-deficient CuO/CeO<sub>2</sub> catalyst that captures the  
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42 267 incoming <sup>36</sup>O<sub>2</sub> molecules to restore the O vacant sites. In addition, Figure 2 shows that  
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46 268 the area of the <sup>18</sup>H<sub>2</sub>O peak increases with temperature due to the promoted H<sub>2</sub> oxidation  
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49 269 reaction, while the area of the CO<sub>2</sub> peaks decreases due to the selectivity loss in the CO-  
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53 270 PROX reaction (Figure S10), in agreement with the catalytic experiments described in the  
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4 271 previous section. The effect of the temperature is also reflected in the sharpening of the  
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7 272 profiles of the evolved products, which can be attributed to a faster desorption. The most  
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10 273 relevant insight, however, is the evident delay in H<sub>2</sub>O release compared to CO<sub>2</sub>, as well  
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14 274 as the large broadening of the H<sub>2</sub>O signal. This is consistent with an increased retention  
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17 275 of water molecules at the surface compared to CO<sub>2</sub>. The potential accumulation of H<sub>2</sub>O  
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21 276 on the catalyst surface also relates with the stronger inhibition by H<sub>2</sub>O than by CO<sub>2</sub>, as  
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24 277 the corresponding catalytic results show (Figure S9).<sup>57,60</sup> In addition, complementary  
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28 278 temperature programmed experiments (TPD) from Figure S10 in the Supplementary  
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31 279 Information evidence a significant H<sub>2</sub>O and CO<sub>2</sub> retention capacity in the CuO/CeO<sub>2</sub>  
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35 280 catalyst. Interestingly, H<sub>2</sub>O surface saturation leads to important CO<sub>2</sub> co-release, and vice  
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38 281 versa. In fact, CO<sub>2</sub> and H<sub>2</sub>O co-addition maximizes chemisorption capacity, which also  
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42 282 relates with the much stronger inhibition by CO<sub>2</sub> + H<sub>2</sub>O co-presence (Figure S9).  
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284 Figure 2. Normalized MS signals measured after  $^{36}\text{O}_2$  pulses in  $\text{H}_2 + \text{CO}$  flow with the  
285  $\text{CuO/CeO}_2$  catalyst at different temperatures: a) 75 °C, b) 100 °C and c) 150 °C. The zero-  
286 time was set after  $^{36}\text{O}_2$  was pulsed.

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4 287 The signals detected after the  $^{36}\text{O}_2$  pulses correspond to  $^{44}\text{CO}_2$ ,  $^{46}\text{CO}_2$  and  $^{18}\text{H}_2\text{O}$ , where  
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7 288 most oxygen atoms come from CO and the catalyst O atoms ( $^{16}\text{O}$ ); the only  $^{18}\text{O}$ -  
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10 289 containing molecule was  $^{46}\text{CO}_2$ , and neither  $^{48}\text{CO}_2$  nor  $^{20}\text{H}_2\text{O}$  were detected. Notably, the  
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14 290 formation of  $^{44}\text{CO}_2$  ( $^{16}\text{OC}^{16}\text{O}$ ; non-isotopic) involves catalyst  $^{16}\text{O}$  abstraction and anionic  
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17 291 vacancy formation, which is indicative of CO oxidation taking place via a Mars–van  
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21 292 Krevelen (MvK) mechanism. On the other hand,  $^{46}\text{CO}_2$  formation ( $^{18}\text{OC}^{16}\text{O}$ ; scramble of  
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24 293 non-isotopic and isotopic) may involve an adsorbed  $^{18}\text{O}$  species in the vicinity of CO or  
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28 294 occur via direct oxidation of adsorbed CO by  $^{36}\text{O}_2$ . The relative areas of the  $^{44}\text{CO}_2$  and  
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31 295  $^{46}\text{CO}_2$  peaks, however, indicate that the former reaction pathway is much more relevant  
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35 296 than the latter regardless of the temperature. Analogously,  $\text{H}_2$  oxidation involves a  
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38 297 catalyst oxygen ( $^{16}\text{O}$ ) to yield  $^{18}\text{H}_2\text{O}$  via a MvK mechanism. In contrast with CO oxidation,  
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42 298 other alternative  $\text{H}_2$  oxidation mechanisms involving pulsed  $^{36}\text{O}_2$  can be ruled out since  
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45 299  $^{20}\text{H}_2\text{O}$  is not detected. In summary, isotopic pulse experiments allow to unequivocally  
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49 300 confirm that both CO and  $\text{H}_2$  oxidation reactions on the  $\text{CuO}/\text{CeO}_2$  catalyst in a CO-PROX  
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52 301 environment occur via a MvK mechanism all along the temperature profile. Besides,  
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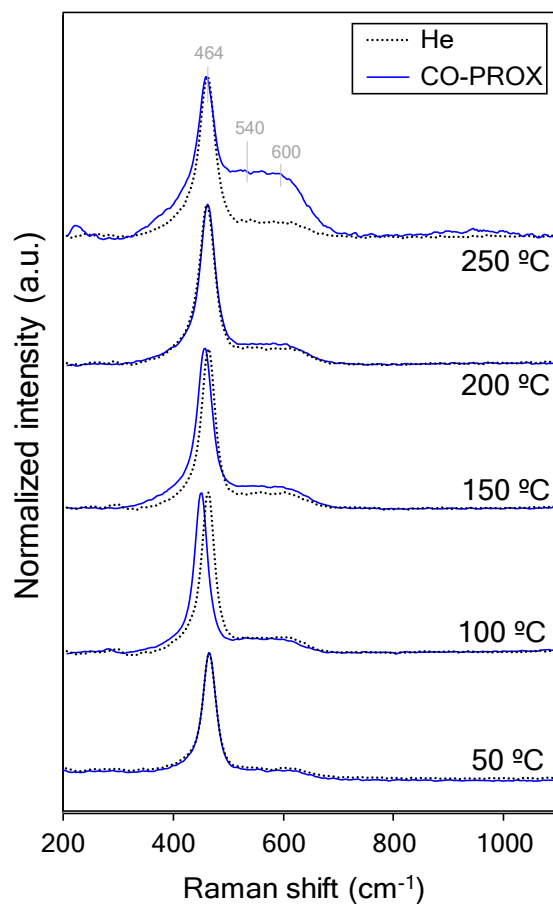
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4 302 global isotopic yield of products shown in Figure S11 demonstrate there is not significant  
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7 303 effect of temperature in the oxygen exchange capacity of the catalyst within the 75–150  
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10 304 °C tested range.

### 14 305 **3.3. In situ Raman spectroscopy experiments**

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18 306 Figure 3 compiles the Raman spectra of the CuO/CeO<sub>2</sub> catalyst recorded under flowing  
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21 307 He and CO-PROX gas mixture atmospheres at different temperatures, which relate with  
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24 308 ceria crystalline changes. The spectra show a main band centered around 464 cm<sup>-1</sup>,  
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28 309 attributed to the F<sub>2g</sub> symmetric vibration mode of oxide anions around their equilibrium  
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32 310 positions in tetrahedral sites within the cubic crystal structure of ceria.<sup>7,61,62</sup> The position  
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35 311 of the F<sub>2g</sub> band is highly sensitive to small changes in the crystalline features of CeO<sub>2</sub> and  
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39 312 it is well reported to respond upon lattice dilation with a proportional lower frequency (red)  
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42 313 shift.<sup>62</sup> Additionally, Raman spectra of ceria-based materials typically display minor bands  
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46 314 around 540 cm<sup>-1</sup> and 600 cm<sup>-1</sup>, so-called D bands, D<sub>1</sub> and D<sub>2</sub> respectively, which are  
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49 315 ascribed to the presence of lattice defects.<sup>61,63</sup> Hence, the area band ratio D/F<sub>2g</sub> is widely  
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53 316 used a measure of oxygen defect concentration in ceria, though the discernment between



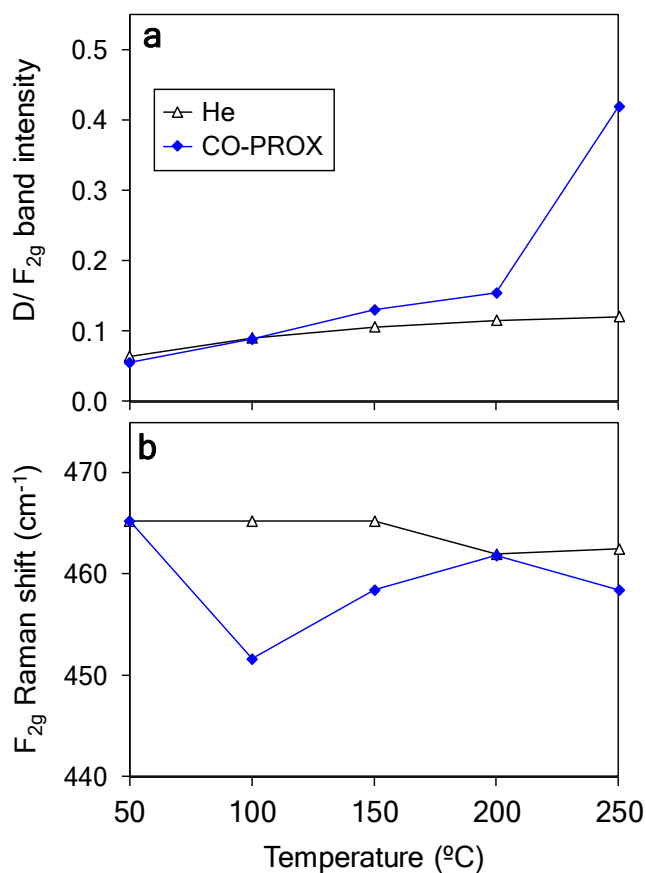
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4 317 the  $D_1$  and  $D_2$  band modes is still a matter of debate.<sup>64</sup> Recent  
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7 318 experimental/computational Raman studies in ceria-doped materials have assigned  $D_1$   
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10 319 bands to the presence of oxygen vacancy defects, whereas  $D_2$  is attributed to the  
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14 320 presence of defective elements in solid solution within the ceria crystal.<sup>63–65</sup>  
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4 322 Figure 3. In situ Raman spectra for the CuO/CeO<sub>2</sub> catalyst recorded at different  
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7 323 temperatures in 100 mL/min of He (dotted lines) and CO-PROX mixture (solid lines). We  
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10 324 note that spectra have been normalized to the maximum intensity of the F<sub>2g</sub> band.  
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15 325 Since pulse isotopic experiments confirm a MvK mechanism in CO-PROX for both CO  
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18 326 and H<sub>2</sub> oxidation reactions, it is expected an increased population of oxygen vacancies in  
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22 327 the catalyst alongside reaction course. The formation of an O vacancy results in a charge  
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25 328 imbalance in the CeO<sub>2</sub> lattice that must be compensated by the reduction of two Ce<sup>4+</sup>  
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29 329 cations to Ce<sup>3+</sup>. The distribution of the reduced Ce<sup>3+</sup> ions is determined by the most  
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32 330 favorable arrangement around the vacancy site, which has been established as the  
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36 331 nearest neighbor (*NN*) and next nearest neighbor (*NNN*) positions relative to the defect  
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39 332 position.<sup>66</sup> As a result, the Ce<sup>3+</sup>-*NNN* cation formed upon oxygen vacancy formation  
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43 333 would remain in an 8-fold coordination contributing to the D<sub>2</sub> mode, as a sign of incipient  
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46 334 reduction of ceria with highly dispersed surface oxygen vacancies. Assuming this  
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50 335 hypothesis, the D<sub>2</sub> band at 600 cm<sup>-1</sup> attributed to isolated vacancies, cannot be formed in  
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53 336 independence of the D<sub>1</sub> band at 540 cm<sup>-1</sup> in non-doped ceria materials, as is the case of

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4 337 this study. For this reason, the in situ Raman spectra presented herein show near-equal  
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7 338  $D_1$  and  $D_2$  contributions, which are gathered together as a broad D band that acquires a  
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10 339 flat profile in the 540-600  $\text{cm}^{-1}$  range. The influence of temperature on the D and  $F_{2g}$   
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14 340 Raman bands in He and CO-PROX atmospheres is compiled in Figures 4a and 4b.  
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4 342 Figure 4. Data extracted from Raman spectra at different temperatures in He (triangles)  
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7 343 and CO-PROX (diamonds) conditions. a) D (540–600  $\text{cm}^{-1}$ ) /  $F_{2g}$  (460  $\text{cm}^{-1}$ ) band intensity  
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10 344 ratios and b)  $F_{2g}$  band Raman shift.

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15 345 The comparison between the trends observed under He and CO-PROX conditions allows  
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18 346 to discern the effect of reactant gases from the inherent lattice thermal expansion in the  
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22 347 CuO/CeO<sub>2</sub> catalyst. According to the He-recorded Raman spectra shown in Figure 3, the  
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25 348 ceria lattice is expanded by the effect of temperature above 150 °C resulting in a  
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29 349 proportional  $F_{2g}$  red shift. Another contribution to such expansion is the presence of Ce<sup>3+</sup>  
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32 350 cations, with larger radii than Ce<sup>4+</sup>, that balance the charge deficit left upon the formation  
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36 351 of oxygen vacancies in ceria induced by temperature. However, the associated defect D  
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39 352 band barely increases within 50–250 °C, so this contribution is modest.

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43 353 On the other hand, the recorded CO-PROX Raman spectra is more complex and  
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46 354 responds to the different CO-PROX reaction regimes. In particular, the evolution of the D  
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50 355 band in the CO-PROX mixture (Figure 4a) encompasses that recorded in He up to 100  
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53 356 °C. Beyond this temperature, the CuO/CeO<sub>2</sub> catalyst presents a gradually higher

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4 357 population of oxygen vacancy defects under CO-PROX conditions, which experiences a  
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7 358 sharp increase at 250 °C. Considering that the Raman spectra for CuO/CeO<sub>2</sub> merely  
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10 359 relate to the crystalline properties of ceria since the CuO Raman bands are weak,<sup>67</sup> we  
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14 360 can conclude that ceria remains essentially oxidized below 100 °C. After this temperature,  
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17 361 there is a moderate reduction of ceria under CO-PROX conditions up to 200 °C, after  
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21 362 which a critically reduced state is suddenly observed. This is in agreement with the fixed-  
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24 363 bed catalytic tests (Figure 1) and O<sub>2</sub> isotopic pulse experiments (Figure 2) which suggest  
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28 364 that the MvK mechanism is eventually disrupted in low O<sub>2</sub> partial pressure conditions  
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31 365 when H<sub>2</sub> oxidation becomes more relevant, and consequently, CO selectivity drops.  
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35 366 Interestingly, the analysis of the F<sub>2g</sub> band presented in Figure 4b and its comparison with  
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38 367 the evolution of the D band leads to the striking observation that lattice expansion and  
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42 368 oxygen vacancy formation are not coupled along the CO-PROX reaction. For instance,  
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45 369 at 100 °C, the F<sub>2g</sub> band exhibits a large red shift that is not encompassed by an increase  
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49 370 of the D band. One possible explanation could be that oxygen vacancies created upon  
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52 371 the reduction of Ce<sup>4+</sup> ions by CO are rapidly refilled by O atoms from O<sub>2</sub>, forming transient

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4 372 surface oxygen species that do not transfer negative charge density to the neighboring  
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7 373  $\text{Ce}^{3+}$  cations, which remain reduced. This scenario typically entails reactive oxygen  
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10 374 species such as peroxides ( $\text{O}_2^{2-}$ ) and superoxides ( $\text{O}^{2-}$ ) that are characterized by the  
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14 375 presence of weak bands centered at 830 and 1130  $\text{cm}^{-1}$ , respectively.<sup>68,69</sup> However,  
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17 376 these bands were not detected in the CO-PROX Raman spectra, and therefore, the  
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21 377 participation of these oxygen species is questionable. Besides, this hypothesis would  
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24 378 involve a major contribution from the  $\text{D}_2$  component in the D band region, which could not  
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28 379 be observed either. An alternative and more likely explanation is that the chemisorption  
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31 380 of  $\text{H}_2$  and subsequent surface hydroxylation may lead to the reduction of  $\text{Ce}^{4+}$  ions at  
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35 381 these low temperatures, where lattice oxygen abstraction by water release is still not  
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38 382 favorable. This possibility is supported by previous experimental and theoretical studies  
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42 383 which show that the dissociative  $\text{H}_2$  chemisorption can indeed promote the reduction of  
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45 384 surface  $\text{Ce}^{4+}$  ions leaving a large coverage of  $\text{Ce}^{3+}$ -OH groups.<sup>49,70</sup> The low energy  
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49 385 activated H would remain on surface as hydroxyl groups or reversibly recombine to  
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52 386 release back  $\text{H}_2$  unless sufficient energy is provided by higher temperatures to produce

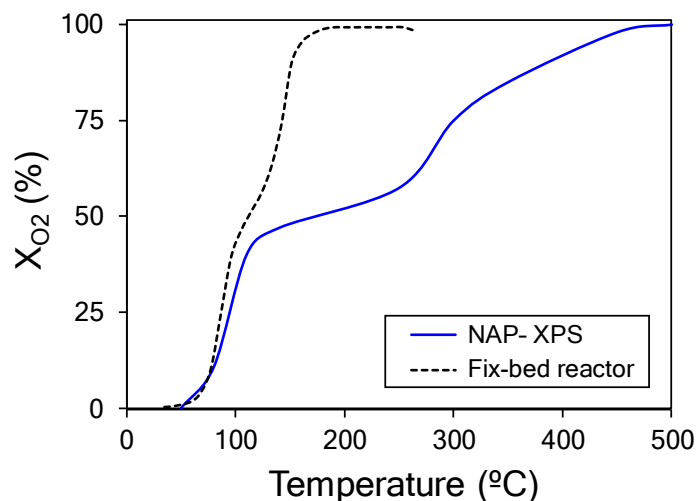
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4 387 water molecules at expense of the formation of an O vacancy. In turn, under H<sub>2</sub>  
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7 388 atmosphere and low temperatures (ca. <100 °C), CeO<sub>2</sub> surface presents one-electron  
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10 389 (i.e., punctual Ce<sup>3+</sup> sites) formed upon a reversible process not related to oxygen vacancy  
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14 390 formation. However, the magnitude of the red-shift of the F<sub>2g</sub> band suggests that there  
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17 391 may be other factors at play at these low temperatures. Another unexpected observation  
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21 392 arises at higher temperatures, where the F<sub>2g</sub> band shows a gradual compression of the  
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24 393 ceria until it overlaps with the He-recorded spectrum at 200 °C. Finally, the F<sub>2g</sub> red-shift  
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28 394 at 250 °C is accompanied by a steep increase of the D band (Figure 4a), which indicates  
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31 395 that ceria lattice expansion is directly related to oxygen vacancy formation at this  
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35 396 temperature, as one would expect.

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38 397 Overall, the Raman spectra presented in Figure 4 prove the existence of dynamic redox  
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42 398 processes in ceria under CO-PROX reaction conditions, which cannot be explained  
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45 399 without the knowledge of the state of Cu particles in the combined CuO/CeO<sub>2</sub> catalyst.  
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### 3.5. CO-PROX operando NAP-XPS and NEXAFS experiments

CO-PROX conditions using the CuO/CeO<sub>2</sub> catalyst were reproduced in a NAP-XPS analysis chamber, and the XPS spectra were recorded at different temperatures up to 100% O<sub>2</sub> conversion. Before these experiments, spectra were also recorded during the oxidizing pretreatment in O<sub>2</sub>/N<sub>2</sub> at 200 °C, as an indicator of the initial oxidation state of the catalyst. The O<sub>2</sub> conversion profile obtained in the NAP-XPS experiments is presented in Figure 5 along with the profile obtained in the fixed-bed reactor (Figure 1a) for comparison. The CO-PROX spectrum recorded at 50 °C does not show good resolution because of the moderate conductivity of the sample at this low temperature, which resulted in severe surface charging and eventually difficult deconvolution fitting due to the broadening of the peaks. We also note that the observed catalytic activity dependence with temperature is very different in the operando NAP-XPS experiment when compared to the fixed-bed reactor (Figure 5). Notably, much higher temperatures were needed in the CO-PROX operando NAP-XPS experiment to achieve total O<sub>2</sub> consumption.





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416 Figure 5.  $O_2$  conversion ( $X_{O_2}$ ) profiles as a function of temperature for the  $CuO/CeO_2$   
417 catalyst under CO-PROX reaction conditions (with  $\lambda = 2$ ): 100 mL/min, 1 bar in the fixed-  
418 bed reactor tests (dashed line), and 30 mL/min, 1 mbar in the NAP-XPS experiment (solid  
419 line).

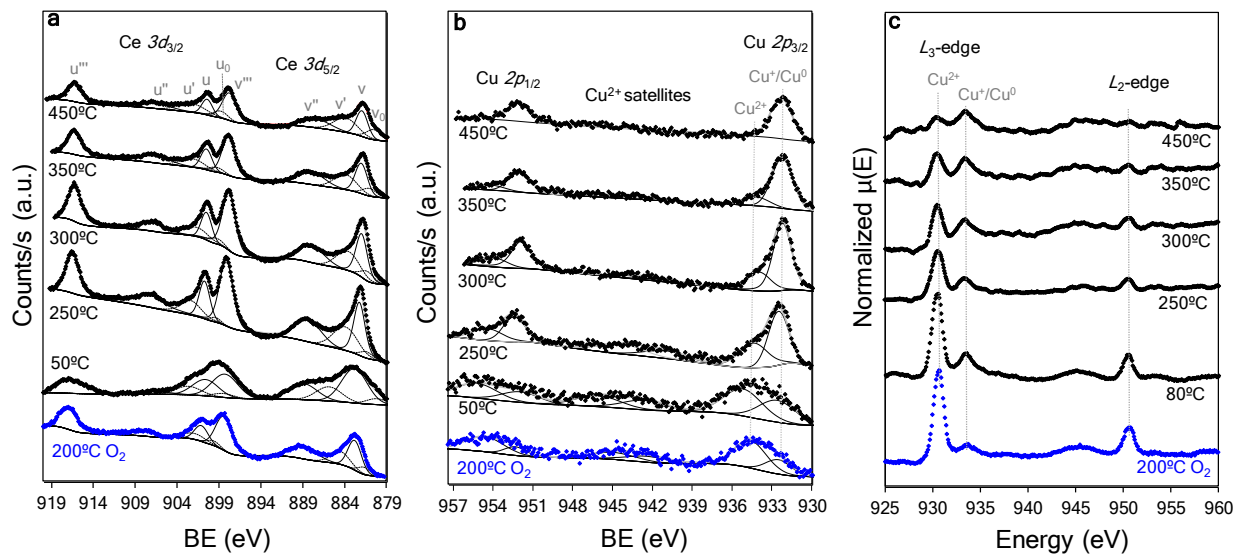
420 As previously described, the double S-shaped curve observed in Figure 5 is a  
421 consequence of the separate onsets for CO and  $H_2$  oxidation reactions and the chosen  
422 stoichiometric  $O_2:CO$  excess of  $\lambda = 2$ . However, in the case of the NAP-XPS experiment,  
423 the onset for  $H_2$  oxidation is much more delayed probably due to the large difference in  
424 the total working pressure and measurement conditions, which causes the CO oxidation  
425 to remain selective for a wider temperature window. The C 1s and O 1s XPS regions

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4 426 recorded at 722 eV (Figure S13) reveal surface chemistry changes in the CuO/CeO<sub>2</sub>  
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7 427 catalyst rather than redox processes directly involved in the CO-PROX mechanism.  
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10 428 Briefly, the high binding energy O contribution (ca. 531.5 eV) in O 1s XPS region assigned  
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14 429 to loosely bound O surface species was found to gain considerable importance, while  
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17 430 carbonaceous species coverage (C 1s XPS signal) tend to disappear. This observation  
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21 431 can be related with the hypothesized H<sub>2</sub>O accumulation predicted by the delay in H<sub>2</sub>O  
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24 432 release during isotopic experiments, and at the same time, to the shift in H<sub>2</sub> oxidation  
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28 433 onset seen in the NAP-XPS experiments. However, standalone analysis of C 1s and O  
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31 434 1s XPS spectra cannot provide conclusive insights in this regard. Conversely, the proper  
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35 435 catalyst surface analysis under CO-PROX conditions by means of operando DRIFTS-  
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38 436 MS experiments reveals hydroxyl depletion rather than accumulation (see Figure S12).  
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42 437 Furthermore, it has been reported a relation between hydroxyl consumption and  
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45 438 bicarbonate-type intermediates formation,<sup>52</sup> which results positive to favor the desorption  
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49 439 of C-species (bicarbonates compared to carbonates). Therefore, hydroxyl groups arising  
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52 440 presumably from the low-temperature H<sub>2</sub> activation on the copper and cerium oxides  
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4 441 phases turn out to be beneficial for the CO oxidation reaction kinetics.<sup>71</sup> The effect of H<sub>2</sub>  
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7 442 is only positive below the H<sub>2</sub> oxidation reaction onset, point above which OH groups would  
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10 443 be instead released from water via MvK mechanism, in a process competing with CO  
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14 444 oxidation. Noticeably, taking into account the important pressure difference between the NAP–  
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17 445 XPS and DRIFTS analysis chambers (i.e., 0.001 bar vs 1 bar) it should be considered that  
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21 446 the surface chemistry might present different behaviors in terms of hydroxyl and C-  
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23  
24 447 species coverage. Alternatively, O<sub>v</sub> peak can be attributed to weakly-bounded surface O  
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28 448 species present in an O-defective CeO<sub>2</sub> surface, e.g., peroxide or superoxide reactive  
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31 449 species. However, since the assignment of O<sub>v</sub> is ambiguous, we cannot confirm neither  
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35 450 rule out any of both explanations.

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38 451 The Ce 3d and Cu 2p XPS regions were scanned using two different photon energies:  
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42 452 1082 and 1372 eV (E<sub>1</sub> and E<sub>2</sub>, respectively) to probe different surface depths in the  
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45 453 CuO/CeO<sub>2</sub> catalyst according to inelastic mean free path (IMFP) calculations,<sup>72–75</sup> as  
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49 454 presented in Table S3 for Cu and Ce oxides. While differences in depth are moderate  
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52 455 (ca. 4 Å), it is well-known that the lower the X-ray energy, the lower the IMFP, and

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4 456 therefore, the probing depth. The XPS spectra recorded at 1082 eV are illustrated in  
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7 457 Figures 6a-b, whereas the main results obtained with both energies are summarized in  
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10 458 Table 1.  
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14 459 Figure 6a shows the deconvoluted Ce 3d XPS region (taken at 1082 eV) for the  
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17 460 CuO/CeO<sub>2</sub> catalyst under different conditions and the fitting assignment based on the  
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21 461 standard nomenclature provided by Burroughs et al.<sup>76</sup> Results of the estimated Ce<sup>3+</sup>  
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24 462 content<sup>77-79</sup> obtained from the spectra taken at 1082 and 1372 eV for the CuO/CeO<sub>2</sub>  
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28 463 catalyst are presented in Table 1. According to these data, the Ce<sup>3+</sup> content remains  
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31 464 constant at around 20% until 450 °C, where partial reduction of ceria occurs, increasing  
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35 465 Ce<sup>3+</sup> up to 33%. Comparing both incident X-ray energies, we also observe that the lower  
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38 466 energy allows to discern much better the partial reduction of ceria, which highlights the  
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42 467 very superficial degree of the reduction as the target depth difference between both  
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45 468 energies is within 4 Å (see Table S3).  
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 470 Figure 6. a) Ce 3d and b) Cu 2p XPS region analyses of the CuO/CeO<sub>2</sub> catalyst in O<sub>2</sub>  
 471 (blue) and CO-PROX reaction conditions (black) at different temperatures taken at 1082  
 472 eV photon energy. Dotted lines in Figure 6a correspond to the contribution of Ce<sup>3+</sup>  
 473 species. c) Normalized Cu L-edge NEXAFS spectra of the CuO/CeO<sub>2</sub> catalyst under  
 474 different experimental conditions.

475 The Cu 2p XPS spectra presented in Figure 6b show the Cu 2p<sub>3/2</sub> and Cu 2p<sub>1/2</sub> peaks and  
 476 the well-reported shake-up satellite characteristic of the presence of Cu<sup>2+</sup> ions.<sup>80,81</sup> Each  
 477 of the Cu 2p peaks could be theoretically deconvoluted into three components, which can  
 478 be attributed to Cu<sup>0</sup>, Cu<sup>+</sup> and Cu<sup>2+</sup> contributions.<sup>82,83</sup> Unfortunately, the statistical

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4 479 separation between the peak contributions from Cu<sup>0</sup> and Cu<sup>+</sup> is too small to discern  
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7 480 between both reduced states. For this reason, we opted to perform a two-peak fitting  
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10 481 using precise constraints on BE, FWHM and peak-shape parameters, as representative  
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14 482 for oxidized copper (CuO) and the undistinguishable reduced copper species (Cu and  
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17 483 Cu<sub>2</sub>O)  
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21 484 Table 1. Semi-quantitative determination from the Ce 3d and Cu 2p XPS spectra and Cu  
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24 485 L-edge XAS data from the NEXAFS analysis.  
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Conditions	XPS Ce <sup>3+</sup> (%)		XPS Cu <sup>2+</sup> (%)		Cu <sup>2+</sup> (%) NEXAFS
	E <sub>1</sub>	E <sub>2</sub>	E <sub>1</sub>	E <sub>2</sub>	
O <sub>2</sub> 200 °C	20	22	66	75	88
CO-PROX, 50°C	21	18	58	57	80
250 °C	22	18	38	37	76
300 °C	22	19	30	28	66
350 °C	24	20	21	20	58
450 °C	33	24	0	0	40

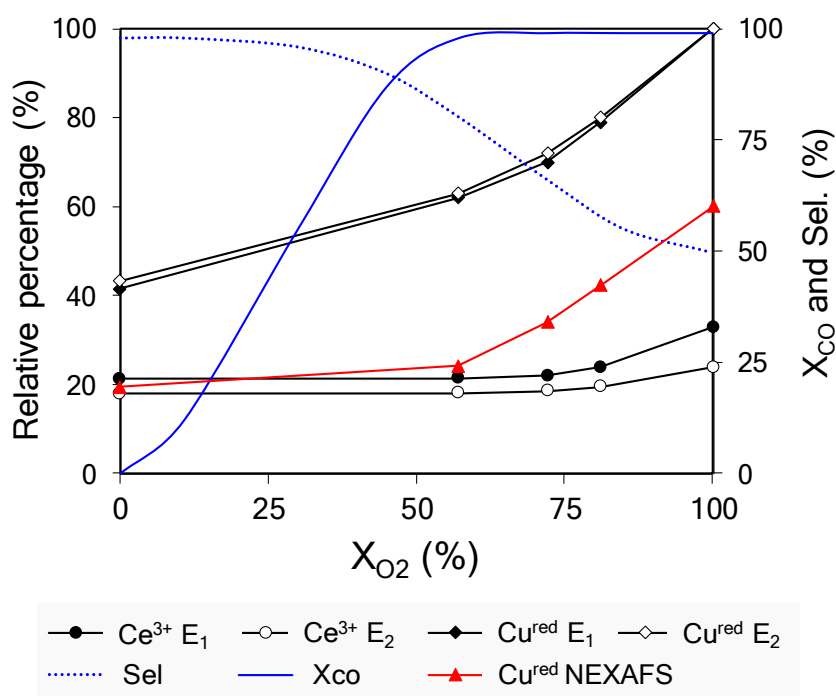
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49 486 Note: E<sub>1</sub> and E<sub>2</sub> stand for 1082 and 1372 eV incident energies, respectively.  
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4 487 The semi-quantitative estimation of the average oxidation state of surface copper species  
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7 488 is presented in Table 1. According to our data, copper is gradually reduced under CO-  
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10 489 PROX conditions until reaching a total reduced state ( $\text{Cu}^+$  and/or  $\text{Cu}^0$ ) at the highest  
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14 490 temperature, with no significant difference between the two X-ray energies employed.  
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17 491 Interestingly, even in  $\text{O}_2$  atmosphere during the initial oxidation pretreatment, a significant  
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21 492  $\text{Cu}^+$  contribution is observed, which can be attributed to a promoted reduction arising from  
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24 493 the redox interplay between the  $\text{Ce}^{4+}/\text{Ce}^{3+}$  and  $\text{Cu}^{2+}/\text{Cu}^+$  ions. In this case, however,  
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28 494 evident discrepancies were observed in the  $\text{O}_2/\text{N}_2$  mixture with the 1082 ( $E_1$ ) and 1372  
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31 495 eV ( $E_2$ ) photon energies, being Cu noticeably more reduced in the most external profile.  
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35 496 We acknowledge that the presence of that large amount of reduced Cu in the  $\text{CuO}/\text{CeO}_2$   
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38 497 catalyst is very questionable in such oxidizing conditions, even considering the well-  
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42 498 known facile oxidation of copper. Consequently, we ascribe those differences to Cu–Ce  
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45 499 synergistic interactions and a labile  $\text{Cu}^{2+}/\text{Cu}^+$  equilibrium upon  $\text{Ce}^{4+}/\text{Ce}^{3+}$  redox exchange  
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49 500 at the most external interfacial layers.  
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4 501 To complement the NAP–XPS characterization during CO-PROX, we next set out to  
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7 502 measure the Cu  $L$ -edge NEXAFS spectra of the CuO/CeO<sub>2</sub> catalyst under different  
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10 503 experimental conditions. These spectra are presented in Figure 6c and feature the  $L_3$  and  
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14 504  $L_2$  absorption peaks centered at ca. 930 and 950 eV, respectively, which correspond to  
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17 505 the electric dipole allowed  $2p \rightarrow 3d$  transition.<sup>84,85</sup> The  $L$ -edge spectrum for the CuO/CeO<sub>2</sub>  
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21 506 catalyst is composed of proportional contributions of characteristic spectral features from  
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24 507 CuO, Cu<sub>2</sub>O and Cu. Particularly, both CuO and Cu<sub>2</sub>O have well-resolved strong  $L_3$   
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28 508 absorption edges, which were assigned to the peaks centered at 930.8 and 933.7 eV,  
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31 509 respectively, in agreement with values reported in the literature.<sup>86,87</sup> On the other hand,  
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35 510 Cu metal develops an unambiguous fingerprint that allows to identify traces of this  
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38 511 material, although its  $L_3$  absorption edge typically appears at around 933.5 eV,  
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42 512 overlapping with the Cu<sub>2</sub>O spectral features. Hence, herein we used the intensity ratios  
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45 513 between the  $L_3$  components with centers at ca. 930.7 and 933.7 eV as a qualitative  
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49 514 indicator of the copper reduction degree and the results are compiled in Table 1.  
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52 515 Comparing to XPS, NEXAFS analysis in total electron yield detection mode is sensitive  
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516 to deeper probing, estimated to be ca. 5 nm for semiconductors.<sup>88</sup> Altogether, these  
 517 techniques provide a description of the copper oxidation state at different catalyst depths  
 518 when complemented with XPS analysis. The combination of these techniques allows to  
 519 infer that Cu reduction is partial in the bulk but complete at the outer surface of Cu  
 520 particles, as evidenced from the data collected in Table 1.



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 522 Figure 7. Main y-axis: Distribution of surface  $Ce^{3+}$  (circles) and reduced Cu species (i.e.,  
 523  $Cu^+$  and  $Cu$ , diamonds) present in the  $CuO/CeO_2$  catalyst during CO-PROX operando  
 524 NAP-XPS experiment using 1082 eV ( $E_1$ , solid symbols); and 1372 eV ( $E_2$ , open

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4 525 symbols) excitation energies. NEXAFS Cu species distribution (circles). Secondary y-  
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7 526 axis: CO conversion ( $X_{CO}$ , solid line) and CO selectivity ( $Se$ , dotted line) profiles  
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11 527 To correlate the XPS results with the CO-PROX catalytic activity,  $X_{O_2}$  and  $X_{CO}$  were  
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15 528 subsequently determined, which enabled the estimation of the CO selectivity along the  
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18 529 temperature profile. Figure 7 shows the evolution of  $Ce^{3+}$  and reduced Cu species as  
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22 530 determined by NAP-XPS and NEXAFS for the  $CuO/CeO_2$  catalyst plotted as a function  
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25 531 of  $X_{O_2}$ . In short, gradual surface reduction of Cu species occurred along the CO-PROX  
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29 532 reaction course, while only moderate and very superficial Ce reduction was observed  
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32 533 from the ceria support at the maximum  $X_{O_2}$ , where total  $X_{CO}$  is achieved along with the  
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36 534 ongoing  $H_2$  oxidation reaction. Our experiments also indicate that the presence of  
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39 535 reduced copper is closely connected to  $H_2$  oxidation reaction since it coincides with the  
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43 536 observed CO selectivity decay, in line with previous studies.<sup>17,54,89</sup> In fact, because the  
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46 537 surface  $Cu^+$  abundance is extraordinarily high at the beginning of the CO-PROX reaction,  
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50 538  $CuO$  should be partially reduced on surface upon CO contact, resulting in a very  
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53 539 anticipated (but limited) CO oxidation activity. As temperature increases, an enhancement

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4 540 of the reaction rate is observed, which occurs via a MvK mechanism by means of lattice-O  
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7 541 abstraction and eventually results in a gradual reduction of the  $\text{Cu}_x\text{O}$  particles, from the  
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10 542 surface to the bulk. At the maximum measured temperature, however, with total  $X_{\text{O}_2}$  and  
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14 543 still maximum  $X_{\text{CO}}$ , no trace of CuO on the surface was detected and copper particles  
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17 544 were found to exhibit  $\text{Cu}^0/\text{Cu}^+$  mixed reduced states. Importantly, the  $\text{Cu}^0$  content is likely  
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21 545 to increase with temperature, which eventually will promote  $\text{H}_2$  oxidation leading to more  
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24 546 reduced Cu sites. In this scenario, it is expected that  $X_{\text{CO}}$  would decrease at higher  
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28 547 temperatures at the expense of the boosted  $\text{H}_2$  oxidation rate, besides an increasing inner  
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31 548 (NEXAFS) Cu reduction degree. Unfortunately, the experimental settings of the NAP–  
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35 549 XPS and NEXAFS analyses prevented us from further increasing the temperature beyond  
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38 550 the value at which total  $X_{\text{O}_2}$  was achieved.

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43 551 As far as the catalyst support is concerned, the data presented in Table 1 indicate that  
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46 552  $\text{CeO}_2$  remains oxidized in an equilibrium state until the CuO surface completely  
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50 553 disappears. Furthermore, the differences observed between the results obtained at 1082  
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53 554 and 1372 eV excitation energies suggest that incipient ceria reduction is strictly limited to

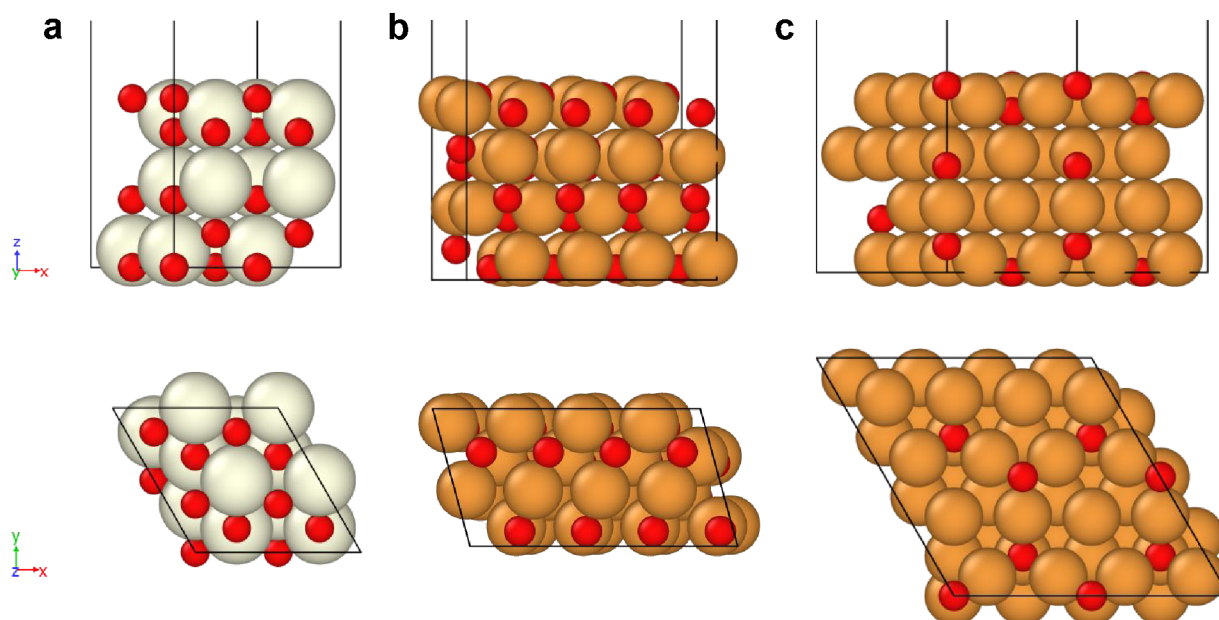
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4 555 the most superficial surface. CO-PROX operando NAP-XPS experiments also show that  
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7 556  $\text{Ce}^{3+}$  content remains constant while surface  $\text{O}_\text{V}$  peak gradually grows up to a sharp  
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10 557 increase at 450 °C, which suggests that the potential hydroxylation does not have a  
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14 558 significant impact on ceria reduction. Hence, we conclude that the lattice expansion  
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17 559 observed in the CO-PROX-recorded Raman spectra up to 200 °C (Figure 4b) is not due  
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21 560 to the reduction of ceria. A plausible alternative explanation involves the reduction of  
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24 561 copper species, particularly those Cu cations partially inserted into the ceria matrix upon  
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28 562 the CuO loading, as revealed by XRD (Table S2). The reduction of inserted  $\text{Cu}^{2+}$  to  $\text{Cu}^+$   
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31 563 would implement a yet larger lattice expansion on ceria, besides a potential breaking up  
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35 564 of the minor Cu-Ce solid solution stability. This effect is partially reversed between 100–  
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38 565 200 °C since ceria is expected to become more reduced as temperature increases at  
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42 566 expenses of the labile redox equilibrium  $\text{Cu}^+ + \text{Ce}^{4+} \leftrightarrow \text{Ce}^{3+} + \text{Cu}^{2+}$ , as  $\text{H}_2$ -TPR  
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45 567 experiments show (Figure S4). However, in overall, Ce ions are likely to be in a steady  
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49 568 oxidized redox state while Cu is reduced by the effect of CO-PROX reaction occurring via  
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52 569 MvK mechanism and the imbalanced O abstraction–restorage equilibrium. Accordingly,  
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4 570 the lattice oxygen from the ceria support is performing an active role when CuO are  
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7 571 entirely reduced on the surface, which is the point of total  $X_{O_2}$  above which CO conversion  
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10 572 drops. The mobilization of oxygen from CeO<sub>2</sub> at such stage might be assigned to the O  
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14 573 transfer within the Cu–Ce interfaces, aiming to palliate the O-deficiency in the reduced  
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17 574 Cu sites. Furthermore, the activation of the mobile interfacial oxygen at that low partial O<sub>2</sub>  
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21 575 pressure could be related with the sharp increase seen at 450 °C for the O<sub>v</sub> peak.  
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24 576 Therefore, when  $X_{O_2}$  is critically low, CeO<sub>2</sub> should aid the oxygen vacancy filling process  
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28 577 over the active Cu species in a synergistic mechanism, either in complement or in  
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31 578 replacement of the direct O<sub>2</sub> uptake on Cu.  
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### 35 579 **3.6. Density functional theory calculations**

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38 580 The experimental data presented above suggest that O-vacancy sites are generated in  
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42 581 CuO at temperatures within the selective CO-PROX regime (Region 1, Figure 1), while  
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45 582 lattice O atoms in CeO<sub>2</sub> are involved at higher temperatures within the non-selective  
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49 583 regime (Region 2, Figure 1). With the aim to shed light on this critical step, DFT+*U*  
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52 584 calculations were conducted on different surface slabs that represent the most abundant  
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4 585 facets present in the CuO/CeO<sub>2</sub> catalyst. TEM characterization (Figure S5) allowed to  
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7 586 elucidate the particle morphology in the heterogeneous CuO/CeO<sub>2</sub> catalyst, which  
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10 587 exhibits a polycrystalline nature with irregular sizes and shapes. Accordingly, the  
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14 588 preferential exposed facet in CeO<sub>2</sub> phase is {111}, that is the most stable surface for  
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17 589 CeO<sub>2</sub>,<sup>90</sup> while CuO presents too low contrast to discern the lattice spacing. In agreement  
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21 590 with TEM analysis, the surface slabs modelled by DFT correspond to CeO<sub>2</sub>(111),  
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24 591 CuO(111) and Cu<sub>2</sub>O(111), which are the most representative surfaces for each phase  
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28 592 (Figure 8).<sup>91</sup>



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4 594 Figure 8. Side (above) and top (below) views of the DFT-modelled surface slabs: a)  
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7 595 CeO<sub>2</sub>(111) surface with 12 Ce (pale yellow) and 24 O (red) ions; b) CuO(111) surface  
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10 596 with 32 Cu (brown) and 32 O ions; and c) Cu<sub>2</sub>O(111) surface with 64 Cu and 32 O ions.

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15 597 Because the reoxidation of a given oxide material is directly linked to its oxygen  
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18 598 storage/release capacity, the energy required to create an O vacancy can be taken as a  
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22 599 good reaction descriptor for that process. Thus, we set out to compute the oxygen  
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25 600 vacancy formation energy ( $E_{O-vac}$ ) for each of the surface slabs shown in Figure 8.  
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29 601 Importantly, upon removal of an O atom, two electrons are left behind leading to the  
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32 602 reduction of two Ce<sup>4+</sup> ions to Ce<sup>3+</sup>. The localization of the excess of electrons on the oxide  
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36 603 surface has been extensively studied for CeO<sub>2</sub><sup>49,66,92</sup> showing that it can have a  
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39 604 considerable effect on the calculated  $E_{O-vac}$  value. Consequently, different electron  
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43 605 localizations were considered upon the generation of an O vacancy on the various surface  
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46 606 slabs (Table S4). The lowest energy configurations and electron localizations are shown  
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50 607 in Table 2.

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4 608 Table 2. O vacancy formation energies ( $E_{O-vac}$ , in eV) and localization of the excess of  
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7 609 electrons for the  $CeO_2(111)$ ,  $CuO(111)$ , and  $Cu_2O(111)$  surface slabs. *NN* and *NNN*  
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10 610 denote nearest neighbor and next nearest neighbor, respectively.

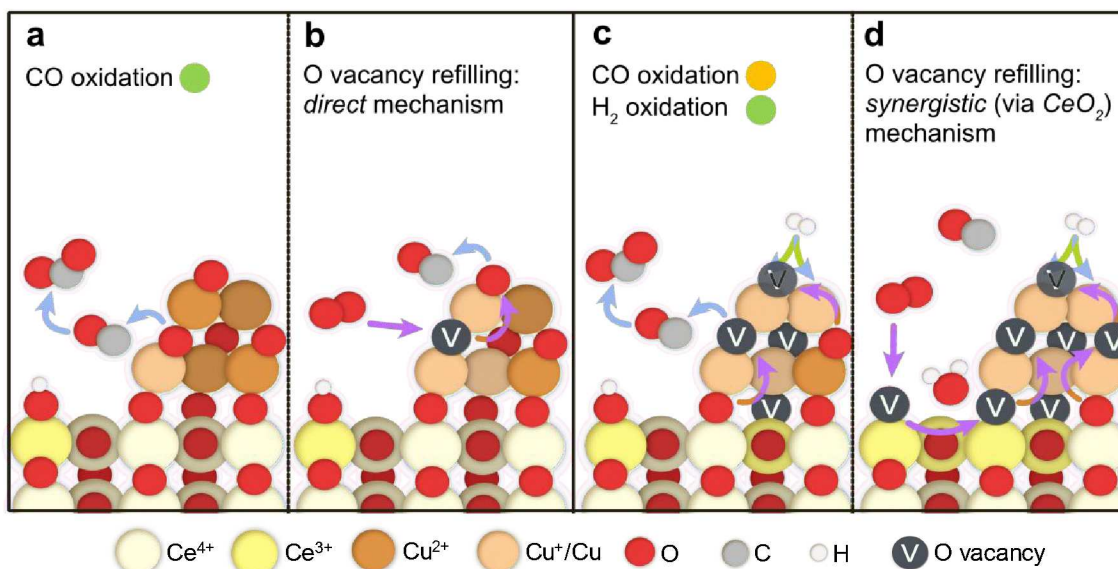
	$CeO_2(111)$	$CuO(111)$	$Cu_2O(111)$
$E_{O-vac}$	2.22	2.34	1.97
$e^-$ localization	<i>NN / NNN</i>	<i>NN / 2*(NN/2)</i>	delocalized

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26 612 The energies included in Table 2 are in very good agreement with previous theoretical  
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29 613 studies, although they are highly dependent on the localization of the two electrons left  
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33 614 upon O abstraction.<sup>66,92–94</sup> These values correspond to the lowest O-vacancy formation  
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36 615 energies calculated for the different phases and follow the trend:  $CuO(111) > CeO_2(111)$   
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40 616  $> Cu_2O(111)$ . Hence, the calculated data indicate that the formation of oxygen vacancies  
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43 617 in  $CuO$  is thermodynamically less favored compared to  $CeO_2$  despite experiments show  
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47 618 a gradual  $CuO$  reduction and steady  $CeO_2$  redox state for the  $CuO/CeO_2$  catalyst during  
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50 619  $CO-PROX$ . The fact that  $CuO$  reduction prevails over  $CeO_2$  reduction in the wide range  
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4 620 of the low-temperature CO selective regime is due to the different surface interaction in  
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7 621 the presence of reducing gases from the gas stream (e.g. CO and H<sub>2</sub>), which is not  
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10 622 considered in the calculated  $E_{O-vac}$  values as these only account for the oxygen  
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14 623 abstraction from the surface to the gas phase. Nevertheless, it is evident that the higher  
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17 624 the energy for the oxygen vacancy formation, the more facile lattice oxygen restorage  
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21 625 when the O-deficient slabs are set in contact with O<sub>2</sub> atmosphere. Therefore, attending  
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24 626 to the observed trend we can conclude that direct O-lattice regeneration should prevail in  
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28 627 CuO when present, while the reoxidation through CeO<sub>2</sub> becomes more favorable once  
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31 628 CuO is reduced to Cu<sub>2</sub>O.  
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35 629 Altogether, DFT+*U* calculations predict a change in the reoxidation mechanism of  
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38 630 CuO/CeO<sub>2</sub> catalyst that enhances Cu<sup>+</sup> stability during CO-PROX reaction course, which  
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42 631 is summarized in Figure 9. At low temperature (Figures 9a-b), CuO particles are reduced  
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45 632 and oxidized in imbalance equilibria where CO takes lattice oxygen and vacancies are  
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49 633 replenished afterwards by O<sub>2</sub> from the gas feeding (i.e., direct mechanism). In this regime,  
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52 634 the CuO<sub>x</sub> particles solely undertake the whole cyclic reaction-oxidation processes  
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4 635 bypassing the participation via  $\text{CeO}_2$ . However, since ceria is closely interacting within  
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7 636 the active  $\text{Cu}[\text{O}_x]\text{-Ce}$  interfacial species, the surrounding  $\text{CeO}_2$  matrix undergoes  
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10 637 dynamic processes of lattice expansion which are not related to Ce redox changes, as  
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14 638 well as the accumulation of surface intermediates. At higher temperatures (Figures 9c-d),  
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17 639  $\text{CuO}_x$  particles are reduced by  $\text{H}_2$  in addition to  $\text{CO}$ , and the reduction/oxidation  
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21 640 equilibrium shifts even more forwards reduction, which in turn hampers the  
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24 641 thermodynamic O vacancy filling process. At such point, the tightly contacting  $\text{CeO}_2$   
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28 642 phase is more prone to undertake O accommodation than the reduced  $\text{Cu}_2\text{O}/\text{Cu}$  species  
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31 643 according to the computed O-binding strength. Subsequently, the transfer of surface O  
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35 644 from  $\text{CeO}_2$  to  $\text{Cu}_2\text{O}$  assists lattice O recovery in the reduced copper species at the triple-  
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38 645 phase boundary, as evidenced in the lower X-ray energy test from NAP-XPS experiment  
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42 646 (see Table 1).  
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648 Figure 9. CO-PROX reaction scheme in CuO/CeO<sub>2</sub> catalyst in (a,b) the CO-selective

649 regime, where CO oxidation prevails in the presence of Cu<sup>+</sup>/CuO oxidized particles, and

650 (c,d) in the non-CO selective regime, where H<sub>2</sub> oxidation domains over CO oxidation

651 when copper species are reduced on surface to Cu/Cu<sub>x</sub>O clusters. (a,c) display reaction

652 schemes, creating O vacancies in the copper-rich phase while (b,d) refer to the

653 subsequent O<sub>2</sub> uptake occurring in each regime.

654 The change from direct to synergistic reoxidation mechanism (via CeO<sub>2</sub>) delays Cu

655 particles reoxidation and CO conversion decays at expenses of H<sub>2</sub> oxidation. Overcoming

656 such state leads to the gradual surface to bulk CeO<sub>2</sub> reduction that is observed in the in

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4 657 situ Raman spectra as a very important growth on D band contribution. Catalytic tests  
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7 658 (Figure 1) reveal that the excess of O<sub>2</sub> in  $\lambda = 2$  conditions targets partially reduced copper  
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10 659 particles, holding CO conversion in a certain extent within the Region 2, whereas in the  
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14 660 stoichiometric conditions ( $\lambda = 1$ ), reaching Region 2 means a straight decay in CO  
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17 661 conversion. The enrolment of lattice oxygen from CeO<sub>2</sub> takes place mainly when copper  
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21 662 particles are reduced on surface and present a significant partially reduced bulk state,  
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24 663 too. Herein, the reduction of CeO<sub>2</sub> has been identified as a sign of CO selectivity loss,  
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28 664 which should be related to the change in the reoxidation mechanism. This conclusion  
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31 665 manifests that reoxidation issues should be contemplated in a CO-PROX reaction  
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35 666 mechanism, where it is usually taken for granted regardless the O<sub>2</sub> partial pressures and  
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38 667 temperature along the reaction run. Accordingly, results allow to conclude that the  
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42 668 efficient O restorage may enhance the CO selectivity over conventional CuO/CeO<sub>2</sub>  
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45 669 catalyst, which sheds some light in several decade-long debates. Namely, the  
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49 670 controversy on the negative effect of ceria-doping in CO-PROX application, that restricts  
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4 671 Cu-Ce interaction, or the beneficial deployment of inverse CeO<sub>2</sub>/CuO catalysts, with  
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7 672 larger CuO particles and lowered reducibility.  
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#### 10 11 673 **4. Conclusions** 12

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14 674 This multidisciplinary study has combined an experimental and computational approach  
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18 675 to tackle the CO-PROX reaction mechanism at the atomic scale over CuO/CeO<sub>2</sub> catalysts  
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21 676 considering lattice oxygen recovery at play. First, the CO oxidation and H<sub>2</sub> oxidation  
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25 677 reactions in CO-PROX conditions occur via Mars–van Krevelen mechanism along the  
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28 678 entire range of reaction course with a gradual surface to bulk reduction of CuO particles.  
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31 679 Increasing the partial pressure of O<sub>2</sub> is beneficial for the CO-PROX reaction since it  
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35 680 maximizes the reactive surface oxygen up to the virtual limit imposed by the oxygen  
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39 681 storage capacity of the CuO/CeO<sub>2</sub> catalyst, although H<sub>2</sub> oxidation onset is not affected.  
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42 682 On the other hand, the surface of CeO<sub>2</sub> support is dynamic and experiences irregular  
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45 683 lattice dilations upon the ongoing processes which are not related with Ce<sup>4+</sup> reduction, but  
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49 684 to the side-effect of present inserted Cu. The role of ceria is secondary while copper  
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4 685 particles remain partially oxidized, which undertake CO oxidation and H<sub>2</sub> oxidation  
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7 686 reactions, besides their direct reoxidation with molecular O<sub>2</sub>.  
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10 687 The reduction of CuO to Cu<sub>2</sub>O/Cu<sup>0</sup> entails not only a further selectivity loss by the  
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14 688 activation of H<sub>2</sub> oxidation, but also the stabilization of oxygen vacancies that hamper the  
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17 689 thermodynamics of direct oxygen vacancy filling. With the presence of reduced Cu  
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21 690 particles in the CuO/CeO<sub>2</sub> catalyst, the ceria support assists into the O uptake via a  
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24 691 synergistic mechanism. At such point, surface reduction of CeO<sub>2</sub> is observed and when  
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28 692 it is further extended, CO conversion drops in favor of H<sub>2</sub> oxidation. These results reveal  
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31 693 that though CeO<sub>2</sub> reduction is detrimental to CO conversion, the improved surface  
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35 694 reducibility of copper species plays a positive role. This knowledge will allow the rational  
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38 695 catalyst design of the high-performance CuO/CeO<sub>2</sub> catalysts, and derived configurations,  
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42 696 in pursuit of the optimum compromise in the tunable interfacial Cu–Ce redox properties.  
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18 704 **Author Contributions**  
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21 705 The manuscript was written through contributions of all authors. All authors have given approval  
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23 706 to the final version of the manuscript. All authors contributed equally to this work.  
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27 707 **Supporting information.**  
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31 708 Includes physicochemical characterization of the catalyst: N<sub>2</sub> adsorption at –196 °C  
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34 709 (Figure S1, Table S1), XRD (Figure S2, Table S2), Raman spectroscopy (Figure S3),  
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38 710 temperature programmed reduction with H<sub>2</sub> (Figure S4) and transmission electron  
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41 711 microscopy (Figure S5). Stability and durability tests under CO-PROX conditions (Figures  
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45 712 S6-9), temperature programmed desorption (TPD) experiments (Figures S10a,b), global  
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49 713 yield on isotopic experiments (Figure S11), CO-PROX operando DRIFTS (Figures  
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4 714 S12a,c), and additional data related to NAP–XPS (Table S3 and Figure S13) and DFT  
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7 715 calculations (Figure S14).  
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11 716 The following files are available free of charge.  
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#### 14 717 ACKNOWLEDGMENT

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18 718 The authors thank the financial support of the Spanish Ministry of Economy and  
19  
20  
21 719 Competitiveness (Project CTQ2015-67597-C2-2-R and grant FJCI-2015-23769), the  
22  
23  
24 720 Spanish Ministry of Education, Culture and Sports (grant FPU14/01178), the Generalitat  
25  
26  
27  
28 721 Valenciana (Project PROMETEO/2018/076) and the EU (FEDER funding). F.C.H.  
29  
30  
31 722 acknowledges the Argentinian National Research Council (CONICET) for the financial  
32  
33  
34  
35 723 support. The authors thank the support of ALBA staff for the successful performance of  
36  
37  
38 724 the measurements at CIRCE beamline at the ALBA Synchrotron Light Source. The  
39  
40  
41  
42 725 DJEI/DES/SFI/HEA Irish Centre for High-End Computing (ICHEC) is also acknowledged  
43  
44  
45  
46 726 for the generous provision of computational facilities and support.  
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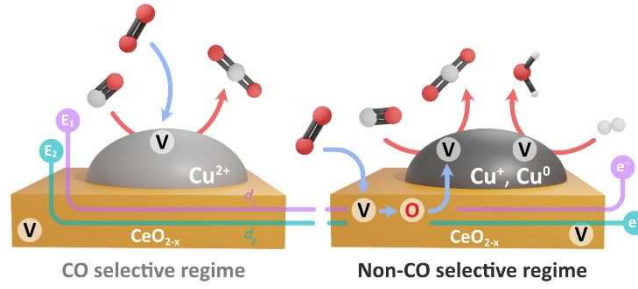
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