### Characterizing the acoustic environment in a Neonatal Intensive Care Unit

2

## 3 ABSTRACT

In this work, noise sources were studied in a Neonatal Intensive Care Unit (NICU) by 4 evaluating the noise from various sources, including the influence of the work of the 5 6 NICU staff. The objective was to evaluate the acoustic quality in the usual conditions in 7 NICU rooms and inside incubators by monitoring the noise in both environments, and then data were processed using artificial neural networks. Although some types of noise 8 9 were accurately classified in this way, the lack of uniformity of their sound spectra, their simultaneity, and concomitance hindered the unequivocal interpretation of some results 10 11 with the classification models. After analyzing the results, it can be affirmed that the alarms of equipment had a remarkable influence on the acoustic environment. Other 12 important influences also appeared due to the conversations of the staff, the use of the 13 14 telephone, and the hauling of equipment and furniture.

15

*Keywords*: Neonates, sound pressure, incubator, noise source, Neonatal Intensive Care
Unit (NICU), artificial neural networks.

#### 19 **1. Introduction**

Neonatal Intensive Care Units (NICUs) should be specially designed to minimize stress
on preterm neonates. Clinical practice has shown that reducing some environmental
stimuli such as noise, light, smells, handling, pain, and posture can alleviate neurological
damage in neonates, thereby leading to improved development of their nervous system
by inhibiting stress-related behaviors [1].

25 Current trends in NICU design have been clearly exposed by the Spanish Pediatric 26 Association [2], which has examined the matter from various standpoints and issued 27 specific recommendations as regards space, placement, neonatal care, wiring and lighting systems, noise, ventilation and air conditioning, equipment, safety, nursing staff, 28 29 communication systems, maintenance, and renovation. These recommendations and trends are parts of the so-called "Family-Centered Care" (FCC) [3]. In practice, the 30 31 implementation of these recommendations in NICUs was difficult due to the very nature 32 of the space [4] and its architectural characteristics [5].

Spanish legislation [6–8] has included hospital wards considered noise-sensitive among the interior spaces of special noise protection. Therefore, the Royal Decree that develops the Noise Law, among other things, in the quality objectives within some buildings [7] requires that the threshold of day and night quality for the NICU is 40 and 30 dB, respectively [7]. However, these noise levels are widely exceeded by measurements in some of the hospital rooms [9].

39 In any case, carefully designing NICUs in architectural terms and correctly selecting their location within the hospital is therefore very important to avoid noise in 40 41 them. This is due to, like the fetal environment, the acoustic environment of an NICU 42 plays a major role in auditory development in neonates [10]. It is known that the auditory system starts to develop around the third week of pregnancy [11], although its essential 43 44 structures are already present roughly from the 25th week of pregnancy [12] and it does 45 not develop in full until at least one year after birth. As the fetus' auditory system matures, 46 its sensitivity to both low and high spectral frequencies increases, and its threshold of hearing decreases [13]. In the beginning, the auditory sensitivity range is very narrow 47

(typically 500 to 1000 Hz during the third pregnancy term) relative to a term neonate (400 Hz to 4 kHz) or, especially, and adults (30 Hz to 20 kHz) [10]. Some acoustic tests have revealed that preterm neonates born after 25 weeks of pregnancy require 65 dBA to respond to acoustic stimulation as opposed to only 25 dBA in term neonates. Interestingly, although the latter exhibited lower thresholds for sounds in the speech perception range (500 Hz to 3 kHz), they were already sensitive to low and mid-frequency sounds [14].

54 It should be noted that some studies show that preterm neonates are at a high risk 55 of losing sensitivity to hearing and developing language disorders [15]. Although such disorders may also arise in newborns, they are more common among premature newborns 56 57 [16]. It has been revealed that sounds within the womb are typically rhythmic and 58 structured and come largely from the mother. Such sounds usually may reach levels 59 between 70 and 85 dBA [17], being low-frequency since the womb cavity functions as a 60 low pass filter (attenuating from 20 dBA to 50 Hz, to 70 dBA to 4 kHz [18]). That is the 61 reason why fetuses are very unlikely to be exposed to appreciable noise levels above 1000 Hz [19,20]. There is also scientific evidence that some physiological disorders in neonates 62 63 are correlated with the magnitude of acoustic stimuli. Thus, a noise pressure level below 60 dBA lowers heart rate whereas one above 70 dBA raises the breathing rate. 64

65 All this justifies the interest in identifying the sources of noise impacting the 66 NICUs environment. Although some noise comes from incubators themselves, special care has to be taken with other sources like HVAC systems (heating, ventilation, and air 67 68 conditioning) [21]. The life support equipment generates alarms that may contain high-69 frequencies that can reach 16,000 Hz [22]. Some authors claim that the acoustic profiles 70 of NICUs rooms and incubators are mutually related [23]. This interrelation has led to the 71 recommendation to monitor the sound pressure in the NICUs environment and incubators 72 simultaneously [24]. That noise environment affects neonates in thermal cots —which 73 are fully exposed to NICU noise- and in incubators rather differently. Irrespective of 74 incubator model and age, neonates in incubators are more effectively protected from noise 75 in the NICUs, especially from high-frequency noise, which is attenuated by as much as 76 12–14 dBA in some cases [25,26]).

Despite this protection, newborns inside incubators are exposed to different sources of noise [27], like handling, opening, and closing of doors, or by knocking on the cover to stimulate those under apnea or bradycardia, all of which can increase in some cases noise levels until the reported 100 dBA [28]. In addition, incubators sometimes reveal resonances stimulated by the noise of their own motor [26].

82 The scarcity of scientific works examining the impact of noise on premature 83 newborns motivates this study that seeks the acoustic characterization of noise sources, 84 including incubators and the rest of the sources present in the NICU room analyzed. Artificial neural networks (ANNs) are used for this classification, which has proven to be 85 86 the most appropriate classification algorithms to build models that speed up the process 87 of conducting noise measurement campaigns in similar environments in the future [29]. Artificial neural networks (ANNs) are well suited to deal with complex classification 88 89 problems and building classification models. ANN models can check numerous 90 competing hypotheses simultaneously [30]. So, MLPs have been used in several acoustics studies for classification and regression problems: (i) Zhang et al. [31] previously found 91 92 MPL classification algorithms to be the most effective for identifying acoustic patterns in birds; (ii) also, Jena and Panigrahi [32] used an MLP to detect piston-bore faults from 93 94 noise measurements, the ensuing model affording a degree of fitting of 99%; and (iii) 95 Chesmore [33] developed an MLP model for automatic classification of animal species in terms of their sound emissions that afforded accurate identification of Orthoptera. 96

97 However, there are few studies that evaluate the possibility of characterizing the
98 type of sound with ANNs. In addition, the need to characterize the sound in the NICU
99 environment would avoid risks for newborns. For these reasons, this study assessed the
100 possibility of characterizing the sound environment of the NICUs through MLPs.

101 The rest of the paper is structured as follows: Section 2 describes the experimental 102 methodology used; Section 3 presents and discusses the results in five different 103 subheadings [namely, (*a*) identification of noise sources, (*b*) noise impact of NICUs, (*c*) 104 noise impact of incubators, (*d*) discussion and (*e*) automatability of result assessment with 105 ANNs]; and Section 4 draws the most relevant conclusions based on the results.

## 108 **2. Methods**

109 The experimental approach followed here involved the selection of a case study for noise 110 monitoring. The selected NICU and its incubators were subjected to a set of long-term 111 (24 h) noise measurement campaigns. The data obtained were therefore used to identify 112 and characterize diverse noise sources, as well as to train and validate various artificial 113 neural networks (ANNs).

114

115 *2.1. Case study* 

The study was conducted at the NICU of the "Puerta del Mar" University Hospital (Cadiz,
southern Spain). The target NICU has 13 incubators equipped with the monitoring
systems required to protect neonates (see Fig. 1). There are five *Dräger Caleo* incubators,
another five *Ohmeda Medical Giraffe OmmiBed* incubators, one *Ohmeda Medical Ohio Care Plus 3000* incubator and two *Dräger Babytherm* thermal cots. All are furnished with *Siemens SC 7000/9000 XL* top-end monitors. Also, the NICU uses a central monitoring
system for general control of the incubators.

123

124 2.2. Noise measuring equipment and collected noise parameters

Noise measurements were made with the instruments listed in Table 1, all of which were checked and calibrated prior to use. Sound level meters were installed both in the NICU room and simultaneously inside the incubators. For incubator measurements, microphones were mounted at the neonate head level. Room measurements were made with a microphone placed in the middle of the room (near two incubators), 2 m from the nearest wall and at 1.3 m high.

Data sampling is done every 1 s over a period of 24 h. The acoustic environment of the NICU and each incubator was monitored on different days in order not to interfere with staff work. The main parameters recorded every second were (*a*) A-weighted equivalent continuous sound levels  $L_{Aeq}$ , (*b*) 1/3 octave bands from 12.5 Hz to 20 kHz in the spectra; (*c*)  $L_{AF}$  percentiles, maximum and minimum, and (*d*) impulse-weighted level ( $L_{AIeq}$ ). Data were processed with the software Evaluator Type 7820 and Microsoft Excel.

137

# 138 2.3. Artificial neural network design

As noted earlier, this work was also aimed at classifying noise sources, a purpose for 139 140 which an artificial neural network (ANN) of the multilayer perceptron (MLP) type was 141 used. Typically, an MLP consists of three or more layers, each containing a multiplicity 142 of nodes connected by variably weighted links. The nodes in the input layer are not used 143 for computations but rather to store values for each variable, whereas each node in the hidden layer adds up all values in the input layer and produces a response if the result 144 145 exceeds a given threshold. The results are transferred to the output layer, where the process is repeated and the response value for the model,  $z_k$ , is obtained as follows: 146

147 
$$z_k = \sigma \left( w_{10}^{(2)} y_0 + \sum_{j=1}^M w_{kj}^{(2)} \sigma \left( \sum_{i=1}^d w_{ji}^{(1)} x_i + w_{10}^{(1)} x_0 \right) \right)$$
(1)

148 Where  $w_{10}^{(2)}$  is the weight of the bias neuron in the hidden layer,  $y_0$  is the input value of 149 that neuron in the hidden layer,  $w_{kj}^{(2)}$  are the weights of the output layer,  $\sigma$  is the activation 150 function —which was taken to be the sigmoidal function  $\sigma(x) = (1 + e^{-x})^{-1})$ ,  $w_{ji}^{(1)}$ 151 are the weights of the hidden layer,  $x_i$  are the values in the input layer, and  $w_{10}^{(2)}$  and  $x_0$ 152 are the weight and input value of the bias neuron in the input layer, respectively.

In this work, two different MPLs were used for NICU and for incubator measurements. The input variables were chosen in such a way that they would be easy to monitor with any type of sound level meter and large enough in number for the ensuing model to accurately label the signals. A total of 38 input variables were thus chosen (see Fig. 2) to be used as measurement parameters (see Section 2.2). The output variables differed among noise sources (see Section 3).

The MLPs were trained by backpropagation [34][35][36], using the Broyden-Fletcher-Goldfarb-Shanno (BFGS) algorithm [37]. Furthermore, the training was carried out through 10-fold cross-validation, which reduces the error and the variance of the model [38]. The training data set consisted of the measurements described in Section 2.2. 163 The set spanned 50% of all instances and its data were chosen at random, all other 164 instances being used as the test set. MLP performance was assessed in terms of the 165 following quality-related statistics: true positive (TP) ratio, false positive (FP) ratio, area under the receiver operating characteristic (ROC) curve (AUC), and kappa statistic (K). 166 TP and FP ratios indicate the accuracy percentage in the estimations made by the model, 167 168 AUC determines the probability that the model classifies correctly the class analysed, 169 existing a different value for each possible label and K determines the coincidence of the 170 estimation with the real class. Quality was assumed to be represented by near-unity TP ratio, AUC and K values, and near-zero FP values. 171

172

### 173 **3. Results and discussion**

174 *3.1.Identification of noise sources* 

The first step in the proposed process was labeling the main noise sources in the NICUand incubators. The detected in this study are the following.

- Medical staff shifts. The L<sub>Aeq, T=1s</sub> register shows events reaching similar noise
   values as reported in a previous study [39]. Figure 3 illustrates the time series of
   sound pressure levels in the NICU during a morning shift (07:50 to 08:15 a.m.),
   which are and how central frequency bands in the audio spectrum were excited
   during peaky events.
- Telephones and mobile phones. As can be seen in Fig. 4, this noise source contributed with a peaky time series of  $L_{Aeq, T=1s}$ , reaching values greater than 64.6
- dBA. Also, tonalities were detected at  $L_{Zeq, 800Hz, T=1s}$ , reaching values of 63.7 dB.
- Neonatal crying. In a coincidence with some studies that have shown crying to be
  one of the greatest sources of noise in this environment [40] [41].
- Dragging of furniture and objects was another of the major contributors to the
   noise but affects occasionally.
- Alarms from medical equipment were especially important owing to their
   persistence in time, and, redundancy. In any case, they had a stronger impact on

192

NICU noise levels than inside incubators levels [42] due to their high-frequency components.

- 193 The main sources of NICU noise identified are listed in Table 2.
- 194

## 195 *3.2. Acoustic impact of NICU noise sources*

Once noise sources were identified, their impact on the acoustic environment was 196 assessed (see Fig. 5). For this, table 3 shows the total equivalent noise level for each 197 198 source and Table 4, shows the total duration of each noise source. It is interesting to highlight that the quietest night period in the NICU was 48.1 dBA. As can be seen in table 199 200 3, the LAeq ranged from 59.8 to 69.1 dBA. The temperature alarm was the source with the greatest LAeq value. But the time persistence of noise is also of interest. As can be seen in 201 Table 4, most alarms sounded for more than 2000 s during the measurement period; but 202 203 only one, the CO-Oximeter pulse alarm rang for almost 4000 s. It should be noted that 204 some alarms sounded simultaneous, while others sounded alternatives.

Another important aspect is that the most important contribution to the  $L_{AFmax}$  was from the NICU staff (Table 3). In fact, although the conversations contributed little to the overall  $L_{Aeq}$ , the noise of the staff was the source of noise that affected the longest time in the room, being present in 67% of the trial period (Table 4). All of this information is likely to be used for a good practice guide for hospital staff working in these sensitive spaces.

In addition, unlabeled sources anecdotally produced noise levels of 48.6–67.7
dBA that rose to 78.7 dBA in some cases.

213

# 214 *3.3. Acoustic impact of incubator noise sources*

Table 5 shows the values for each source of noise within the incubators. The drag of the furniture was detected as an episode of great relevance. Being an unusual event, it was recorded in the measurements carried out inside the incubator, in the periods in which it was not measured outside simultaneously. In fact, these events exhibited the highest L<sub>Aeq</sub> and L<sub>AFmax</sub> values of all measurements within the incubator. This result underscores the impact on noise in the NICUs of the drag of tool trolleys by the staff and the chairs
by the visitors. The rest of the noise sources followed a similar behavior, not showing the
incubators as particularly insulators to external sound phenomena registered in the room.
For that reason, the temperature alarm was that exhibiting the greatest sound levels. Also,
staff conversations were the source of noise that affected the longest time inside the
incubator (see Table 6).

As with NICU measurements, there were other, anecdotal noise sources that could not be unequivocally identified and contributed 45.5 to 60.2 dBA to the overall noise, with peaks as high as 68 dBA.

229

# 230 *3.4. Comparison of the acoustic impact of NICU and incubator noise sources*

The values for the different noise sources exceed the acceptable thresholds set by Philbin [43]. This author recommends that the overall background noise in an NICU is below 55 dBA and it should never exceed 70 dBA. As can be seen from Table 3, the noise level in our NICU exceeded the 55 dBA threshold; by contrast, the sound pressure values for the different alarms contributing to NICU noise do not exceed the thresholds set by the following legislation and recommendations:

- The Spanish Government, which has set a maximum diurnal and nocturnal noise level of 40 and 30 dBA, respectively, for bedrooms, and mandated that these limits not be exceeded by more than 3% of daily recordings [7].
- The Brazilian Association for Technical Norms has issued NBR 10152, where
  it recommends that noise in hospital environments (departments, surgeries, crib
  wards, operating theatres) should not exceed 45 dBA and preferably be below
  35 dBA (the auditory comfort threshold) [44]. These values are identical to
  those set by the United States Environmental Protection Agency (US-EPA)
  [45].
- The World Health Organization (WHO), which has issued several conditions
  based on Berglund's report "Community Noise" [46], namely: nocturnal

- 248 LAFmax values should not exceed 40 dBA and LAeq should be lower than 35 249 dBA.
- 250

The American Academy of Pediatrics (AAP) states that average sound levels in the NICU should not exceed 45 dBA [47]. 251

252

253

254

Some new studies established new recommendations regarding the presence of transients and impulsive noise components state that LASmax should be 65 dBA [48].

The measurement campaigns clearly reflect the difficulties of meeting the 255 256 previous quality targets. Thus, even in the absence of activity, the lowest noise levels 257 measured at night never fell below 48.1 dBA and hence exceeded existing 258 recommendations and legal limits. However, improvements in working protocols and 259 staff training are expected to reduce noise from staff, alarms and equipment, thereby increasing acoustic comfort in NICU rooms and incubators. Some strategies currently 260 261 being explored include protecting neonates with silicone earplugs [49] while they are held 262 in incubators and replacing acoustic alarms with visual signals [42]. These measures may 263 have a favorable impact on NICU acoustic environments by suppressing the main sources 264 of noise identified in this work. It would also be advisable to equip incubators with a small microphone to measure noise. Subsequent recordings could be correlated with the usually 265 266 monitored variables of preterm newborns and see how they respond to acoustic stimuli. As noted by some health professionals [50], it could also be useful to use centrally 267 268 managed monitoring and alarm systems to provide NICU staff with information about preterm newborns without the need to be close to them, and also to organize the flow of 269 270 staff more efficiently and be careful about the sleep time. Other strategies to mitigate the 271 impact of the activity of staff and visitors on the acoustic environment of a NICU go through awareness about the importance of the problem in both groups. For example 272 273 through (i) the creation of new guides or improving existing protocols, and (ii) staff 274 training.

The acoustic events recorded in the two environments were widely variable. Thus, some alarms were highly persistent in the NICU but rarely detected in incubators (e.g., by monitors 1 and 2). Consequently, the acoustic environment of the NICU room was expected to differ among days. Future measurements should, therefore, be made over longer periods in order to facilitate the correlation of the acoustic environment and the time of year.

282 As measurements were made in a continuous manner and data were acquired at 1 283 s intervals, the time needed to identify accurately the main alarms and acoustic events 284 were rather long. For this reason, artificial neural networks (ANNs) were used to label 285 noise sources automatically. As noted in Section 2.3, two separate models were developed 286 for NICU and incubator measurements. An output variable for each type of source was 287 included in the models, and, such variable, stated whether a signal from the source 288 concerned was present at the time of measurement. Table 7 shows the output variables 289 used. The low persistence of alarms from monitors 1 and 2 in the incubator measurements 290 led us to combine the two sources with other minor ones.

291 Tables 8 and 9 show the quality-related statistics TP, FP, and K for the NICU and 292 incubator MLP, respectively, and Figs 6 and 7 show the AUC values for the two models. 293 It should be noted that TP, AUC, and K should be near-unity and FP near-zero. As can 294 be seen, the TP values for the NICU were quite acceptable during the MLP training stage 295 for some noise sources, such as the low-priority alarm and conversations, but too low for 296 others. Likewise, K and AUC exceeded 0.8 and 0.9, respectively, in the sources with an adequate TP value, but were too low in others. The poorest performers among the output 297 298 variables in the training stage responded similarly to new instances in the test stage.

The incubator MLP performed worse than the NICU MLP. Thus, only three sources (viz., *Dräger Babylog* – Ventilator, *Dräger Infinity Delta* – Low priority and Temperature) had adequate quality-related statistics.

Although the statistics were not poor, the fact that they lead to an inaccurate labeling of noise sources over long periods could make them useless due to the difficulty of discriminating among several concomitant sources at a given time. As can be seen from Fig. 8, more than 40% of NICU measurements comprised two or more compounded sources. So, MLPs could be useful for the preliminary processing of data to identify those times where measurements are highly similar to those for certain noise sources and to discriminate them from background noise. In any case, future works should be conducted on larger data sets.

310

## 311 **4.** Conclusions

The average noise level for the measurement period was 65.6 dBA, with the highest noise intensity being 87.3 dBA and the lowest being 48.1 dBA at night. Consequently, noise levels in the NICU room fail to comply with the acoustic quality objectives set in national and international legislation and guidelines —notably those of the World Health Organization— and may, therefore, have an adverse impact on biological development in preterm neonates held at the NICU.

The interest in monitoring an NICU environment over a longer period to better assess the influence of some noise sources led us to use ANNs for automatic classification of sources. Based on the results, the lack of uniformity among sources and the overlap of several at many times led to poor fitting. In any case, the preliminary processing of the MLP results could be useful to identify those times where an actual noise event occurs.

323

# 324 Acknowledgments

325 The authors are grateful to the NICU staff of the "Puerta del Mar" University Hospital

- 326 (Cadiz, Spain) for their help in the conduct of this work.
- 327

## 328 **References**

- 329 [1] Gascón Gracia S, García Berman RM. Environmental impact on the neonate. Rev
   330 ROL Enfermería 2011;34:6–14.
- García Del Río M, Sánchez Luna M, Doménech Martínez E, Izquierdo Macián I,
  López Herrera MC, Losada Martínez A, et al. Revisión de los estándares y
  recomendaciones para el diseño de una unidad de neonatología. An Pediatr
  2007;67:594–602. https://doi.org/10.1157/13113024.
- Hall JH, Jonson BH. Family centered care. Bethesda MD: Institute for Family Centered Care: 1997.
- Juan C, Del R, Neonatologia SDE, Achával AA. Remodelación del Servicio de Neonatología. Propuesta de un modelo racional y funcional. Rev Del Hosp Matern

- 339 Infant Ramón Sardá 2003;22:16-8. Naresh SM. A Single-Room NICU-The Next Generation Evolution in the Design 340 [5] of Neonatal Intensive Care Units. Acad J 2003;6:3. 341 Gobierno de España. Ley 37/2003, de 17 de noviembre, del Ruido. BOE276. 2003. 342 [6] 343 [7] Gobierno de España. Real Decreto 1367/2007, de 19 de octubre, por el que se desarrolla la Ley 37/2003, de 17 de noviembre, del Ruido, en lo referente a 344 345 zonificación acústica, objetivos de calidad y emisiones acústicas. BOE nº254. 346 2007. 347 [8] Código Técnico de la Edificación. CTE DB-HR. Protección frente al ruido. Boletín Of Del Estado 2009. https://doi.org/10.1016/j.neuroscience.2011.09.036. 348 Shoemark H, Harcourt È, Arnup ŠJ, Hunt RW. Characterising the ambient sound 349 [9] environment for infants in intensive care wards. J Paediatr Child Health 350 2016;52:436-40. https://doi.org/10.1111/jpc.13084. 351 Avery GB, Fletcher MA, MacDonald MG. Neonatología : fisiopatología y manejo 352 [10] 353 del recién nacido. Médica Panamericana; 2001. Rubel EW. Auditory system development. In: Gottlieb G, Krasnegor NA, editors. 354 [11] Meas. Audit. Vis. first year postnatal life A Methodol. Overv., Westport, CT, US: 355 Ablex Publishing: Ablex Publishing; 1985, p. 53–90. Parmelee Jr AH, Sigman MD. Perinatal brain development and behavior. Handb 356 [12] 357 Child Psychol Former Carmichael's Man Child Psychol H Mussen, Ed 1983. 358 Aslin RN, Pisoni DB, Jusczyk PW. Auditory development and speech perception 359 [13] in infancy. Handb Child Psychol Former Carmichael's Man Child Psychol H 360 361 Mussen, Ed 1983. [14] Berg KM, Smith MC. Behavioral thresholds for tones during infancy. J Exp Child 362 363 Psychol 1983;35:409–25. Schulte FJ, Stennert E, Wulbrand H, Eichhorn W, Lenard HG. The ontogeny of 364 [15] sensory perception in preterm infants. Eur J Pediatr 1977;126:211-24. 365 366 [16] Kurtzberg D, Stapells DR, Wallance IF. Event-related potential assessment of auditory system integrity: implications for language development. Early 367 Identification of Infants with Dev Disabil 1988. 368 Gerhardt KJ. Characteristics o f the fetal sheep sound environment. Semin 369 [17] Perinatol 1989;13. 370 371 [18] Armitage SE, Baldwin BA, Vince MA. The fetal sound environment of sheep. Science (80-) 1980;208:1173-4. 372 Walker D, Grimwade J, Wood C. Intrauterine noise: a component of the fetal 373 [19] 374 environment. Am J Obstet Gynecol 1971;109:91-5. Abdollahi FZ, Joulaie M, Darouie A, Ahmadi T. Auditory Development in Infants. 375 [20] Glob J Otolaryngol 2017;10:5–7. https://doi.org/10.19080/GJO.2017.10.555800. 376 [21] Smith SW, Ortmann AJ, Clark WW. Noise in the Neonatal Intensive Care Unit: A 377 378 New Approach to Examining Acoustic Events. Noise Heal 2018;20:121-30. 379 https://doi.org/10.4103/nah.NAH\_53\_17. Kellam B, Bhatia J. Sound spectral analysis in the intensive care nursery: [22] 380 381 Measuring high-frequency sound. J Pediatr Nurs 2008:23:317-23. https://doi.org/10.1016/j.pedn.2007.09.009. 382 Gallegos-Martínez J, Reyes-Hernández J, Fernández-Hernández VA, González-[23] 383 González LO. Indice de ruido en la unidad neonatal. Su impacto en recién nacidos. 384 Acta Pediátrica México 2011;32:5-14. 385 [24] 386 Moreira Pinheiro E, Guinsburg R, de Araujo Nabuco MA, Yoshiko Kakehashi T. Ruido en la Unidad de Terapia Intensiva Neonatal y en el interior de la incubadora. 387 388 Latino-Am Enferm 2011;19:1214–21. Donis Paz AC. Contaminación acústica en la Unidad de Neonatología del Hospital 389 [25] Roosevelt. Universidad Rafael Landívar, 2013. 390 Fernández Zacarías F, Beira Jiménez JL, Bustillo Velázquez-Gaztelu PJ, Hernández Molina R, Lubián López S. Noise level in neonatal incubators: A [26] 391 392 comparative study of three models. Int J Pediatr Otorhinolaryngol 2018;107:150-393 394 4. https://doi.org/10.1016/j.ijporl.2018.02.013.
  - 395 [27] Moreno Argayo L. Valoración del manejo de la luz y el ruido en unidades
     396 neonatales. Universidad de Valladolid, 2015.

- Beira Jiménez LJ, Puyana Romero V, Hernández Molina R, Fernández Zacarías F,
   Bustillo Velázquez-Gaztelu PJ, Cueto Ancela JL, et al. Acústica de una Incubadora
   Neonatal. 48º Congr Español Acústica Encuentro Ibérico Acústica 2017.
- Pino-Mejías R, Pérez-Fargallo A, Rubio-Bellido C, Pulido-Arcas JA. Artificial neural networks and linear regression prediction models for social housing allocation: Fuel Poverty Potential Risk Index. Energy 2018;164:627–41. https://doi.org/10.1016/j.energy.2018.09.056.
- 404 [30] Soltane M, Ismail M, Abidin Z, Rashid A. Artificial Neural Networks (ANN)
   405 Approach to PPG Signal Classification. Int J Comput Inf Sci Vol2, 2004;2:58–65.
- Zhang L, Towsey M, Xie J, Zhang J, Roe P. Using multi-label classification for acoustic pattern detection and assisting bird species surveys. Appl Acoust 2016;110:91–8. https://doi.org/10.1016/j.apacoust.2016.03.027.
- 409 [32] Jena DP, Panigrahi SN. Motor bike piston-bore fault identification from engine
  410 noise signature analysis. Appl Acoust 2014;76:35–47.
  411 https://doi.org/10.1016/j.apacoust.2013.07.023.
- 412 [33] Chesmore ED. Application of time domain signal coding and artificial neural networks to passive acoustical identification of animals. Appl Acoust 2001;62:1359–74. https://doi.org/10.1016/S0003-682X(01)00009-3.
- 415[34]Rumelhart DE, Hinton GE, Williams RJ. Learning representations by back-<br/>propagating errors. Nature 1986;323:533–6. https://doi.org/10.1038/323533a0.
- 417 [35] Wang YN. A neural network adaptive control based on rapid learning method and application. Adv Molding Anal 1994;46:27–34.
- 419 [36] Werbos PJ. Beyond regression: New tools for prediction and analysis in the420 behavioral sciences. 1974.
- 421 [37] Fletcher R. Practical Methods of Optimization. Volume 1: Unconstrained422 Optimization. 1980.
- 423 [38] Kohavi R. A Study of Cross-Validation and Bootstrap for Accuracy Estimation and Model Selection 1995:1137–43. https://doi.org/10.1002/prca.201200064.
- 425 [39] Muñoz V. Informe Técnico de Medición de Niveles de Presión Sonora en la 426 Unidad de Neonatología del Hospital San José. 2001.
- [40] Nogueira M de FH, Di Piero KC, Ramos EG, de Souza MN, Dutra MVP.
  Mensuración de ruido en unidades neonatales e incubadoras con recién nacidos: revisión sistemática de literatura. Rio Janeiro, Bras Rev Latino-Am Enferm 2011.
- 430 [41] Seto A. Evaluación de los Niveles de Ruido en una Unidad de Cuidados Intensivos
  431 Neonatales. Universidad Autónoma de Madrid, 2012.
- 432 [42] Lichtig I, Maki K. Estudos de níveis de ruídos ambientais e de ruídos gerados pelas incubadoras em uma unidade de terapia intensiva neonatal. Pediatria (Santiago)
  434 1992;14:30–4.
- 435 [43] Philbin MK. Planning the acoustic environment of a neonatal intensive care unit.
  436 Clin Perinatol 2004;31:331–52. https://doi.org/10.1016/j.clp.2004.04.014.
- 437 [44] NBR 10152. Níveis de ruídos para conforto acústico. ABNT 1987.
- 438 [45] United States Environmental Protection Agency. Information on levels of
  439 environmental noise requisite to protect public health and welfare with an adequate
  440 margin of safety. US Government Printing Office; 1974.
- [46] Berglund B, Lindvall T. Community noise. Center for Sensory Research,
  Stockholm University and Karolinska Institute Stockholm; 1995.
- 443 [47] American Academy of Pediatrics. Committee on Environmental Health Noise: a 444 hazard for the fetus and newborn. Pediatrics 1997;100:724–7.
- 445 [48] White RD, Smith JA, Shepley MM. Recommended standards for newborn ICU design. J Perinatol 2013;33:S2--S16.
- [49] Almadhoob A, Ohlsson A. Sound reduction management in the neonatal intensive care unit for preterm or very low birth weight infants. Cochrane Database Syst Rev 2015;2017:551–3. https://doi.org/10.1177/0954406218780129.
- 450 [50] Gallardo Ponce I. La UCI silenciosa, mejor para niños y padres. Simp
   451 Innovaciones En Ucin n.d.
- 452
- 453

Equipment	Specifications	Calibration range	Measuring range			
B&K 2270 Sound level meter	Class 1		20–140 dB 3 Hz–20 kHz			
B&K Type 4231 calibration system	Class 1	94–114 dB 1000 Hz				

Alarm (incubator model)	Trigger
Feed pump ( <i>Kangaroo</i> )	It sounds when feeding ends, roughly 30 min after it
	starts.
Respirator heater (Fisher &	It sounds when the air temperature inside the tubes (i.e.,
Paykel MR 850ALU)	air delivered to the neonate) rises or falls by 3 °C.
Thermal regulator (MTRE	It sounds when signs of hypothermia are detected.
Allon 2001)	
Syringe pump (Alaris CC)	It sounds when drug delivery ends, approximately 30
	min after it starts.
Nonspecific (Dräger Caleo)	It sounds when one of the monitored parameters
	(temperature, relative humidity) is not at an appropriate
	level.
Blood pressure (Dräger	It sounds when the neonate's blood pressure falls
Infinity Delta)	outside the recommended range.
High-priority (Dräger	Blood pressure, heart rate, oxygen saturation (e.g.,
Infinity Delta)	asystole, ventricular fibrillation).
Low-priority (Dräger	Blood pressure, heart rate, oxygen saturation (e.g.,
Infinity Delta)	apnea, neonate's safety during NIBP measurements).
Pulse CO-oximeter (Masimo	Heart rate and oxygen saturation.
Radical-7)	
Respirator (Dräger Babylog)	Most frequent alarm when the volume is too low.
Respirator (SERVO-i)	Most frequent alarm for too high a breathing rate.
Temperature	Sounds when the temperature rises by more than 1.5 °C
	above or falls by more than 3 °C below the control
	value.

Table 2. Types of alarms identified.

Table 3. Parameter value	ues for the	different N
Source	LAeq	LAFmax
	(dBA)	(dBA)
Alarm: Dräger	60.0	71.4
Babylog – Ventilator		
Alarm: Alaris CC –	62.5	82.5
Syringe pump		
Alarm: Dräger	65.8	85.3
Infinity Delta – High-		
priority		
Alarm: <i>MTRE Allon</i>	62.9	78.4
2001 – Thermal		
regulator	60.0	02.4
Alarm: <i>Kangaroo</i> –	60.8	83.4
Feeding pump	64.3	79.4
Alarm: Dräger	04.3	79.4
<i>Infinity Delta</i> – Low- priority		
Alarm: Dräger Caleo	60.1	85.9
– Non–specific	00.1	05.7
Alarm: Dräger	61.2	85.9
Infinity Delta – Blood	0112	0013
pressure		
Alarm: Masimo	59.8	85.9
Radical-7 – Pulse		
CO-Oximeter		
Alarm: SERVO-i –	61.1	85.3
Ventilator		
Alarm: Temperature	69.1	76.4
Alarm: Fisher &	67.2	79.8
Paykel MR 850ALU –		
Ventilator heater		
Conversations	61.2	87.3
Phones	62.5	84.6

Source	Time (s)
Alarm: <i>Dräger Babylog</i> – Ventilator	84
Alarm: Alaris CC – Syringe pump	1 016
Alarm: <i>Dräger Infinity Delta</i> – High-priority	773
Alarm: MTRE Allon 2001 – Thermal regulator	1 088
Alarm: Kangaroo – Feeding pump	1 422
Alarm: Dräger Infinity Delta – Low-priority	1 766
Alarm: Dräger Caleo – Nonspecific	6 241
Alarm: Dräger Infinity Delta – Blood pressure	8 165
Alarm: Masimo Radical-7 – Pulse CO-Oximeter	3 795
Alarm: <i>SERVO-i</i> – Ventilator	1 011
Alarm: Temperature	197
Alarm: Fisher & Paykel MR 850ALU – Ventilator heater	1 782
Conversations	58 401
Phones	491

**Table 4.** The persistence of each noise source in the acoustic environment of the NICU referred to 24 hours. These are the time periods for which the equivalent level has been calculated for table 3

Source	LAeq	LAFmax
	(dBA)	(dBA)
Alarm: Dräger	50.7	77.6
Babylog –		
Ventilator		
Alarm: Alaris CC –	49.8	66.8
Syringe pump		
Alarm: Dräger	52.5	66.1
Infinity Delta –		
High-priority		
Alarm: MTRE Allon	51.4	63.8
2001 – Thermal		
regulator		
Alarm: <i>Kangaroo</i> –	50.3	70.4
Feeding pump		
Alarm: Dräger	52.6	67.0
Infinity Delta –		
Low-priority		
Alarm: Dräger	50.3	54.8
Caleo – Nonspecific		
Alarm: Masimo	50.8	66.4
Radical-7 – Pulse		
CO-Oximeter	10.4	
Alarm: <i>SERVO-i</i> –	49.6	71.2
Ventilator		
Alarm: Temperature	53.8	63.2
Alarm: Fisher &	52.3	69.2
Paykel MR 850ALU		
– Ventilator heater		~~ -
Conversations	51.3	80.7
Phones	51.0	61.5
Dragging	58.1	84.2
Crying	52.0	75.9

**Table 5.** Parameter values for the different incubator noise sources.

**Table 6.** The persistence of each noise source in the acoustic environment within the incubator referred to 24 hours. These are the time periods for which the equivalent level has been calculated for table 5

Source	Time (s)
Alarm: Dräger Babylog – Ventilator	8 230
Alarm: <i>Alaris CC</i> – Syringe pump	1 122
Alarm: Dräger Infinity Delta – High-priority	205
Alarm: <i>MTRE Allon 2001</i> – Thermal regulator	296
Alarm: <i>Kangaroo</i> – Feeding pump	886
Alarm: Dräger Infinity Delta – Low-priority	873
Alarm: Dräger Caleo – Nonspecific	10
Alarm: Masimo Radical-7 – Pulse CO-oximeter	1 187
Alarm: SERVO-i – Ventilator	27 600
Alarm: Temperature	68
Alarm: Fisher & Paykel MR 850ALU – Ventilator heater	1 175
Conversations	57 356
Phones	482
Dragging	1 025
Crying	1 938

Table 7. C	Dutput variables for the MLPs.
Model	Variables
NICU	Alarm: <i>Dräger Babylog</i> – Ventilator
	Alarm: Alaris CC – Syringe pump
	Alarm: Dräger Infinity Delta – High-priority
	Alarm: MTRE Allon 2001 – Thermal regulator
	Alarm: <i>Kangaroo</i> – Feeding pump
	Alarm: Dräger Infinity Delta – Low-priority
	Alarm: Dräger Caleo – Nonspecific
	Alarm: Dräger Infinity Delta – Blood pressure
	Alarm: Masimo Radical-7 – Pulse CO-Oximeter
	Alarm: <i>SERVO-i</i> – Ventilator
	Alarm: Temperature
	Alarm: Fisher & Paykel MR 850ALU - Ventilator heater
	Conversations
	Phones
Incubator	Alarm: <i>Dräger Babylog</i> – Ventilator
	Alarm: Alaris CC – Syringe pump
	Alarm: Dräger Infinity Delta – High-priority
	Alarm: MTRE Allon 2001 – Thermal regulator
	Alarm: Kangaroo – Feeding pump
	Alarm: Dräger Infinity Delta – Low-priority
	Alarm: Dräger Caleo – Nonspecific
	Alarm: Masimo Radical-7 – Pulse CO-Oximeter
	Alarm: <i>SERVO-i</i> – Ventilator
	Alarm: Temperature
	Alarm: Fisher & Paykel MR 850ALU - Ventilator heate
	Conversations
	Phones
	Dragging
	Crying

Output variable		Г	<b>'rainin</b>	g	Testing				
	Label				K	Label			
	Yes		N	No		Yes		No	
	ТР	FP	TP	FP		ТР	FP	TP	FP
Alarm: Dräger Babylog –	0.83	0.02	0.98	0.17	0.84	0.85	0.15	0.85	0.15
Ventilator									
Alarm: Alaris CC –	0.74	0.13	0.88	0.26	0.60	0.74	0.35	0.65	0.26
Syringe pump									
Alarm: Dräger Infinity	0.87	0.01	0.99	0.13	0.88	0.87	0.09	0.91	0.13
Delta – High-priority									
Alarm: MTRE Allon 2001	0.83	0.02	0.98	0.17	0.83	0.81	0.31	0.69	0.19
– Thermal regulator									
Alarm: <i>Kangaroo</i> –	0.73	0.07	0.93	0.27	0.66	0.73	0.33	0.67	0.27
Feeding pump									
Alarm: Dräger Infinity	0.95	0.07	0.93	0.05	0.88	0.94	0.19	0.81	0.06
Delta – Low-priority									
Alarm: Dräger Caleo –	0.69	0.14	0.86	0.31	0.56	0.69	0.43	0.57	0.31
Nonspecific									
Alarm: Dräger Infinity	0.85	0.10	0.90	0.15	0.72	0.85	0.20	0.80	0.15
Delta – Blood pressure									
Alarm: Masimo Radical-7	0.75	0.07	0.93	0.25	0.70	0.75	0.14	0.86	0.25
– Pulse CO–Oximeter									
Alarm: SERVO-i –	0.62	0.09	0.92	0.38	0.55	0.70	0.09	0.91	0.30
Ventilator									
Alarm: Temperature	0.87	0.02	0.98	0.13	0.86	0.87	0.02	0.98	0.13
Alarm: Fisher & Paykel	0.82	0.03	0.97	0.18	0.82	0.88	0.08	0.92	0.12
MR 850ALU – Ventilator									
heater									
Conversations	0.95	0.15	0.85	0.05	0.81	0.92	0.18	0.82	0.08
Phones	0.48	0.01	0.99	0.52	0.59	0.47	0.05	0.95	0.53

**Table 8.** True positive rate (TP), false positive rate (FP) and parameter kappa (K) for the NICU MLP.

Output variable		T	<b>'rainin</b>	Ig		Testing			
		La	bel		K	Label			
	Y	Yes		No		Yes		No	
	ТР	FP	TP	FP		TP	FP	TP	FP
Alarm: Dräger Babylog –	0.89	0.05	0.95	0.11	0.85	0.89	0.07	0.93	0.11
Ventilator									
Alarm: Alaris CC –	0.54	0.02	0.98	0.47	0.59	0.54	0.33	0.67	0.46
Syringe pump									
Alarm: Dräger Infinity	0.88	0.01	0.99	0.12	0.89	0.86	0.46	0.54	0.14
<i>Delta</i> – High-priority									
Alarm: MTRE Allon 2001	0.49	0.02	0.98	0.51	0.56	0.51	0.42	0.58	0.49
<ul> <li>Thermal regulator</li> </ul>									
Alarm: <i>Kangaroo</i> –	0.65	0.01	0.99	0.35	0.72	0.65	0.28	0.72	0.35
Feeding pump									
Alarm: Dräger Infinity	0.81	0.01	0.99	0.19	0.87	0.81	0.07	0.93	0.19
Delta – Low-priority									
Alarm: <i>Dräger Caleo</i> –	0.85	0.06	0.94	0.15	0.80	0.84	0.65	0.35	0.16
Nonspecific									
Alarm: Masimo Radical-7	0.67	0.02	0.98	0.33	0.71	0.67	0.63	0.37	0.33
– Pulse CO–Oximeter									
Alarm: SERVO-i –	0.48	0.08	0.92	0.52	0.44	0.47	0.45	0.55	0.53
Ventilator									
Alarm: Temperature	0.82	0.01	0.99	0.18	0.88	0.89	0.15	0.85	0.11
Alarm: Fisher & Paykel	0.66	0.01	0.99	0.34	0.89	0.86	0.46	0.54	0.14
MR 850ALU – Ventilator									
heater									
Conversations	0.93	0.23	0.77	0.07	0.72	0.93	0.23	0.77	0.07
Phones	0.58	0.07	0.93	0.42	0.68	0.56	0.49	0.51	0.44
Dragging	0.70	0.02	0.98	0.30	0.74	0.71	0.18	0.82	0.29
Crying	0.64	0.03	0.97	0.36	0.67	0.64	0.49	0.51	0.36

**Table 9.** True positive rate (TP), false positive rate (FP) and parameter kappa (K) for the incubator MLP.



Fig. 1. NICU of the "Puerta del Mar" University Hospital.

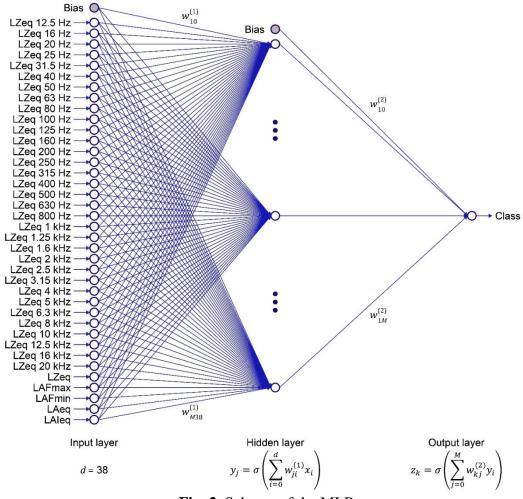
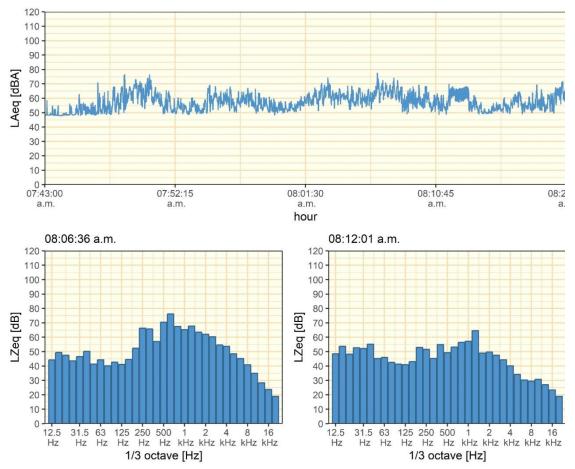
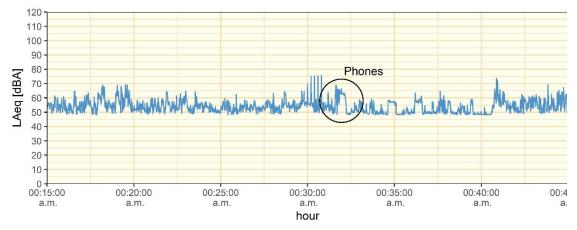


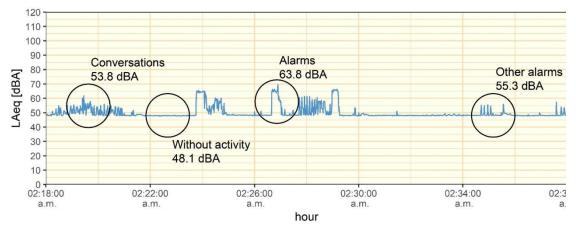
Fig. 2. Scheme of the MLP.



**Fig. 3**. An excerpt from the time series of sound pressure levels from which an example of medical staff shifts can be displayed. The third-octave spectrum of two examples of 1-second events is also shown.



**Fig. 4**. An excerpt from the time series of sound pressure levels from which an example of alarms and phones can be displayed.



**Fig. 5**. An excerpt from the time series of sound pressure levels from which an example of alarms and staff conversations can be displayed.

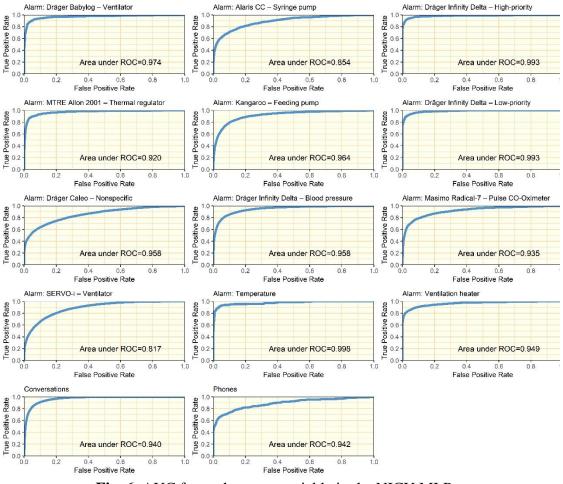




Fig. 6. AUC for each output variable in the NICU MLP.

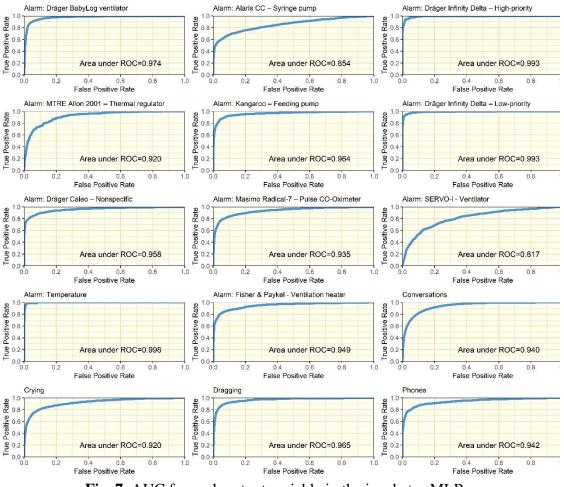




Fig. 7. AUC for each output variable in the incubator MLP.

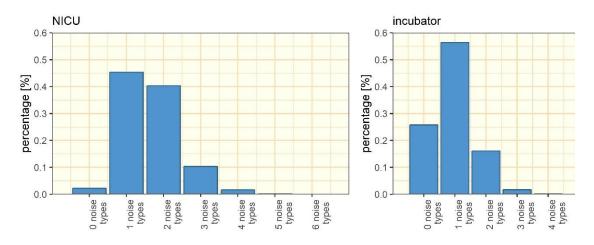


Fig. 8. The proportion of concomitant noise types in the NICU and incubators.