

Inflow-outflow boundary conditions along arbitrary directions in Cartesian lake models

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Abstract

Specifying point sources and sinks of water near boundaries is presented as a flexible approach to prescribe inflows and outflows along arbitrary directions in Cartesian grid lake models. Implementing the approach involves a straightforward modification of the governing equations, to include a first order source term in the continuity and momentum equations. The approach is implemented in a Cartesian grid model and applied to several test cases. First, the flow along a straight flat bottom channel with its axis forming different angles with the grid directions is simulated and the results are compared against well-known analytical solutions. Point-sources are then used to simulate unconfined inflows into a reservoir (a small river entering a reservoir in a jet-like manner), which occur at an angle with the grid directions. The model results are assessed in terms of a mixing ratio between lake and river water, evaluated at a cross section downstream of the inflow boundary. Those results are particularly sensitive to changes in the inflow angle. It is argued that differences in mixing rates near the inflow sections could affect the fate of river-borne substances in model simulations.

Keywords

Three dimensional (3D) simulation; Cartesian grid; inflow angle; source-sink cell; mixing; lake

3D-SWE = Three-dimensional shallow water equations
SC = Sources and sinks
NF = Normal velocity component along faces
SML = Surface mixed layer

33 **1. Introduction**

34 The space-time distribution of particulate and dissolved substances in lakes and
35 reservoirs, the light and nutrient availability for algal growth and, in general, the
36 environment in which biogeochemical reactions occur are largely controlled by
37 transport and mixing processes in the water column. Describing and understanding the
38 physical processes leading to mixing and transport in the water column, hence, is the
39 first step that needs to be taken to understand the chemical and biological properties of
40 aquatic ecosystems, and its spatial and temporal variability. To this end, considerable
41 efforts have been devoted during the last few years to develop and apply numerical
42 models, capable of solving the governing equations of fluid motion and, hence,
43 describing the flow environment in three-dimensions with a high temporal and spatial
44 resolution and low computational cost. Most of these large-scale flow models are based
45 on the solution of the three-dimensional form of the shallow-water equations 3D-SWE,
46 subject to the appropriate boundary conditions. The correct representation of the
47 specific flow patterns that develop in any given water body depends mainly on the
48 ability of the model to represent accurately the mass and energy fluxes (their frequency,
49 intensity, duration and timing) that occur through the free surface – and which are the
50 drivers of motion in the water column – and the morphometry of the system (Imboden
51 and Wüest, 1995). This, in turn, largely depends on how the physical space is
52 discretized on the model grid (grid system). The most widely used grid system in 3D
53 lake modeling is the Cartesian-grid (e.g. Hodges et al., 2000; Rueda et al., 2003; Appt et
54 al., 2004; Laval et al., 2005; Okely and Imberger, 2007; Hoyer et al., 2014a, b). Model
55 coding and grid definition in this grid-system is much simpler than in others. Grid
56 generation, for example, in unstructured-grid models is not a completely automatic
57 process, requiring separate grid creation software, and user intervention is often need to
58 produce a grid of satisfactory quality (Liang et al., 2007), especially if complex
59 topographic features are present. It is also computationally expensive.

60 In spite of their simplicity, Cartesian grid lake models tend to produce locally
61 inaccurate solutions where the shoreline is not aligned with the Cartesian grid directions
62 and is represented as a staircase. A variety of approaches have been proposed to resolve
63 correctly the near shore circulation. The grid resolution can be increased near the
64 shoreline, for example, using ‘plaid’ structured meshes (i.e. non-uniform Cartesian grid
65 spacing), adaptive mesh refinements or nested grids (e.g. Berger and Oliger, 1984; Ham
66 et al., 2002; Gibou et al., 2007; Peng et al., 2010, and references therein). Cut cells can

67 also be used for the solution of the shallow water equations (Causon et al., 2000; Liang
68 et al., 2007), and in this case, boundary contours are cut out of a background Cartesian
69 mesh and cells that are partially or completely cut are singled out for special treatment.
70 Other approaches such as the immerse boundary method of Peskin (1972, 2002), the
71 virtual boundary method (Saiki and Biringen, 1996) or the Brinkman penalization
72 method (e.g. Reckinger et al., 2012) introduce a source (force) term in the momentum
73 equations, to represent the force exerted by solid boundaries on the fluid.

74 An additional problem arising from the Cartesian representation of lake
75 boundaries is related to the simulation of river inflows and outflows, which may not be
76 aligned with the grid directions (Fig. 1). Flow boundary conditions (clamped boundary
77 conditions) are typically prescribed in lake models (e.g. Smith, 2006; Hodges et al.,
78 2000) by setting the values of the velocity components normal to the grid directions at
79 the faces of the boundary cells (Fig 1). Flow directionality with this approach, which
80 will be referred to as NF-method (for normal velocity component along faces), could be
81 wrong. The effects of inflows on circulation and mixing – whether these effects are
82 localized (Rueda and Vidal, 2009) or if they impact the basin-scale motions (Hollan,
83 1998) – or the fate of river-borne substances, may not be correctly simulated with the
84 NF-method. Our goal is to present an alternative approach to specifying inflow and
85 outflow boundary conditions in Cartesian lake models, in which flow direction is
86 independent of grid alignment. It consists of using point sources and sinks of mass and
87 momentum in grid cells which are next to solid boundaries, where water is added or
88 detracted from the computational domain (Fig. 1). This approach, here referred to as SC
89 (for sources and sinks), implies a simple-to-implement modification of the governing
90 equations. The grid, in turn, does not need to be modified. The use of sources- and
91 sinks- of mass and momentum has been successfully applied in the lake modeling
92 literature (Singleton et al. 2010) to simulate the effect of bubble-plumes on lake
93 circulation, and, hence, on hypolimnetic oxygen and density fields. Here, the method is
94 adapted to represent the effect of localized flows into and out of the domain, with length
95 scales which are well below the grid resolution of the model. It is examined whether
96 ignoring the directionality of inflows may affect or not the results of local and larger
97 basin-scale simulations of mixing and transport in lakes and reservoirs.

98

99 **2. Methods**

100 **2.1. Approach**

101 The SC and the NF approaches to specifying flow boundaries in a 3D-SWE
 102 model will be first described. These two approaches were compared in a test case in
 103 which the flow boundaries are aligned with the grid directions. The test consists on the
 104 simulations of the flow field along a straight rectangular channel with flat bottom laid
 105 out along the x-axis. The SC-method will be then applied to the same straight channel,
 106 but in this case, the channel will be assumed to form an angle with the Cartesian grid
 107 directions. The SC-method will be then applied to simulate environmental flows in a
 108 lake in which the use of boundaries not aligned to the Cartesian grids are needed.

109

110 **2.2. Governing equations with point sources and sinks of fluid.**

111 Assuming that (1) variations in density are negligible everywhere except in the
 112 buoyancy term (the Boussinesq approximation), (2) the weight of the fluid balances the
 113 pressure in the equation for vertical momentum (the hydrostatic approximation), and (3)
 114 a diffusion-like term can be used to represent turbulent fluxes of scalars and momentum
 115 (the eddy diffusivity concept), the Navier-Stokes equations, incorporating point sources
 116 and sinks of fluids, can be written as (adapted from the work of Lynch,1986):

117

$$118 \quad \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = \frac{\delta}{\rho_0} \quad (1)$$

119

$$120 \quad \frac{\partial \zeta}{\partial t} + \frac{\partial}{\partial x} \left[\int_{-D}^{\zeta} u dz \right] + \frac{\partial}{\partial y} \left[\int_{-D}^{\zeta} v dz \right] = \int_{-D}^{\zeta} \frac{\delta}{\rho_0} dz \quad (2)$$

121

$$122 \quad \frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y} + w \frac{\partial u}{\partial z} - fv = - \left(g \frac{\partial \zeta}{\partial x} + g \frac{1}{\rho_0} \int_z^{\zeta} \frac{\partial \rho}{\partial x} dz' \right) +$$

$$\frac{\partial}{\partial x} \left(A_h \frac{\partial u}{\partial x} \right) + \frac{\partial}{\partial y} \left(A_h \frac{\partial u}{\partial y} \right) + \frac{\partial}{\partial z} \left(A_v \frac{\partial u}{\partial z} \right) + \frac{\delta}{\rho_0} (u - u_0)$$

123

$$124 \quad \frac{\partial v}{\partial t} + u \frac{\partial v}{\partial x} + v \frac{\partial v}{\partial y} + w \frac{\partial v}{\partial z} + fu = - \left(g \frac{\partial \zeta}{\partial y} + g \frac{1}{\rho_0} \int_z^{\zeta} \frac{\partial \rho}{\partial y} dz' \right) +$$

$$\frac{\partial}{\partial x} \left(A_h \frac{\partial v}{\partial x} \right) + \frac{\partial}{\partial y} \left(A_h \frac{\partial v}{\partial y} \right) + \frac{\partial}{\partial z} \left(A_v \frac{\partial v}{\partial z} \right) + \frac{\delta}{\rho_0} (v - v_0)$$

125

$$126 \quad \frac{\partial \theta}{\partial t} + u \frac{\partial \theta}{\partial x} + v \frac{\partial \theta}{\partial y} + w \frac{\partial \theta}{\partial z} = K_h \frac{\partial^2 \theta}{\partial x^2} + K_h \frac{\partial^2 \theta}{\partial y^2} + \frac{\partial}{\partial z} \left(K_v \frac{\partial \theta}{\partial z} \right) + \frac{H}{\rho c_p} + \frac{\delta}{\rho_0} \theta_0 \quad (5)$$

127

$$128 \quad \frac{\partial O}{\partial t} + u \frac{\partial O}{\partial x} + v \frac{\partial O}{\partial y} + w \frac{\partial O}{\partial z} = K_h \frac{\partial^2 O}{\partial x^2} + K_h \frac{\partial^2 O}{\partial y^2} + \frac{\partial}{\partial z} \left(K_v \frac{\partial O}{\partial z} \right) + \frac{\delta}{\rho_0} O_0 \quad (6)$$

129

130 These equations comprise the 3D-SWE. They express the physical principles of
 131 conservation of mass for an incompressible fluid (Eqs. 1-2), conservation of momentum
 132 (Eqs. 3-4) and conservation of energy (Eq. 5). Finally, Eq. 6 is the transport equation for
 133 passive tracers, not affecting the fluid density. Here u , v , and w represent the velocity
 134 components in the x -, y -, and z - directions; f is the Coriolis parameter; g is the
 135 acceleration of gravity; θ represents temperature; O represents the concentration of a
 136 passive tracer in the domain; ζ is the free surface elevation; $z = -D(x, y)$ is the depth of
 137 the bottom boundary measured from the undisturbed free surface $z = 0$; H is a source of
 138 heat associated with heat and energy fluxes due to atmospheric heating or cooling; A is
 139 the kinematic eddy viscosity and K is the turbulent transfer coefficient (eddy diffusivity)
 140 for temperature. The density ρ is calculated from temperature using an equation of state;
 141 the subscript h and v refer to horizontal and vertical directions, respectively; δ denotes
 142 the fluid source strength, and the ratio δ / ρ_0 , for a given computational source cell of
 143 nominal volume ($= \Delta x \times \Delta y \times \Delta z$), represents the volume of water added/detracted per
 144 unit time, divided by the nominal volume of the cell. Note that this term will only be
 145 non zero next to the boundaries where inflows and outflows are specified. The subscript
 146 0 in Eqs. 1-6 is intended to define the characteristics of the water being added or
 147 removed from the computational domain at a source or sink cell. The SC-method
 148 consists of setting source-sink computational cells adjacent to the flow boundaries in
 149 which water is added or detracted from the domain. Note first that, as a result of the
 150 source-sink term in the continuity equations – representing the addition and detracted
 151 of water from the domain–, the free surface elevation rises and descends and, hence,
 152 pressure gradients are generated near the boundaries. The velocity direction of the
 153 inflowing or out-flowing water (for example, inflows entering at an angle into a lake)
 154 can be prescribed by conveniently specifying u_0 and v_0 . The larger is the source
 155 strength, the closer the velocity solution will be to u_0 and v_0 .

156

2.3. Hydrodynamic model

157 The SC-method was implemented and tested in a 3D-SWE model (Smith, 2006),
 158 which has been previously used and validated against analytical solutions and field data
 159 sets collected in a variety of environments (Rueda and Cowen, 2005; Rueda and
 160 MacIntyre, 2010 and references therein). The governing equations (1-6) are first posed
 161 in layer-averaged form by integrating over the height of a series of horizontal layers
 162 separated by level planes. The layer-averaged momentum equations are solved using a
 163 semi-implicit, three-level, iterative leapfrog-trapezoidal finite difference scheme on a
 164 staggered Cartesian grid. The semi-implicit approach is based on treating the gravity
 165 wave and vertical diffusion terms in the momentum equations implicitly to avoid time-
 166 step limitations due to gravity-wave CFL conditions, and to guarantee stability of the
 167 method. All other terms, including advection, are treated explicitly. The leapfrog-
 168 trapezoidal algorithm used for time stepping gives second order accuracy both in time
 169 and space. The variables are arranged in space on a C-Arakawa staggered Cartesian
 170 grid, with the flow variables defined at the interfaces, and the scalars and the pressure at
 171 the cell centers (Fig. 1). Non-active (i.e. tracers) and active (i.e. temperature) scalar
 172 transport equations were solved using a two-level semi-implicit scheme, in which only
 173 vertical diffusion is discretized implicitly. The advection terms in the transport equation
 174 for scalars are discretized with flux-limiter methods (e.g. Durran, 1999). Turbulent
 175 mixing is represented in the 3-D model using diffusion-like terms. A Laplacian operator
 176 with constant mixing coefficients (horizontal eddy viscosity A_h or diffusivity K_h) is used
 177 in the model to represent horizontal mixing of momentum and scalars. Vertical eddy
 178 coefficients of mixing K_v are calculated using a two-equation model originally proposed
 179 by Mellor and Yamada (1974), and later modified by Kantha and Clayson (1994). This
 180 turbulent modeling approach is typically used in large scale models for geophysical
 181 flows due to their reduced computational burden. The discretized form of the depth-
 182 averaged continuity equation, governing the changes in the free-surface elevation (Eq.
 183 2) is given by

184

$$\begin{aligned}
 \zeta_{i,j}^{n+1} = & \zeta_{i,j}^{n-1} - \frac{\Delta t}{\Delta x} \left[\sum_{k=k_1}^{km} (U_{i+1/2,j,k}^{n+1} - U_{i-1/2,j,k}^{n+1} + U_{i+1/2,j,k}^{n-1} - U_{i-1/2,j,k}^{n-1}) \right] \\
 & - \frac{\Delta t}{\Delta y} \left[\sum_{k=k_1}^{km} (V_{i,j+1/2,k}^{n+1} - V_{i,j-1/2,k}^{n+1} + V_{i,j+1/2,k}^{n-1} - V_{i,j-1/2,k}^{n-1}) \right]
 \end{aligned} \tag{7}$$

185

186 Here, U and V are the volumetric transport in x - and y - directions, respectively; Δt is the
187 time step, Δx and Δy are the horizontal size of a cell in x - and y -, respectively; subscripts
188 (i, j, k) denote the spatial location in the computational grid, and the superscripts (n) , the
189 time t level at which the variable is evaluated. The symbols k_l and k_m denote the first
190 (shallowest) and last (deepest) layer in a water column respectively. In the course of the
191 computations for a given time step, the volumetric transports at time $n+1$ in the
192 momentum equations are expressed as a function of the free surface at that time, i.e.
193 $U^{n+1} = f(\zeta^{n+1})$ and $V^{n+1} = g(\zeta^{n+1})$ (see Table 1), and substituted in Eq. 7 to yield a sparse
194 symmetric positive-definite system of equations for ζ^{n+1} . The matrix problem is then
195 solved using a conjugate gradient iterative method (see Smith, 2006, for details). Flow
196 boundaries in the NF-approach are prescribed by setting the values of volumetric
197 transports in Eq. 7 to their known values. These, in turn, are estimated from observed
198 flow rates Q_{FB} , assuming a uniform distribution of velocities along the flow boundaries.
199 In the SC-approach, instead, a source-sink term (Table 1) is added to $f(\zeta^{n+1})$ or $g(\zeta^{n+1})$
200 in the momentum equations during the solution process. Distinguishing between
201 boundaries acting as sources (inflows) or sinks (outflows) is done by prescribing
202 positive or negative flows Q_{FB} at the boundary cells, respectively. Flows are prescribed
203 on the E face of a computational cell by adding the source term (E) given in Table 1, to
204 $f(\zeta^{n+1})$ in the momentum equations for the volumetric transport U at $(i+1/2, j)$. Flows
205 across the N face $(i, j+1/2)$ are prescribed by adding the source term (N) to $g(\zeta^{n+1})$ in the
206 momentum equations for the volumetric transport V at $(i+1/2, j)$. Note that those source-
207 sink terms can only be added to faces within the computational domain, for which
208 momentum equations are being solved. Note also, that the source terms include
209 fractions of the total flow entering in a given water column, that flow across the E and
210 N faces (α_E and α_N in Table 1, respectively).

211

212 **2.4 Simulations in a straight channel aligned with the Cartesian grid**

213 The steady-state flow through an 8-m long straight channel of rectangular cross
214 section and flat bottom was simulated in this first test (Fig. 2). The channel was 1m
215 wide, and the water column was initially 0.6 m deep. The computational cells were $(\Delta x,$
216 $\Delta y, \Delta z) = (0.1, 0.1, 0.12)$ m in the x -, y - and z - directions, respectively, with a total
217 number of wet grid cells of 10, 80 and 5 in each direction. The time step Δt was set to
218 0.2 s to meet the advection Courant number criterion ($C_a \leq 1$). For these runs $C_a (=$
219 $u\Delta t/\Delta x)$ was $O(10^{-1})$. Flow boundary conditions were set both at the inflow and outflow

220 sections. Flow rates in and out of the domain were both equal and fixed to $0.18 \text{ m}^3 \text{ s}^{-1}$ in
 221 all cases. The water was initially quiescent and the model was run until steady state. In
 222 this first series of simulations (A-simulations) the channel was aligned with the x -grid
 223 direction (Fig. 2) and, thus, the flow boundaries were specified normal to the E- and W-
 224 boundaries. Both the NF- and the SC- approaches were used to represent flow
 225 boundaries.

226 The slope of the free surface I along the channel in this problem should follow
 227 the expression (see Chaudhry, 1993, for example):

228

$$229 \quad I = \frac{\zeta_1 - \zeta_2}{L} = \frac{S_0 - S_f}{1 - Fr^2} \quad (8)$$

230

231 Here ζ_1 and ζ_2 represent the water free surface elevation at the entrance and at the end of
 232 the channel, respectively, L is the channel length, S_0 is the bottom slope, and S_f is the
 233 longitudinal slope due to friction. The Froude number Fr is defined in terms of the
 234 mean streamwise velocity u_s , the acceleration of gravity g , and the depth D of the
 235 channel, $Fr = u_s/(gD)^{1/2}$. The frictional slope, in turn, was estimated as $S_f = C_d Fr^2$. If the
 236 bottom is level, $S_0 = 0$. Under subcritical conditions ($Fr < 1$), such in this case, the water
 237 surface elevation decreases in the flow direction ($I < 0$). The free surface solutions of
 238 the model were compared against the theoretical result given by Eq. 8. The error in the
 239 free surface solution was quantified using the bias ε , which is defined in terms of the
 240 theoretical I_t and the modeled I_i slopes as

241

$$242 \quad \varepsilon_i = \frac{(I_i - I_t)}{I_t} \cdot 100 \quad (9)$$

243

244 The simulated slopes were estimated from the free surface solution at all computational
 245 cells existing 1 m away from the boundaries. Velocity and vertical eddy viscosity
 246 profiles calculated with the NF- and SC- approaches were compared at several points
 247 located at the center of the domain (Section b in Fig. 2) or close to the boundaries
 248 (Sections a and c in Fig. 2). The differences between approaches were quantified as

249

$$\varepsilon_p = \frac{\sqrt{\frac{\sum_{i=2}^{km} (\overline{\psi}_i - \overline{\psi}_{i,0})^2}{km-1}}}{\overline{\psi}_0} \cdot 100 \quad (10)$$

251

252 Here Ψ represents laterally-averaged values (either velocities or K_v), and the subscript 0
 253 refers to values of the reference simulation, here taken as that conducted with the NF-
 254 method. The overbar represents depth-averaged values. Note that the error is given in
 255 non-dimensional form, as a percentage of the mean laterally-averaged value of a given
 256 variable in a given section.

257 The simulations were run with different values of C_d ranging from $O(10^{-3})$ to
 258 $O(10^{-1})$ and constant horizontal eddy diffusivities (K_h) of 10^{-2} ms^{-2} (Table 2). The model
 259 was set to run (also valid for sections 2.5-2.6) using a second-order space-centered
 260 method for momentum advection and 2 trapezoidal iterations, without smoothing of the
 261 leapfrog solution.

262

263 **2.5 Simulations in a straight channel at an angle with the grid**

264 On a second series of simulations, the channel was rotated 45° anticlockwise
 265 relative to the x-axis (Fig. 2). This channel is referred to as the B-channel, and the
 266 simulations conducted are referred to as the B-simulations (Table 2). Note that, in this
 267 case, the lateral boundaries are not straight lines, but are represented as a staircase,
 268 which might affect the solution. The flow boundary conditions were prescribed using
 269 the SC-method, with velocities aligned with the main axis of the channel. In these B-
 270 simulations, though, the total flow was split in equal parts across the N and E faces of
 271 the boundary cells ($\alpha_N = 0.5$ and $\alpha_E = 0.5$). The same values for C_d and constant K_h as in
 272 the A-simulations were used here. Three more grids were tested with higher ($\Delta x = \Delta y =$
 273 0.05 m , with 16430 wet grid cells, and $\Delta x = \Delta y = 0.01 \text{ m}$, with 399035 wet grid cells)
 274 and lower ($\Delta x = \Delta y = 0.2 \text{ m}$, with 995 wet grid cells) resolution in the horizontal to
 275 assess the effects of the staircase representation of the channel banks – as a result of the
 276 use of a Cartesian grid – on the modeled free surface slope. The time step Δt was set to
 277 0.5 s , 0.01 s and 0.002 s at simulations with the $0.2 \times 0.2 \text{ m}$, the $0.05 \times 0.05 \text{ m}$ and the
 278 $0.01 \times 0.01 \text{ m}$ resolution grids, respectively, to meet the criterion $C_a \leq 1$. To compare
 279 these results with those with A-simulations, the simulated results within the first and
 280 last meters of the channel length were ignored, to avoid the influence of the boundary

281 conditions. Velocity profiles calculated in the B-simulations were also compared at the
282 center of the domain (Section b in Fig. 2) with those calculated in the A-simulations
283 using the NF-method for boundary conditions. The solutions near the boundaries
284 (Sections a and c in Fig. 2) were also compared.

285

286 **2.6. Simulations of laterally-unconfined inflows to a lake**

287 The model was used to simulate near-field (initial) mixing and transport
288 processes associated to a negatively buoyant inflow, the Izbor River, into a small
289 reservoir, Lake Béznar (36°55'N, 3°31'W, Fig. 3a), in southern Spain. The reservoir has
290 a maximum depth of 83.7 m at the dam, and a maximum length of ~4 km. The bottom
291 slope along the thalweg is rather steep (2-3%), similar to many other reservoirs in
292 southern Spain. In September 2009, the Izbor River formed a narrow (ca. 2 m) and
293 shallow channel, discharging ca. $1 \text{ m}^3\text{s}^{-1}$ into a small ‘inflow basin’ of 30-40 m wide
294 and 200-250 m long (Fig. 3b). The shoreline at that time, widened suddenly
295 downstream of the inflow basin, to reach nearly 400 m at 600 m distance from the
296 inflow section. The inflowing plume did not enter perpendicular to the shoreline, but
297 forming an angle φ ($\neq 90^\circ$) which changed from day to day, and even hourly (Figs.
298 3c,d). Our goal is then to evaluate whether inflow angles could affect or not the initial
299 mixing rates between the river plume and the ambient water, and hence, could
300 determine the fate of inflow water in the simulations.

301 The model grid was constructed with a bathymetry provided by the local
302 government, using $\Delta x = \Delta y = 2 \text{ m}$, and $\Delta z = 0.1 \text{ m}$, with a total number of 86585 wet
303 grid cells. Δt was set to 0.3 seconds, C_d was set to 0.003 (Smith, 2006), and $A_h = K_h \approx 5$
304 $\times 10^{-2} \text{ m}^2 \text{ s}^{-1}$ (Madsen et al., 1988). The reservoir was initially at rest with horizontal
305 isotherms. A stratified temperature profile collected in-situ on day 253 in 2009 at 20.00
306 h (not shown) was used to initialize the temperature field in the model. The free surface
307 elevation and temperature gradients were set to zero on the eastern boundary of our
308 computational domain (Fig. 3b). Inflows into the lake were simulated as occurring
309 through a three-layer water column on the western boundary, injecting 19°C water with
310 a constant flow rate $Q_0 = 0.77 \text{ m}^3\text{s}^{-1}$. Inflow temperature corresponds to the daily
311 average temperature measured in the field on day 253. Once the hydrodynamic steady
312 state was reached (after ~ 10 h), a conservative tracer – with a concentration $C_0 = 100$
313 ppb – was injected with the inflow for 3 hours. A set of 17 simulations were conducted

314 with different inflow angles φ ranging from 0° – when the river entered the basin
315 towards the North (Fig. 3b). – to 180° . The different inflow angles were simulated with
316 the SC-method by prescribing the fractions of the total inflow rate, flowing across the S,
317 E and N faces of the inflow cells. Tracer concentration and velocity fields at a cross-
318 section located 100 m downstream of the inlet (X-1, Fig. 3b) were averaged in time
319 during the last hour of release, and the time-averaged values were used to characterize
320 the level of mixing between the inflowing plume and the ambient water in the inflow
321 basin. Mixing rates between the river and lake water were calculated from average
322 tracer concentrations C in the density current at section X-1, in terms of the mixing ratio
323 $\Gamma = C_0/C$ (Fleenor, 2001). The density current in section X-1 was assumed to represent
324 the layer exhibiting eastward motion.

325

326 **3. Results and discussion**

327 **3.1 Simulations in a straight channel aligned and at an angle with the** 328 **Cartesian grid**

329 Biases in the free-surface slope were of the same order of magnitude ($\varepsilon_I < 2\%$,
330 Table 2), independently of whether the NF- or the SC-method was used to prescribe
331 boundary conditions. Biases in the simulations conducted in the B-channel were also of
332 the same order of magnitude as in the A-simulations (Table 2). Biases in this case
333 decreased with increasing grid resolution, and they were always $\varepsilon < 5\%$ (Table 2).
334 These sets of simulations suggests that the staircase representation of the lateral
335 boundaries channel affects the solution, but weakly. The SC-method, in general, over-
336 predicted the water surface elevations near the boundaries (Figs. 4a-b). For example, for
337 $C_d = 0.2$, the predicted values of ζ in the reference simulation were 0.25 cm, 0.22 cm
338 and 0.20 cm at a distance of $1\Delta x$, $2\Delta x$ and $3\Delta x$ from the inflow boundary, respectively.
339 The values of ζ at those same distances from the inflow boundary, calculated with the
340 SC-method in the A-channel were 0.93 cm, 0.23 cm and 0.20 (Fig. 4a). Overall, the free
341 surface solution calculated with the SC- and NF- boundary approaches converged
342 within the length of three grid cells both at the inflow and outflow boundaries. The
343 overestimation of ζ at and immediately near to flow boundaries with the SC-method is
344 the result of the source term in the continuity equations (Eqs. 1-2), which generates
345 pressure gradients associated to the slope of the free surface elevation. Outside this
346 boundary region, differences in the free surface solution were ca. 0.1 %.

347 The streamwise velocity profiles at the center of the channel were logarithmic
348 and differences between surface and bottom velocities increased as C_d increased (Fig.
349 5). Differences between boundary methods were $\varepsilon_p < 0.4\%$ in the A-simulations and ε_p
350 $\sim 1\%$ in the B-simulations (Table 3). Errors increased near the inflow and outflow
351 boundaries both in the A- and B- simulations. In sections a and c (Fig 2), for example,
352 the errors in the A-simulations were up to 5% and 2% respectively. The errors in the B-
353 simulations were similar (8% and 4% in sections a, c) (Table 3 and Figs. 4c-d). Vertical
354 diffusivities K_v and water surface elevations also differed near boundaries. For example,
355 for $C_d = 0.2$, in section a, the differences in K_v , ε_p , were up to 65% and 90% in the A-
356 and B- simulations, respectively (Fig. 4e). In Section c, though, these differences were
357 only 1% and 7%, for A-and B- simulations, respectively (Fig. 4f).

358

359 **3.2 Simulations of laterally-unconfined inflows to a lake**

360 In the simulations of laterally-unconfined inflows in Lake Béznar, initial mixing
361 rates downstream of the inflow section Γ varied from 2.9 to 3.4 depending on the inflow
362 angle φ of the river plume (Fig. 6). These estimates of initial mixing rates are in the
363 upper range of possible values reported in the literature. Ryan and Harleman (1971), for
364 example, is one of the earliest references on initial mixing in the plunge region, and
365 report values of Γ ranging from 1.5 to 3.0 in their laboratory experiments. Johnson and
366 Stefan (1988) even report values of Γ as high as 4.5 in their series of inflow laboratory
367 experiments in flat diverging channels, for the largest diverging angles. Despite being
368 higher than previously reported in other lakes – which are, in all cases, below 1.7 (Elder
369 and Wunderlich, 1972; Hebbert et al., 1979; Ford and Johnson, 1983) –, modeled values
370 of Γ agree with field observations in Lake Béznar (Cortés et al., 2014).

371 In our simulations, initial mixing rates tended to be bigger ($\Gamma > 3.1$) for the
372 largest inflow angles ($\varphi > 120^\circ$), but smaller ($\Gamma < 3.1$) for northward inflows ($\varphi < 120^\circ$).
373 The largest dilutions Γ were predicted for $\varphi = 166^\circ$, with the river jet pointing south.
374 Dilutions of ca. 2.9 were estimated when the river inflows pointed north ($\varphi < 90^\circ$).
375 Those differences of up to 20% in initial mixing ratios can be the result of differences in
376 the extent of the momentum dominated region x_m , near the inflow section, before the
377 river plunges. In this region, river inertia exceeds buoyancy forces and, as a result, large
378 horizontal velocity gradients develop leading to large mixing rates. The distance x_m
379 from the inflow section to the plunge point for a free buoyant jet entering perpendicular
380 to the lake boundaries (i.e. $\varphi \sim 90^\circ$) can be estimated from the hydraulic and buoyant

381 characteristics of the inflow, the slope angle of the basin and a lateral entrainment
382 constant, using the semi-analytical model of Hauenstein and Dracos (1984). For Lake
383 Béznař x_m is approximately 100 m, which agrees with the results of our simulations with
384 $\varphi \sim 90^\circ$ (Fig. 7b). The river forms a free jet for inflows entering nearly perpendicular to
385 the shoreline ($\varphi \sim 90^\circ$, Fig. 7b). Moreover, in that case, the buoyant jet does not intersect
386 the physical boundary and the momentum dominated region develops freely in the
387 inflow basin. For inflow angles $\varphi \sim 0$ or $\varphi \sim 180^\circ$ (Fig. 7a and 7c, respectively), in turn,
388 the extent of the momentum dominated region becomes limited by the geometry: the
389 buoyant impinges on the shoreline, as shown by the tracer concentration field at the
390 bottom layer on the inflow basin. Moreover, the jet tends to become attached to the
391 boundaries. Hence, one might expect lower dilution rates for angles $\varphi \sim 0$ or $\varphi \sim 180^\circ$.
392 Note, though, that the interface at the study section X-1 between the density current and
393 the ambient water tilts at different angles, depending on the inflow angle, as shown by
394 the white line in Figs. 7d, 7e and 7f, based on the longitudinal velocity direction. As a
395 result of differences in the tilt in the interface, one expects differences in the area of
396 contact S_c between the river and lake water and in the shear in the flow field. In general,
397 a larger area of contact between the river and lake water is observed when inflow angles
398 are $\varphi \sim 180^\circ$ (Fig. 7f). Thus, the magnitude of the vertical eddy diffusivity, and thus the
399 shear between the current and the lake water, at the interface k_{vi} tend to be larger for
400 southward pointing inflows (Figs. 7g and 7h). For example, the average differences
401 between the vertical diffusivity at the interface for two extreme inflow angles (i.e. $\varphi \sim 0$
402 and $\varphi \sim 180^\circ$) are 45% higher when the river enters toward the south, and the average
403 differences of the river-lake area of contact are also 30% higher for $\varphi > 120^\circ$ (Table 4).
404 As a result, maximal mixing ratios are simulated for $\varphi > 120$.

405 The intrusion depths of the river plumes, and hence, the fate of river-borne
406 substances, as simulated in lake models, might differ depending on the inflow angle
407 used and hence, on the initial mixing rate between lake and river water. Given that river
408 inflows represent one of the major sources of nutrients to river valley reservoirs
409 (Kennedy, 1999), inflow angles might also be important in determining the ecosystem
410 response. In the uncertainty analysis conducted by Ayala et al. (2014) in Lake Béznař
411 with a one-dimensional lake model (Rueda et al. 2007, Chung et al. 2008), the initial
412 mixing ratio was allowed to vary randomly within the range of values reported in the
413 literature, from 1 to 4 (Ayala et al., 2014). The model in that work was used to simulate
414 the fate of river inflows and the loads of river-borne nutrients (phosphorus, in particular)

415 in the surface mixed-layer SML during a period of 180 days in 2010. From those
416 experiments, a set of $i = 300$ pairs of simulations were selected, with Γ in each pair (Γ_1 ,
417 Γ_2) differing in 0.5 (i.e. $\Gamma_2(i) = \Gamma_1(i) + 0.5$), as found above for different inflow angles.
418 The intrusion depths were, on average, 10% smaller and the phosphorous loads into the
419 SML were 11% bigger in the simulations conducted with the biggest initial mixing
420 ratios. The maximum differences in P loads could be of up to 100%, at times with peak
421 loading rates. These maximal loads tended to occur, either at the start or the end of the
422 stratification period (Ayala et al., 2014). These differences in P loads were significant in
423 the statistical sense (at the 95% confidence level), and could be important from a water
424 quality modeling perspective, depending on the sensitivity of the phytoplankton growth
425 to nutrient concentration, and depending on the availability of nutrients in the SML. In
426 any case, these results suggest that inflow angles should be accurately represented, at
427 least when dealing with simulations of laterally-unconfined inflows in reservoirs. The
428 SC-boundary method, in these cases, provides a simple and straightforward approach to
429 account for the inflow angle in Cartesian grids.

430

431 **4. Summary and conclusions**

432 Sources and sinks (SC) in the governing equations defined along flow
433 boundaries can be used in 3D hydrodynamic and transport models to simulate the
434 effects of inflows-outflows. This is an alternative and more flexible approach to define
435 flow-boundary conditions in Cartesian grid models compared to the most commonly
436 used approach (NF) in which velocities that are normal to the boundary faces are
437 prescribed. Using the SC-approach, not only inflow magnitude, but also its direction
438 (whether it is aligned or not with the grid axis) can be correctly represented. The
439 approach was applied to simulate flows along a straight rectangular channel not aligned
440 to the Cartesian axes. The error, when using a second-order space-centered method to
441 discretize momentum advection terms together with the SC-boundary method, is
442 comparable with that existing in the simulations conducted in a channel aligned with the
443 Cartesian axis and using the NF-boundary method. Only near the boundaries, the SC-
444 and the NF- approaches diverge.

445 In a series of simulations of a small scale negatively buoyant inflow into a
446 reservoir, initial mixing rates between the river and the lake water in the inflow basin
447 appeared sensitive to direction of the inflows. Mixing rates varied up to 20% depending

448 on the inflow angle φ . As a result of the changes in the inflow direction, significant
449 differences in intrusion depth, the timing of entrainment of the intrusions in the surface
450 mixed layer, and consequently, the fate of river-borne substances in the reservoir are
451 likely to occur. Hence, being able to represent inflow inertia and direction in laterally-
452 unconfined inflows is required for accurate predictions of the fate of river-borne
453 substances.

454

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458

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584

585 Table 1. Functions $f(\zeta^{n+1})$ and $g(\zeta^{n+1})$ for the expression of the volumetric transports U
586 and V at time $n+1$ in the momentum equations, and source-sink terms E and N , added to
587 $f(\zeta^{n+1})$ and $g(\zeta^{n+1})$ respectively, to prescribe flow in the SC approach.

| Term | Discretized form ^(1,2,3,4) |
|------------------|--|
| $f(\zeta^{n+1})$ | $U_{i+1/2,j,k}^{n+1} = \hat{U}_{i+1/2,j,k} - g \frac{\Delta t}{\Delta x} \bar{h}_{i+1/2,j,k}^n \left(\frac{\bar{\rho}_{i+1/2,j,1}^n}{\bar{\rho}_{i+1/2,j,k}^{n+1}} \right) (\zeta_{i+1,j}^{n+1} - \zeta_{i,j}^{n+1} + \zeta_{i+1,j}^{n-1} - \zeta_{i,j}^{n-1})$ $+ \Delta t \left(A_{V_{i+1/2,j,k-1/2}}^n \left(\frac{(U/\bar{h})_{i+1/2,j,k-1}^{n+1} - (U/\bar{h})_{i+1/2,j,k}^{n+1}}{\bar{h}_{i+1/2,j,k-1/2}^{n+1}} + \frac{(u)_{i+1/2,j,k-1}^{n-1} - (u)_{i+1/2,j,k}^{n-1}}{\bar{h}_{i+1/2,j,k-1/2}^{n-1}} \right) \right)$ $- \Delta t \left(A_{V_{i+1/2,j,k+1/2}}^n \left(\frac{(U/\bar{h})_{i+1/2,j,k}^{n+1} - (U/\bar{h})_{i+1/2,j,k+1}^{n+1}}{\bar{h}_{i+1/2,j,k+1/2}^{n+1}} + \frac{(u)_{i+1/2,j,k}^{n-1} - (u)_{i+1/2,j,k+1}^{n-1}}{\bar{h}_{i+1/2,j,k+1/2}^{n-1}} \right) \right)$ |
| $g(\zeta^{n+1})$ | $V_{i,j+1/2,k}^{n+1} = \hat{V}_{i,j+1/2,k} - g \frac{\Delta t}{\Delta y} \bar{h}_{i,j+1/2,k}^n \left(\frac{\bar{\rho}_{i,j+1/2,1}^n}{\bar{\rho}_{i,j+1/2,k}^{n+1}} \right) (\zeta_{i,j+1}^{n+1} - \zeta_{i,j}^{n+1} + \zeta_{i,j+1}^{n-1} - \zeta_{i,j}^{n-1})$ $+ \Delta t \left(A_{V_{i,j+1/2,k-1/2}}^n \left(\frac{(V/\bar{h})_{i,j+1/2,k-1}^{n+1} - (V/\bar{h})_{i,j+1/2,k}^{n+1}}{\bar{h}_{i,j+1/2,k-1/2}^{n+1}} + \frac{(v)_{i,j+1/2,k-1}^{n-1} - (v)_{i,j+1/2,k}^{n-1}}{\bar{h}_{i,j+1/2,k-1/2}^{n-1}} \right) \right)$ $- \Delta t \left(A_{V_{i,j+1/2,k+1/2}}^n \left(\frac{(V/\bar{h})_{i,j+1/2,k}^{n+1} - (V/\bar{h})_{i,j+1/2,k+1}^{n+1}}{\bar{h}_{i,j+1/2,k+1/2}^{n+1}} + \frac{(v)_{i,j+1/2,k}^{n-1} - (v)_{i,j+1/2,k+1}^{n-1}}{\bar{h}_{i,j+1/2,k+1/2}^{n-1}} \right) \right)$ |
| Source term E | $\Delta t \frac{Q_{FB}^{n+1}}{\Delta y \cdot \sum_{i=i_{BC1}}^{i_{BCnd}} \sum_{j=j_{BC1}}^{j_{BCnd}} \sum_{k=1}^{kn} (\bar{h}_{i,j,k}^n)} \bar{h}_{i,j,k}^n \cdot \alpha_E \frac{\delta}{\rho_{i+1/2,j,k}^n}$ |
| Source term N | $\Delta t \frac{Q_{FB}^{n+1}}{\Delta x \cdot \sum_{i=i_{BC1}}^{i_{BCnd}} \sum_{j=j_{BC1}}^{j_{BCnd}} \sum_{k=1}^{kn} (\bar{h}_{i,j,k}^n)} \bar{h}_{i,j,k}^n \cdot \alpha_N \frac{\delta}{\rho_{i,j+1/2,k}^n}$ |

588 ⁽¹⁾ The overbar on a layer height h or density ρ variable is used to represent a spatial
589 average in the x- or y- direction between adjacent values

590 ⁽²⁾ The double overbar denotes average of layer heights.

591 ⁽³⁾ \wedge denotes a solution for the layer volumetric transport that includes only the
592 contribution from the advection, Coriolis, baroclinic pressure and horizontal diffusion
593 terms, treated explicitly in the semi-implicit scheme.

594 ⁽⁴⁾ α_E and α_N = fractions of total flow across the East and North faces of a water column
595 respectively ($\alpha_E + \alpha_N = 1$).

596 Table 2. Bias (%) of free surface elevation slopes I . A- (channel aligned with the grid)
 597 and B- (rotated channel) simulations. The presence of hyphens in the last column
 598 indicates that no simulation was performed for the corresponding value of C_d and grid
 599 resolution.

| Simulations | A | | | B | |
|---------------------------|------|------|-----|------|------|
| $\Delta x = \Delta y$ (m) | 0.1 | 0.1 | 0.1 | 0.05 | 0.01 |
| $C_d \backslash BC^{(1)}$ | NF | SC | SC | SC | SC |
| 0.002 | 0.37 | 1.54 | 3.6 | 1.2 | 1.62 |
| 0.004 | 1.07 | 0.84 | 4.1 | 1.6 | - |
| 0.006 | 1.13 | 1.15 | 3.4 | 2.2 | - |
| 0.008 | 1.33 | 1.22 | 3.3 | 2.2 | - |
| 0.02 | 1.34 | 1.22 | 3.3 | 2.4 | 0.48 |
| 0.04 | 1.28 | 1.20 | 3.3 | 2.5 | - |
| 0.06 | 1.31 | 1.23 | 3.4 | 2.6 | - |
| 0.08 | 1.33 | 1.24 | 3.4 | 2.7 | - |
| 0.1 | 1.33 | 1.24 | 3.5 | 2.7 | - |
| 0.2 | 1.33 | 1.25 | 3.5 | 2.8 | 0.91 |

⁽¹⁾BC = type of flow boundary approach.

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604 Table 3. ε_p (%) of the streamwise velocity profiles near the inflow section (section a) , at
 605 the centre of the channel (section b) and near the outflow section (section c) for
 606 solutions with the SC approach. A- and B- simulations. Grid resolution $0.1 \times 0.1 \times 0.12$ m.

| Section | C_d | A | B |
|---------|-------|------|------|
| a | 0.002 | 0.11 | 1.07 |
| | 0.02 | 0.95 | 1.84 |
| | 0.2 | 5.16 | 8.32 |
| b | 0.002 | 0.10 | 1.03 |
| | 0.02 | 0.16 | 1.19 |
| | 0.2 | 0.25 | 1.24 |
| c | 0.002 | 0.92 | 1.03 |
| | 0.02 | 1.91 | 1.75 |
| | 0.2 | 1.74 | 3.87 |

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611 Table 4. Mean modeled values of the area of contact S_c and vertical eddy diffusivities at
 612 the interface k_{vi} for different sections and two different inflow angles φ in Lake Béznar

| | | $k_{vi} (x 10^{-4})$ ($m^2 s^{-1}$) | | S_c (m^2) | |
|----------------|-----------------|--|-----------------|--------------------|-----------------|
| | | 14° (N) | 166° (S) | 14° (N) | 166° (S) |
| $x_s(m)^{(2)}$ | $\varphi^{(1)}$ | | | | |
| 20 | | 0.522 | 0.704 | 0.7 | 0.8 |
| 30 | | 0.688 | 1.414 | 1.0 | 2.6 |
| 40 | | 0.046 | 3.038 | 1.6 | 4.6 |
| 50 | | 0.302 | 3.713 | 2.2 | 4.6 |
| 60 | | 0.025 | 1.737 | 2.8 | 6.2 |
| 70 | | 0.019 | 6.514 | 2.8 | 5.8 |
| 80 | | 0.605 | 7.458 | 3.2 | 6.6 |
| 90 | | 1.075 | 5.107 | 3.0 | 8.6 |
| 100 (X-1) | | 1.615 | 5.271 | 5.0 | 6.0 |

613 ⁽¹⁾ N = northward and S = southward;

614 ⁽²⁾ x_s = Distance from the inflow section.

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630 Fig. 1. (Left) Schematic plot illustrating the entrance of river inflows at an angle with
631 the Cartesian grid and (right) how these river inflows would be specified with the (top
632 right) NF-method and the (bottom right) SC-method. Black arrows show the direction
633 of the real inflows (left) and those prescribed with the NF-method (top right). Squares,
634 circles and triangles show where variables are defined within a given cell. Crossed
635 symbols show the defined variable is set to zero.

636

637 Fig. 2. Configuration sketch of the two sets of experiments: set A (top) and set B
638 (bottom), and location of sections a, b and c for the evaluation of velocity profiles.

639

640 Fig. 3. (a) Lake Béznar bathymetry with isobaths every 10 m (modified from Vidal et
641 al., 2007). The shadow area marks the inflow basin. (b) Inflow basin with isobaths
642 every meter (computational domain). We define the cross section X-1 and an inflow
643 angle of the plume $\varphi = 90^\circ$. (c,d) Photographs of the inflow basin at Lake Béznar during
644 an artificial tracer release experiment undertaken on day 253 in 2009. The inflow angle
645 of the plume φ is marked. These pictures show that the inflow angle φ varied during the
646 dye injection (3 hours).

647

648 Fig. 4. (a, b) Free surface elevations (ζ), (c, d) laterally-averaged streamwise velocities
649 (U_S) and (e, f) laterally-averaged vertical diffusivities (K_v) near the inflow (a, c, e) and
650 outflow (b, d, f) boundaries (sections a and c in Fig. 2) for A- and B- simulations and
651 the NF and SC methods to prescribe flow at boundaries. $\Delta x = \Delta y = 0.1$ m, $\Delta z = 0.12$ m
652 and $C_d = 0.2$.

653

654 Fig. 5. Laterally-averaged streamwise velocities (U_S) at the centre of the A- and B-
655 channels ($\Delta x = \Delta y = 0.1$ m and $\Delta z = 0.12$ m) with the NF- and SC- flow boundary
656 approaches; (a) $C_d = 0.002$, (b) $C_d = 0.02$ and (c) $C_d = 0.2$.

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658 Fig. 6. Simulated values of initial mixing rates Γ at X-1 in the inflow basin of Lake
659 Béznar as a function of the inflow angle φ .

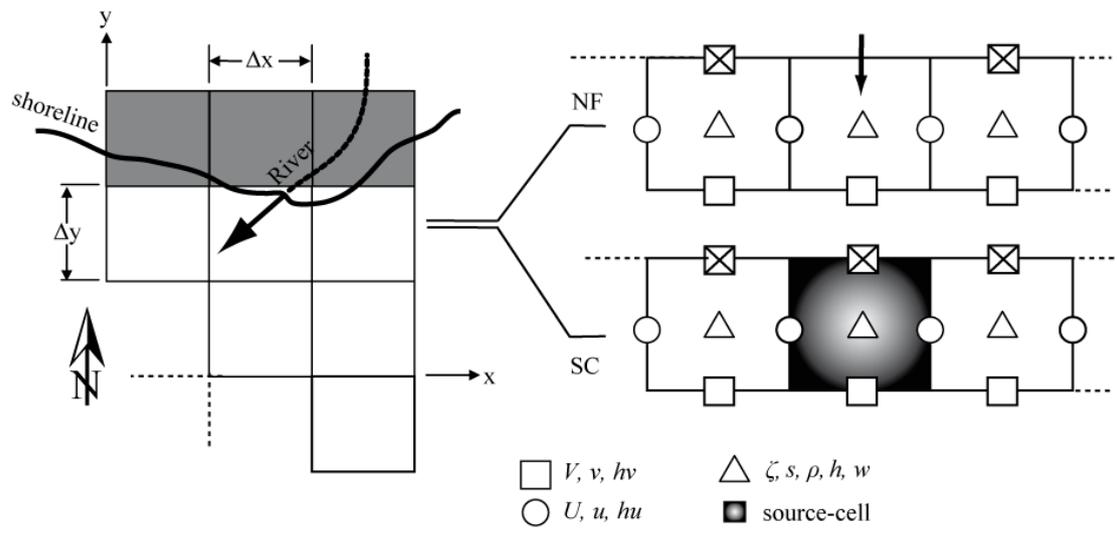
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661 Fig. 7. Lake Béznar simulation results averaged during the 3h of tracer injection ($t = 10$ -
662 13 hr). [a,b,c] Tracer concentration field at the bottom layer of the inflow basin; it

663 describes the pathways of the density current at the inflow basin as a function of the
664 inflow angle, where (a) $\varphi = 14^\circ$; (b) $\varphi = 90^\circ$; (c) $\varphi = 166^\circ$. [d,e,f] Cross sectional X-1
665 longitudinal velocities (u) field, where the arrows mark the tangential-vertical ($v-w$)
666 velocity field, considering different inflow angles (d) $\varphi = 14^\circ$; (e) $\varphi = 90^\circ$; (f) $\varphi = 166^\circ$.
667 The white line marks the interface of the density current according to a velocity
668 criterion. [g,h] Cross sectional decimal logarithmic vertical eddy diffusivity ($\log_{10} [K_v]$)
669 field along 6 different cross-sections from the inflow to X-1 ($x = 100$ m) for two
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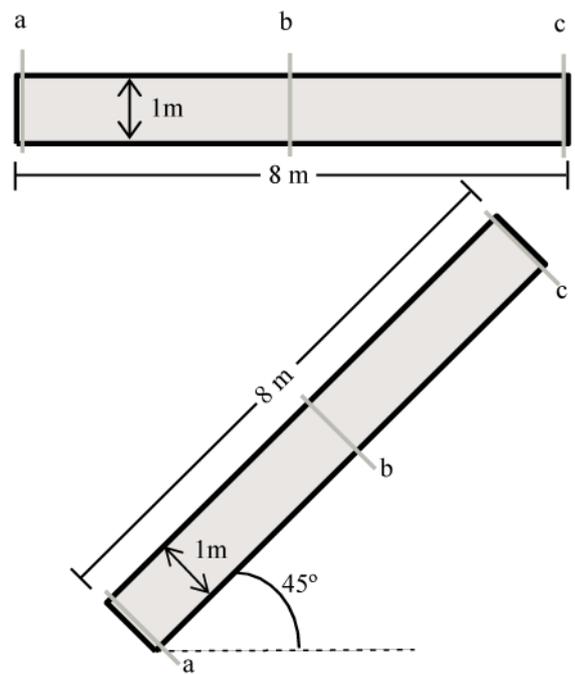
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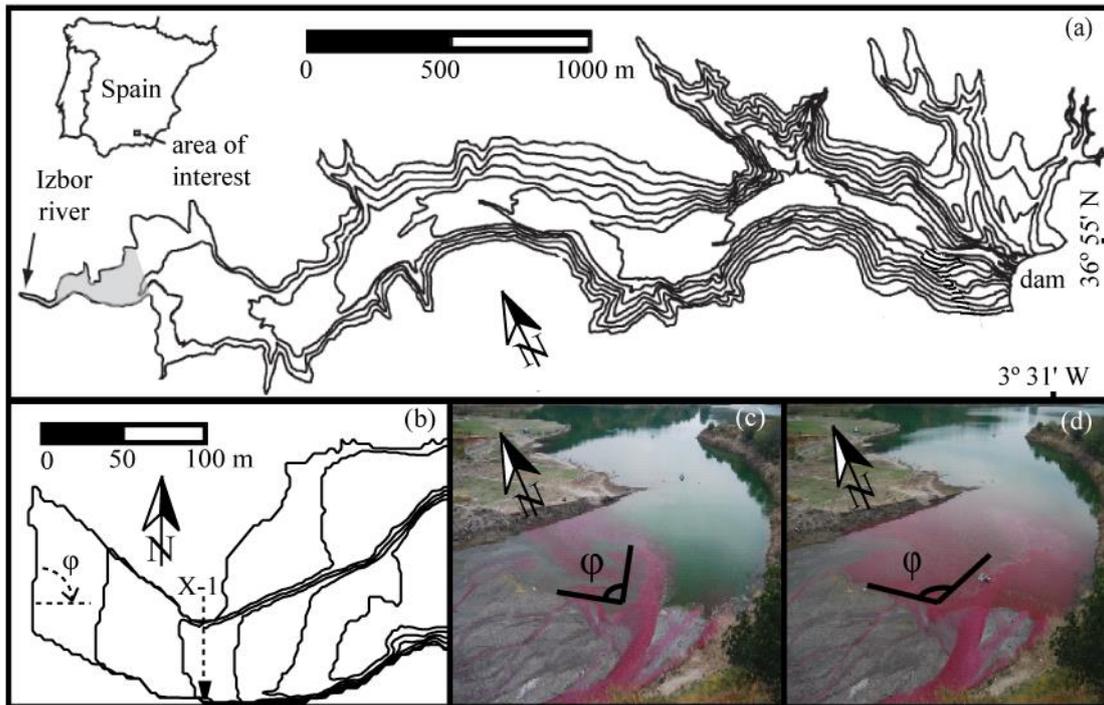
675 Fig. 1. (Left) Schematic plot illustrating the entrance of river inflows at an angle with
 676 the Cartesian grid and (right) how these river inflows would be specified with the (top
 677 right) NF-method and the (bottom right) SC-method. Black arrows show the direction
 678 of the real inflows (left) and those prescribed with the NF-method (top right). Squares,
 679 circles and triangles show where variables are defined within a given cell. Crossed
 680 symbols show the defined variable is set to zero.

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683 Fig. 2. Configuration sketch of the two sets of experiments: set A (top) and set B
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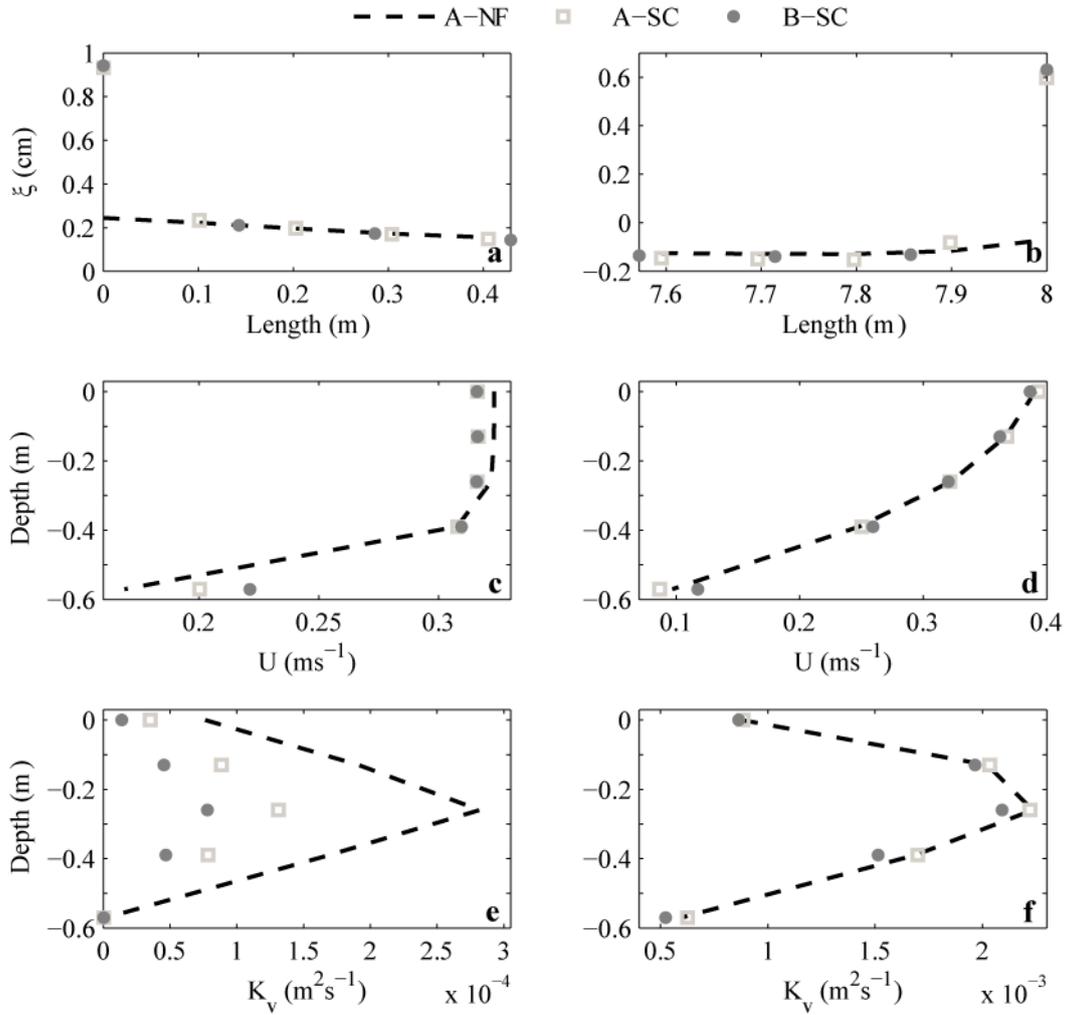
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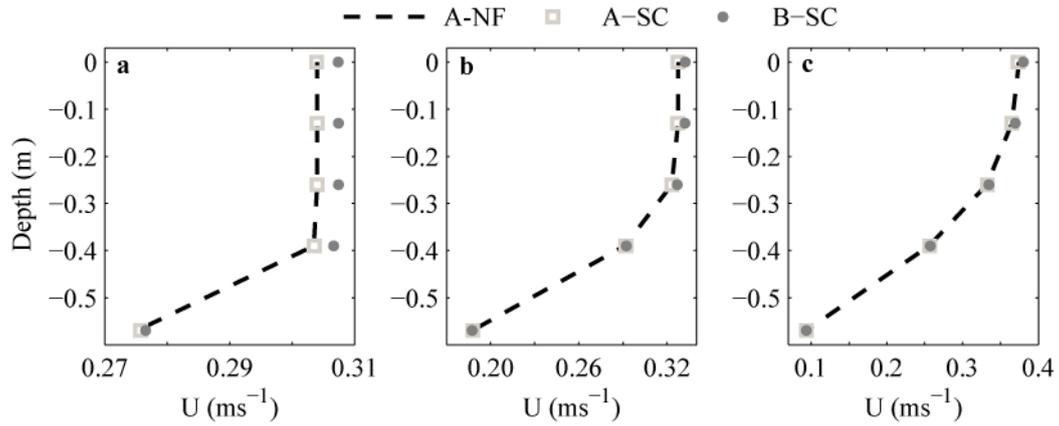
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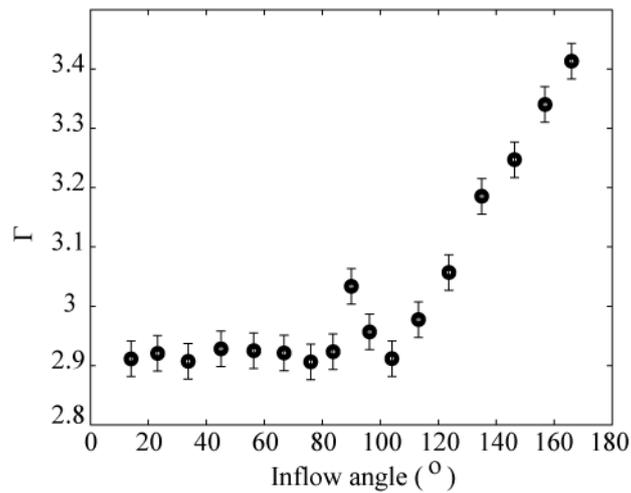
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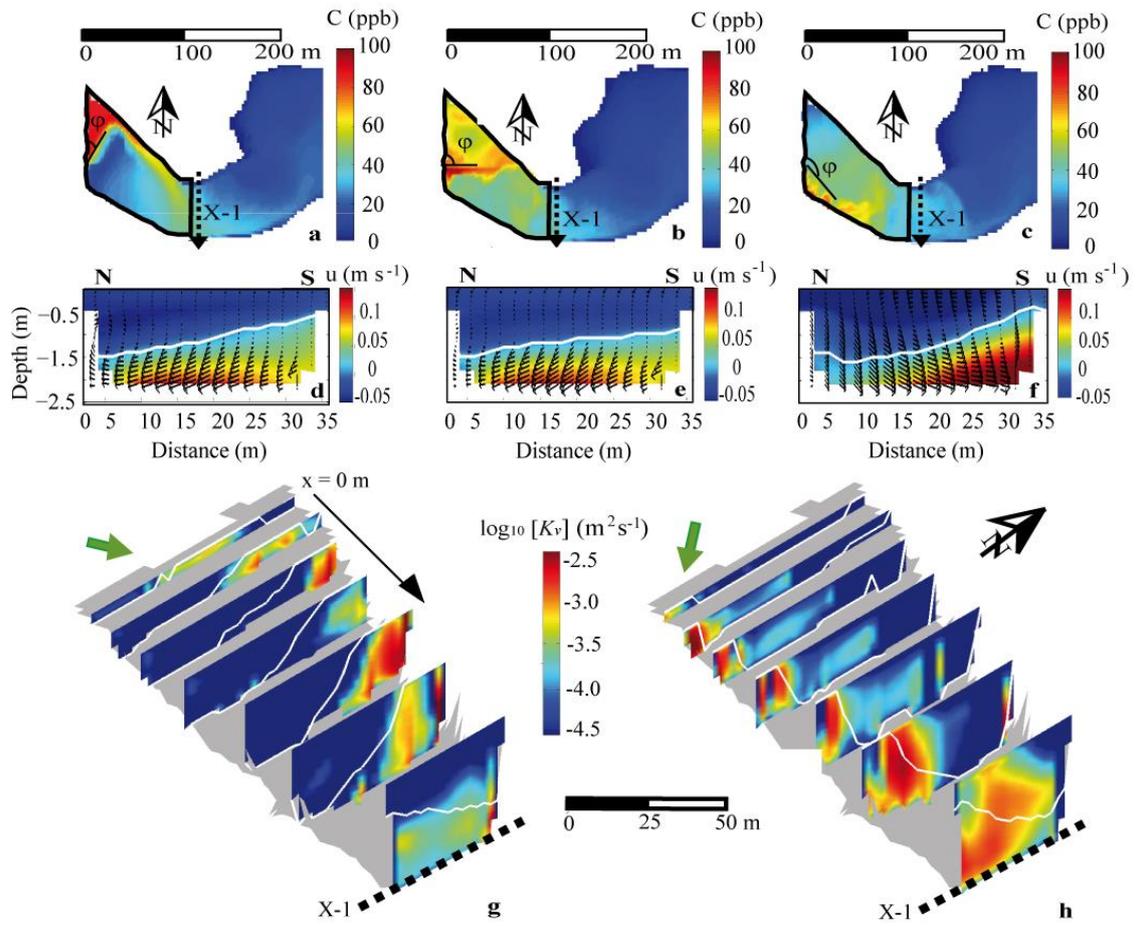
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