



25  $2.0\pm 0.5\text{mg/m}^2$ , respectively. This reduction is not only observed in the average values, but  
26 also in the median, third and first quartiles. The average BC concentration in winter  
27 ( $3.8\pm 0.6\text{ mg/m}^2$ ) was substantially higher than in summer ( $1.9\pm 0.3\text{ mg/m}^2$ ), being the eight-  
28 year average of  $2.9\pm 0.9\text{ mg/m}^2$ . The reduction in the use of fossil fuels during the economic  
29 crisis contributed significantly to reduced atmospheric loadings of BC. According to our  
30 analysis this situation persisted until 2010. BC concentration values were analyzed in terms  
31 of air mass influence using cluster analysis. BC concentrations for cluster 1 (local and  
32 regional areas) showed high correlations with air masses frequency in winter and autumn.  
33 In these seasons BC sources were related to the intense road traffic and increased BC  
34 emissions from domestic heating. High BC concentrations were found in autumn just when  
35 air mass frequencies for cluster 3 (Mediterranean region) were more elevated, suggesting  
36 that air masses coming from that area transport biomass burning particles towards Granada.  
37 BC aerosol optical properties were retrieved from BC fraction using aerosol AERONET  
38 size volume distribution and Mie theory. A radiative transfer model (SBDART) was used  
39 to estimate the aerosol radiative forcing separately for composite aerosol (total aerosols)  
40 and exclusively for BC aerosols. The mean radiative forcing for composite aerosol was  
41  $+23\pm 6\text{ W/m}^2$  (heating rate of  $+0.21\pm 0.06\text{ K/day}$ ) and  $+15\pm 6\text{ W/m}^2$  for BC aerosol (heating  
42 rate of  $+0.15\pm 0.06\text{ K/day}$ ). These values of radiative forcing and heating rate for BC  
43 aerosol represent about 70% of their values for composite aerosol, which highlights the  
44 crucial role that BC aerosols play in modifying the radiation budget and climate.

45

46

## 47 **1. Introduction**

48 Black carbon (BC) is the dominant absorbing aerosol in the solar radiation spectrum,  
49 playing a key role in the estimation of the direct radiative forcing although it accounts for  
50 less than 5% of the mass of atmospheric aerosol in most areas of the world (Haywood and  
51 Since, 1997; Zhang et al., 2012). Bond et al. (2013) provided an inventory of the major BC  
52 sources. They are listed according to the importance of emission: The bottom-up estimates  
53 predicts that open burning contributes about 40% of total BC emissions. Residential Solid  
54 Fuels (Wood, agricultural waste, dung, and coal) provide another 25% of BC emissions.  
55 The diesel-engine category (on-road and off-road engines) is estimated that contributed  
56 about 20% of global BC emissions of the total. Finally, industrial coal combustion is  
57 estimated to provide about 9% of global emissions. BC is directly emitted into the air from  
58 these sources, not formed in the atmosphere from precursor substances. Estimates of BC  
59 global annual emissions were 8.0 Tg. The uncertainties were about a factor of 2, with  
60 uncertainty range of 4.3–22 Tg/yr. BC particles are generally produced from the incomplete  
61 combustion of fossil fuels and biomass burning (Zhao et al., 2015). BC can raise the  
62 amount of solar radiation absorbed in the visible and infrared spectral ranges within the  
63 Earth's climate system and, consequently, heat the atmosphere and surface (Hansen et al.,  
64 2000; Ramanathan and Carmichael, 2008). Ramanathan and Carmichael (2008) performed  
65 a comparison of radiative forcing caused by greenhouse gases and BC. They found that the  
66 direct radiative forcing due to BC was larger than that due to any other greenhouse gas  
67 except CO<sub>2</sub>. BC causes large atmospheric warming constituting about 55% of CO<sub>2</sub> forcing  
68 on the global scale (Ramanathan and Carmichael, 2008; Keil et al., 2001; Babu et al., 2002;  
69 Bond et al., 2013). Bond et al. (2013) estimated a climate forcing due to BC of +1.1W/m<sup>2</sup>

70 with 90% uncertainty limits of +0.17 to +2.1W/m<sup>2</sup>. The process through which BC  
71 suspended in the atmosphere scatters and absorbs incoming solar radiation is termed “  
72 direct effect”. The absorption by BC suspended warms the air, but the extinction of  
73 radiation results a negative forcing at the Earth’s surface (Ramanathan and Carmichael,  
74 2008). The “semi-direct effect” assumes that BC particles reside interstitially between  
75 cloud droplets (Johnson, 2004; Chung and Seinfeld, 2005; Jacobson, 2006; Jones et al.,  
76 2007). BC also has significant effects on clouds by changing atmospheric stability,  
77 affecting cloud formation (Ackerman et al., 2000). Therefore, BC is considered as a  
78 potential cause of global warming (Hansen et al., 2000; Bond et al., 2013). BC may also  
79 play a relevant role for the aerosol cloud (“indirect effect”) since it is injected into the  
80 atmosphere as primary aerosol particle, affecting the number of particles available in cloud  
81 condensation (Oshima et al., 2009).

82 There are a large number of papers focused on the analysis of aerosol measurements over  
83 the Iberian Peninsula (e.g., Silva et al., 2002; Olmo et al., 2006; Estellés et al., 2007;  
84 Cachorro et al., 2008; Prats et al., 2008; Toledano et al., 2009; Pereira et al., 2011;  
85 Valenzuela 2012a). However, none of them were focused on studying the BC aerosol in  
86 this region. To our knowledge, only Lyamani et al. (2011) performed a detailed analysis  
87 about BC concentration at the surface level. They measured significant amount of BC over  
88 surface in Granada with mean value of  $3.0 \pm 1.5 \mu\text{g}/\text{m}^3$ . These authors also reported that BC  
89 exhibited a well-defined seasonal variation with the highest concentration during winter  
90 likely due to increased emissions from domestic heating and a lower planetary layer height  
91 (Granados et al., 2012). The disadvantage of in situ measurements is that require  
92 considerable effort and this technique does not provide large spatial and temporal coverage

93 (Derimian et al., 2008). Recently, some studies have paid attention to retrieve aerosol  
94 composition from AERONET retrievals, since it provides worthy information on aerosol  
95 optical and physical properties such as column-averaged aerosol refractive indices and size  
96 distributions (Schuster et al., 2005; Dey et al., 2006; Arola et al., 2011). They derived  
97 information of BC concentration from AERONET imaginary refractive indices assumes  
98 that absorption is due to three components: Black Carbon (BC), Brown Carbon (BrC) and  
99 mineral dust (MD). In addition, it can also provide a long term view and extensive spatial  
100 coverage, as it comprises more than 300 sun photometers placed throughout the world  
101 (Holben et al., 1998).

102 Long-range transport of BC has a great significance on the climate change and air quality.  
103 However, large uncertainties remain between simulated and observed global transport of  
104 BC. Uncertainties in models result from many factors, including BC emissions inventories,  
105 the parameterizations of BC aging, wet removal, and dry deposition processes (Liu et al.,  
106 2011; Shen et al., 2014). BC aging process occurring during long-range transport is a key  
107 factor in simulated concentrations of BC. The aging process refers to a transformation from  
108 hydrophobic to hydrophilic aerosols, where aged BC particles can act as CCN and, thus,  
109 they can be removed by wet scavenging when BC is trapped in cloud droplets or ice  
110 crystals. From all pathways for the hydrophobic-to-hydrophilic conversion, chemical aging  
111 is the least understood, but potentially could affect hydrophobic-to-hydrophilic conversion  
112 on time scales shorter than days or weeks (Kanakidou et al., 2005). Therefore, the rate of  
113 aging significantly affects the atmospheric lifetime of BC, being one of the key factors  
114 controlling long-range transport of these particles and, consequently, affecting their global

115 distribution (Liu et al., 2011). However, the aging of BC is highly simplified in global  
116 models and, thus, errors related to the BC wet scavenging should be taken into account.

117 Backward trajectory analysis is a well-known technique to link air mass-origin with aerosol  
118 optical properties at the measurements area (e.g. Kokkalis et al., 2017; Kumar et al., 2017;  
119 Zdun et al., 2016; Valenzuela et al., 2015). The analysis of backward trajectories provides  
120 objective interpretations related to source regions, residence times over each region and  
121 different circulation patterns (curvature and length) of air masses. The accuracy of back-  
122 trajectories showed position errors with the travel's distance (Stohl, 1998). These errors  
123 produce divergence in the back-trajectories and they are associated with five causes (Harris  
124 et al., 2005): differences in computational methodology, 3–4%; time interpolation, 9–25%;  
125 vertical movement method, 18–34%; meteorological input data, 30–40%; and combined  
126 two-way differences in vertical transport method and meteorological input data, 39–47%.  
127 This sensitivity test was performed for 96 hours of flight time. In other studies, seven-day  
128 back trajectory calculations were done taking into account the aerosols residence time of  
129 around one week in the lower atmosphere in the northern midlatitudes (Kumar Bharath, d.  
130 and S. Verma, 2016).

131 Some studies have focused on the characterization of the aerosol radiative forcing during  
132 desert dust events over southeastern Iberian Peninsula (e.g., Anton, et al., 2012; Valenzuela  
133 et al., 2012b). However, to our knowledge, no study has addressed the characterization of  
134 the BC content in the entire atmospheric column and their radiative effects over the  
135 southeastern Iberian Peninsula. Hence, it is a challenge to carry out the first study of BC  
136 content retrieved from sun-photometer measurements over southeastern Iberian Peninsula,

137 especially considering that it has relevance not only from the local point of view, but also  
138 from a regional perspective. Thus we develop a detailed analysis of BC aerosol in Granada  
139 urban atmosphere for the period 2005-2012. Furthermore, the BC radiative effects in the  
140 shortwave spectral range will be determined and, compared with the radiative effects of the  
141 total aerosol (composite aerosol), although restricted to fine mode-dominated cases.

## 142 **1. Experimental site, instrumentation and data**

143 Ground-based data were retrieved at the radiometric station located on the rooftop of the  
144 Andalusian Institute for Earth System Research (IISTA-CEAMA, 37.168N, 3.608W) in  
145 Granada (South-Eastern Spain) which is found at 680 m a.s.l. (Figure 1). Granada is a  
146 medium-sized city with little emissions associated with large-scale industrial activities and  
147 with a population of 300,000 inhabitants. However anthropogenic fine aerosols load are  
148 expected from domestic heating and intense road traffic in the city and metropolitan area  
149 (Lyamani et al., 2011). The city is found in a natural basin close to Sierra Nevada's  
150 mountain range to the southeast with elevations between 1000 and 3500 m a.s.l. The is  
151 frequently affected by air masses coming from the Atlantic Ocean, the European and  
152 African continents, and less frequently from the Mediterranean Sea (Lyamani et al., 2010).

153 Total columnar aerosol properties were retrieved from measurements of CIMEL CE-318  
154 sun-photometer which is included in the AERONET network (Holben et al., 1998). This  
155 instrument makes direct sun measurements with a 1.2° full field of view at 340, 380, 440,  
156 500, 675, 870 and 1020 nm. The full-width at half-maximum of the interference filters are 2  
157 nm at 340 nm, 4 nm at 380 nm and 10 nm at all other wavelengths. The sky radiance  
158 measurements (almucantar configuration) are carried out at 440, 675, 870 and 1020 nm.

159 The characteristics of the CIMEL sun-photometer are fully described by Holben et al.  
160 (1998). The direct sun measurements are used to compute the aerosol optical depth (AOD)  
161 at 340, 380, 440, 670, 870 and 1020 nm (Holben et al., 1998). The uncertainty in the  
162 retrieval of AOD under cloud free conditions is  $\pm 0.01$  for wavelengths larger than 440 nm  
163 and  $\pm 0.02$  for shorter wavelengths (Eck et al., 1999). Sky radiance measurements together  
164 with solar direct irradiance measurements are used to retrieve aerosol optical properties like  
165 single scattering albedo,  $\omega(\lambda)$ , using the AERONET inversion algorithm developed by  
166 Dubovik and King (2000) as improved by Dubovik et al. (2006). The uncertainty in the  
167 retrieval of  $\omega(\lambda)$  is  $\pm 0.03$  for high aerosol load (AOD (440 nm) > 0.4) and solar zenith  
168 angle > 50°. For measurements with low aerosol load (AOD(440 nm) < 0.2), the retrieval  
169 accuracy of  $\omega(\lambda)$ , drops down to 0.02-0.07 (Dubovik et al., 2000).

## 170 **2. Methodology**

### 171 **3.1 BC content**

172 The technique used by Arola et al. (2011) has been utilized in this work to retrieve  
173 information about BC aerosol concentration. This method is based on the approach  
174 employed previously by Schuster et al. (2005). Further information regarding the method  
175 can be found in the mentioned works. Thus, AERONET measurements of refractive index  
176 and the above mentioned approach were used to retrieve information about BC aerosol  
177 fraction. For a mixture of BC, BrC and Ammonium Sulfate  $(\text{NH}_4)_2(\text{SO}_4)$  embedded in  
178 water host the Maxwell-Garnett (MG) mixing rule was applied. Similar refractive index  
179 values for all components as those suggested by Arola et al. (2011) were considered. In the  
180 present work, it is assumed that BrC was responsible for absorption at the ultraviolet

181 spectral range that cannot be explained by BC. Considering all the inclusions as spherical,  
 182 the effective dielectric constant for the mixture ( $\epsilon_{MG}$ ) follows the equation given by  
 183 (Bohren and Huffman, 1998, p.217):

$$184 \quad \epsilon_{MG} = \epsilon_m \left[ 1 + \frac{3 \left( f_1 \frac{\epsilon_1 - \epsilon_m}{\epsilon_1 + 2\epsilon_m} + f_2 \frac{\epsilon_2 - \epsilon_m}{\epsilon_2 + 2\epsilon_m} + f_3 \frac{\epsilon_3 - \epsilon_m}{\epsilon_3 + 2\epsilon_m} \right)}{1 - \left( f_1 \frac{\epsilon_1 - \epsilon_m}{\epsilon_1 + 2\epsilon_m} + f_2 \frac{\epsilon_2 - \epsilon_m}{\epsilon_2 + 2\epsilon_m} + f_3 \frac{\epsilon_3 - \epsilon_m}{\epsilon_3 + 2\epsilon_m} \right)} \right] \quad (1)$$

185 where  $\epsilon_m$ ,  $\epsilon_1$ ,  $\epsilon_2$  and  $\epsilon_3$  are the dielectric constant of the host matrix (water), BC,  
 186 Ammonium Sulfate and BrC and  $f_1$ ,  $f_2$  and  $f_3$  are the corresponding volume fractions of BC,  
 187 Ammonium Sulfate and BrC, respectively.

188 MG provides the average dielectric constant of the mixture, from which the real  $n(\lambda)$  and  
 189 imaginary  $k(\lambda)$  refractive index of mixture have been determined according to the following  
 190 expressions (Bohren and Huffman, 1998):

191

$$192 \quad k(\lambda) = \sqrt{\frac{\sqrt{\epsilon_r^2 + \epsilon_i^2} - \epsilon_r}{2}} \quad (2)$$

$$193 \quad n(\lambda) = \sqrt{\frac{\sqrt{\epsilon_r^2 + \epsilon_i^2} + \epsilon_r}{2}} \quad (3)$$

194 where  $\epsilon_r$  and  $\epsilon_i$  are the real and imaginary parts of the mixture dielectric constant.  
 195 According to MG, first,  $k(\lambda)$  has been retrieved taking into account different combinations  
 196 of  $f_1$  and  $f_3$ . The objective is to determine the appropriate volume fractions of  $f_1$  and  $f_3$ .

197 They were adjusted until the  $\chi^2$  fit of the computed  $k(\lambda)$  of the mixture was minimized with  
198 respect to the AERONET retrieved  $k(\lambda)$  values by:

$$199 \quad \chi^2 = \sum_{i=1}^4 \frac{(k_i^{rtv} - k_i^{cal})^2}{(k_i^{rtv})^2} \quad (4)$$

200 where  $k_i^{rtv}$  is the imaginary refractive index retrieved from sun photometer measurements  
201 and  $k_i^{cal}$  is the value obtained from MG mixing rule,  $i$  being the summation index over four  
202 wavelengths (440, 675, 870 and 1020 nm) of AERONET. Once the calculated  $k(\lambda)$  matches  
203 to sun-photometer retrieved  $k(\lambda)$  within certain limit ( $\chi^2$  in the order of  $10^{-3}$ ), the volume  
204 fraction of Ammonium Sulfate ( $f_2$ ) is adjusted to minimize  $\chi^2$  fit for  $n(\lambda)$ . The volume  
205 fractions of all the components for which  $\chi^2$  values for  $k(\lambda)$  and for  $n(\lambda)$  are the lowest, are  
206 chosen as the best values to retrieve BC concentration. In our study, column-integrated BrC  
207 concentration was found to vary in a wide range 2.5–12.5  $\text{mg}/\text{m}^2$  for the entire period. A  
208 value for BC density ( $\rho_{BC}$ ) of 1.8  $\text{g}/\text{cm}^3$  was assumed and the column-integrated aerosol  
209 volume size distributions from AERONET were considered to retrieve BC concentration:

$$210 \quad BC = f_1 \rho_{BC} \int \frac{dV}{d \ln r} d \ln r, \quad (5)$$

211 where  $r$  is the particle radius in microns and  $V$  is the particle volume concentration  
212 ( $\mu\text{m}^3/\mu\text{m}^2$ ). Dust dominated cases were excluded, and only those retrievals with ratio of  
213 fine mode to total volume concentration larger than 0.5 were taken into consideration.

214

215

## 216 **3.2 Air mass transport**

217 Source-receptor relationships between the measurement areas and the potential emission  
218 sources are investigated with the use of the particle dispersion model HYSPLIT\_4 model.  
219 Five-day back-trajectories of air mass arriving to Granada at 500, 1500 and 3000 m a.g.l.  
220 were computed using HYSPLIT\_4 model including vertical wind (Draxler and Hess, 1998)  
221 coincident with BC observation days. In our work, the back-trajectories were computed for  
222 120 hours of flight time as a compromise between accuracy and the need to reconstruct as  
223 complete as possible the average life cycle of aerosol particles in the atmosphere. The  
224 NCEP/NCAR reanalysis database was used as meteorological file input (NOAA  
225 Operational Model Archive Distribution System server at NCEP). First, for each day, one  
226 single trajectory at each altitude level was computed with endpoint in Granada at 12:00  
227 UTC. Additionally, a visual inspection of the back trajectories was performed at all levels  
228 with the goal of checking that air mass arriving to Granada never overpasses North Africa.  
229 To get information about air flow patterns, a statistical methodology was applied to a  
230 dataset of 265 back trajectories over Granada. This classification method was based on the  
231 geometric distance between individual back trajectories, taking into account the speed and  
232 direction of the back trajectories. The results are clusters-mean which grouping individual  
233 back trajectories with similar behavior. Air masses affecting Granada from 2005 to 2012,  
234 when BC concentration was available, were classified according to their transport pathways  
235 using HYSPLIT clustering algorithm (<http://www.arl.noaa.gov/>). The back trajectory types  
236 were considered in terms of the permanent synoptic situations. Large scale circulation  
237 features were related to certain trajectory centroids. The cluster analysis does not assume

238 the existence of BC sources and their geographic locations for clustering the back trajectory  
239 types. The centroid represents the average of the trajectories included in that cluster.  
240 HYSPLIT model hold a tool for clustering based on the variations in both the total variance  
241 between clusters (TSV, Spatial Variance Total) and the variance between each component  
242 of back trajectory (SPVAR, Spatial Variance) (Draxler et al., 2009). First, a set of 265  
243 back-trajectories arriving over Granada was obtained. An initial number of back trajectories  
244 were chosen and, after grouping process, the result was a single cluster as average back  
245 trajectory of some of them. After that, two trajectories were grouped at each step which  
246 created smaller increase of TSV and SPAVR. Large changes were indicative of the  
247 conglomerating of large different trajectories into the same cluster. Accordingly, the best  
248 representation in the number of groups is just before the large percentage of change in TSV.  
249 Four groups for 500 m a.g.l. were chosen in order to a better explanation of the air mass  
250 transport regimes during the study period after additional analysis for different cluster  
251 numbers. Within each cluster, individual trajectories were averaged to produce a cluster-  
252 mean trajectory. The flow patterns of air masses at 1500 and 3000 m a.g.l. were similar to  
253 the flow patterns retrieved at 500 m a.g.l.

### 254 **3.3 Calculation of radiative effects**

255 Both, aerosol optical properties for composite aerosol and exclusively for BC aerosol were  
256 independently used as input in Santa Barbara Discrete-ordinate Atmospheric Radiative  
257 Transfer model (SBDART) (Ricchiazzi et al., 1998) to derive instantaneous net fluxes  
258 (down-up) in the 310-2800 nm spectral range at the surface and the top of the atmosphere  
259 (TOA). Radiative transfer code characterizes atmospheric aerosol radiative effects using the

260 solar zenith angle, the spectral aerosol optical depth (AOD), the spectral single scattering  
261 albedo ( $\omega$ ), and the spectral asymmetry parameter ( $g$ ) as input values. Regarding the  
262 vertical distribution of aerosol, the SBDART profile was used which takes into account the  
263 aerosol-loaded atmosphere fitting an exponential-decay to the aerosol optical depth derived  
264 by sun-photometer. Total ozone column derived from the Ozone Monitoring Instrument  
265 (OMI) satellite and the surface spectral albedo provided by the AERONET algorithm,  
266 based on dynamic spectral and spatial model estimation at four wavelengths: 440, 675, 870  
267 and 1020 nm were used as input in the model. Surface albedo is a very decisive input for  
268 calculating the aerosol radiative effect. It was linearly interpolated between the retrieved  
269 wavelength values. The surface albedo value at 440 nm was extrapolated to the shorter  
270 wavelengths as well, while the wavelengths larger than 1020 nm were linearly extrapolated.

271 Size distributions and BC fractions were used to calculate the aerosol optical properties for  
272 the BC, which was based on the Mie theory. Likewise, both the “composite aerosol” and  
273 the “BC aerosol” were described by their respective spectral AOD,  $\omega$  and  $g$ , which were  
274 finally used to estimate the radiative effects.

275 The instantaneous aerosol radiative forcing for composite aerosol (ARF) at the surface  
276 (TOA) is obtained as:

$$277 \quad ARF = F - F^0 \quad (6)$$

278 where  $F$  and  $F^0$  denote the shortwave net fluxes at surface (TOA) simulated with aerosol  
279 information related to composite aerosol and without aerosol information, respectively.

280 Following an identical procedure, the instantaneous aerosol radiative forcing for BC aerosol  
281 (BCRF) at the surface (TOA) is obtained as:

$$282 \quad BCRF = F_{BC} - F^0 \quad (7)$$

283 where  $F_{BC}$  is now the shortwave net flux at surface (TOA) simulated with aerosol  
284 information but related exclusively to BC aerosol.

285 Daily mean values of both ARF and BCRF at the surface (TOA) are derived from  
286 integration of the instantaneous forcing values at surface (TOA) averaged 24 hours (Bush  
287 and Valero, 2003; Valenzuela et al., 2012):

$$288 \quad ARF_{daily} = \int \frac{ARF \, dt}{24}, \quad (8)$$

$$289 \quad BCRF_{daily} = \int \frac{BCRF \, dt}{24} \quad (9)$$

290 Heating rate was determined according to the finite difference estimates of the irradiance  
291 divergence at each pair of levels (Liou, 2002);

$$292 \quad \frac{\partial T}{\partial t} = \frac{g}{C_p} \frac{\Delta F_{Atmosferic}}{\Delta p} \quad (10)$$

293 where  $T$  is the temperature (K),  $t$  is the time (s),  $g$  is the gravitational acceleration (9.8  
294  $m/s^2$ ),  $C_p$  is the specific heat ( $\sim 1004$  J/kgK),  $F$  is the net all-wave flux ( $W/m^2$ ), and  $p$  is the  
295 pressure (Pa). The relative standard error in radiative forcing and heating rate reported here,  
296 taking into account the aerosol input parameters, uncertainties in BC concentrations, and  
297 flux estimates, is estimated to be 25%.

298

## 4. Results and discussion

299

### 4.1. Temporal variation of BC content

300

BC aerosol concentrations were retrieved from 734 sun-photometer observations

301

corresponding to 265 days from 2005 to 2012 over Granada. BC concentrations exhibited

302

clear seasonal pattern, evident in the monthly BC concentrations observed during the entire

303

period (Fig. 2). The monthly mean BC concentrations were high in winter (December to

304

February) and autumn (September to November) and low in summer (June to August) and

305

spring (March to May), with the highest concentrations in winter and the lowest in summer.

306

Vertical bars in boxes denote  $\pm$ one standard deviation, which indicates the variability in the

307

BC concentrations measured each month. The monthly-averaged BC concentrations for the

308

entire analyzed period were  $4.0\pm 2.5$  and  $4 \pm 3$   $\text{mg/m}^2$ , for December and January,

309

respectively, and  $1.6\pm 1.2$  and  $2.0\pm 0.5$   $\text{mg/m}^2$  for July and August, respectively, in

310

agreement with typical values of European urban environment as reported by Shuster et al.

311

(2005). The average BC concentration in winter was more than three times that computed

312

in summer. The winter average BC concentration ( $3.8\pm 0.6$   $\text{mg/m}^2$ ) was 30% higher than the

313

eight-year average ( $2.9\pm 0.9$   $\text{mg/m}^2$ ) whereas the summer average BC concentration

314

( $1.9\pm 0.3$   $\text{mg/m}^2$ ) was 35% lower than the overall mean. This seasonal variation in BC

315

concentrations in atmospheric column is similar to the seasonal variations at ground level,

316

derived for other aerosol properties in the study area (Lyamani et al., 2010; Lyamani et al.,

317

2011). The seasonal mean BC concentrations for the entire analyzed period were  $3.8\pm 0.6$ ,

318

$2.05\pm 0.03$ ,  $1.9\pm 0.3$  and  $3.4\pm 0.2$   $\text{mg/m}^2$  for winter, spring, summer and autumn,

319

respectively. In the same way that other studies (e.g., Dey et al., 2006), BC concentration

320 was assumed well mixed below the boundary layer height (h). Consequently, BC  
321 concentration retrieved in the atmospheric columnar can be converted to surface BC  
322 concentrations dividing by h. Typical h values in this area were  $1.4\pm 0.2$ ,  $1.7\pm 0.3$ ,  $2.0\pm 0.6$   
323 and  $1.6\pm 0.4$  km for winter, spring, summer and autumn, respectively (Granados-Muñoz et  
324 al., 2012). Thus, corresponding seasonal mean values of surface BC concentrations values  
325 were 2.7, 1.2, 0.9 and  $2.2 \mu\text{g}/\text{m}^3$ . Mean value retrieved in our study in winter was notably  
326 lower than retrieved by Lyamani et al. (2011) at surface level in this season ( $4.4 \mu\text{g}/\text{m}^3$ ).  
327 Additionally, seasonal BC concentration variability in the atmospheric column presented a  
328 behavior similar to that found by Lyamani et al. (2011) at the surface level. Thus, BC  
329 showed an evident smooth decreasing in warm seasons and slightly increasing in cold  
330 seasons. The features of BC concentration in the atmospheric column is related to a  
331 combination of synoptic patterns and their associated wind flows with air mass coming  
332 from different source areas. Moreover, different emission rate by polluted urban sources  
333 could also produce a lesser extent in the seasonal BC variability changes. The main BC  
334 emission sources could be the diesel fuel combustion processes, such as motor vehicles,  
335 which remain throughout the year, and domestic heating emission in winter time. Similar  
336 seasonal variations in BC concentrations have been observed in other urban areas (e.g.  
337 Ramachandran and Rajesh, 2007; Kirchstetter et al., 2008; Cao et al., 2009; Saha and  
338 Despiau, 2009), with higher BC concentrations in winter. These authors attributed the high  
339 BC concentrations in winter to the increase in anthropogenic activities associated with  
340 domestic heating, in addition to unfavorable meteorological conditions like shallow  
341 atmospheric boundary layer and low wind speed.

342 The year-to-year evolution of the BC concentration from 2005 to 2012 is shown in Figure  
343 3. The annual mean BC concentrations exhibited similar values during 2005 and 2006  
344 ( $3.0\pm 2.0$  and  $3.2\pm 2.4$   $\text{mg}/\text{m}^2$ , respectively), showing a notable increase in 2007 ( $4.0\pm 2.4$   
345  $\text{mg}/\text{m}^2$ ). From 2008, a strong reduction is observed, reaching low BC concentrations in  
346 2009 ( $1.0\pm 0.7$   $\text{mg}/\text{m}^2$ ) and 2010 ( $1.4\pm 1.1$   $\text{mg}/\text{m}^2$ ). This annual BC reduction is in  
347 agreement with the results reported by Lyamani et al. (2011). These authors associated this  
348 BC concentration reduction with the effect of the economic crisis. In our study, the analysis  
349 has been extended until 2012 confirming that annual mean BC concentration reduction  
350 remained until 2010. The BC levels for 2011 and 2012 showed slight increasing with  
351 annual mean values of  $2.3\pm 1.8$  and  $2.5\pm 1.8$   $\text{mg}/\text{m}^2$ , respectively. Nevertheless, these two  
352 years still showed BC values lower than those registered in the years previous to the start of  
353 the economic crisis. The annual report offered by Energy National committee ([www.cne.es](http://www.cne.es))  
354 indicates that the sales of combustibles derived from petrol dropped from 2007 to 2012 in  
355 Granada. Thus, it is foreseeable that less BC particles emissions from these sources were  
356 injected to the atmosphere in this period.

357

## 358 **4.2 BC concentration classification according to cluster analysis**

359 Changes in meteorological and synoptic conditions could affect the monthly evolution of  
360 BC concentration for the study period. To analyze this issue, Figure 4 displays the monthly  
361 mean temperature (Fig 4a), wind speed (Fig. 4b), and rainfall (Fig 4c) for Granada from  
362 2005 to 2012. Additionally, this figure also shows a plot (Fig 4d) with the BC concentration  
363 versus wind speed range in order to analyze if the monthly evolution of BC concentrations

364 was caused by changes in wind speed, since it is known that high wind speeds are  
365 associated with low aerosol concentrations in the study area (Lyamani et al., 2008). In  
366 general, mean wind speeds in the study area were higher in spring and summer seasons and  
367 slightly lower in autumn and winter seasons (Fig.4b). Consequently, one may expect higher  
368 BC concentrations in winter and autumn than in spring and summer (Figure 2). This  
369 assumption is verified in Fig. 4d which shows low BC concentrations for high wind speed  
370 classes. The low wind speed classes 0.1-0.3 and 0.3-0.5 m/s were the most representative of  
371 Granada local emissions, being less influenced by regional and long range transport.

372 The influence of changes in air mass patterns on the monthly evolution BC concentrations  
373 was also analyzed in detail. Fig. 5a shows the centroids of cluster classification coincident  
374 with BC observation days from 2005 to 2012. The air mass types were classified according  
375 to the cluster analysis. This plot displays that there were different air mass patterns during  
376 the study period. Most of the air mass (34%) corresponds to air mass from local and  
377 regional origin (cluster 1). A rather significant fraction (31%) corresponds to air mass from  
378 northern direction (cluster 2), while those originated from Mediterranean area (cluster 3)  
379 and Atlantic Ocean (cluster 4) were less frequent, 17% and 19%, respectively. Aerosol  
380 aging and deposition processes were not included in the back trajectory analysis which  
381 could slightly overestimate the role of long-range BC transport. In our study the estimation  
382 of BC aging and deposition can become very difficult because BC aerosols came from two  
383 different BC sources, anthropogenic BC and biomass burning BC emissions. The aging  
384 process of anthropogenic BC may be faster than that of biomass burning BC (Shen et al.,  
385 2014).

386 Monthly evolution of BC concentrations as function of the air mass pattern flows are  
387 showed in Fig. 5b. Obviously, BC concentrations were strongly affected by the air mass  
388 origin. In the analyzed period, the highest BC concentrations were observed for local air  
389 mass (cluster 1) and the lowest concentrations were obtained during Atlantic air mass  
390 arrivals (cluster 4). Intermediate BC concentrations were associated with Mediterranean  
391 and Northern advections. Local BC sources are mainly dominated by traffic throughout the  
392 year, with an additional contribution from residential heating during the winter. Titos et al.  
393 (2014), in a study about different aerosol sources affecting to the aerosol concentration  
394 levels in Granada, retrieved that anthropogenic aerosol predominantly affected the fine  
395 fraction and the traffic is the main source to target in Granada throughout the year, but  
396 especially in winter. However, biomass burning aerosol transported from Mediterranean  
397 areas may also affect the BC concentration levels mainly in autumn. In this sense, Figure 6  
398 shows the seasonal air mass frequency and BC concentration, respectively, according to the  
399 cluster classification. The acceptable correlation between air mass frequency and BC  
400 concentration for cluster 1 in winter (Fig. 6a and 6e) and autumn (Fig. 6d and 6h) indicates  
401 that just when local air mass affected Granada, BC concentration levels were increased. Air  
402 mass frequency included in cluster 2 showed good correlation with BC concentration in  
403 winter (Fig. 6a and 6e) and spring (Fig. 6b and 6f). Therefore, part of the total BC load in  
404 the atmospheric column in these seasons could be originated from urban-industrial aerosols  
405 transported from north Spain and Europe. On the other hand, high BC concentrations were  
406 found in autumn just when air mass frequency for cluster 3 was more elevated suggesting  
407 that air masses coming from Mediterranean region transport biomass burning particles  
408 towards Granada (Fig. 6d and 6h).

409 In order to complete information about BC concentration for air masses were classified in  
410 cluster 1 we show the wind rose diagrams in Figures 7a and 7b. These two plots show the  
411 wind rose for the daytime, for the years 2005 to 2012 were separated in two categories,  
412 high and low BC concentration levels, respectively. Southeasterly and south-southeasterly  
413 winds dominate at high BC concentration values with a 28% and 25% combined  
414 occurrence, respectively (Fig. 7a). Wind flowing from these directions crossed over the  
415 highway surrounding the city. The wind rose shows that winds from the north-northeasterly  
416 directions were the most frequent, when low BC concentration values were found for  
417 cluster 1(Fig. 7b). The north-northeasterly wind occurred about 33% of the whole period.  
418 Less frequent winds were from the northerly and northwesterly directions, with combined  
419 occurrence around 23% and 14%, respectively.

420 To evaluate the seasonal variability, Fig. 8 analyzes in detail two particular cases according  
421 to two flow patterns (cluster 1 and 3). The high BC concentrations observed in winter were  
422 likely due to the increase in BC emissions caused by the increase in anthropogenic  
423 activities associated with domestic heating and road traffic as well as to unfavorable  
424 meteorological conditions like shallow atmospheric boundary layer and low wind speed.  
425 Figure 8a shows the surface pressure at mean sea level for 1 January 2012 generated by  
426 NOAA Air Resources Laboratory (<http://ready.arl.noaa.gov/>). This plot is representative of  
427 the conditions prevailing from 1 to 5 January when maximum BC concentrations were  
428 found. Small pressure gradients took place over the Iberian Peninsula during these days  
429 coinciding with a high-pressure system located over Spain. This situation blocks the entry  
430 of air masses from the Atlantic and promotes stagnation conditions, reducing the ventilation  
431 of the atmosphere. The pressure gradient at the surface over the Iberian Peninsula was very

432 low and, consequently, there was an absence of wind with cloudless and dry conditions. On  
433 the other hand, part of the BC concentration found in Granada mainly in autumn could be  
434 related to biomass burning transported from Centre-Europe and Mediterranean region. In  
435 this sense, high BC concentrations were found in September in 2008 when air masses were  
436 coming from different European-Mediterranean areas transporting biomass burning aerosol  
437 from different forest fires in this region. From 22 August to the end of this month 2008  
438 western Iberian Peninsula, south France and north Italy suffered numerous forest fires (see  
439 <http://maps.geog.umd.edu>, and [www.fire.uni-freiburg.de](http://www.fire.uni-freiburg.de)). Back trajectory analysis shows  
440 that, at least at two altitudes, the study area was affected by European-Mediterranean air  
441 masses. According to the NAAPS aerosol transport model the multiple forest fires in  
442 different regions in southern Europe, especially in Italy (<http://maps.geog.umd.edu>) and the  
443 associated anticyclonic situation favored rather high levels of smoke over the whole Iberian  
444 Peninsula and much of the Mediterranean basin during this period. Thus, the wind flows  
445 that arrived at study area at 500 and 1500m could have transported biomass burning  
446 particles and/or urban-industrial aerosols originated in south Europe (Fig. 8b).

### 447 **4.3 Shortwave aerosol radiative forcing**

448 Aerosol optical properties and radiative forcing values were separately derived for  
449 composite aerosol and for BC as explained previously. The evolution of the monthly mean  
450 values of the daily radiative forcing for composite aerosols (equation 8) from 2005 to 2012  
451 is shown in Figure 9a. This plot shows the monthly forcing values at surface and TOA  
452 together with the variation of the atmospheric radiative forcing derived from the difference  
453 between the surface and TOA forcing values (e.g, Valenzuela et al., 2012). Composite

454 aerosol radiative forcing at the surface ( $ARF_{Surf}$ ) was higher during warm season (up to -  
455  $28\pm 8$   $W/m^2$  in August), which may be due to larger AOD values recorded during these  
456 months. Thus,  $ARF_{Surf}$  was well correlated with the observed AODs. The highest monthly  
457 mean values of the aerosol radiative forcing at the TOA ( $ARF_{TOA}$ ) were retrieved in spring  
458 and early summer with the highest monthly value in May ( $4\pm 2$   $W/m^2$ ). Positive  $ARF_{TOA}$   
459 indicates a net warming effect, which mainly arises due to highly reflecting continental  
460 surface albedo and strong aerosol absorption.  $ARF_{TOA}$  may be affected by other optical  
461 parameters such as single scattering albedo,  $\omega$  (Kedia et al., 2016). The  $\omega$  values were  
462 lower for composite aerosol during spring and summer, indicating enhanced presence of  
463 absorbing particles during this period (not shown). Negative values of the  $ARF_{Surf}$  indicate  
464 a cooling effect, while positive values of the  $ARF_{TOA}$  suggest less radiation scattered into  
465 space. The difference between  $ARF_{TOA}$  and  $ARF_{Surf}$  provides the atmospheric radiative  
466 forcing for composite aerosol ( $ARF_{Atm}$ ), which showed the same pattern that  $ARF_{Surf}$  with  
467 larger mean values in summer (up to  $30\pm 3$   $W/m^2$  in July).

468 The AOD (440 nm) obtained exclusively for BC aerosol showed values ranging between  
469 0.01 and 0.05 (not shown), contributing to about 10-15% of the composite AOD. To  
470 determine the impact of BC aerosol on the Earth-atmosphere radiation budget over  
471 Granada, radiative forcing for BC aerosols is evaluated (equation 9) and compared to the  
472 forcing for composite aerosol. Similarly, figure 9b shows monthly values of forcing at  
473 surface ( $BCRF_{Surf}$ ), TOA ( $BCRF_{TOA}$ ) and atmosphere ( $BCRF_{Atm}$ ) estimated exclusively for  
474 BC aerosol over the station. In this study the magnitude of the AOD (not shown) and  
475 concentration of BC were relatively higher during winter (mean AOD =  $0.05\pm 0.02$  mean  
476 BC =  $3.8\pm 0.6$   $mg/m^2$ ) than for other seasons.

477 It can be seen that BC radiative forcing exhibits a similar pattern with lower values at  
478 surface and higher values at TOA as compared to those for composite aerosol during the  
479 entire period. In contrast to composite aerosol,  $\text{BCRF}_{\text{TOA}}$  exhibited similar pattern as BC  
480 AOD because BC and  $\omega(\lambda)$  values showed no significant changes during all seasons (not  
481 shown). Estimated monthly mean  $\text{BCRF}_{\text{TOA}}$  was high in during summer (up to  $+7.5 \pm 0.7$   
482  $\text{W/m}^2$  in July). During the whole period,  $\text{BCRF}_{\text{TOA}}$  was positive, which implies a net  
483 warming effect due to the absorption of solar radiation by BC aerosol. In addition,  
484  $\text{BCRF}_{\text{Surf}}$  values ranged between  $-10 \pm 1$  (January) and  $-18 \pm 3$  (July)  $\text{W/m}^2$ . The negative sign  
485 of forcing values observed at the surface implies a net cooling effect. Finally, the positive  
486  $\text{BCRF}_{\text{Atm}}$  values (between  $+13 \pm 1$  and  $+26 \pm 3$   $\text{W/m}^2$ ) indicate a net warming effect in the  
487 atmosphere. This positive forcing represents a considerable amount of heating of the lower  
488 atmosphere and has been conjectured as potential factor causing global warming during  
489 winter (Jacobson, 2001).

490 The mean  $\text{ARF}_{\text{Atm}}$  and  $\text{BCRF}_{\text{Atm}}$  values, averaged over the entire period, were about  $+23 \pm 6$   
491  $\text{W/m}^2$  and  $+15 \pm 6$   $\text{W/m}^2$ , respectively. These forcing values are translated into a heating rate  
492 of  $+0.21 \pm 0.06$  K/day (composite aerosol) and  $+0.15 \pm 0.06$  K/day (BC aerosol). Taking into  
493 account only mean values of  $\text{ARF}_{\text{Atm}}$  and  $\text{BCRF}_{\text{Atm}}$ , it can be seen that BC radiative forcing  
494 in the atmospheric column contributed on average around 67% of total composite aerosol  
495 forcing for the study period. This large contribution of BC induced atmospheric warming  
496 together with cooling surface. This situation can lead to inversions inhibiting thermal  
497 convection and, in addition to a reduction in the process of cloud formation (Panicker et  
498 al., 2013; Chou et al., 2002). There are few studies in the literature reporting contribution of  
499 BC to composite aerosol radiative forcing (S. Ramachandran, S. Kedia, 2011; Arola et al.,

500 2015). For instance, Panicker et al. (2013) found a BC contribution of up to 88% in South  
501 Korea. These same authors found lower BC contribution values in an urban India site of up  
502 to 55%.

503 In spite of the fact that our study was constrained to fine mode-dominate cases,  $ARF_{Surf}$ ,  
504  $ARF_{TOA}$  and  $ARF_{Atm}$  show values in the range of those found by other authors (Panicker et  
505 al., 2010; Ramachandran and Kedia, 2010; Ramachandran et al., 2011). Valenzuela et al.  
506 (2012b) focused on desert dust events over Granada station, reporting higher mean values  
507 of aerosol radiative forcing at surface ( $\sim 19 \pm 7 \text{ W/m}^2$ ), negative mean aerosol radiative  
508 forcing values at TOA ( $\sim -6 \pm 5 \text{ W/m}^2$ ), and lower mean values in the atmosphere ( $\sim 14 \pm 7$   
509  $\text{W/m}^2$ ), during 2005-2010 period. Therefore, desert dust events contributed to diminish  
510 atmospheric warming in Granada station. In contrast to composite aerosol forcing,  
511  $BCRF_{Surf}$ ,  $BCRF_{TOA}$  and  $BCRF_{Atm}$  values were higher over Granada than in other regions  
512 (Panicker et al., 2010; Panicker et al., 2013). These differences could be justified for the  
513 methodological differences in retrieving BC concentration. Large database over closely  
514 gridded stations are required in order to quantify the BC aerosol radiative effects over a  
515 particular region. Nevertheless, in the present study we attempted to present the scenario of  
516 seasonal BC aerosol radiative forcing due to the presence of BC aerosol over an urban  
517 typical location on the southeastern Iberian Peninsula.

## 518 **5. Conclusions**

519 The BC concentration values presented in this study were retrieved for the period 2005-  
520 2012. BC concentrations showed high average values in January ( $4.0 \pm 2.6 \text{ mg/m}^2$ ) and  
521 December ( $4.2 \pm 3.3 \text{ mg/m}^2$ ) and low average values in July ( $1.6 \pm 1.2 \text{ mg/m}^2$ ) and August

522 (2.0±0.6 mg/m<sup>2</sup>). The winter average BC concentration (3.8±0.7 mg/m<sup>2</sup>) was 30% higher  
523 than the eight-year average (2.9±0.9 mg/m<sup>2</sup>) whereas the summer average BC  
524 concentration (1.9±0.3 mg/m<sup>2</sup>) was 35% lower than the overall mean. The reduction in the  
525 use of fossil fuels due to economic crisis contributed significantly to reduce BC particles  
526 injected to the atmosphere. According to our analysis this situation persisted until 2010. Air  
527 masses arriving from four different sectors labeled cluster 1 (local), cluster 2 (north Spain-  
528 south Europe), cluster 3 (Mediterranean region) and cluster 4 (Atlantic Ocean) exhibited  
529 different BC concentrations. The BC concentration in cluster 1 showed high correlation  
530 with air masses frequency of this cluster in winter and autumn. In these seasons BC the  
531 traffic source is combined with emissions by domestic heating that were accumulated in the  
532 rather shadow boundary layer. High BC concentrations were found in autumn just when air  
533 masses frequency for cluster 3 was larger, thus suggesting that air masses coming from  
534 Mediterranean region transported biomass burning particles towards Granada. The analysis  
535 of a particular case confirm that air masses coming from Europe-Mediterranean region are  
536 responsible of the transport of biomass burning particles just when numerous forest fires  
537 affected southern France and northern Italy. The lowest BC concentration was associated  
538 with the strongest influence of Atlantic Ocean air masses influence, really frequent during  
539 spring and summer. The influence of air masses was evident in the BC concentration values  
540 and it should be considered when assessing the influence of BC concentrations.

541 BC optical properties were retrieved from BC fraction together with aerosol AERONET  
542 size volume distribution values under the assumption of spherical shape (Mie theory).  
543 Aerosol optical properties for composite aerosol (including only fine mode-dominated  
544 cases) were derived from AERONET network in agreement with those BC retrievals. BC

545 and composite aerosol optical properties were used as input in SBDART model in order to  
546 obtain radiative forcing values. The mean atmospheric aerosol radiative forcing for  
547 composite aerosol, averaged for the entire period, was  $+23\pm 6$  W/m<sup>2</sup> (which translates into a  
548 heating rate of  $+0.21\pm 0.06$  K/day) and  $+15\pm 6$  W/m<sup>2</sup> for BC aerosol (which translates into a  
549 heating rate of  $+0.15\pm 0.06$  K/day). BC radiative forcing in the atmospheric column  
550 contributed on average around 67% of total composite aerosol forcing for the study period  
551 taking into account only mean values of  $ARF_{Atm}$  and  $BCRF_{Atm}$ . This large contribution of  
552 BC induced atmospheric warming together with cooling surface.

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## 789 **FIGURES**

790 **Figure 1:** a) Location of Granada city and, b) radiometric station (CEAMA).

791 **Figure 2:** Monthly statistics of BC concentration over Granada from 2005 to 2012  
792 represented as box diagrams. In these box diagrams, the mean is represented by a blank dot

793 and the median by a middle line. The top/bottom box limits represent the percentiles 25%  
794 and 75%. In addition, the error bars of the box are the percentiles 5% and 95%. Number of  
795 observations is indicated between parentheses.

796 **Figure 3:** Evolution of monthly mean values of (a) Temperature ( $^{\circ}\text{C}$ ) (b) wind speed (m/s)  
797 (c) rainfall (mm) and (d) BC concentrations as function of wind speeds at Granada from  
798 December 2005 to November 2008. The error bars are standard deviations.

799 **Figure 4:** Annual statistics of BC concentration over Granada from 2005 to 2012  
800 represented as box diagrams. In these box diagrams, the mean is represented by a blank dot  
801 and the median by a middle line. The top/bottom box limits represent the percentiles 25%  
802 and 75%. In addition, the error bars of the box are the percentiles 5% and 95%.

803 **Figure 5:** (a) Centroids of cluster classification at 500 m level coincident with BC  
804 observation days, and (b) BC concentrations as function of cluster classification from 2005  
805 to 2012. The error bars are standard deviations.

806 **Figure 6:** a-d) Seasonally frequencies of air mass types according with cluster analysis that  
807 affected Granada from 2005 to 2012, e-h) seasonally BC concentration values according  
808 with cluster analysis that affected Granada from 2005 to 2012.

809 **Figure 7:** Wind rose plots for cluster 1 affecting to Granada place with (a) high BC  
810 concentration levels, (b) low BC concentration levels.

811 **Figure 8:** a) Synoptic chart of surface pressure at mean sea level for 1 January 2012, b)  
812 back trajectories ending at Granada at 12 UTC for altitude 500, 1500 and 3000m a.g.l. for  
813 30 August 2008 representing days 22–30.

814 **Figure 9:** Radiative forcing at surface, TOA and in the atmosphere for a) Composite  
815 aerosol and b) BC aerosol.

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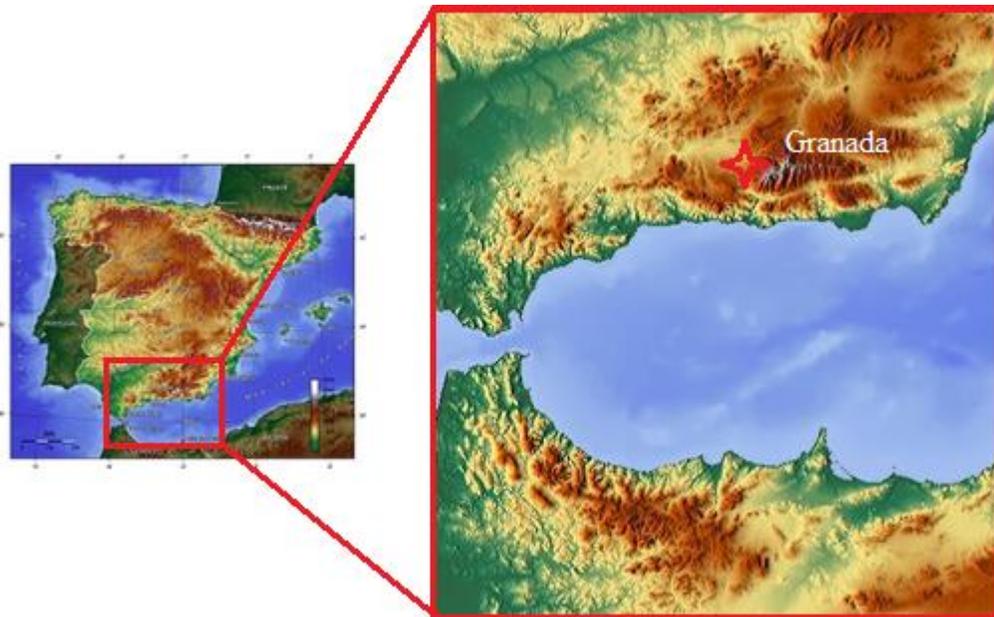
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823 FIGURE 1

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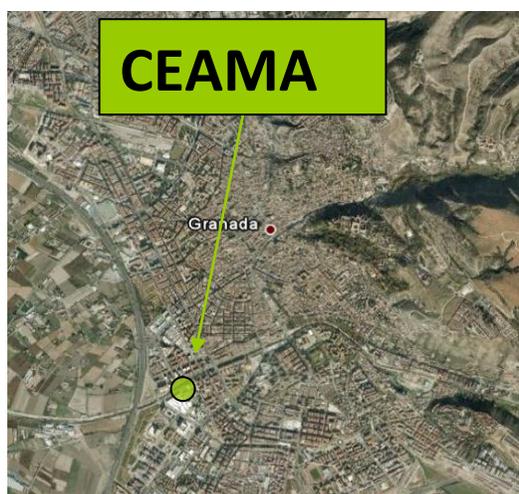
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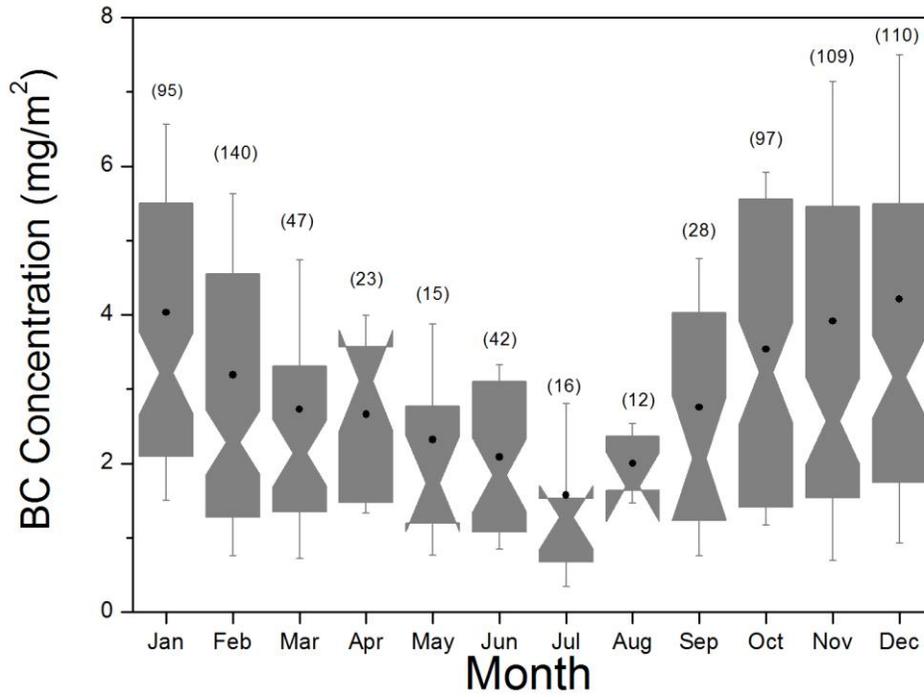
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832 FIGURE 2

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844 FIGURE 3

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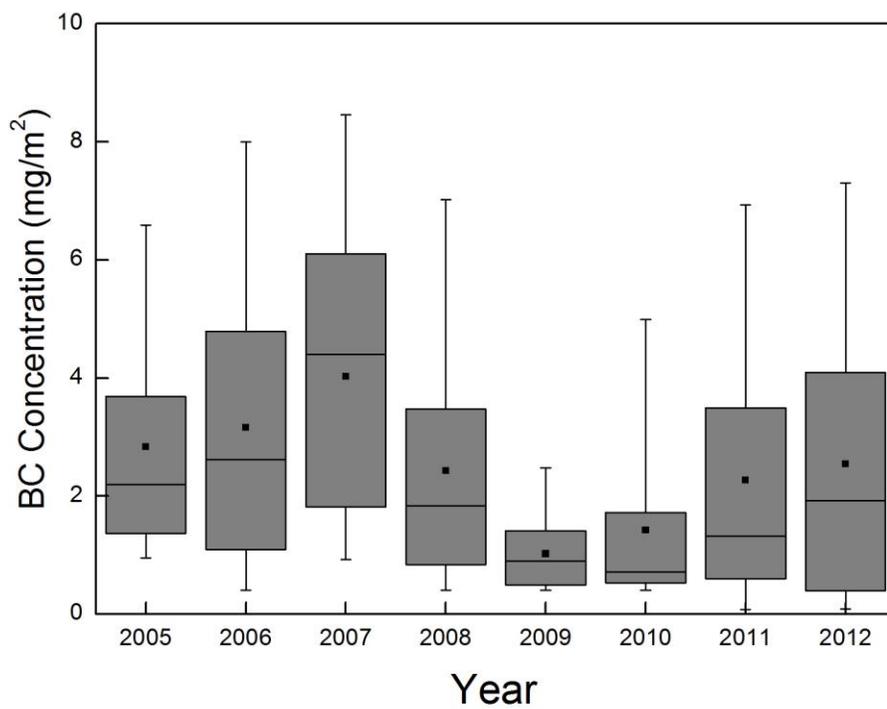
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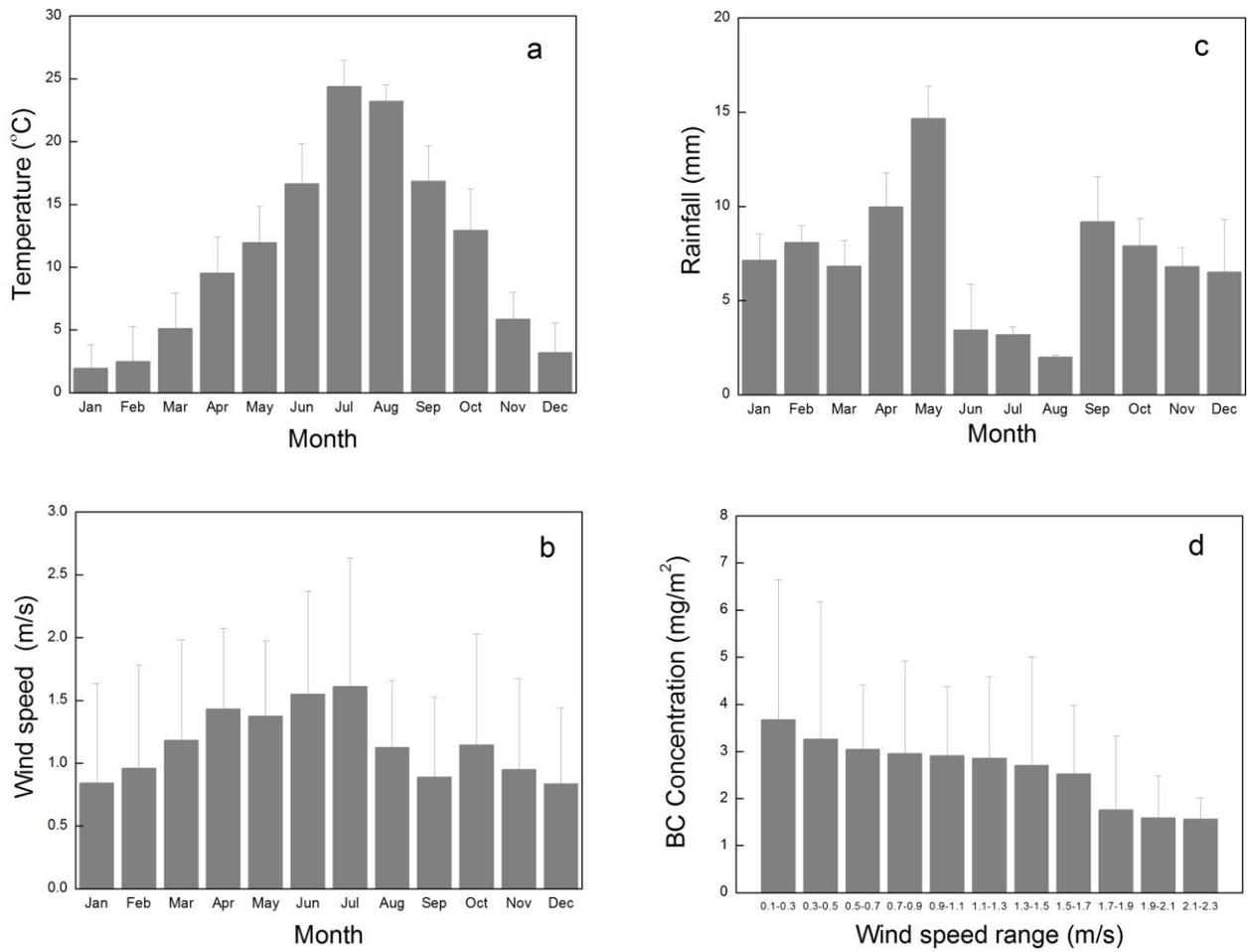
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859 FIGURE 4



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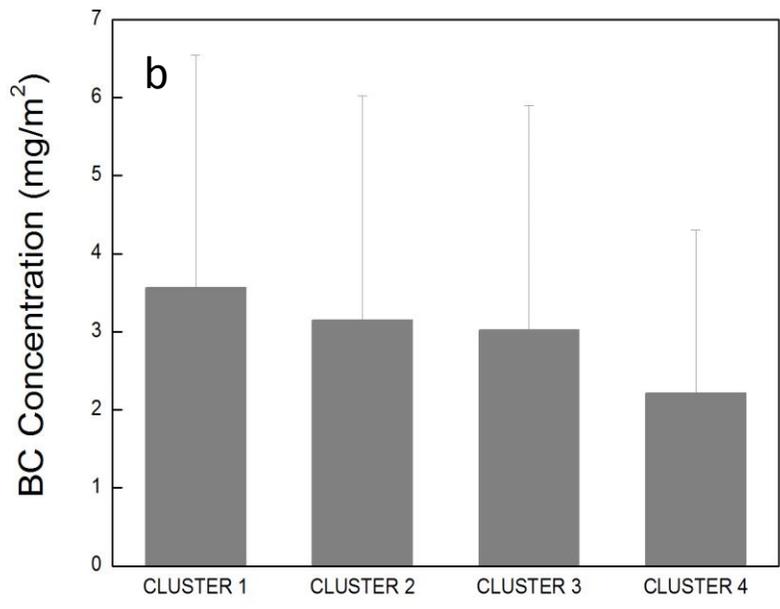
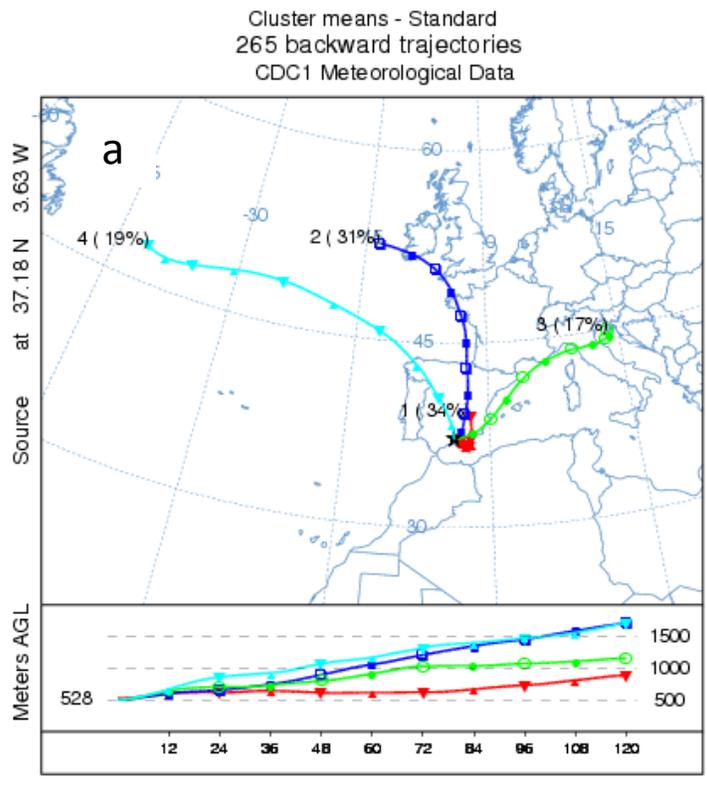
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892 FIGURE 6

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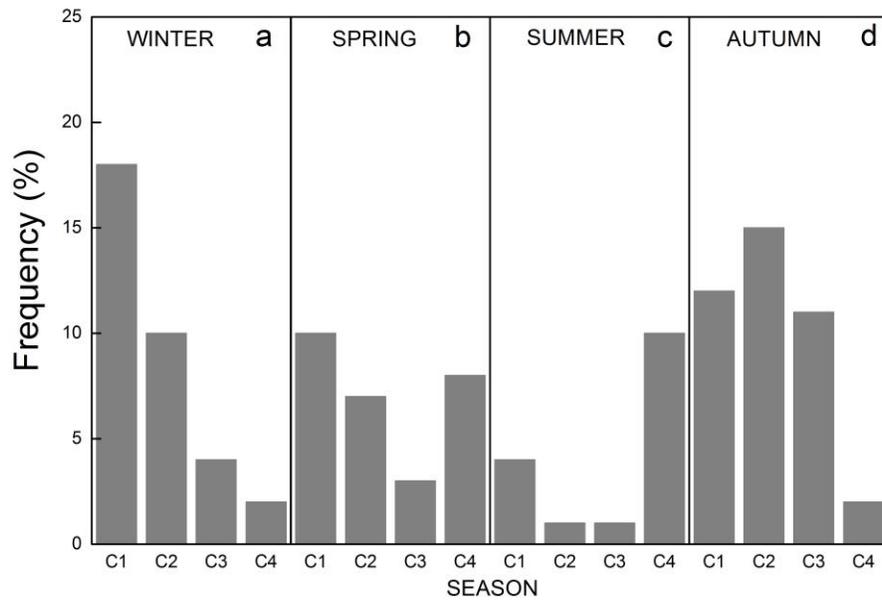
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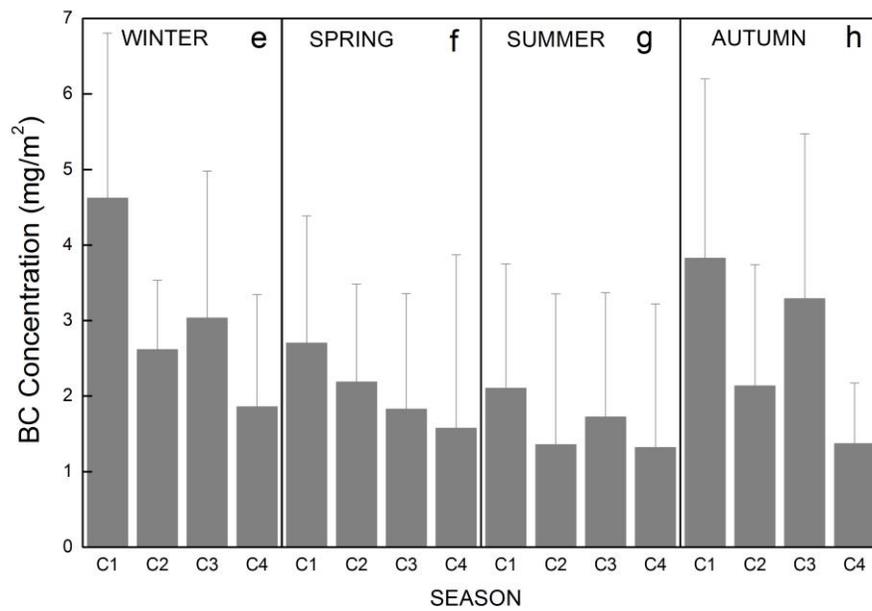
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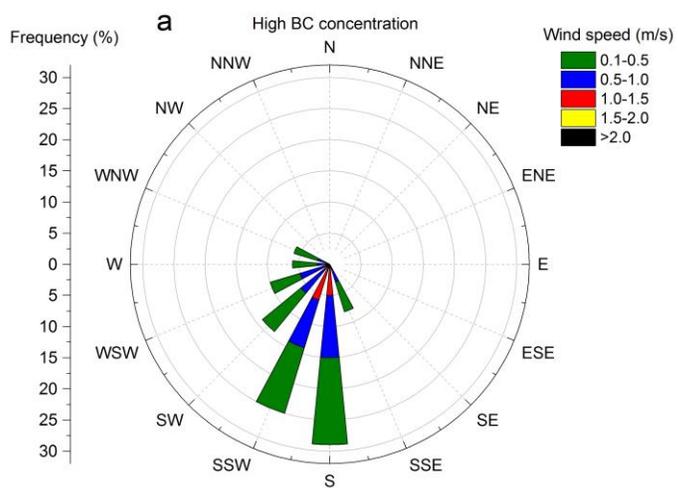
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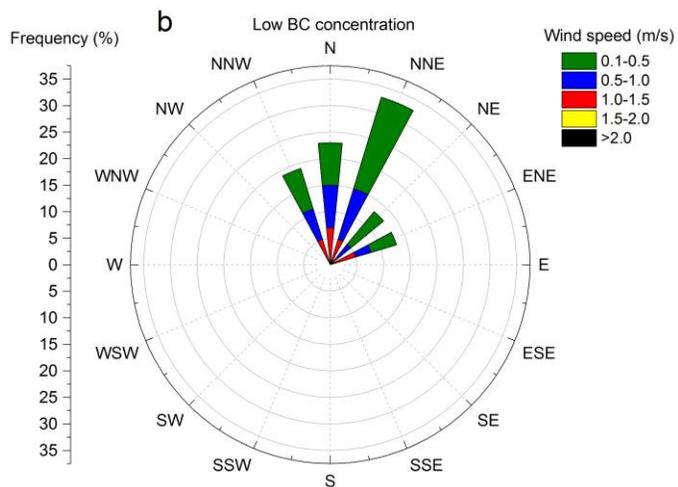
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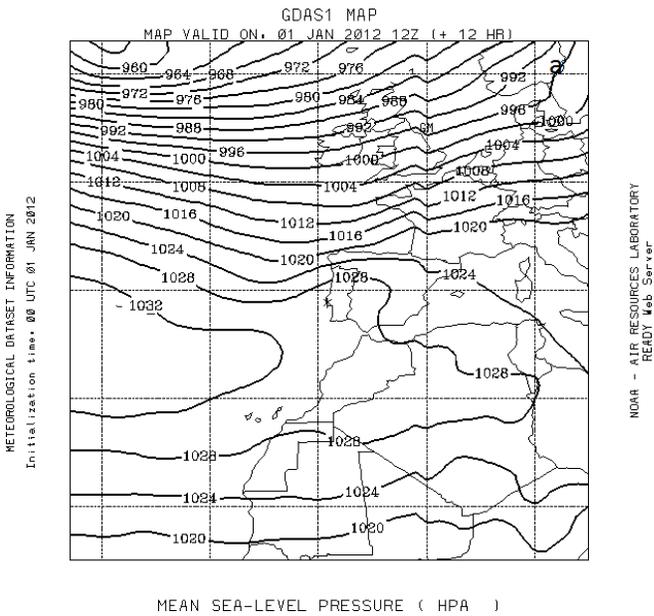
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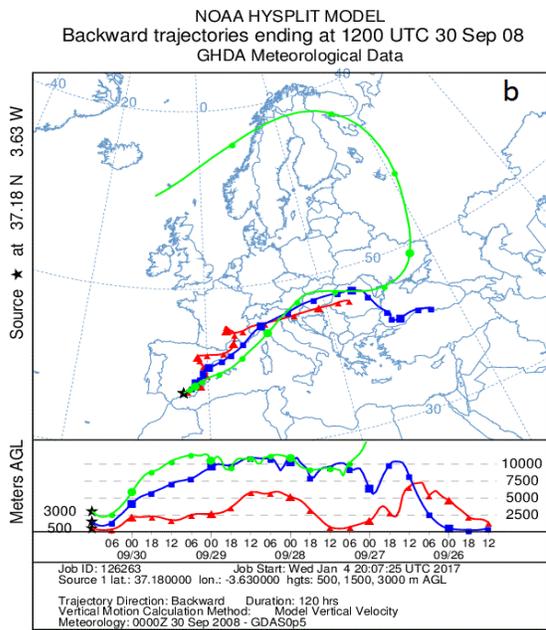
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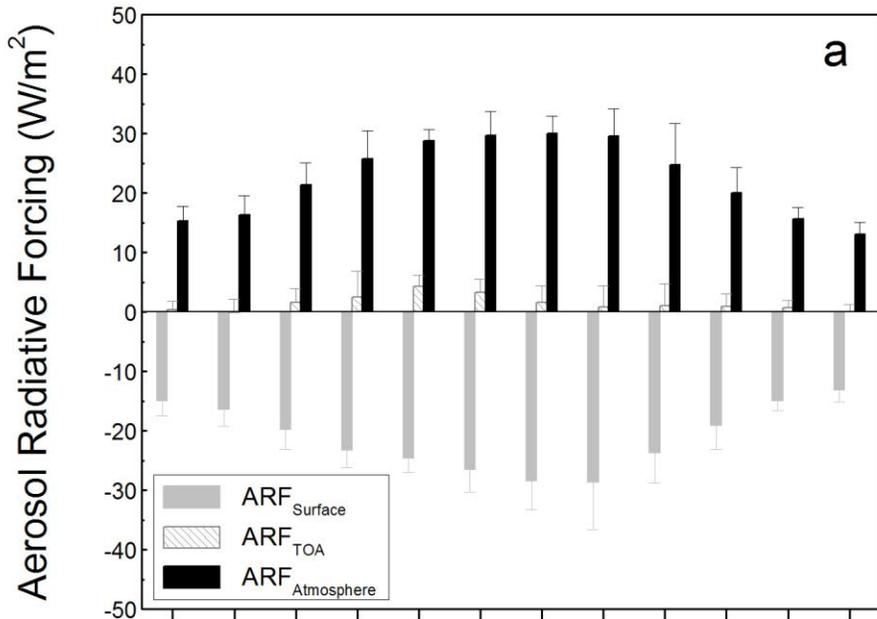
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