

1 **Effects of climate change on variations in climatic zones and heating energy**
2 **consumption of residential buildings in the southern Chile**

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4 Konstantin Verichev ^{a, b, c}, Montserrat Zamorano ^{a, d}, Manuel Carpio ^{c, e *}

5 ^a Department of Civil Engineering, University of Granada, Severo Ochoa S/N, Granada, Spain

6 ^b Institute of Civil Engineering, Universidad Austral de Chile, General Lagos 2050, Valdivia, Chile

7 ^c Department of Construction Engineering and Management, School of Engineering, Pontificia, Universidad Católica de
8 Chile, Avenida Vicuña Mackenna 4860, Santiago, Chile

9 ^d PROMA, Proyectos de Ingeniería Ambiental, S.L., Granada, Spain

10 ^e UC Energy Research Center, Pontificia Universidad Católica de Chile, Avenida Vicuña Mackenna 4860, Santiago, Chile

11 *Corresponding author: manuel.carpio@ing.puc.cl

12
13 **Abstract**

14 Global climate change is changing meteorological parameters and climate zones for building
15 in different parts of the world, as well as changing energy consumption by dwellings.
16 Therefore, in this study, changes in climatic zones for building in three regions in southern
17 Chile have been analysed under the conditions of two future climatic scenarios (RCP2.6 and
18 RCP8.5). On average, the temperature will increase by +0.68°C and +1.51°C by 2050–2065
19 in the study region for scenarios RCP2.6 and RCP8.5, respectively. This will cause a decrease
20 in the annual heating degree-days values. In 72% of cities (RCP2.6) and in 92% of cities
21 (RCP8.5), climate zones for building will be replaced by warmer ones. Consequently, the
22 possibility of applying the current building standard of the country in future climatic
23 conditions has been questioned. Finally, it was found that the heating energy consumption of
24 a single-family house will decrease by 13% and 27% on average for the RCP2.6 and RCP8.5
25 scenarios, respectively.

26
27 **Keywords**

28 energy; mapping; climatic zoning; climate change; building; heating

Nomenclature

AR5 – The 5th Assessment Report of IPCC

CCRR – Center for Climate and Resilience Research

EC – Energy Consumption

GBS – Green Building Studio

GHG – Greenhouse Gases

HDD15°C – heating degree-days with base temperature 15°C

IPCC – Intergovernmental Panel on Climate Change

MM5 – Mesoscale Meteorological Model Version 5

OGUC – General Ordinance of Urban Planning and Housing of the Ministry of Housing and Urban Development of Chile

RCP – Representative Concentration Pathways of IPCC

RF_{tot} – The total Radiative Forcing

RT – Thermal Regulation Application Manual of the OGUC

SRES – The Special Report on Emissions Scenarios of IPCC

U-value – Thermal transmittance, [$W/m^2 \cdot K$]

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1. Introduction

The Intergovernmental Panel on Climate Change (IPCC) is a United Nations (UN) organisation established to assess the risk of the unequivocal global climate change caused by factors created by human actions [1]. In order to make projections of a possible future climate change, the IPCC published “The Special Report on Emissions Scenarios” (SRES) [2]. This document describes a series of greenhouse gas (GHG) emission scenarios, based on different situations of global socio-economic development, which were incorporated into the 3rd Assessment Report of IPCC in 2001 [3] and in the 4th Assessment Report of IPCC in 2007 [4]. The established scenarios, and their characteristics are as follows:

- (i) **A1**; of very rapid economic development. It includes three specifications:
 - a. **A1FI**; where the use of fossil fuels will prevail;
 - b. **A1B**; with balance of energy sources; and
 - c. **A1T**; where the use of non-fossil fuels will prevail.
- (ii) **A2**; of the heterogeneous world with less concern for rapid economic development, with regional differences in economic development.
- (iii) **B1**; of the convergent world with rapid changes in structure of economy (prevalence of service and information), reduction in material consumption, introduction of clean processes and technologies.
- (iv) **B2**; focused on sustainability, local solutions for environmental and economic problems, less rapid and more diverse technological change, intermediate economic development.

In order to incorporate new scenarios and develop climatic models that improve our knowledge of the physical and chemical processes of the planet's climate system, the 5th Assessment Report of IPCC (AR5) was published in 2013 [1]. In this document the main quantitative parameter that describes climate change is the total Radiative Forcing (RF_{tot}), whose value is positive and has led to the absorption of energy by the climatic system. The main contribution to RF_{tot} comes from the increase in the CO_2 concentration in the atmosphere produced since 1750. The anthropogenic RF_{tot} in 2011, in relation to 1750, was 2.29 Wm^{-2} , and has increased more rapidly since 1970 than in the previous decades [1]. Consequently, for the IPCC AR5, the scientific community has defined a set of four scenarios, called Representative Concentration Pathways (RCP), which are characterised by the approximate calculation they make of the RF_{tot} in the year 2100 in relation to the year 1750:

- (i) **RCP2.6**; this mitigation scenario shows negative emissions from energy use in the second half of the 21st century. Cumulative emissions of GHG from 2010 to 2100 need to be reduced by 70%, requiring substantial changes in energy use and emissions of non- CO_2 gases (prescribed CO_2 concentration of 421 ppm and $RF_{tot} = 2.6 \text{ Wm}^{-2}$ in 2100). These measures (specifically the use of bio-energy and reforestation measures) have clear consequences for global land use. Every country must accept climate change policies [1,5,6].
- (ii) **RCP4.5**; stabilisation scenario with peak of population of 9 billion inhabitants in 2065 and with prognostic population of 8.7 billion in 2100. The imperative to limit emissions in order to reach this target drives changes in the energy system, including shifts to electricity, to lower-emission energy technologies and to the deployment of carbon capture and geologic storage technology. (The growth of emissions of CO_2 by 2035 from energy and industrial sources, and then a decline). The RCP4.5 emissions price also applies to land use emissions; as a result, forest

1 lands expand from their present day extent. Prescribed CO₂ concentrations of 538
2 ppm and $RF_{tot} = 4.5 \text{ Wm}^{-2}$ in 2100 [1,7].

3 (iii) **RCP6.0**; stabilisation scenario with a peak of population of 9.8 billion between
4 2080 and 2090. GHG emissions of RCP6 peak around 2060 and then decline
5 through the rest of the century. The energy intensity improvement rates change
6 from 0.9% per year to 1.5% per year around 2060. Emissions are assumed to be
7 reduced cost-effectively in any period through a global market for emissions
8 permits. Prescribed CO₂ concentration of 670 ppm and $RF_{tot} = 6.0 \text{ Wm}^{-2}$ in 2100
9 [1,8].

10 (iv) **RCP8.5**; scenario with very high GHG emission. The RCP8.5 combines
11 assumptions about high population (12 billion in 2100) and relatively slow income
12 growth with modest rates of technological change and energy intensity
13 improvements, leading in the long term to high energy demand and GHG
14 emissions in absence of climate change policies. Prescribed CO₂ concentration of
15 936 ppm and $RF_{tot} = 8.5 \text{ Wm}^{-2}$ in 2100 [1,9].

16 According to AR5 [1], a graph of conformity between the SRES and RCP emission
17 scenarios with RF_{tot} values for the 21st century is presented in Fig. 1. It can be seen that the
18 SRES A2 scenario, in the middle of the century, is not as strong as RCP8.5, but by 2100 these
19 two scenarios are similar in the context of radiative effect to the Earth's climatic system. For
20 B1 corresponding to scenario RCP4.5 and scenario RCP2.6 there is no analogue in the SRES
21 system.

22 In AR5 the authors note that: “RCP scenarios are new ones that specify concentrations
23 and corresponding emissions but are not directly based on socio-economic storylines like the
24 SRES scenarios. The RCP scenarios are based on a different approach and include more
25 consistent short-lived gases and land use changes. They are not necessarily more capable of
26 representing future developments than the SRES scenarios”. Therefore, the equivalent use of
27 RCP or SRES scenarios in scientific research is appropriate.

28 In the context of global warming, special attention has been given to the evaluation of
29 the effects of temperature increase in different geographical areas and in different sectors of
30 economic activity, including building and its relationship to energy consumption (EC) [10–
31 13]. Most of these studies focus on evaluating changes in the EC of residential buildings for
32 heating and cooling, as well as the interior thermal comfort in different parts of the world.

33 To evaluate changes in heating and cooling EC of residential buildings, different
34 techniques for approximating future climatic conditions were used [14–18]. Thus, in the work
35 of Gaterell and McEvoy (2005) [16], it is assumed that the Milan weather file used to
36 represent the UK climate in 2050 under the low emissions climate scenario and Rome for the
37 UK climate by 2050 under the high emissions climate scenario. Studies have been conducted
38 in which the effects of climate change on heating/cooling EC have been analysed [19–21] on
39 the basis of indoor temperature and thermal comfort [22–24] and building adaptation
40 methods in climate change conditions [20,23,25] based on scenarios developed by local
41 meteorological institutes such as the United Kingdom Climate Impacts Program (UKCIP),
42 the Royal Netherlands Meteorological Institute in the Netherlands [26], the Environment
43 Agency of Abu-Dhabi and the Ministry of Energy in United Arab Emirates [27], the National
44 Institute for Environmental Studies and Agency for Marine-Earth Science and Technology
45 of Japan [28,29]. After 2014, articles on the evaluation of changes in heating and cooling EC
46 and change of interior thermal comfort of residential dwellings, under the SRES and RCP
47 scenarios, have been published. Table 1 shows a summary of the publications reviewed,

1 where it can be seen how the scenario A2 is more popular and reflected in a large number of
2 scientific papers. It is also observed that SRES scenarios are used more; this can be justified
3 because they have been operating since 2001 and because of their socioeconomic
4 understanding. However, in recent years there has been an increase in the use of RCP
5 scenarios in scientific papers. A fairly wide geographical distribution of the works is also
6 seen. SRES scenarios were used in research for countries such as Finland [30], Hong Kong
7 [31], Bangladesh [32], Turkey [33], Taiwan [34], Australia [35], Brazil [36,37], USA [38–
8 40], Canada [41], Argentina [42], and RCP scenarios in Sweden [43], France [44], Portugal
9 [45], Brazil [46], USA [47,48], Italy [49] and Argentina [50].

10 It can be summarised that most of the scientific works are oriented to the analysis of the
11 effects of climate change on cooling and heating EC in the future. To a lesser extent, studies
12 are presented on the evaluation of changes in climatic zones for building, concluding that in
13 the future, for all geographical regions, the heating EC of buildings will decrease and the
14 cooling EC will increase in conjunction with an increase in days of interior thermal
15 discomfort. For example, in Greece, by 2100, it is possible that the heating EC of buildings
16 will decrease by 50%, while the cooling EC of buildings will increase up to 248% [11]. In
17 the case of the evaluation of climate change effects on building construction, it is observed
18 that most of them correspond to studies of single-family residential dwellings; however, there
19 are studies in which buildings of other types have been analysed, such as schools, hotels,
20 high-rise apartments [56] and offices. In the latter case they have spread to countries like the
21 USA [56], Hong Kong [51], Australia [57], Greece [52], Spain [54], China [55], Austria
22 [58,59], Germany, Greece and Australia [53].

23 A limited number of meteorological data and the focus on national building codes cause
24 almost all of the studies carried out to be directed to a certain number of cities or geographical
25 points and there are not many that analyse the changes of climatic zones for building and
26 spatial distribution of theoretical EC in large geographical areas, such as in studies of
27 cartographic analysis of real and theoretical EC of the dwellings [60–62].

28 In the case of Chile, the heating and cooling EC in different types of buildings such as
29 office buildings has been analysed for the years 2020, 2050 and 2080 under the influence of
30 A2 climate change scenario in nine cities [63]. A study has also been published in which
31 interior comfort in a social residential building in the city of Concepcion and the change of
32 comfort for scenario A2 were analysed. [64]. Finally, the change of thermal zones
33 (synonymous with climatic zones) for building in three regions of southern Chile has been
34 studied based on data of the last decade from 35 meteorological stations [65].

35 In the present study we analyse the evolution of the existing climatic zones for building
36 in climate change conditions in order to analyse the conformity level of the present
37 regulations to future climatic conditions and to estimate possible future changes in the heating
38 EC of a single-family dwelling in a fairly large geographical area. To this end, the main
39 objective of this work is the estimation of: (i) thermal zones for building and (ii) heating EC
40 in the southern part of Chile in different climate change scenarios through high spatial
41 resolution meteorological data, energy simulation and specific methodology for the
42 evaluation of future changes.

43 **2. Material and methods**

44 This section presents the tools, study area, national building regulations and
45 methodologies used in this study.

2.1. Computational tools used

This section describes the software used in the present research, its main functions and licensing:

- **3D modeling of structural and architectural components.** The Building Information Modeling (BIM) methodology was used, through the Revit software of the Autodesk® version 2018, licensed by the *Universidad Austral de Chile*. Revit allows the possibility to make a 3D model of the house and convert it into a gbXML file to later perform an energy analysis using an energy simulation tool.
- **Energetic simulation.** The Green Building Studio (GBS), version 2018.99.46.101 (DOE-2.2-48r) (Autodesk®) associated with the Revit license was used. The results of GBS energy modelling were compared with results of other energy simulation tools [66–73] and were used in various scientific works [74–82]. In the general case for the EC analysis of a dwelling, more detailed and accurate modelling BIM tools can be used [83]. GBS energy simulation is based on data from the Mesoscale Meteorological Model ver.5 (MM5) data from 2006, with a 12.7 km spatial resolution [84]. MM5 data contains hourly values of dry-bulb temperature, dew point temperature, atmospheric pressure, relative humidity, wind speed, wind direction, total sky cover, and components of solar radiation. In our investigation, due to the studied area's extension, using GBS is correct for EC modelling because it is necessary to have high spatial resolution EC simulation results and cover a fairly large geographical area.
- **Mapping.** ArcGIS 10.5 [85]. Licensed by the *Pontificia Universidad Católica de Chile*.
- **Statistical analysis.** The IBM SPSS Statistics software ver. 22.0 licensed by the *Pontificia Universidad Católica de Chile* was used.

2.2. Study area

Fig. 2a shows the study area comprising three regions in southern Chile, framed between latitudes 37.5°S and 44.1°S: La Araucanía region, Los Ríos region and Los Lagos region, whose capitals are Temuco, Valdivia and Puerto Montt, respectively. The regions of La Araucanía, Los Ríos and Los Lagos contain 32, 12 and 30 communes, respectively (grey borders within the regions) and the principal cities of communes are presented on the maps (Fig. 2b-d). The total area of these three regions is 98,885 km², with approximately two million inhabitants [86].

The orography of the study area (from the north to Puerto Montt city) is characterised by the presence of the Coastal Mountain Range with heights of up to 1,000 m, an intermediate depression with heights of up to 300 m and the Andes Mountain Range with heights of up to 3,000 m. To the south of the continental part of the Los Lagos region is Chiloé Island (southwest) with heights of up to 800 m and the continuation of the Andes Mountain Range (southeast) [87].

On the Pacific coast, when moving from north to south, the maximum temperatures of the hottest months vary from 21°C to 18°C. In the Andes Mountains, the maximum temperatures vary from 30°C to 16°C, while the minimum temperatures of the coldest months vary between -2°C and -5°C. In the northern part of the Pacific coast, minimum temperatures vary in the range of 5–6°C, in the central part between 3 and 4°C and in the southern part of Chiloé Island in a range of 4–6°C [88].

2.3. Study of the evolution of thermal zones

2.3.1. Application of Chilean regulations

In Chile, the official regulatory standard is the Thermal Regulation Application Manual (RT) [89] of the General Ordinance of Urban Planning and Construction (OGUC) [90], where seven thermal zones for building are presented based on annual values of heating degree-days with base temperature of 15°C (HDD15°C). In this context, a thermal zone is the literal translation of the Spanish term "zona térmica" which is equal to the term climatic zone for building. In Fig. 3, a map of the distribution of thermal zones in the study area is presented according to the official RT OGUC document of the year 1999, where clear coherence between the boundaries of thermal zones and the administrative division can be seen. Therefore, the RT OGUC does not consider microclimatic specifications for the study area.

Table 2 shows the intervals of the annual HDD15°C values that are used for the determination of thermal zones of RT OGUC; it also includes the values of thermal transmittance (U-values) and maximum percentage area of glazed surfaces according to RT OGUC standards that the dwellings in each thermal zone must meet.

To determine the spatial distribution of thermal zones based on meteorological data from MM5 in 360 geographical locations (Fig. 2a), the annual value of HDD15°C was calculated using the following equation [91]:

$$HDD15^{\circ}C = \sum_{i=1}^{365} \left[T_b - \left(\frac{T_i^{max.} + T_i^{min.}}{2} \right) \right]^+, \quad (1)$$

where HDD is calculated as the difference between the base temperature (T_b ; in this case it is 15 °C) and average daily temperature, which is calculated as half of the sum between the maximum daily temperature ($T_i^{max.}$) and the minimum daily temperature ($T_i^{min.}$); the sign (+) means that it is only necessary to calculate positive differences.

2.3.2. Data validation, scenarios and periods considered and modification of baseline climate data

Since it was decided to use the meteorological data from the 2006 MM5 model in the present research, it was necessary to show the correspondence of these model data with the data of meteorological observations. For validating meteorological data, 16 stations were selected with temperature measurements in 2006 (Fig. 4a) [92], with more than 350 days of measurements. A correlation was obtained between the annual values of the HDD15°C according to the data from meteorological stations and according to MM5 data interpolated into the coordinates of the geographical location of each station (Fig. 4b and Table 3). With a good level of correlation, generally, MM5 data are overestimated compared to data from meteorological stations. This is a logical result and is because the MM5 model data is calculated using 12.7 km grid nodes, which, because of the complexity of the relief, can be located in colder microclimatic area than meteorological stations. Also, meteorological stations can be located in the influence area of urban heat islands. Comparison of MM5 data and meteorological stations data has already been carried out for the two regions of Chile [93] and for Nicaragua [60].

Subsequently, based on MM5 data [84] in 360 geographical locations of the study area (Fig. 2a), the spatial distribution of HDD15°C was analysed and the boundaries of the thermal zones were determined in accordance with the criteria of the RT OGUC (Table 2). The MM5 meteorological data were used as baseline climate data for future calculations.

For the projections of the temperature change in the study area, data from the Center for Climate and Resilience Research (CCRR) were used [94]. For modification of the HDD15°C

1 values of MM5, the differences in monthly average minimum and maximum temperatures
2 between three periods in the future and baseline climate period were used: (i) near future
3 2020–2035; (ii) intermediate future 2035–2050 and (iii) far future 2050–2065. In all cases,
4 the climate change scenarios RCP2.6 and RCP8.5 available in CCRR were considered.

5 The differences in monthly average minimum/maximum temperatures data (Table 4), in
6 the case of the RCP2.6 scenario, were based on the average simulation results of 22 global
7 models, 4 regional models and 2 local models. The RCP8.5 scenario has been based on the
8 average simulation results of 35 global models of the Coupled Model Intercomparison
9 Project (CMIP5) [95], 7 regional climate models and 2 local models [94].

10 In addition, it is necessary to analyse the projections of changing temperatures in the
11 research area. In Fig. 5, the intra-annual variability of the expected differences in monthly
12 average minimum/maximum temperatures for the entire study area can be observed. It can
13 be seen that, for both temperatures, there is a variability of expected temperature changes
14 with maximum in the summer months and minimum in the winter months. This variability is
15 clear in all future periods and for both scenarios. On the other hand, for the monthly average
16 maximum expected temperature, the changes are higher (Fig. 5b) than for the monthly
17 average minimum temperature (Fig. 5a). This phenomenon can be connected with the
18 maximum temperature being a more sensitive meteorological parameter and reflects changes
19 in the synoptic regime and changes in daytime radiative balance in the future.

20 A similar conclusion can be traced from an analysis of Fig. 6, which presents box-plots
21 of the variability of the expected differences in annual average minimum (Fig. 6a) and
22 maximum (Fig. 6b) temperatures in the 360 geographical locations of the study area. Also, it
23 can be seen that for the RCP2.6 scenario, a stabilisation of change is observed after the 2035–
24 2050 period in both temperatures, so the variability of the expected temperature change is
25 quite similar in the periods 2050–2065 and 2035–2050, which is consistent with the main
26 geophysical idea of the RCP2.6 scenario.

27 Additionally, in Fig. 7, the spatial distribution maps of the differences in annual average
28 temperature (average between maximum and minimum temperature and between the two
29 scenarios RCP2.6 and RCP 8.5) are presented for three periods in the future as compared to
30 the baseline climate. It can be seen that the average annual temperature change for the entire
31 study area is generally positive for the three periods, however, it is not spatially
32 homogeneous. The maximum temperature rise is expected in the mountainous area of the
33 northeast. A minimum temperature increase, for all periods in the future, is observed for the
34 coast south of the city of Valdivia. For the period 2020–2035, an annual average temperature
35 increase between +0.45 to +0.65 °C is expected; for the period 2035–2050 this interval is
36 between +0.65 to +0.95 °C and for the period 2050–2065 the temperature increase will range
37 between +0.95 to +1.25 °C as compared to baseline climate.

38 Intra-annual and spatial heterogeneity of the expected changes in the minimum and
39 maximum temperature in the study area observed was based on data from a large number of
40 climatic models. Therefore, these data can be applied to change baseline climate data and
41 analyse changes in future thermal zone scenarios.

42 The modification of daily temperature data of MM5 will be carried out in accordance
43 with methodologies already presented in other scientific works [31,96,97]. Based on Jiang
44 A. et al. and Belcher S.E. et al. [96,97], it is possible to apply “a shift” algorithm to modify
45 the baseline climate data to edit the daily values of maximum and minimum baseline climate
46 temperatures by adding the projected monthly average difference for future periods. This
47 methodology has uncertainties that should be noted:

1 - first, the quality of data in future periods depends primarily on the quality of data in
2 the baseline climate period. The reliability level of the MM5 data was noted previously.

3 - second, the quality of data in the future depends on the quality of climate modelling
4 for future climate change scenarios. Therefore, data on the average results of the ensemble
5 of climate models were used in this work to reduce inaccuracies in reproducing the future
6 temperature of some climate models in the study area.

7 Based on this, the calculation of HDD15°C values in the future will be done by applying
8 the following equation:

$$9 \quad HDD \ 15^{\circ}C_f = \sum_{j=1}^{12} \sum_{i=1}^k \left[\left(T_b - \frac{(T_i^{max.} + \Delta_j^{max.}) + (T_i^{min.} + \Delta_j^{min.})}{2} \right) \right]^+, \quad (2)$$

10 where T_b – base temperature (15°C); $T_i^{max.}$ – maximum daily temperature of MM5 in
11 baseline climate period; $T_i^{min.}$ – minimum daily temperature of MM5 in baseline climate
12 period; $\Delta_j^{max.}/\Delta_j^{min.}$ – differences in monthly average maximum/minimum temperatures
13 between future periods (2020–2035; 2035–2050; 2050–2065) and the baseline climate; k –
14 number days in each month and the sign (+) means that it is only necessary to calculate
15 positive differences. Values of $\Delta_j^{max.}$ and $\Delta_j^{min.}$ were taken from the website of CCRR [94].
16 For scenarios RCP2.6 and RCP 8.5, $\Delta_j^{max.}$ and $\Delta_j^{min.}$ are average values of 28 and 44 climate
17 models, respectively (Table 4). Therefore, to modify the daily data on the maximum and
18 minimum temperatures of the baseline climate in each geographical location (Fig. 2a), a data
19 set was created with 36 values of $\Delta_j^{max.}$ and 36 of values $\Delta_j^{min.}$ for each geographical point
20 and for each scenario of climate change. The Kriging interpolation method is used to restore
21 the spatial distribution of all parameters [98].

22 **2.4. Simulation of heating energy consumption**

23 **2.4.1. Description of dwelling type**

24 To carry out the energy simulation, a dwelling of the real estate type was used, with a
25 76.20 m² constructed area and a 66.37 m² useful area. This is a typical type of house in the
26 southern part of Chile. This is an existing house with two inhabitants, and it is in the city of
27 Valdivia. Geographic coordinates of the area where the house is located are - 39°47'58''S
28 73°12'30''W. Architectural plans and a three-dimensional view are shown in Fig. 8. The
29 housing stock in Chile is 6.5 million dwellings. The number of houses and apartments
30 represent 79.9% and 17.5% of the existing residential building stock, respectively [99,100].
31 According to Molina C. et al. [100], the average floor area for dwellings in Chile is 66 m²
32 and two-story houses with a 64–79 m² area represent 14.4% of the total housing stock of the
33 country.

34 Table 5 shows the thermal transmittance of the different construction elements of a house
35 used for 3-D modelling and energy simulation. The dwelling roof and walls meet the
36 standards for thermal zone 6, other construction elements comply with the regulations for the
37 colder thermal zone, zone 7.

38 To simulate heating EC HVAC system “Residential 14 SEER/8.3 HSPF Split/Packaged
39 Heat Pump” from the Revit standard library was used. This system was an efficient < 5.5-ton
40 split/package heat pump system with 8.3 Heating Seasonal Performance Factor and 14
41 Seasonal Energy Efficiency Ratio for cooling. According to references [101,102], the
42 seasonal COP value for heating of this system is 2.43, and seasonal COP for cooling is 4.10.
43 This HVAC system includes other elements: (i) Residential constant volume cycling fan; (ii)
44 2.0 inch of water gauge (498 pascals) static pressure constant volume duct system; (iii)

1 Integrated differential dry-bulb temperature economizer [103]. The heating part of this
2 system is electrical, and values of the EC are presented in kWh.

3 EC simulation was carried out for 8760 hours over a calendar year in 360 geographical
4 locations of the study area (Fig. 2a). In all areas of the house, heating was simulated to 20°C.
5 According to the Sustainable Construction Standards for building in Chile of the Ministry of
6 Housing and Urban Development of Chile [104], from the minimum values for the intervals
7 of internal thermal comfort in the thermal zones of the country—where heating is
8 necessary—20°C is the maximum value accepted.

9 Occupation by two persons was considered for the simulation. Currently, in European
10 countries such as Denmark and the United Kingdom, the average household size is 2.1 [105]
11 and 2.48 [100] people per house, respectively. In South America, in Chile and in Colombia,
12 the average household size is 3.64 [100] and 3.9 [105] people per house, respectively.
13 However, according to forecasts, by 2100 in Colombia, the average household size will
14 decrease to 2.09–2.85 people per house, depending on the forecast of the country's economic
15 development [105]. Based on these projections, it was decided to leave the occupation of the
16 house with two people for simulation. Subsequently, the results of an EC simulation will be
17 projected into the future.

18 Additional simulation parameters, such as sensible heat gains per person – 73.27 W and
19 latent heat gains per person - 45.43 W, were taken from the standard Revit library for
20 residential dwellings. These values are close to the values presented in other studies. So, for
21 example, in the work of Martin M. et al. [106], to simulate the EC of a residential dwelling,
22 the authors used – 70 W for the value of sensible heat gains per person and - 50 W for latent
23 heat gains per person. In Moreno A. et al. [107], the authors used - 80 W for the value of
24 sensible heat gains per person and 40 W for latent heat gains per person. The method used to
25 calculate outdoor airflow to space depends on dwellers and useful surface area. Outdoor air
26 per person was 2.36 L/s and outdoor air per area was 0.30 L/s·m². Fig. 9 shows the occupancy
27 schedule settings applied in the energy simulation. These settings are from the Revit standard
28 library for residential buildings. For hourly simulations, parameters that affect EC of a
29 dwelling and are dependent on the people present will be multiplied by a factor shown in Fig.
30 9.

31 In the present research, only the results of heating EC simulation were considered. The
32 regions under study are characterized by a high-level of wood use for heating dwellings.
33 Government programs are aimed at reducing heating EC and also at reducing the
34 environmental and epidemiological consequences for the population of these regions [65].
35 Therefore, the present work will help to detail geographical areas with a high level of heating
36 EC and evaluate the natural potential of reducing heating EC in the context of climate change.
37 Currently, in the study area, there is no problem related to the cooling EC of dwellings. But
38 in the future, it will also be important to evaluate changes in cooling EC.

39 **2.4.2. Estimation of heating energy consumption in the future**

40 For the estimation of heating EC for the future, the quotient between heating EC and
41 HDD15°C of baseline climate will be used, which demonstrates the amount of energy for
42 heating (kWh/m²/year) corresponding to a degree-day of heating with a base of 15 °C in each
43 geographical location. This will reveal geographic regions with the same annual value of
44 HDD15°C, but with different EC values. Thus, assuming that the quotient between heating
45 EC and HDD15°C will be maintained in different geographical areas in the future, the future
46 heating EC can be calculated in a first approximation, which will depend only on temperature

1 changes in conditions of global climate change expected in the study area. The heating EC
2 value expected in the future (EC_f) will be calculated with the equation:

$$3 \quad EC_f = \frac{EC_a}{HDD15^\circ C} \cdot HDD15^\circ C_f \quad (3)$$

4 where EC_a — heating EC according to the simulation results in GBS, $HDD15^\circ C$ – value
5 obtained from Eq. 1 of baseline climate; $HDD15^\circ C_f$ – HDD15°C value in future period,
6 calculated according to Eq. 2. Currently, in the scientific literature there have been no studies
7 that consider a similar methodology and that have used the quotient between heating EC and
8 HDD as a parameter that can be implemented to estimate changes EC and climate zoning for
9 building in a fairly large geographical area.

10 **3. Results and discussion**

11 In order to know the effects that climate change will have on heating EC in the study
12 area, the situation of the thermal zones distribution in the baseline climate period was
13 analysed first, as well as the foreseeable changes; subsequently, the EC in the baseline
14 climate period will be simulated and finally estimated for the future.

15 **3.1. Thermal zones distribution**

16 **3.1.1. Thermal zones in baseline climate period**

17 In accordance with the provisions of the official RT OGUC document for the study area,
18 four thermal zones are presented (4, 5, 6 and 7). In Fig. 3 and in Table 6 (column "Of. Doc.
19 RT OGUC 1999"), these zones are represented and the results of thermal zones of the official
20 document for each principality of the communes are collected. It can be seen that most of the
21 cities belong to thermal zones 5 and 6; specifically, out of the 74 cities listed in Table 6, 37
22 are in zone 5 and 31 in zone 6. And six cities located in small areas in the northwest and
23 southeast belong to thermal zone 4 (four cities) and thermal zone 7 (two cities), respectively.

24 If the spatial distribution of HDD15°C of the MM5 data for the year 2006 is analysed
25 (Fig. 10a), it is observed that its annual values range between 1000 and 4000, with maximum
26 values in the southeast part in mountainous areas, and minimum values west of Temuco city
27 and along the shore of the inner bay, located south of Puerto Montt city. Based on these
28 values, and with the thermal zoning recommendations of RT OGUC (Table 2), the baseline
29 climate thermal zones presented in Fig. 10b have been restored. If a comparison is made with
30 the distribution of the thermal zones of the official RT OGUC document (Fig. 3), a notable
31 difference is observed; for example, the entire area of mountains on the east is characterised
32 by belonging to thermal zone 7 as compared to zone 6 which is specified in the current RT
33 OGUC document. Also, the area within thermal zone 4 is smaller as compared with RT
34 OGUC. Table 6 (column "MM5 baseline climate 2006") shows the thermal zones for each
35 city with annual values of HHD15°C. Cities like Valdivia and Temuco are located in thermal
36 zone 5, while Puerto Montt is located between thermal zones 5 and 6. In comparison,
37 according to the official document of RT OGUC, Puerto Montt city is located in thermal zone
38 6. Another notable difference is that 11 cities are located in thermal zone 7. It should be noted
39 that the official RT OGUC document defines a warmer thermal zone in cities where a colder
40 thermal zone is observed by MM5 data. This difference is noticed thanks to the high spatial
41 resolution of MM5 data, which reflects more microclimatic specifications in the study area.

42 The differences found are explained because the thermal zones of the official RT OGUC
43 document are based on administrative boundaries, so they do not reflect the microclimatic
44 diversity of the region under study, which is why this standard has already been criticised
45 [108,109]; nevertheless, they have not been updated in a long period [65].

1 In the research [65] also determined the boundaries of thermal zones for a similar
2 geographical area. However, the data was only from 35 meteorological stations over the past
3 decade. The methodology for determining the thermal zones boundaries was manual-
4 cartographic. The border refinement was based on bioclimatic maps and the official RT
5 OGUC map. Therefore, for example, in the Andes region on the border with Argentina, it
6 was not possible to clearly establish the boundaries of thermal zone 7, which was performed
7 in present work. In addition, not all meteorological stations had a sufficient set of
8 meteorological data, which are necessary to simulate EC. Additionally, MM5 data is from
9 2006, but with their detailed spatial resolution, this data reflects the microclimatic features
10 of the studied area. Therefore, the boundaries of the zones obtained in the present study do
11 not coincide with the previous work. MM5 data makes it possible to carry out multiple
12 simulations, mapping and analysis of the spatial distribution of EC [93]. Also, MM5 data can
13 potentially be used to modify meteorological data files used for simulation in other tools, for
14 example, in Energy Plus [60].

15 **3.1.2. Forecast evolution of the thermal zones**

16 In Fig. 11, the RT OGUC thermal zone maps are recalculated based on baseline climate
17 data and taking into account thermal effects (temperature changes only) of climate change in
18 the study area (section 2.3.2). In Table 6, numbers of RT OGUC thermal zones and
19 HDD15°C values of baseline climate for principal cities are presented for three future
20 periods, 2020–2035, 2035–2050 and 2050–2065, and for the two scenarios of climate
21 change: RCP2.6 and RCP8.5. As has happened in other studies, a change in climatic zones
22 to warmer ones can be observed [47]. For the baseline climate period in cities in the
23 Araucanía region, the HDD15°C values are in the range 1025–3021; in the Los Ríos region
24 1395–1864 and in the Los Lagos region 1187–3940. The average decrease in these values
25 for the 2050–2065 period in the entire study area will be 12% and 27% for the RCP2.6 and
26 RCP8.5 scenarios, respectively, that is, the changes will be more drastic in the case of the
27 second scenario.

28 In the case of scenario RCP2.6 (Fig. 11c, e), the main changes in thermal zones will
29 happen until the period 2035–2050. Because this climate change scenario predicts that the
30 climate will stabilise and decelerate in subsequent periods [6]. As a consequence of climate
31 change, in the northwest part of the study area, in the period 2020–2035 (Fig. 11a) thermal
32 zone 3 begins to form, specifically to the west of Temuco city. On the other hand, the borders
33 of thermal zone 7 will not vary much. For the period 2050–2065 and scenario RCP2.6, six
34 cities will be located in thermal zone 7; 11 cities will be located in thermal zone 6; 25 cities
35 in thermal zone 5; 31 cities in thermal zone 4 and one city will be located in thermal zone 3.
36 In total 50 out of 74 cities in the 2050–2065 period will experience changes in thermal zones
37 from baseline climate to a warmer zone (Table 6).

38 More drastic changes are observed for scenario RCP8.5, especially in the period 2050–
39 2065 in which thermal zone 2 in the northwest part (Fig. 11f), specifically in the city of Puerto
40 Saavedra (Table 6) and in the part of Chiloé Island (southwest Fig. 11d) will change as
41 thermal zone 3 begins to form in the period 2035–2050 (Table 6, Curaco de Vélez city). For
42 the period 2050–2065 and scenario RCP8.5, six cities will be located in thermal zone 7; 5
43 cities will be located in thermal zone 6; 8 cities in thermal zone 5; 31 cities in thermal zone
44 4; 23 cities in thermal zone 3 and 1 city will be located in thermal zone 2 (Table 6). For
45 37 cities, the baseline climate thermal zones will change by two thermal zones and 31 cities will
46 change their zones for one warmer, 6 cities will remain unchanged; this will happen in cities
47 located in mountainous areas with thermal zone 7.

1 After comparison of predicted thermal zones with baseline climate thermal zones, we
2 proceeded to analyse the application of current RT OGUC standards to the future and
3 comparison of the thermal zones presented in the RT OGUC with the predicted thermal
4 zones. It can be seen (Table 7) that, in 2020–2035, thermal zones are preserved by 47%
5 (RCP2.6) and in 38% (RCP8.5) of cities. Warmer thermal zones will be observed in 35%
6 (RCP2.6) and 47% (RCP8.5) of cities. For the next period (2035–2050), the number of cities
7 with preserved thermal zones will decrease to 36.5% (RCP2.6) and 28% (RCP8.5). In
8 parallel, the number of cities where thermal zones will change to warmer will increase up to
9 50% (RCP2.6) and up to 61% (RCP8.5). In the period 2050–2065, only 35% and 15% of the
10 cities will maintain their thermal zone with respect to scenarios RCP2.6 and RCP8.5,
11 respectively. In 13.5% (RCP2.6) and 8% (RCP8.5) of the cities under study, it is necessary
12 to improve the housing insulation regulations, since in those cities, a colder climate is actually
13 observed compared to the information proposed by the official RT OGUC document. For
14 other cities, 51.5% (RCP2.6) and 77% (RCP8.5) need to adapt the current RT OGUC
15 regulations to climate change in order to optimise the housing construction and operating
16 costs for future warmer weather conditions. In any situation, with a scenario of stabilisation
17 of climate change (RCP2.6) and a scenario of drastic climate change (RCP8.5), considerable
18 changes in thermal zones are observed. For this reason, the current RT OGUC construction
19 regulations must be updated, improved and adapted to future climatic changes in the study
20 area.

21 **3.2. Heating energy consumption**

22 **3.2.1. Heating energy consumption in baseline climate period**

23 The heating EC has been simulated in the baseline climate period, which allowed us to
24 obtain the results shown in Fig. 12, in which the structure of the heating EC isolines is similar
25 to the HDD15°C isolines shown in Fig. 10a. The variability of heating EC and HDD15°C is
26 in a range of 60–300 kWh/m²/year and 1000–4000, respectively. This is a consequence of
27 the MM5 meteorological data used for energy simulation. The average value of heating EC
28 observed for all cities is 106 kWh/m²/year ($\sigma = 37\text{kWh/m}^2/\text{year}$) with a maximum in the case
29 of dwellings located in the mountainous part, generally in the southeast part of the study area
30 (250–300kWh/m²/year), and a minimum value that is reached on the northwest oceanic coast
31 (60kWh/m²/year). Specifically in Table 8, results of simulation of heating EC in the cities
32 are presented, in which Futaleufú, a city in the southeast of the study area, has the highest
33 heating EC value of 286 kWh/m²/year, while the minimum of 65 kWh/m²/y was observed in
34 Puerto Saavedra, located in the northwestern part.

35 If the heating EC is related to the annual HDD15°C values in all the energy simulation
36 points of the study area (Fig. 13), a linear correlation between these two parameters can be
37 observed, similar to the work of Conradie et al. [110]. On the other hand, there is also a
38 sufficient data difference; thus, for the value of HDD15°C equal to 3,000, it can be seen that
39 the heating EC can range between 175 kWh/m²/year and 225 kWh/m²/year. This means that
40 different geographical locations with the same annual HDD15°C value will have different
41 heating EC values, and this difference can be explained by the fact that the energy simulation
42 is carried out on the basis of different hourly meteorological parameters in every geographic
43 place [93], and the HDD15°C values were calculated by the maximum and minimum daily
44 temperatures. Due to this correlation, it can be established that in each geographical location
45 there is a conversion coefficient between HDD15°C and heating EC, so for each geographical
46 point of energy simulation it has been possible to calculate the quotient between heating EC

1 and HDD15°C for the baseline climate period. In Fig. 14, the spatial distribution map of the
2 quotient between heating EC and HDD15°C for 2006 is shown.

3 It can be seen, that in high-altitude mountain areas, a quotient greater than
4 0.070kWh/m²/year per HDD15°C is observed, while, in the southern part, near Puerto Montt,
5 this quotient is at the threshold of 0.050–0.055 kWh/m²/year per HDD15°C. Similarly, near
6 large lakes, there are areas with a minimum quotient between heating EC and HDD15°C.
7 Thus, Mourshed M. [32] describes the coefficient between HDD and heating EC as
8 dependent on the overall seasonal heating system efficiency and the overall building heat
9 loss coefficient. Therefore, for a single house with the same operating conditions, the same
10 heating system, the same orientation with respect to north and other similar characteristics,
11 variability of heating EC in two different geographical locations with the same value of
12 HDD15°C, will generally depend on heat loss. Which will, in turn, depend on other
13 meteorological parameters, mainly wind (speed and direction will affect infiltration of air)
14 and solar radiation (will affect external heat gain).

15 It can therefore be concluded that the quotient between heating EC and HDD15°C can
16 be used as a quantitative characteristic of some areas with similar climatic conditions, where
17 a linear relationship between heating EC and HDD15°C is observed. Of course, each house,
18 with its own characteristics of architecture, construction and other individual parameters, will
19 have different absolute values of the quotient between heating EC and HDD15°C; but the
20 spatial distribution of this quotient with its zones of maximum and minimum will be
21 maintained. For all this, the use of the energy simulation results together with HDD data will
22 help with climatic zoning for building in areas with cold climates.

23 **3.2.2. Forecast change of heating energy consumption**

24 Finally, heating EC of the dwelling under study has been estimated for the future, taking
25 into account the two climate change scenarios and the three periods considered. The results
26 are presented in Fig. 15. Since the estimation of heating EC has been based solely on HDD
27 changes for the study area, it can be said that this heating EC takes into account only future
28 temperature changes.

29 If Fig. 15 is compared with Fig. 12, it is observed that the isolines shape and spatial
30 distribution of EC for heating are similar. Thus, in the period 2020–2035, the EC for heating
31 will decrease (on average between the two scenarios RCP2.6 and RCP8.5) between 5
32 kWh/m²/year and 20 kWh/m²/year. In the period 2050–2065 the reduction will be greater,
33 estimated between 10 kWh/m²/year and 25 kWh/m²/year; and finally, in period 2050–2065,
34 it will reach values between 12 kWh/m²/year and up to 45 kWh/m²/year.

35 Table 8 shows the estimating heating EC of the dwelling under study in principal cities
36 in the southern part of Chile for the period 2050–2065. It can be seen that the heating EC
37 values will have a decreasing trend in all cities and for the two scenarios analysed. However,
38 this does not mean that a decrease in heating EC is a positive effect for reduce of total EC,
39 because can increase part of the consumption for cooling and ventilation of the houses [58].

40 The decreases calculated between 2006 and 2050–2065 for scenario RCP2.6 are 13%,
41 with an average value of heating EC of 94 kWh/m²/year ($\sigma = 36\text{kWh/m}^2/\text{year}$). In the case
42 of the most extreme RCP8.5 scenario, this decrease would be 27% with an average heating
43 EC value of 79 kWh/m²/year ($\sigma = 34\text{kWh/m}^2/\text{year}$).

44 Similar results have been observed in cities of the southern hemisphere. For example,
45 Wang et al. [35] estimated for the city of Hobart, located at the southern tip of Tasmania
46 Island (42.9°S), that the heating EC of residential dwellings will decrease of 25% (42%) and

1 28% (58%) in the year 2050 (2100), under the A1B and A1FI scenarios, respectively. Flores-
2 Larsen et al. [42] analysed the change in EC in four cities in Argentina located between
3 latitudes 23°S–37°S under the SRES A2 scenario, concluding that, by the year 2080 in an
4 isolated single-family home, the heating EC will decrease in the range of 23–59%. The minor
5 changes in the heating EC observed in our research, for scenario RCP2.6, are due to the fact
6 that this climate change mitigation scenario is the most positive for the world with
7 minimisation of anthropogenic effects to climate system.

8 Finally, differences are observed between mountain and coastal areas. Thus, for the
9 period 2050–2065 and RCP8.5, in Lonquimay city, the decrease in heating EC of 42
10 kWh/m²/year is observed in absolute values (corresponding to only 19% of the consumption
11 in 2006). On the other hand, the coastal city of Dalcahue, on Chiloe Island, shows a decrease
12 in heating EC for 23 kWh/m²/year in absolute values (corresponding to 29% of consumption
13 in 2006), not being as significant as in the mountainous area.

14 **4. Conclusions**

15 The main conclusions derived from the present investigation have been the following:

- 16 - From the point of view of the current situation and taking into account the results
17 obtained for the “baseline climate,” the official document RT OGUC is shown to be
18 inconsistent with the study area climatological reality because it establishes the
19 thermal zones as a function of administrative boundaries, not climatic ones. This
20 means that today its application would imply a building design that does not agree
21 with the temperatures in which it is located. This problem will get worse in most cities
22 in the future.
- 23 - As for the expected temperature changes, these are positive throughout the study area
24 and provide trends of decrease of HDD[°]15 in the entire investigated zone.
- 25 - The simulation results of the heating EC of a single-family house for the baseline
26 climate period showed five-fold variability, between 60kWh/m²/year and
27 300kWh/m²/year due to the diversity of microclimatic zones within the study area.
- 28 - The methodology for estimating heating EC for the future has been demonstrated,
29 taking into account the effect of temperature change in the future and the quotient
30 between heating EC and HDD of baseline climate. In addition, discussions were held
31 on the possibility of applying this quotient in the improvement of climatic zoning for
32 building. This methodology can be implemented in other regions of the world.
- 33 - As a consequence of the variation of the thermal zones, we expected a decrease of the
34 heating EC of 5–15%, 6–24%, 7–34% (under the two scenarios RCP 2.6 and RCP
35 8.5) for future periods 2020–2035, 2035–2050 and 2050–2065, respectively. Given
36 that the changes in total EC depend not only on the consumption for heating, but also
37 on the need for cooling and ventilation of the house, the need to extend this study in
38 their determination is evident.
- 39 - The method of energy simulation in numerous geographical locations with different
40 types of dwellings, the matching of energy results with weather parameters and
41 adaptation and mitigation methods for climate change are priorities for the
42 development of the correct climatic zone determination for building in the future.

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